

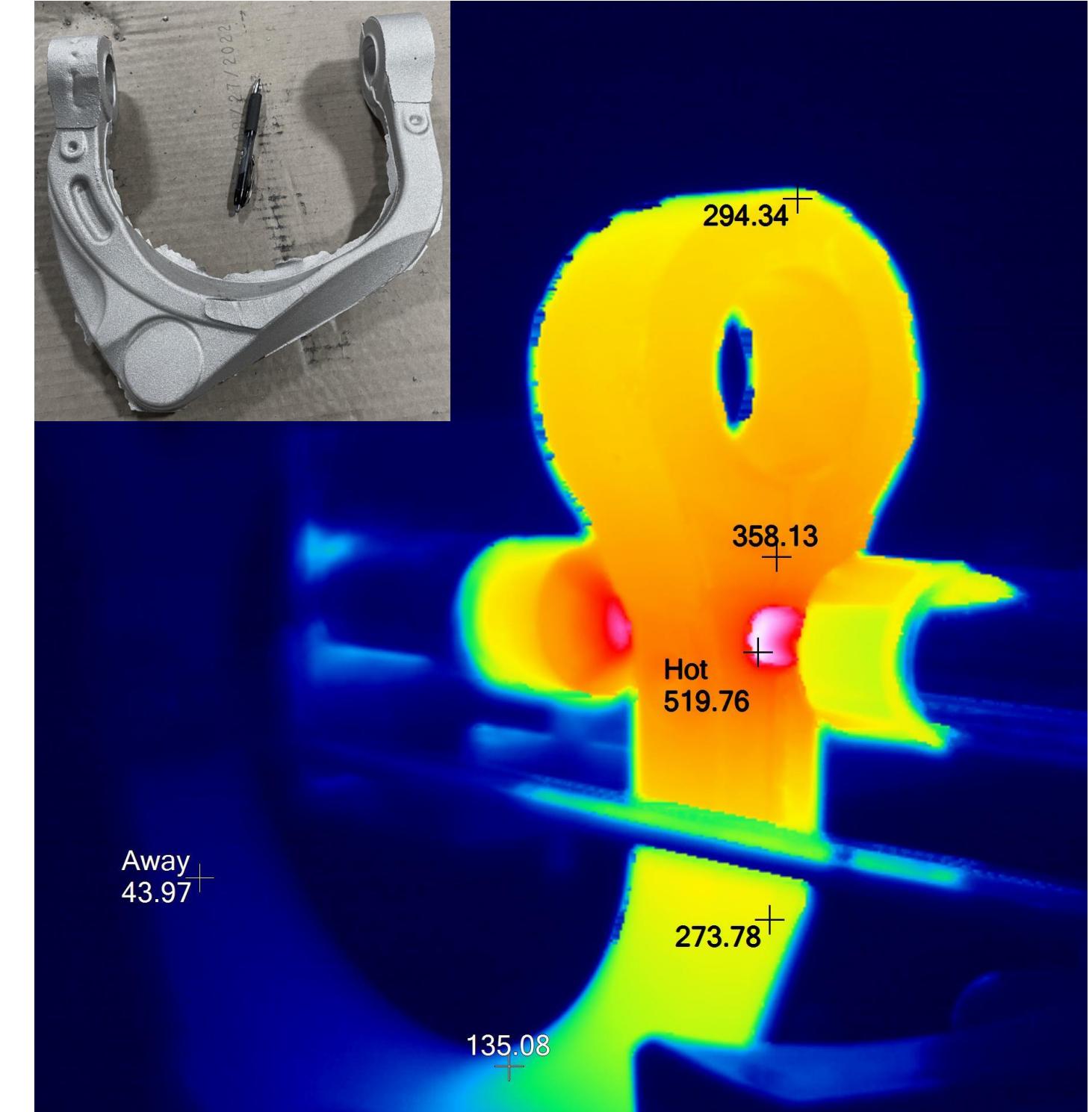
# High-Intensity Thermomechanical Processes for Enhanced Strength, Fatigue Resistance and Ductility in Aluminum (Al) Castings

Project ID: MAT248

PI: Aashish Rohatgi  
Pacific Northwest National Laboratory

June 15, 2023

DOE Vehicle Technologies Office  
Annual Merit Review



# Overview

## Timeline

Project start date	Nov 2020
Project end date	Sept 2023
Percent complete	75%

## Budget

Total project funding	\$1,200,000
Funding for FY2022	\$ 400,000
Funding for FY2023	\$ 400,000

### Thrust 2. Localized Property Enhancements for Cast Structural Aluminum Applications

Project	Title	FY23
2A1	Local Thermomechanical Processing of Die-Cast Aluminum Alloys for Improved Fatigue and Fracture Toughness Behavior (PNNL)	\$400k
2A2	Power Ultrasonic Surface Processing of Die-Cast Al Alloys (ORNL)	\$200k
2B	High-Intensity Thermomechanical Processes for Enhanced Strength, Fatigue Resistance and Ductility in Al Castings (PNNL)	\$400k
2C	Cast and Print (ORNL)	\$450k
Thrust Totals		\$1.45m

## Barriers and Technical Targets

### Recycling

- Enable increased use of secondary aluminum

### Low-Cost Manufacturing Processes

- Reduced energy use associated with heat treatment

## Interactions

### Lead Laboratory

- Pacific Northwest National Laboratory



### Industry Engagement

- Eck Industries
- Sugino Corp.
- LSP Technologies

# Relevance and Objectives

- Relevance
  - Most aluminum (Al) used in automotive industry is in the form of castings
    - ✓ Benefits: Lower manufacturing costs, parts consolidation, and lower assembly costs
    - ✓ Issues: Lower strength and ductility than wrought products limit the number of casting applications and lightweighting potential
  - Casting is a mature technology; new techniques are needed that can reduce manufacturing costs and improve casting performance to enable greater use of Al castings for lightweighting
- Objectives
  - Improve the local cast microstructure during casting and enhance local mechanical properties of castings
  - Develop new process technologies that are cost effective and compatible with existing casting practices and processes
    - ✓ Three independent techniques that address the casting, heat-treatment, and surface-treatment processes

# Project Milestones (FY23)

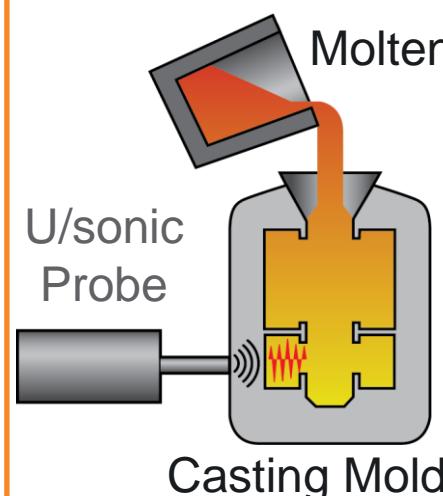
Milestone	Criteria	Due Date
Apply high-frequency ultrasound during aluminum solidification in a permanent steel mold.	Grain size in the casting produced by ultrasonication is smaller than in a non-sonicated casting by 50% or more.	12/31/2022 <span style="color: green;">Completed</span>
Down-select a peening process and perform peening experiments on the surface of cast aluminum.	Subsurface hardness is greater by 15% or more relative to unpeened material.	3/31/2023 <span style="color: green;">Completed</span>
Perform heat treatment of a prototype aluminum casting using Joule heating.	Maintain target heat-treatment temperature to within $\pm 5^{\circ}\text{C}$ in the selected location of the casting.	6/30/2023 <span style="color: green;">On-Track</span>
Perform fatigue testing of peened cast aluminum.	Fatigue life of peened cast Al is higher than that of the unpeened material by 2x or more.	9/30/2023 <span style="color: green;">On-Track</span>

# Approach

## Processing at Different Stages of Casting Development

### During Solidification

Local Ultrasonic (u/s)  
Intensification During  
Casting Solidification

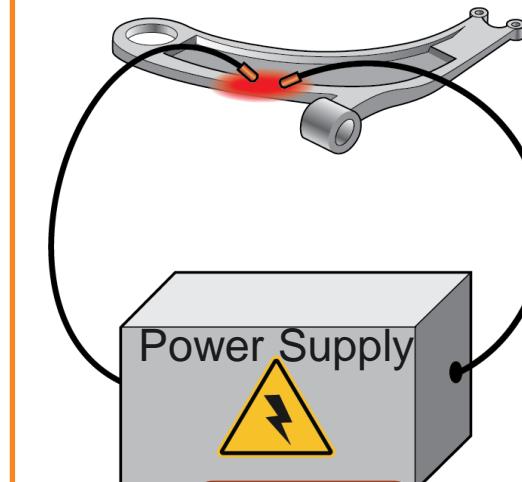


Task 1

A356 Al (with added Fe) is used  
to mimic a recycle grade cast Al

### Post-Solidification

Local Heat-Treatment  
Intensification  
Through  
Joule Heating

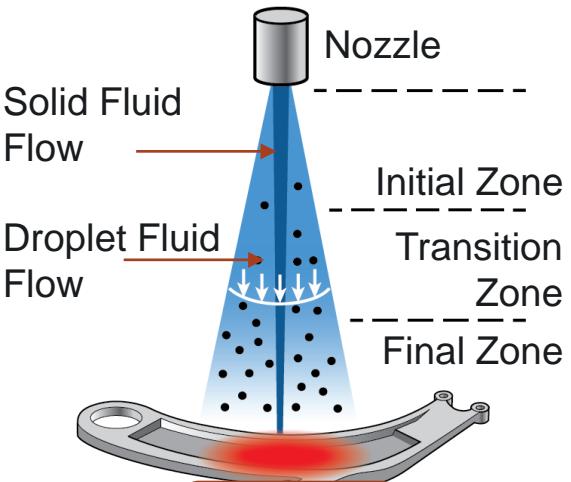


Task 2

HPDC A380 plates are used to  
demonstrate local heat-treatment

### Post-Heat-treatment

Local Surface-  
Treatment  
Intensification by  
Waterjet Peening (WJP)



