

**DOE-BATTELLE PRIME CONTRACT
FOR THE
MANAGEMENT & OPERATIONS
OF
PACIFIC NORTHWEST NATIONAL LABORATORY
DE-AC05-76RL01830**

TABLE OF CONTENTS

Section	Clause	Title
A		Amendment of Solicitation/Modification of Contract, Standard Form 30
B		Part I – The Schedule, Section B, Supplies or Services and Prices/Costs
	B – 1	Designation of Work and Facilities
	B – 2	Obligated Funds
	B – 3	Estimated Fee Base and Total Available Performance Fees
	B – 4	Payment of Provisional Performance Fee
C		Part I – The Schedule, Section C, Description/Specifications/Work Statement
	C – 1	Introduction
	C – 2	Statement of Work
	C – 2.1	General
	C – 2.2	Department of Energy Research and Development Mission
	C – 2.2.1	Science and Energy Mission Role
	C – 2.2.2	National Security Mission Role
	C – 2.2.3	Environmental Management Mission Role

Section	Clause	Title
	C – 2.3	FFRDC Research and Development Mission
	C – 2.3.1	Strategic Partnerships Program (SPP)
	C – 2.3.2	Cooperative Research and Development Agreements (CRADAs)
	C – 2.3.3	Agreements to Commercialize Technology (ACT)
	C – 2.4	University, Research Institutions, Industry, and International Collaboration Efforts
	C – 2.4.1	Cooperation with Universities and Other Research Institutions
	C – 2.4.2	International Research Collaboration and Cooperation
	C – 2.4.3	Technology Transfer with Industry
	C – 2.5	PNNL Regional and Community Involvement
	C – 2.6	Operating Envelope
	C – 2.6.1	PNNL Work Locations and Expectations
	C – 2.6.2	Hazards/Risks
	C – 2.6.3	Security
	C – 2.6.4	Safety Management Strategy (SMS) for the Testing and Validation Area 2 (T&V2)
	C – 3	Performance Expectations, Objectives, and Measures
	C – 3.1	Core Expectations
	C – 3.1.1	General
	C – 3.1.2	Program Development and Mission Accomplishment Expectations
	C – 3.1.3	Operating Principles

Section	Clause	Title
	C – 2.3.3	Agreements to Commercialize Technology (ACT)
	C – 3.1.4	Laboratory Stewardship Expectations
	C – 3.1.5	Operational and Financial Management Expectations
	C – 3.1.6	Expectations for Program and Project Management for the Acquisition of Capital Assets
	C – 3.1.7	Sustainable Practices for the Institution
	C – 3.2	Performance Objectives and Measures
D		Part I – The Schedule, Section D, Packaging and Marking (Reserved)
E		Part I – The Schedule, Section E, Inspection and Acceptance
	E – 1	FAR 52.246–9 Inspection of Research and Development (Short Form) (Apr 1984)
F		Part I – The Schedule, Section F, Deliveries or Performance
	F – 1	Period of Performance
	F – 2	FAR 52.242-15 Stop-Work Order (Aug. 1989) Alternate I (Apr 1984)
	F – 3	Deliverables
	F – 4	Stop Work and Shutdown Authority
	F – 5	Principal Place of Performance
G		Part I – The Schedule, Section G, Contract Administration Data
	G – 1	Head of Contracting Activity (HCA), Contracting Officer (CO), and Contracting Officer's Representative (COR)
	G – 2	Contracting Officer's Representative(s) (COR)
	G – 3	DEAR 952.242–70 Technical Direction (DEC 2000)

Section	Clause	Title
	G – 4	Correspondence Procedure
	G – 5	Modification Authority
H		Part I – The Schedule, Section H, Special Contract Requirements
	H – 1	Pacific Northwest National Laboratory Land/Facilities
	H – 2	Source and Special Nuclear Materials
	H – 3	Workers’ Compensation
	H – 4	Contractor Acceptance of Notices of Violation or Alleged Violations, Fines, and Penalties
	H – 5	Allocation of Responsibilities for Contractor Environmental Compliance Activities
	H – 6	Other Intellectual Property Related Matters
	H – 7	Continued Improvement Initiative
	H – 8	Standards of Contractor Performance Evaluation
	H – 9	Care of Laboratory Animals
	H – 10	Protection of Human Subjects
	H – 11	Notice Regarding the Purchase of American–Made Equipment and Products – Sense of Congress (AL-2003-03)
	H – 12	Privacy Act Records
	H – 13	Administration of Subcontract
	H – 14	Contracts for Materials, Supplies, Articles, and Equipment Exceeding \$15,000
	H – 15	Service Contract Labor Standards
	H – 16	Cap on Liability (DOE-H-7015) (Sep 2017)

Section	Clause	Title
	H – 17	Performance Based Management and Oversight
	H – 18	Shared Services
	H – 19	Lobbying Restriction
	H – 20	Intellectual and Scientific Freedom
	H – 21	Advance Understandings on Allowable Costs
	H – 22	Payments for Domestic Extended Personnel Assignments
	H – 23	Electronic Subcontracting Reporting System (AL 2006-01)
	H – 24	Joint Global Climate Change Research Institute
	H – 25	Information Technology Acquisitions
	H – 26	Definition of Unusually Hazardous or Nuclear Risk for FAR Clause 52.250-1 Indemnification Under Public Law 85-804
	H – 27	Contractor Assurance System
	H – 28	Implementation of Section I Clauses
	H – 29	Agreements for Commercializing Technology
	H – 30	No Third-Party Beneficiaries
	H – 31	Employee Compensation: Pay and Benefits
	H – 32	Group Pension Plans
	H – 33