Task 3

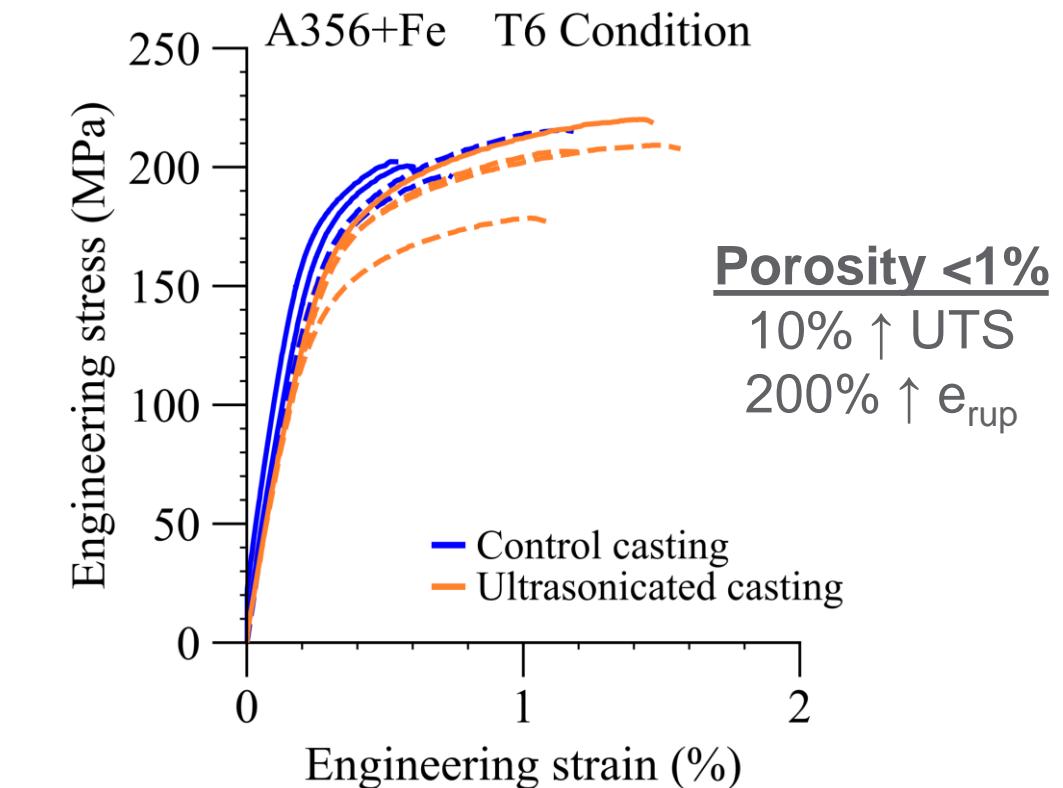
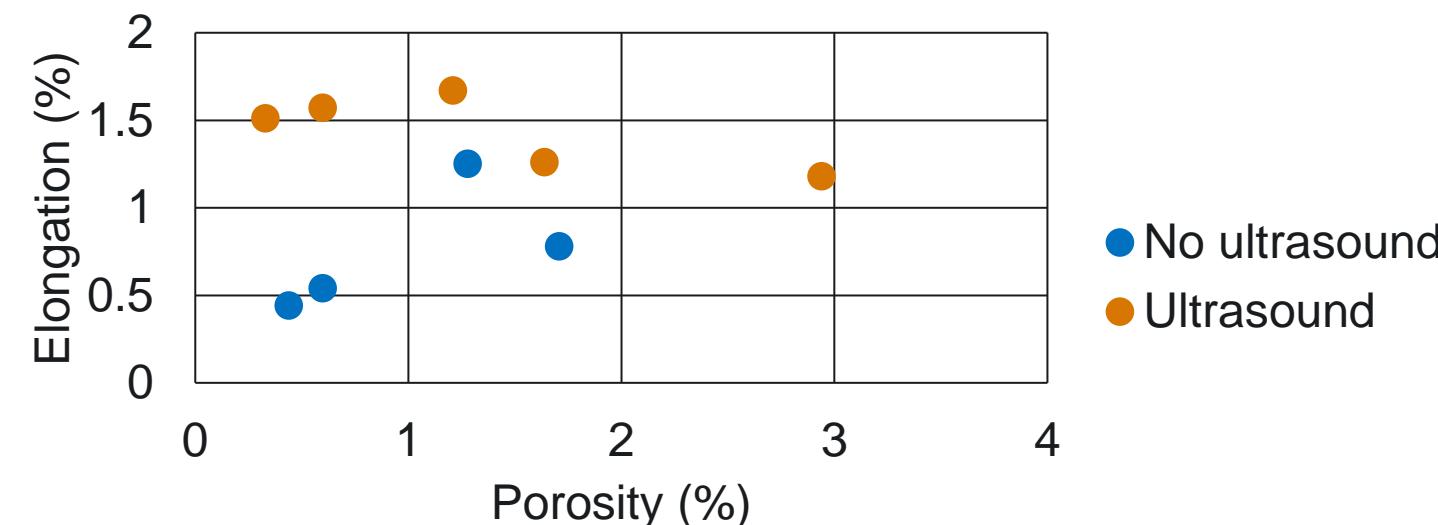
HPDC A380 plates are used to  
evaluate peening processes

# Task 1 Accomplishment

## Ultrasound-Induced Improvement in Mechanical Properties

- A356 (+Fe) Al; tensile specimens extracted from castings and heat treated to a T6 condition

Microstructure	Grain Size ( $\mu\text{m}$ )	YS (MPa)	UTS (MPa)	$e_{\text{rup}}$ (%)
Dendritic (no ultrasound)	140	$191 \pm 6$	$204 \pm 8$	$0.75 \pm 0.36$
Globular (with ultrasound)	36	$177 \pm 13$	$203 \pm 18$	$1.41 \pm 0.23$



- Ductility  $\uparrow$  by 88% on average
- Globular microstructure can accommodate more plastic deformation  $\rightarrow$  greater ductility  $\rightarrow$  greater UTS

# Task 1 Accomplishment

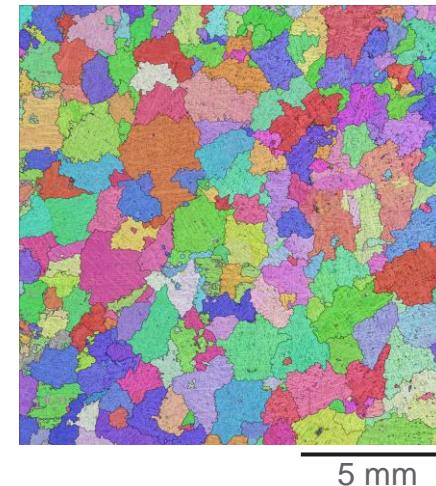
## Ultrasonic Processing During Casting in Pre-heated Steel Mold

### Steel Mold



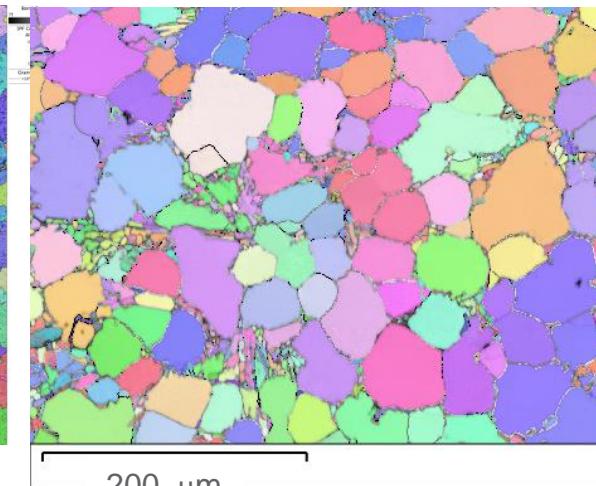
### No Ultrasound

Grain Size = 1820  $\mu\text{m}$



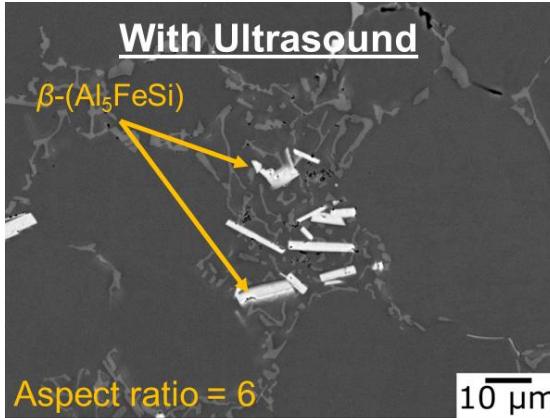
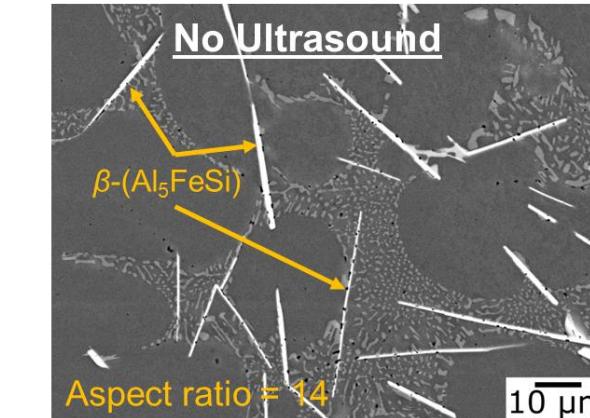
### Ultrasound

Grain Size = 56  $\mu\text{m}$



>30X reduction in grain size, as well as non-dendritic globular microstructure

### Recycle-grade A356 Al (0.9% Fe)



>2X reduction in aspect ratio of brittle Fe-intermetallics

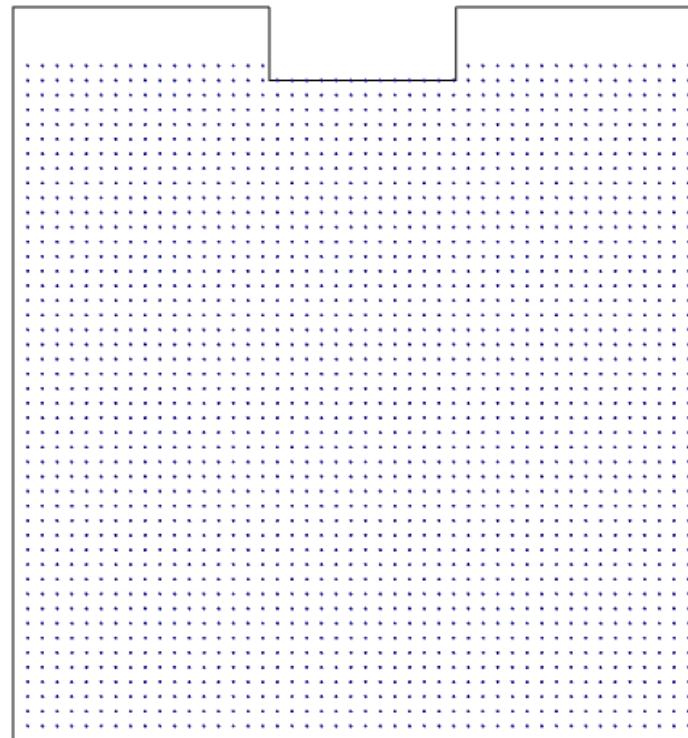
- Non-dendritic microstructure using low-cost hardware → Cost-effective lightweighting
- Reduced aspect ratio of intermetallic needles → Enable recycle-grade cast Al
- Microstructural refinement over  $\sim 2000 \text{ mm}^2$  area (tailorable over small/large area)

# Task 1 Accomplishment

## CFD Simulation of U/S Stirring in Solidifying Al

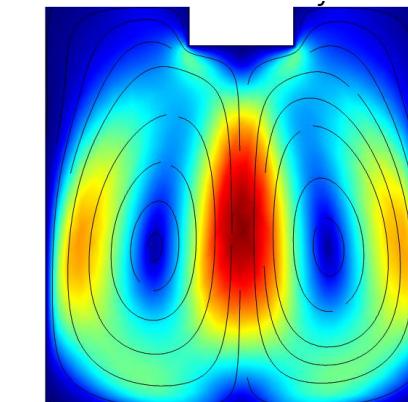
- Simulate effect of viscosity and dendrites on acoustic streaming and dendrite size on particle velocity

$d = 1$  to  $200 \mu\text{m}$ , 0 to 300 s

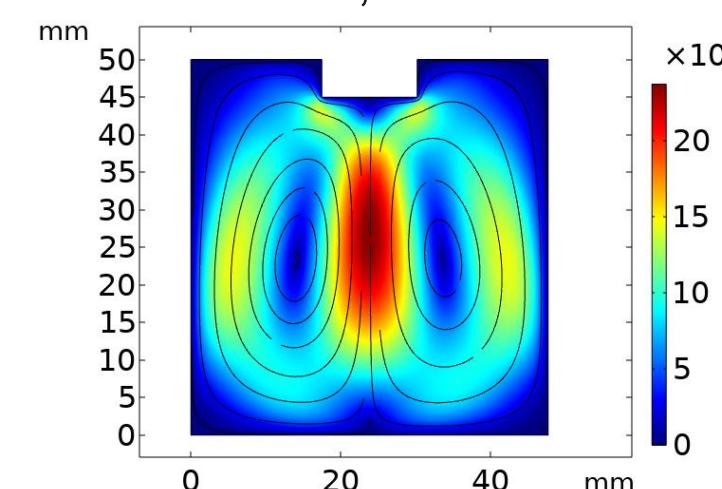


For the grain sizes that we observe ( $< 100 \mu\text{m}$ ), dendrite size should not affect the distribution pattern.

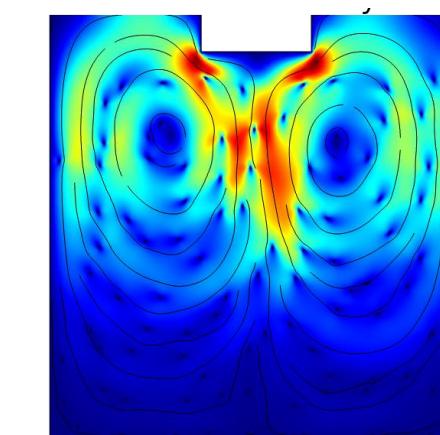
No Dendrites



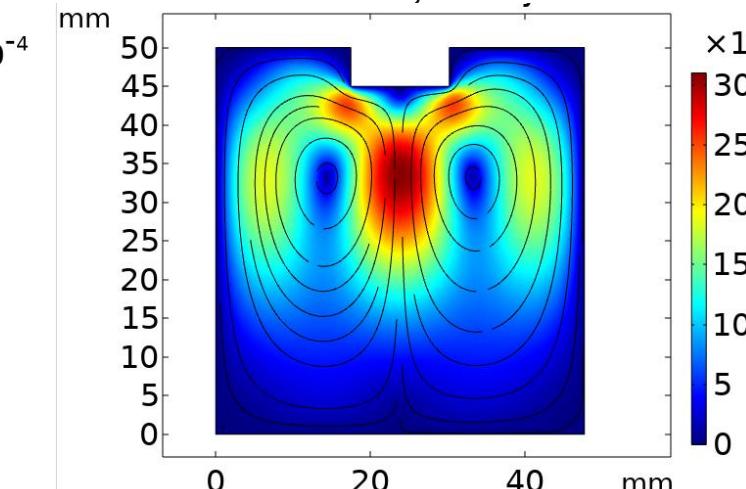
Viscosity = 1.47cP  
Attenuation,  $\alpha = 1E - 3$



With Dendrites (Fixed)



Viscosity = 1.47cP  
Attenuation,  $\alpha = 1E-4$



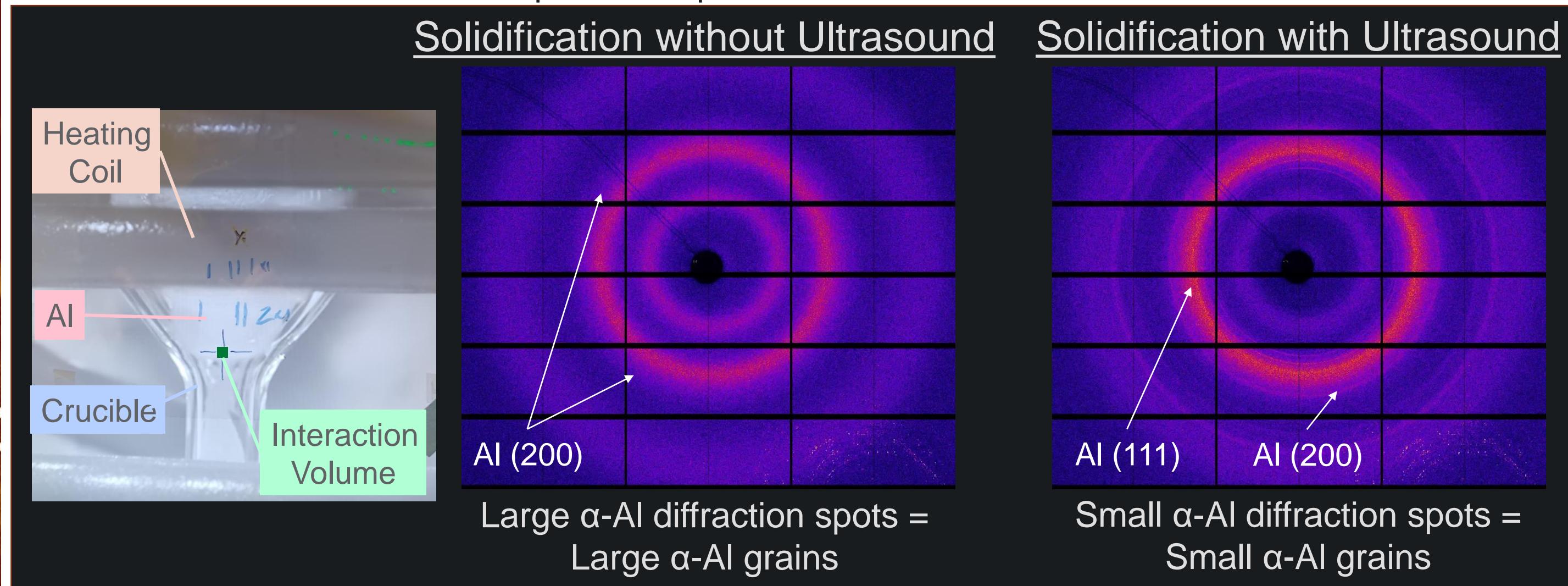
Formation of dendrites affects the liquid flow patterns.

Velocity field gets smaller as attenuation decreases.

# Task 1 Accomplishment

## In Situ Diffraction Patterns During Solidification

Solidification Sequence: Liquid  $\rightarrow$   $\alpha$ -Al  $\rightarrow$  Eutectic Al + Si  $\rightarrow$  Solid

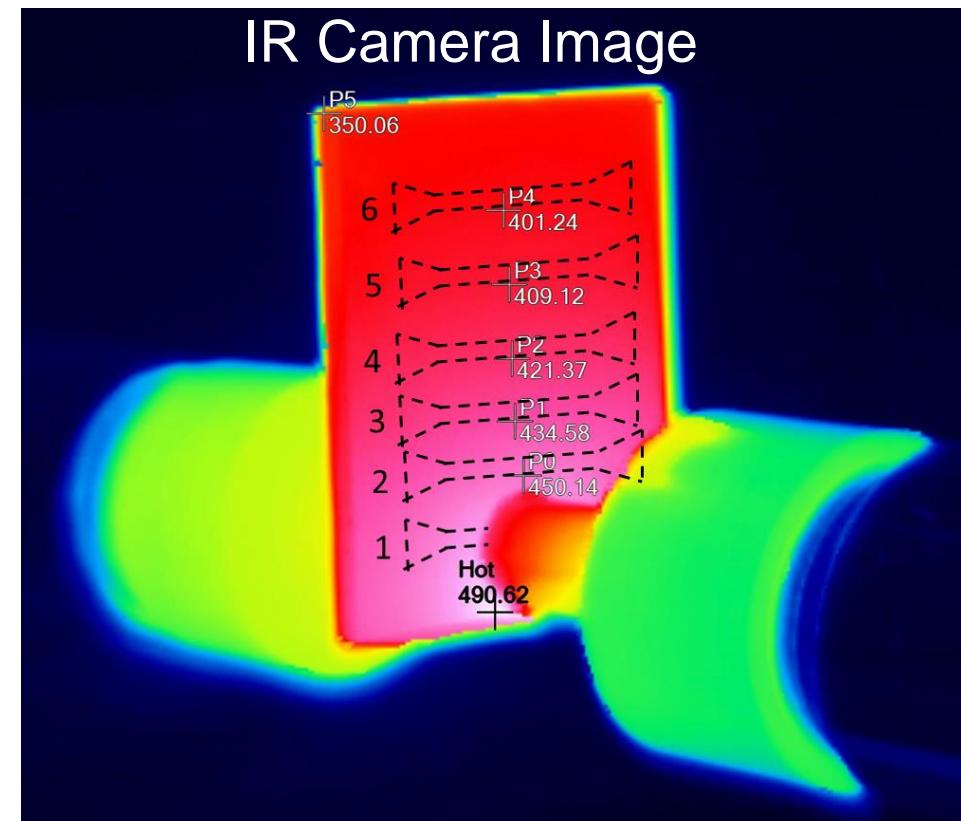
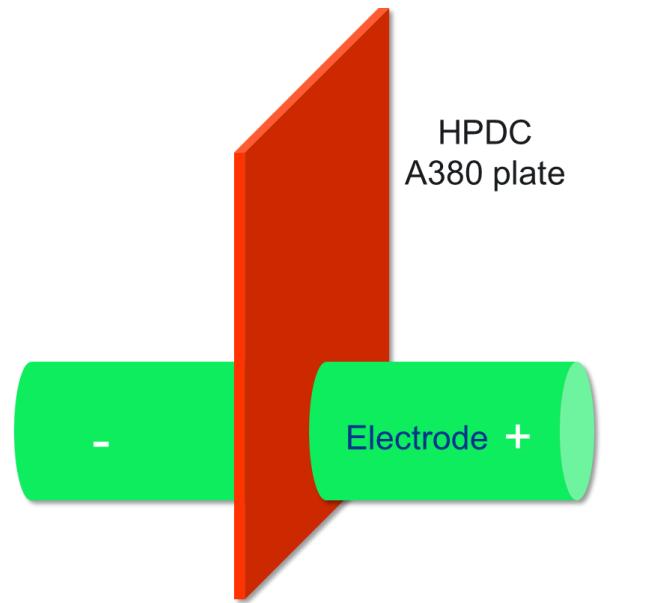


First reported (to our knowledge) in situ diffraction experiments to study ultrasonic refinement

Note: Innermost diffraction ring is from the fused quartz crucible.

# Task 2 Accomplishment

## Improvement in Mechanical Properties through Local HT



Two-minute heat treatment:

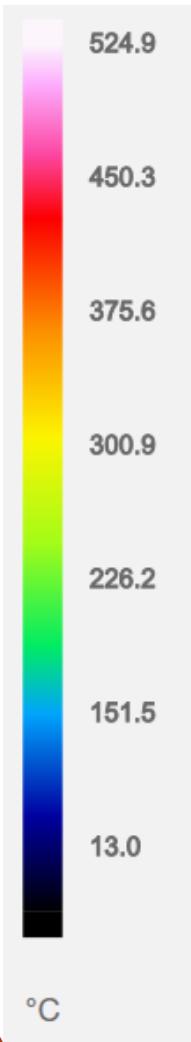
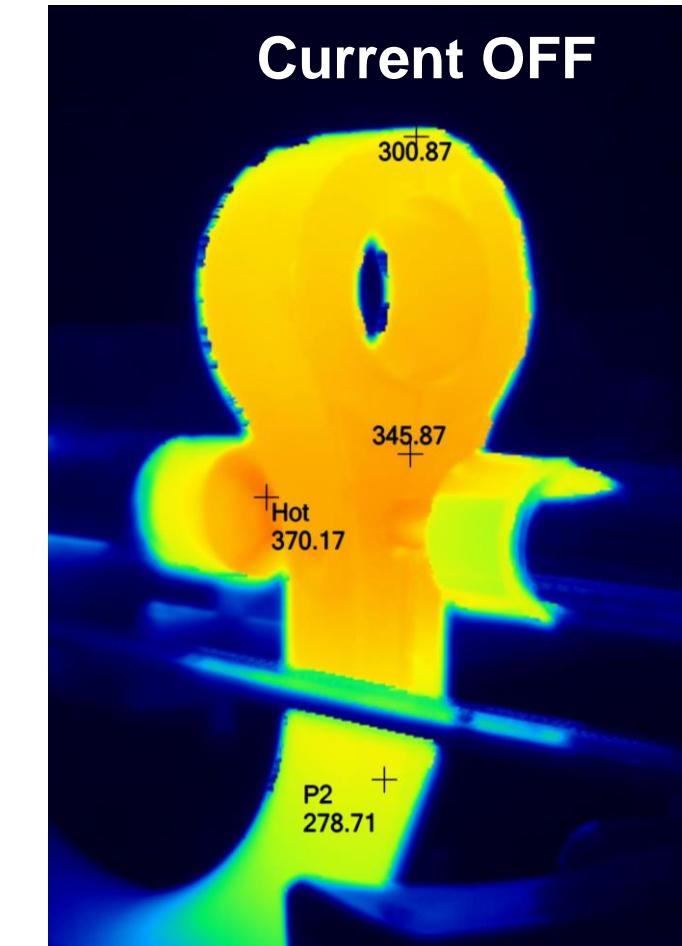
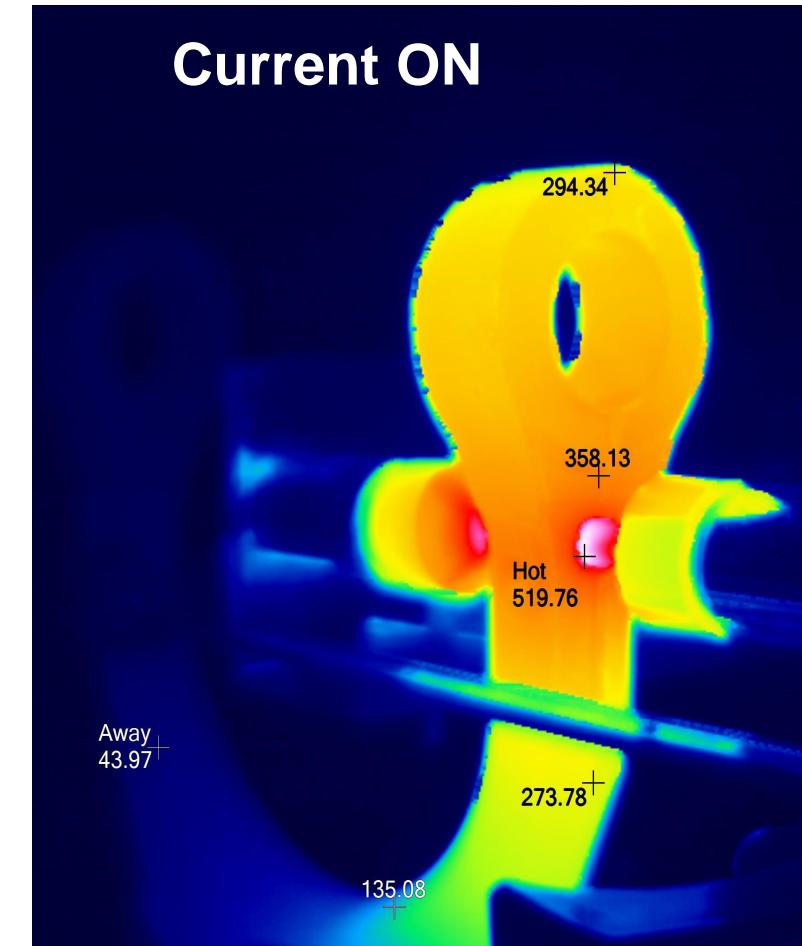
- 13% increase in strength
- Strengthening limited by blisters
- No significant ductility loss

Hardness:

- 15% increase in hardness
- Joule heating > Furnace

# Task 2 Accomplishment

## Demonstration of Local HT on a Large Casting



- Joule heating can be adapted for local solutionization (e.g., 520 °C in current work)
- Potentially applicable to “large” castings where bulk heat treatment is impractical



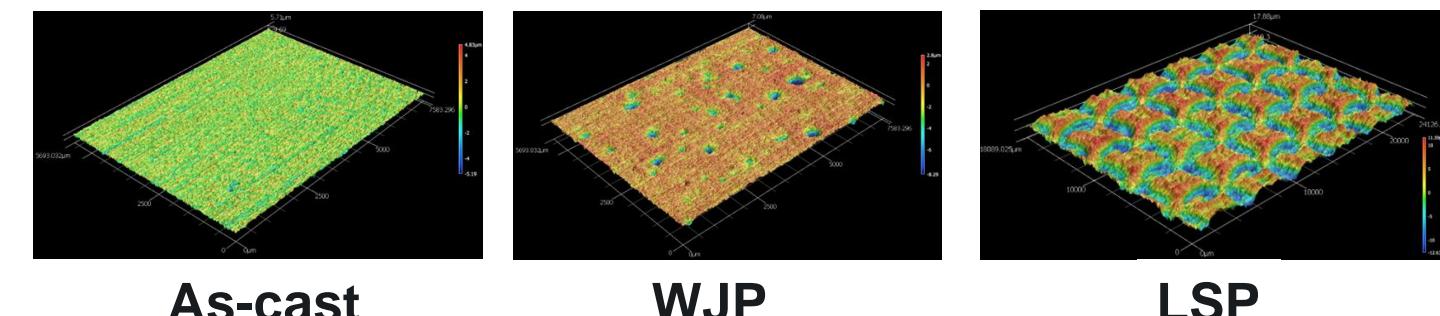
# Task 3 Accomplishment

## Local Surface Treatment (HPDC A380)

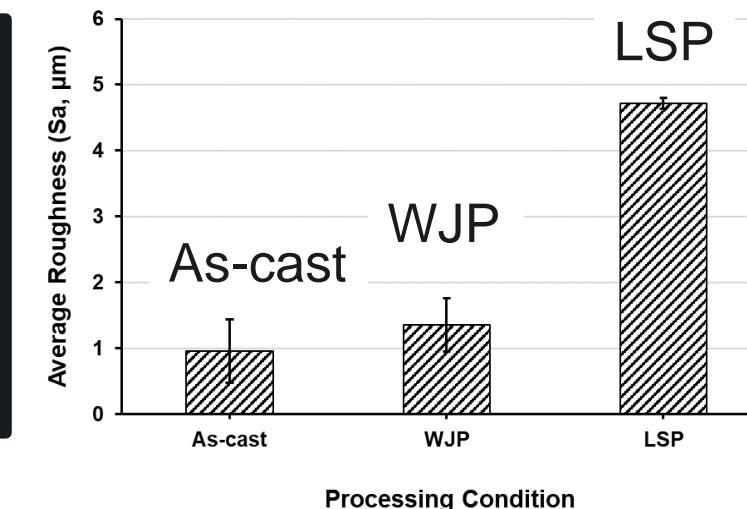
## Sugino Corp. (WJP)



- WJP and laser shock peening (LSP)
- 3.5 mm thick HPDC A380 Al plate



# LSP Technologies (LSP)



## Surface Roughness

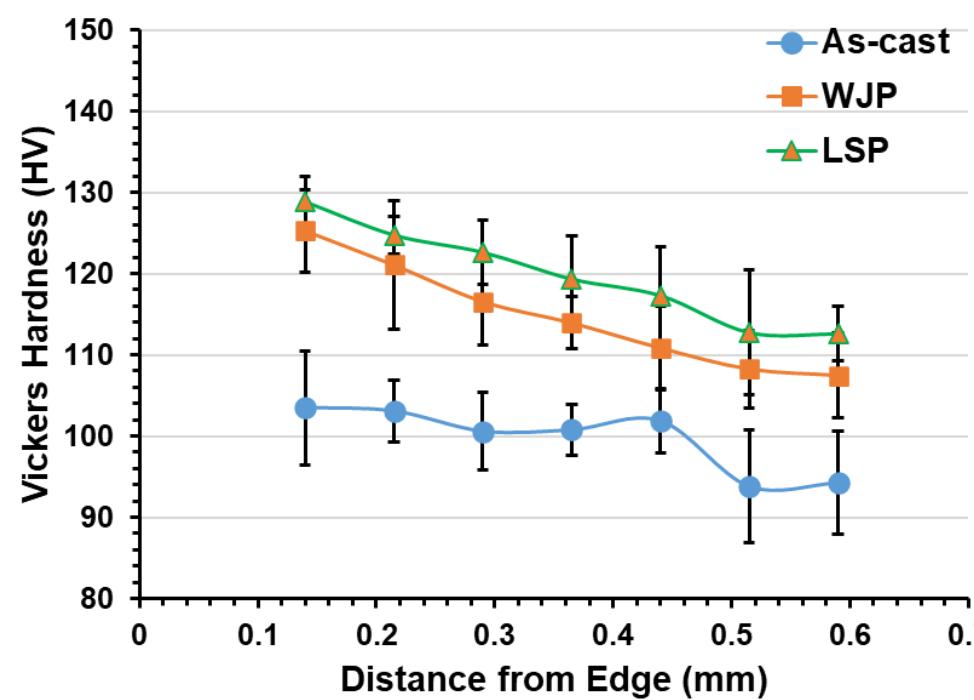
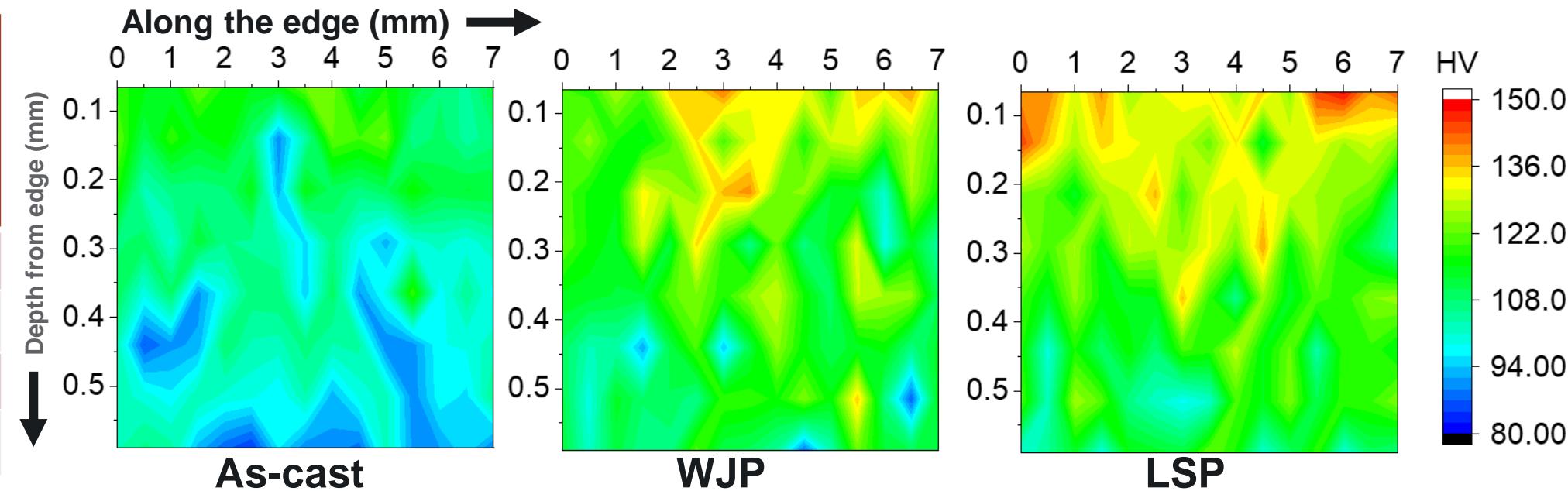
LSP > WJP > As-cast

- Can fatigue life improvement due to peening overcome roughness-induced crack nucleation?

# Task 3 Accomplishment

## Local Surface Treatment (HPDC A380)

Sample	Average Vickers Microhardness ( $\pm$ Std. Deviation)
	Edge
As-cast	<b><math>103.5 \pm 7.0</math></b>
WJP	<b><math>125.3 \pm 5.1</math></b>
LSP	<b><math>128.8 \pm 3.2</math></b>



- Distortion-free peening, even in “thin” HPDC plate
- Significant depth of hardness (residual stresses) increase  
→  $>0.6$  mm from each side ( $\sim 30\%$  of plate thickness)
- Subsurface hardness increase by  $\sim 20\%$  for WJP and  $\sim 25\%$  for LSP

# Response to Previous-Year Reviewers' Comments

## Accomplishments and progress

- "...results so far are impressive and...shown a significant change in the microstructure due to ultrasonic processing during casting."
- "...impressive progress in use of ultrasound to achieve microstructural refinement in cast alloys, as well as...brittle Fe-containing phases that could enable greater use of recycled materials."

*Response: Thank you! We've further extended our work and demonstrated microstructural refinement in preheated steel mold*

## Collaboration and coordination

- "...strong collaborations with ORNL and ANL....and APS...as well as PNNL for modeling and ultrasonics and microstructure analysis...collaborations with Eck Industries are viewed as important...."
- "...well informed by industry perspective"

*Response: Thank you! Project was designed to address lightweighting challenges, guided by industry and leveraging expertise in experimental and modeling techniques that are unique to the national labs*

## Relevance

- "...well-aligned...unique.."; "...aspect of secondary alloys shows great promise.."

*Response: Align research with VTO's and industry's desire for greater sustainability*

# Collaboration and Coordination

## Sugino Corp. and LSP Technologies

- TASK 3: Collaboration on developing peening (WJP and LSP) for Al alloy (HPDC A380)

## Eck Industries (Integration within Existing Casting Practice)

- TASKS 1 and 2: Discussions on mold design, casting practice, and challenges and opportunities of ultrasonication processing

## ORNL (Solidification Modeling)

- TASK 1: Collaborating with Adrian Sabau (ORNL) to model volume fraction of phases and progress of solidification during pour casting of the A356 alloys

## Argonne National Lab (Advanced Photon Source (APS) Beamlne at Argonne)

- TASK 1: Collaborating with APS/Argonne staff (Andrew Chuang, Jonova Thomas, Dileep Singh, and Peter Kenesei) and performed in situ diffraction (beamline 1-ID-E) and imaging (beamline 32-ID-B) experiments

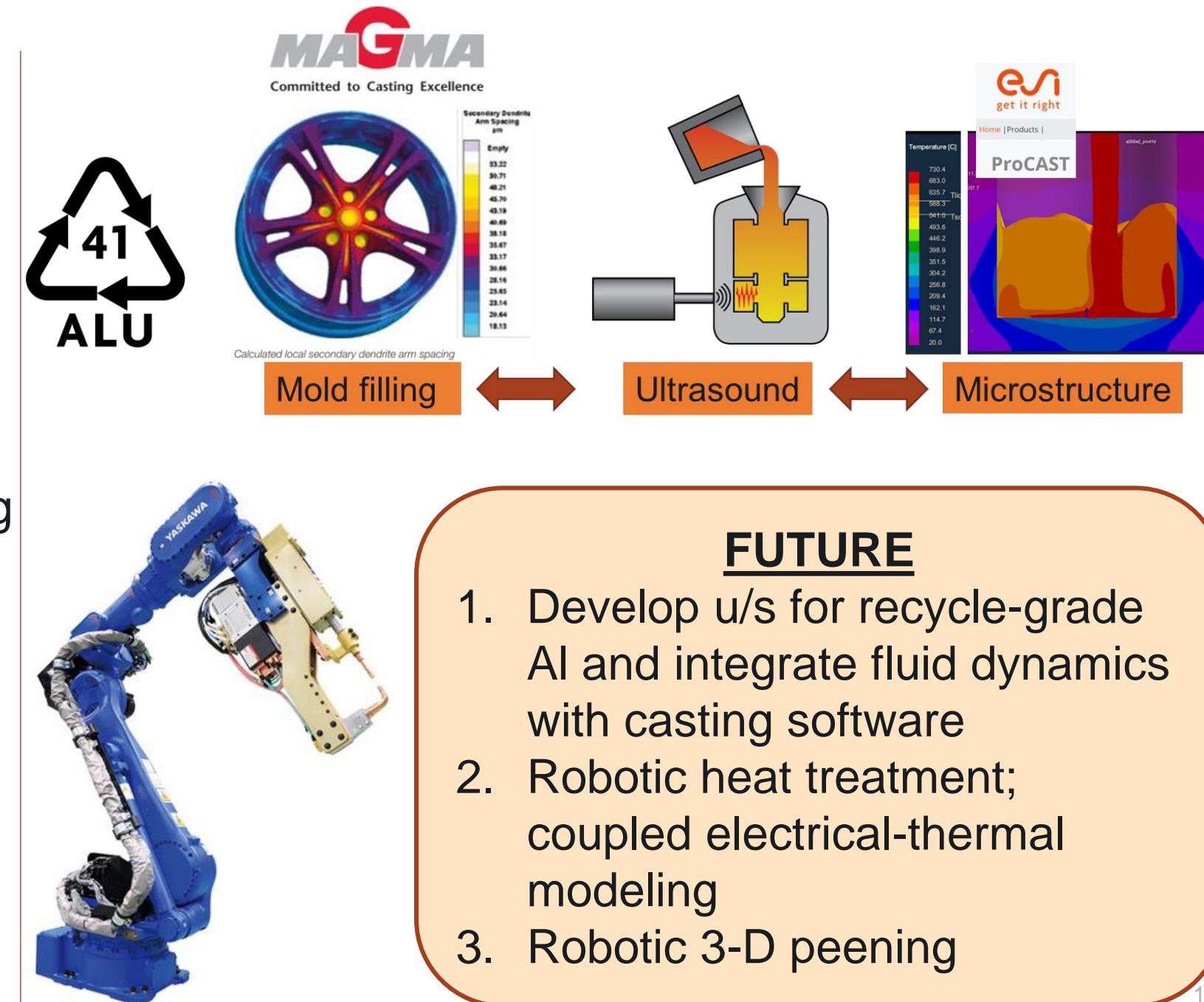
## PNNL

- TASK 1: Yucheng Fu and Naveen Karri (ultrasonics modeling)
- TASK 1: Kranthi Balasu and Ayoub Soulami (thermal modeling)
- TASK 3: Saumyadeep Jana and Avik Samanta (fatigue testing)

# Remaining Challenges and Barriers

## 1. Solidification Casting

- Low x-ray absorption contrast between the liquid and the solid Al phases → Difficult to visualize ultrasonic effects during solidification in in situ imaging experiments



## 2. Heat Treatment of HPDC Alloy

- Coupled electrical-thermal modeling is needed to predict thermal profile during Joule heating of arbitrary geometrical shapes of automotive components

## 3. Peening

- Peening processes need to be developed for thin-walled and 3-D-shaped castings → Avoid distortion

# Proposed Future Research

## Task 1: Local ultrasonic intensification during casting solidification

- Data analysis of in situ diffraction experiments
- Data analysis of in situ imaging experiments
- Model effect of u/s in liquid + solid state

## Task 3: Local surface-treatment intensification by WJP and LSP

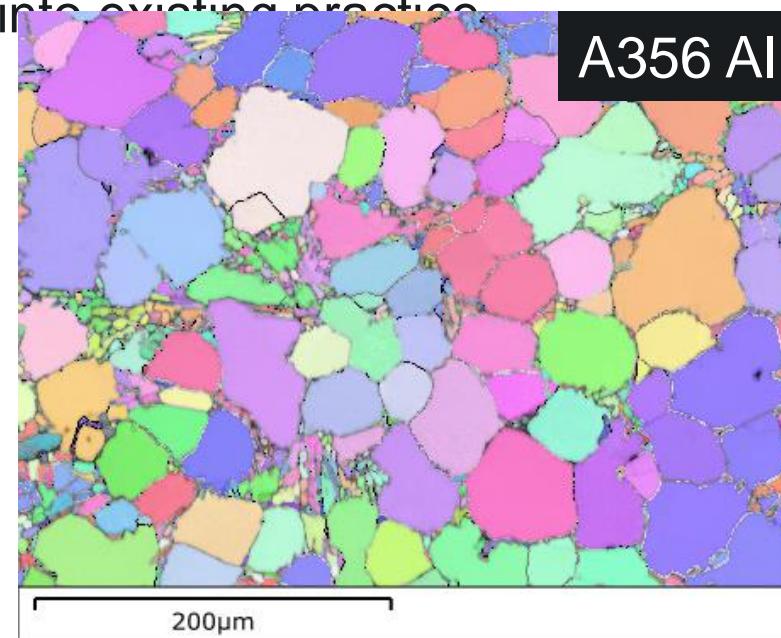
- Fatigue testing of peened cast Al (Target 2x improvement relative to unpeened Al)



# Summary

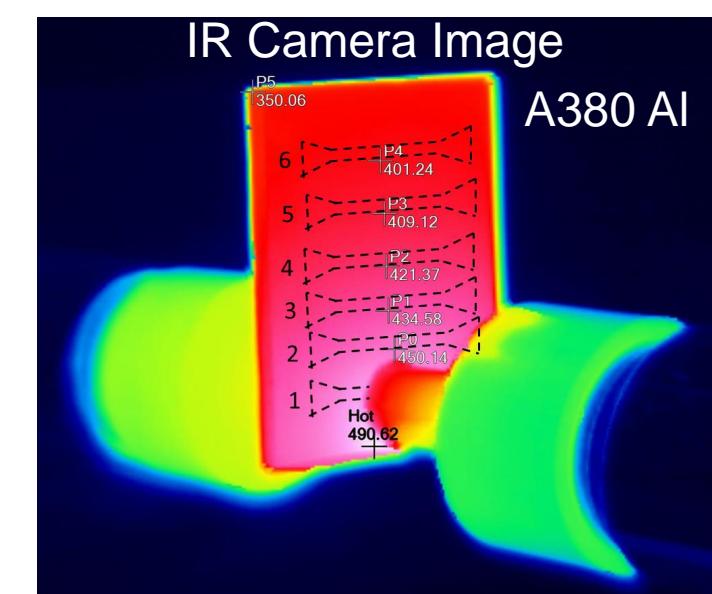
## Sustainable and cost-effective Al castings through U/S processing

- Refined as-cast microstructure can enable greater use of recycled Al and produce high-strength and ductility
- Refinement ability is equivalent or better relative to chills, HPDC, grain refiners, and mechanical processing, enabling cost-effective integration into existing practice



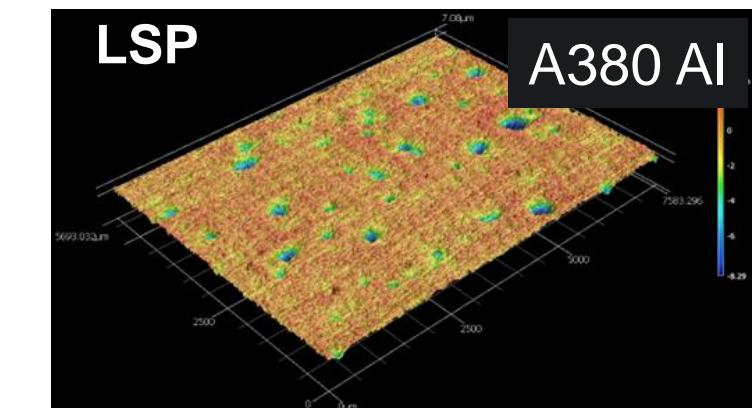
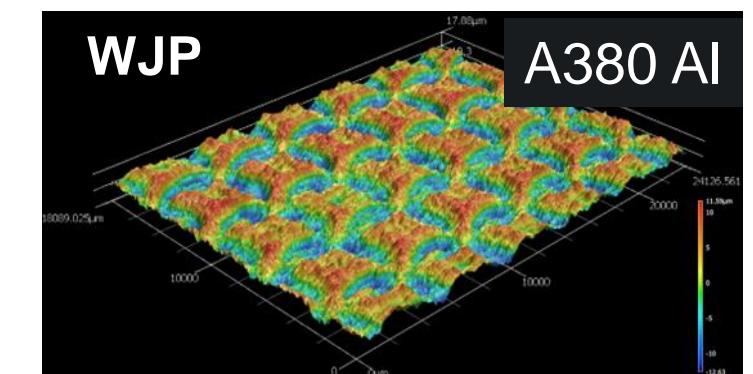
## Heat treatment of large Al castings using Joule heating

- Local strengthening is achievable without significant ductility loss or blistering



## Distortion-free peening of thin Al castings

- 20 to 25% increase in sub-surface hardness ( $\approx$  residual stresses)  $\rightarrow$  Increased fatigue life





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# Thank you





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# Technical Backup Slides



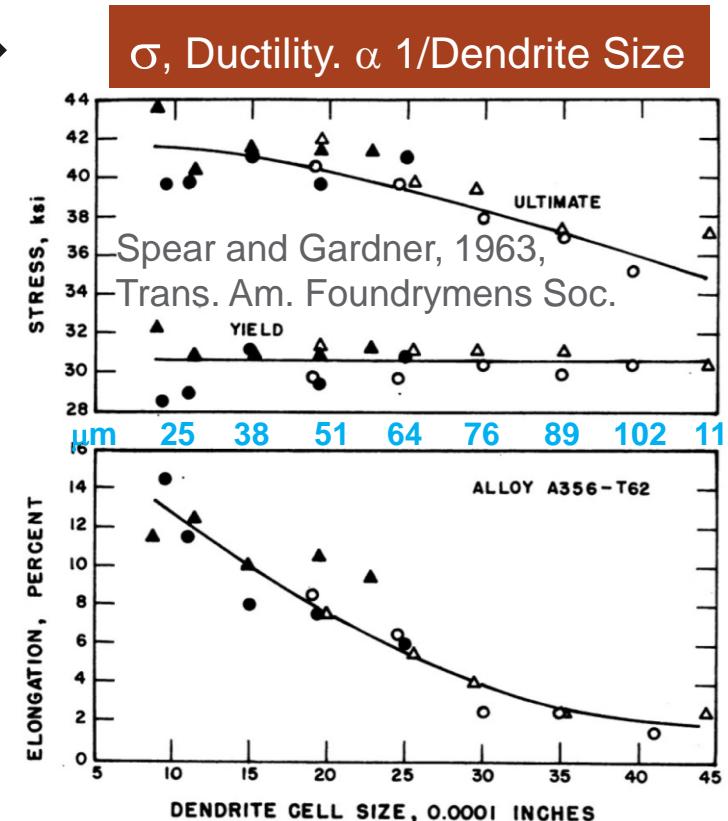
U.S. DEPARTMENT OF  
**ENERGY** **BATTELLE**

PNNL is operated by Battelle for the U.S. Department of Energy

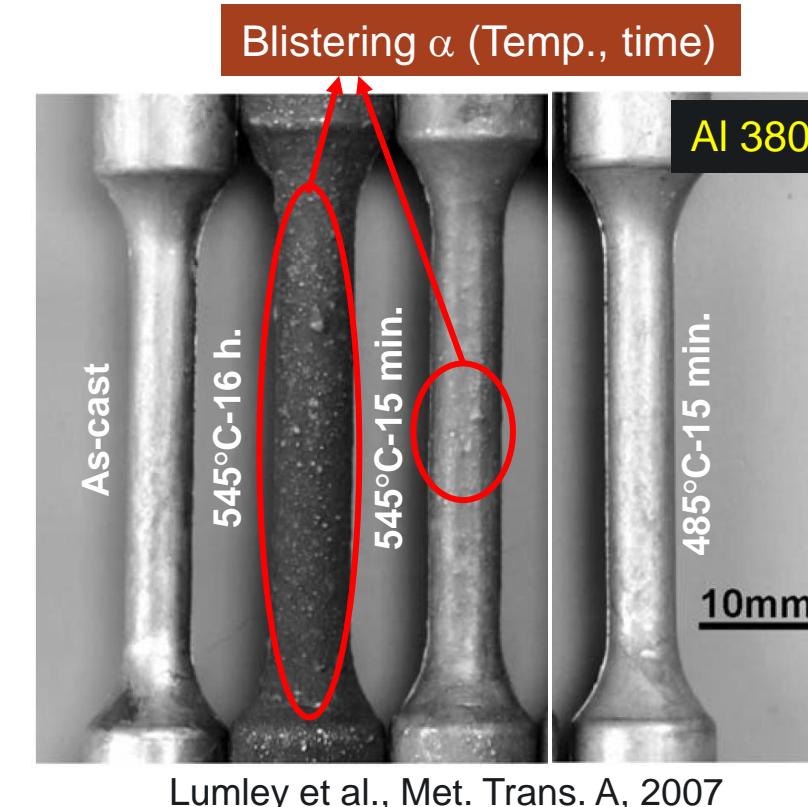
# Background/Problem Statement

- Castings → Coarse microstructure, porosity, intermetallics → Poorer mechanical properties vs. wrought

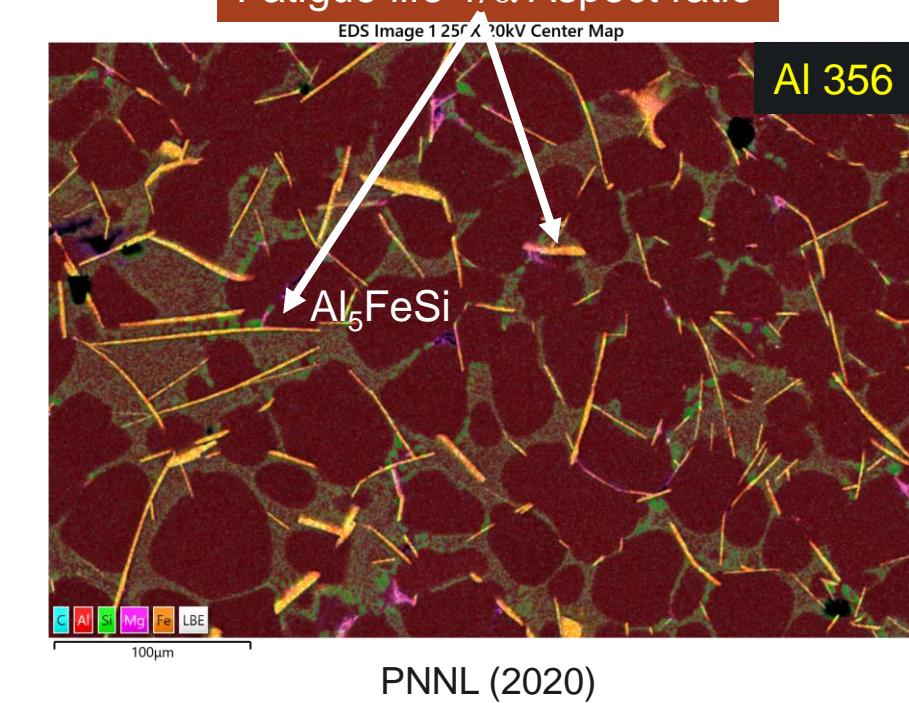
PERF.



Blistering  $\propto$  (Temp., time)



Fatigue life  $1/\alpha$  Aspect ratio



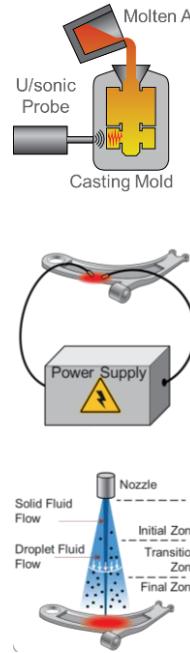
CURRENT  
PRACTICE

- Grain refiners (Al-Ti-B):  $\sim 100$ 's  $\mu\text{m}$
- Chills ( $\uparrow$  cooling rate): Practicality
- U/sonic in melt: Dendrites not broken

- Not heat treated → Lower strength (F or T5)
- Vacuum die-casting to reduce entrapped air (Expensive) → Furnace-heat treatable

- Shot peening: Access vs. casting geometry
- Primary alloys with low Fe%: Expensive
- Modifiers (Na, Sr):  $\text{H}_2$  pickup, porosity, lower fluidity

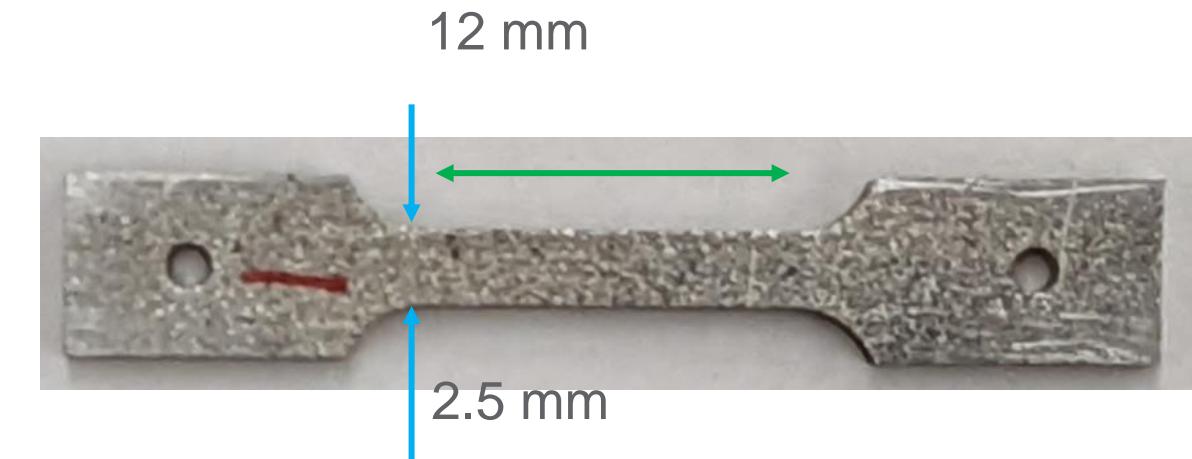
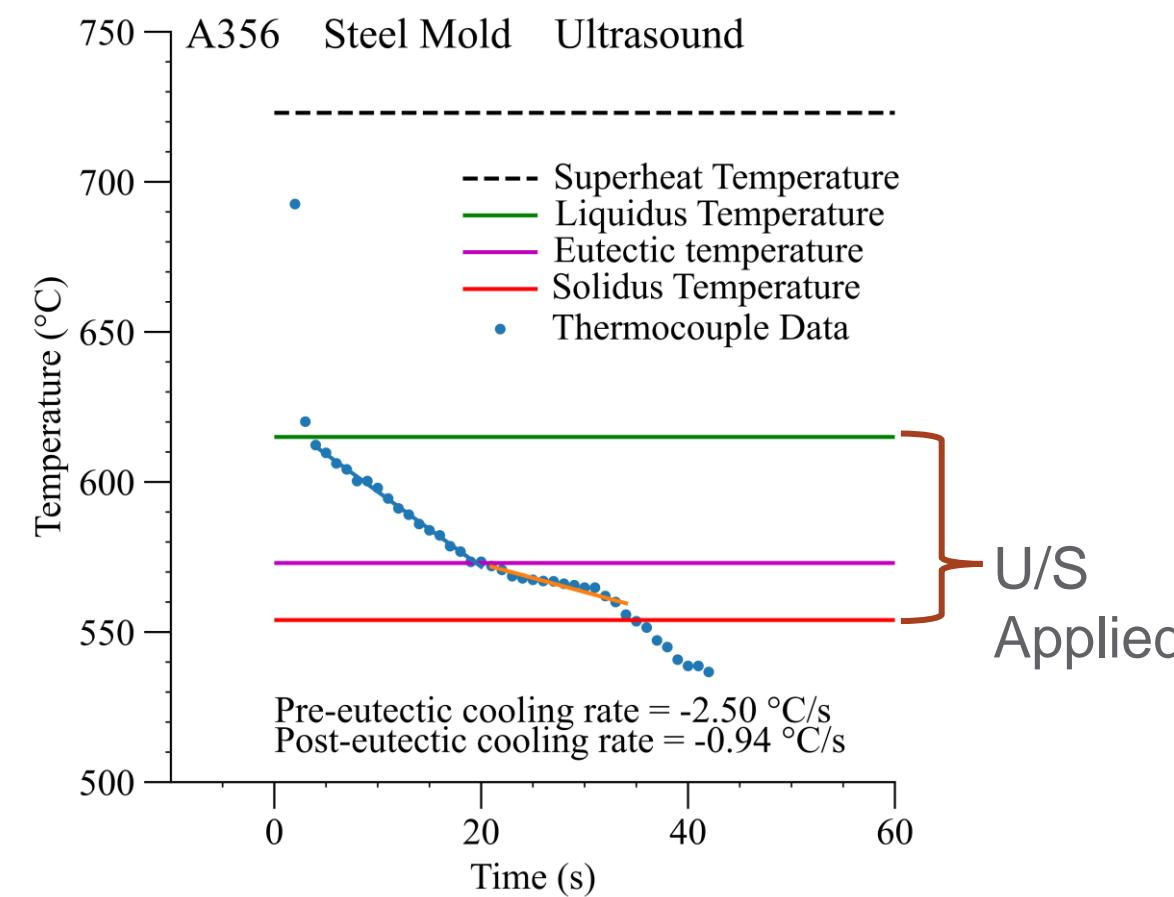
# Project Tasks



Task #	Task Name	FY21				FY22				FY23			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Task A1	Alloy Selection												
Task A2	Mold Design												
Task A3	Integrate Ultrasonic Probe in the Mold												
Task A4	Solidification With and Without Ultrasonication												
Task A5	Characterization (Microstructure and Mechanical Properties)												
Task B1	Alloy Selection												
Task B2	Casting Design												
Task B3	Joule Heating Setup Design												
Task B4	Joule Heating and Furnace Heating Runs												
Task B5	Characterization (Microstructure and Mechanical Properties)												
Task C1	Alloy Selection												
Task C2	Casting Design												
Task C3	Water Jet Peening Equipment/Process												
Task C4	Characterization (Microstructure and Mechanical Properties)												

# Task 1 Accomplishment

## Ultrasonic Vibrations During Casting in Preheated Steel Mold



Tensile specimen geometry extracted from the casting

# Solidification path, phases, and their amounts were calculated using the CompuTherm and Pandat database

Composition of each alloy in wt. %:

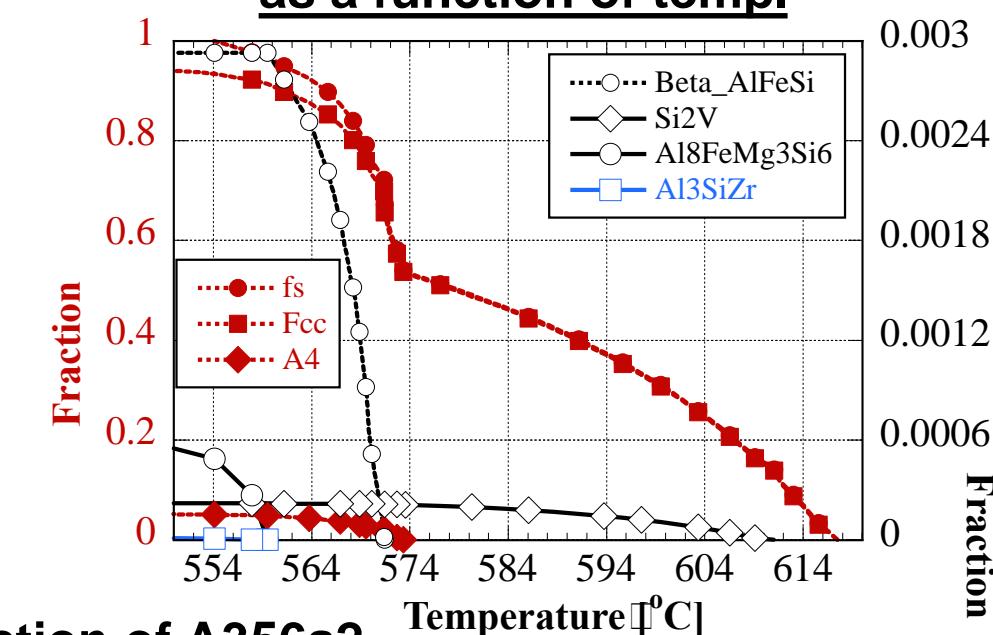
	Si	Cu	Fe	Mn	Mg	Ni	Ti	V	Zr
A356a1	6.72	0.0021	0.0867	0.002	0.418	0.0053	0.106	0.0169	0.0022
A356a2	6.78	0.0094	0.914	0.0092	0.354	-	0.112	0.0152	0.0013

Fraction of each phase expected in fully solid state:

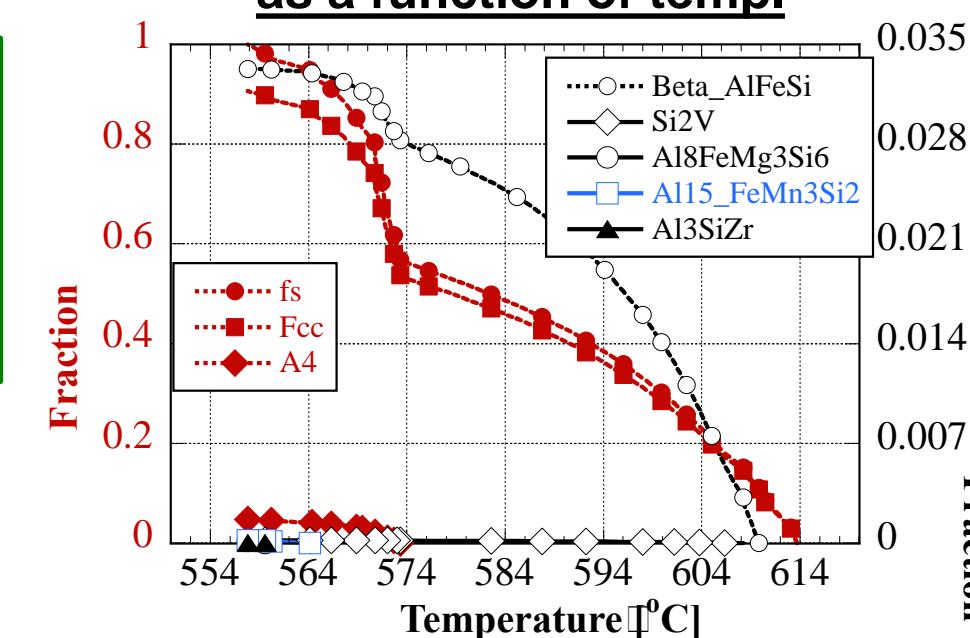
	Model	$\alpha$ -Al	Si (Diamond A4)	$\beta$ -(Al <sub>5</sub> FeSi)	Al <sub>8</sub> FeMg <sub>3</sub> Si <sub>6</sub>	Mg <sub>2</sub> Si
A356a1	**BD	94.16	5.195	0.293	0.056	-
	Scheil	93.78	5.233	0.269	0.112	0.322
A356a2	**BD	91.44	4.824	3.326	0.034	-
	Scheil	91.11	4.88	3.31	0.078	0.266

- Increasing Fe content from 0.09 wt.% (alloy A356a1) to 0.9 wt.% (alloy A356a2) increases fraction of  $\beta$  phase from 0.3% to 3%
- In alloy A356a2, the  $\beta$  phase begins to form at a higher temperature than in alloy A356a1 (~610 °C vs. ~571 °C)

## Phase fraction of A356a1 as a function of temp.



## Phase fraction of A356a2 as a function of temp.

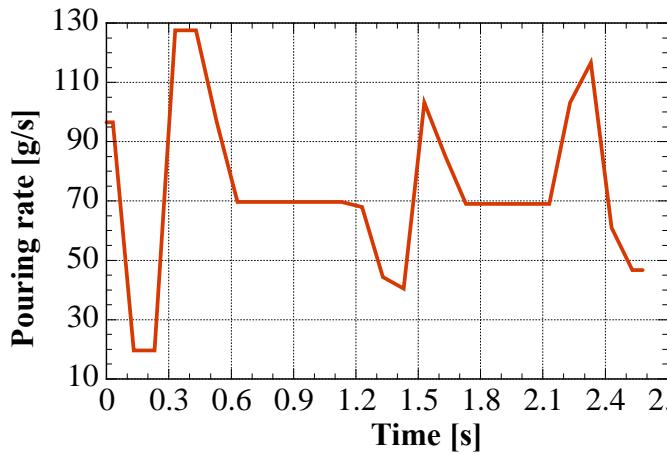


# All casting conditions were identified from data provided by PNNL and ORNL based on prior experience

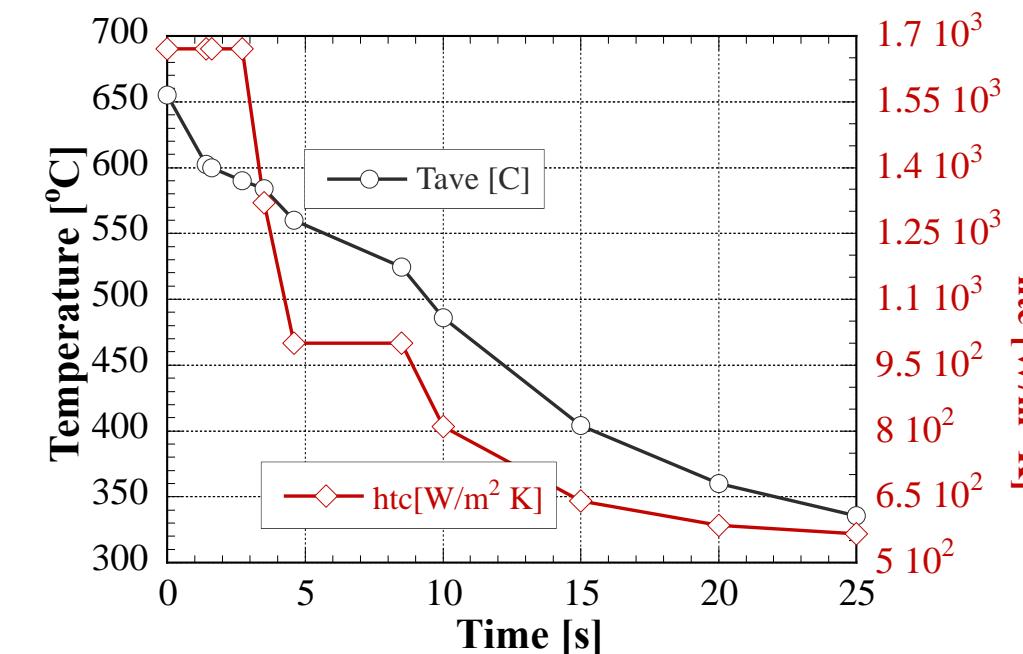


Mold-filling data (i.e., pouring area and flow rate) were obtained from experiments conducted at PNNL

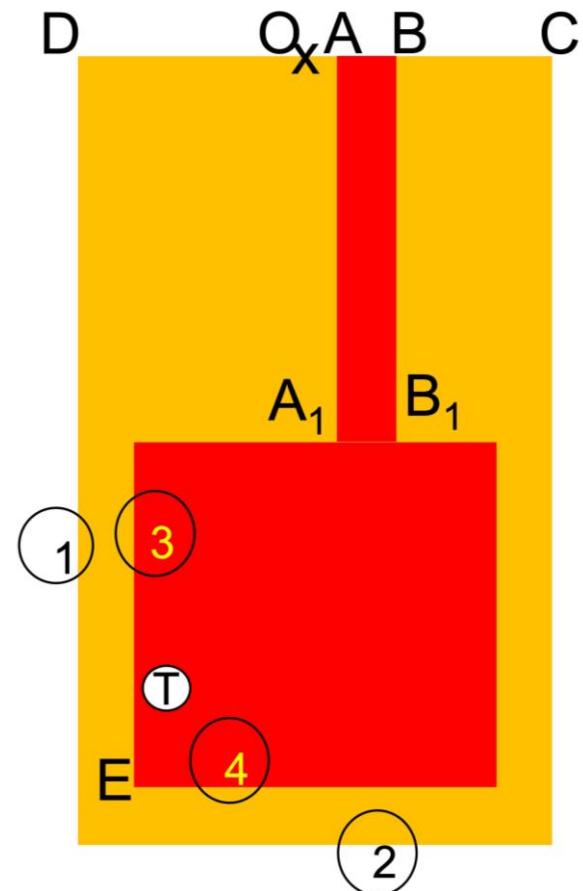
Pouring rate [g/s] obtained from experimental data:



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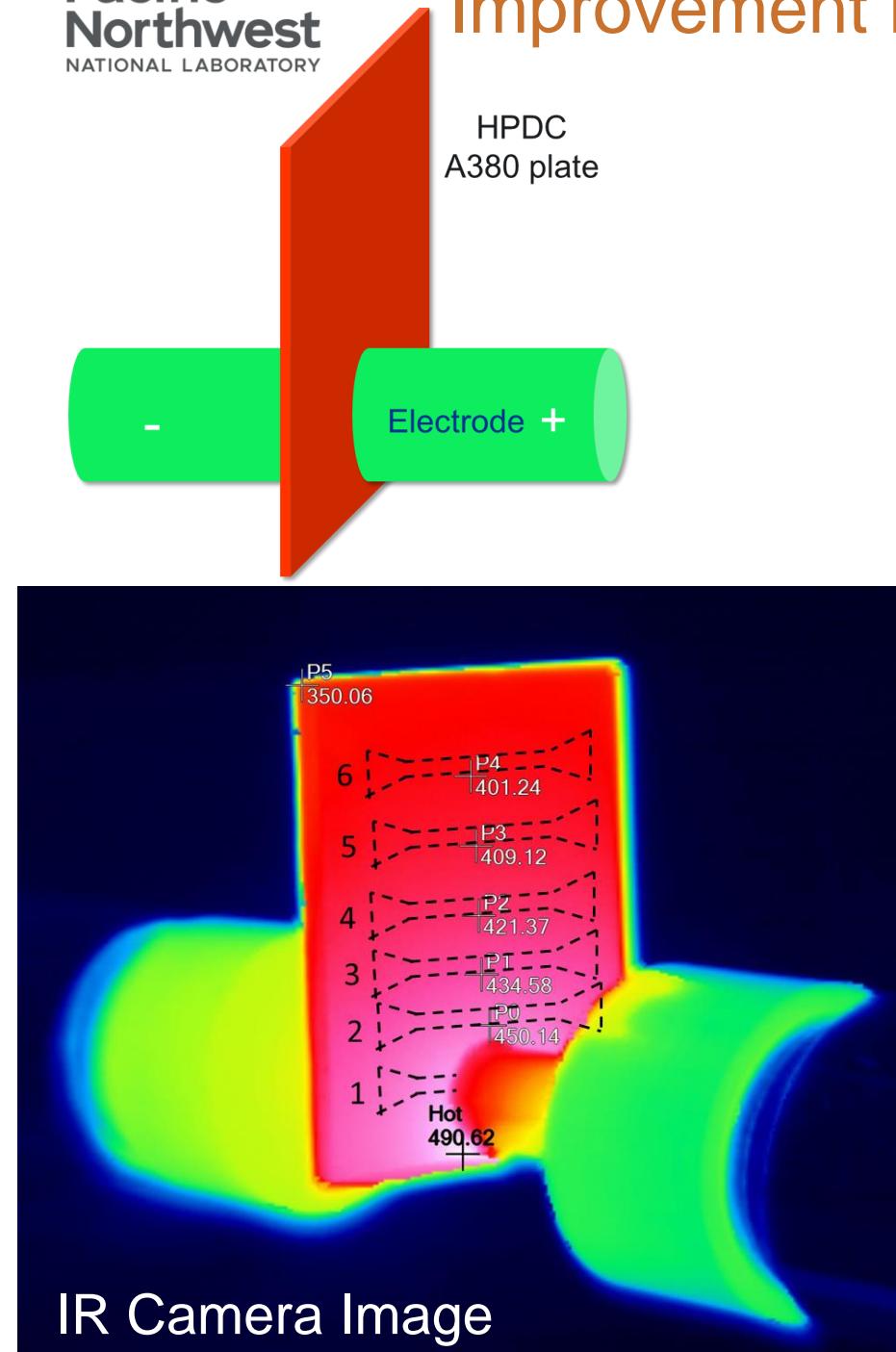


Data on HTC at metal-mold interface, as estimated from experimental measurements  
Sabau (2006)



# Task 2 Accomplishment

## Improvement in Mechanical Properties through Local HT

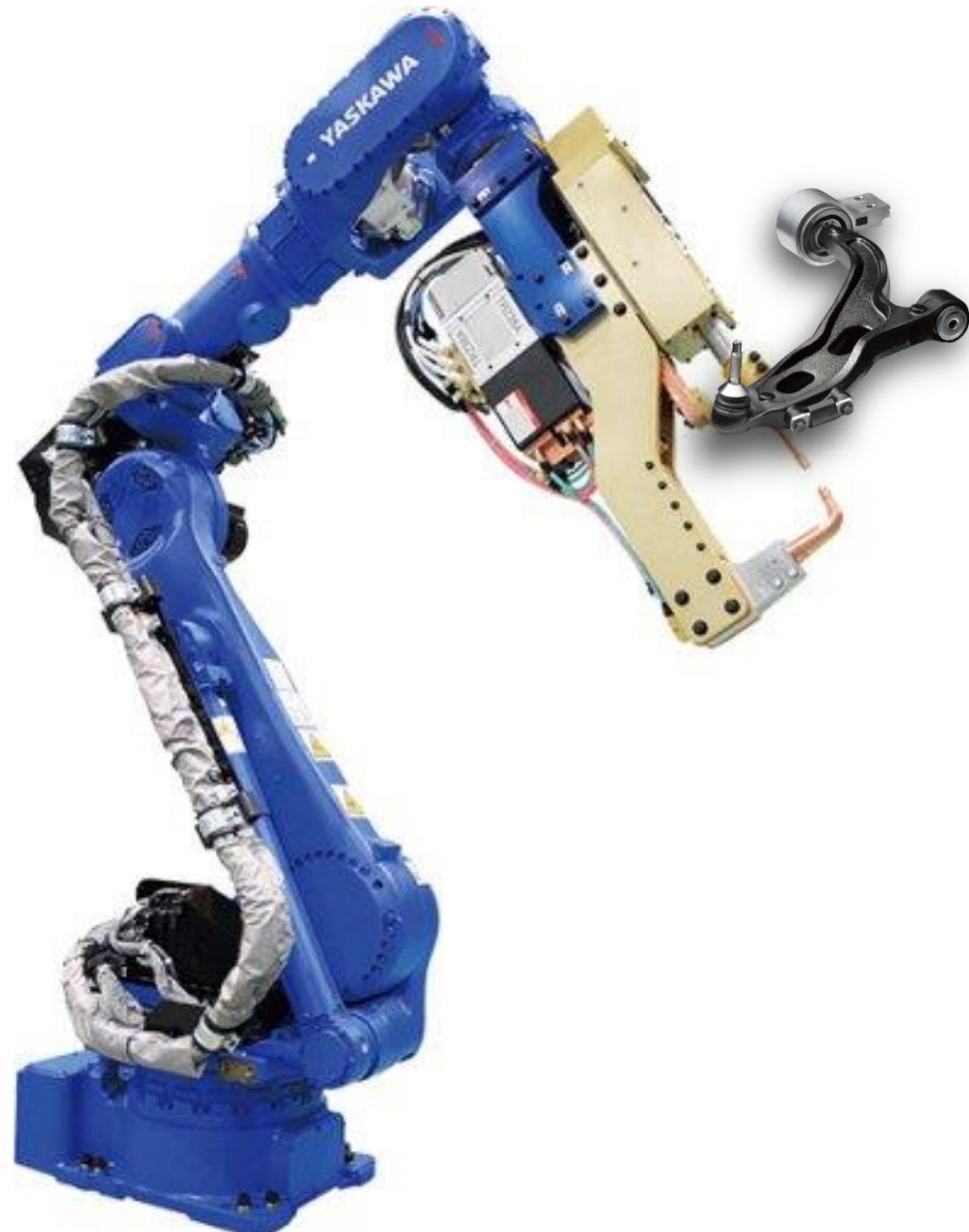


#Sample Location	*490 °C – 2 minutes Joule Heating			490 °C – 2 minutes Furnace Heating	As-Cast
	UTS	%el	Hardness		
1	308.0	1.76	68.5		$273.1 \pm 16.9$ MPa
2	260.8	1.01	67	Hardness:	$2.7 \pm 1.2 \%$
3	297.4	2.05	61		(avg. of 10 samples)
4	269.1	1.83	55	Hardness:	
5			51		
6	260.2	2.01	48		$59.5 \pm 1.7$ HRB

\*maximum temperature adjacent to the electrode. Samples aged at 155 °C for 18 hrs.

## Task 2

# Implementation of Local Joule Heating



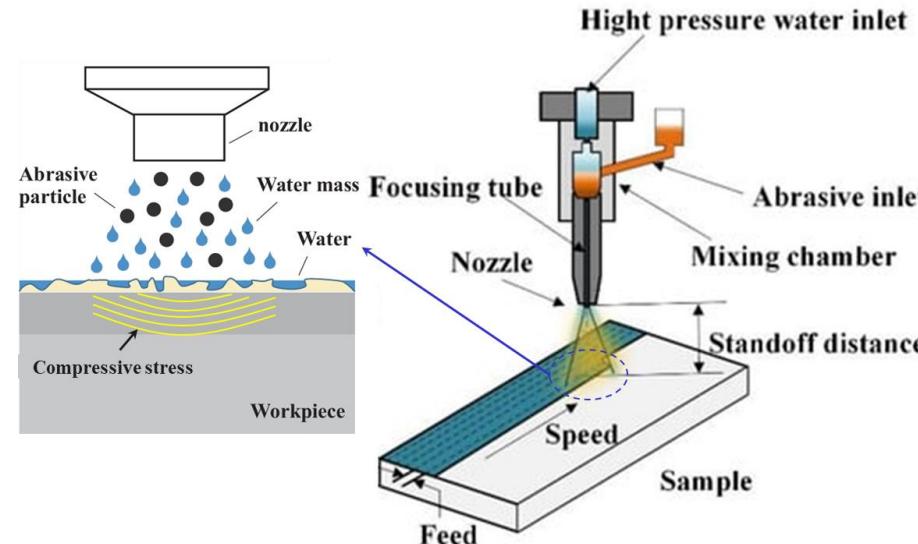
- Robotic aluminum spot welding is a commercial process in assembly lines
- Currents  $\sim 25$  kA can be regularly achieved in robotic spot welding by drawing 150 A per phase in 440 V line
- Much less current is needed for local heating,  $\sim 100$  A/mm<sup>2</sup>
- Robot can perform the heat treatment on parts while moving them from one location to another
- Possible heat treatments are: solutionizing, rapid-aging, or just softening

Source: Yaskawa America, Inc.

# Task 3 Accomplishment

## Local Surface Treatment (HPDC A380 Plate)

WJP(Sugino Corporation)



LSP (LSP Technologies)

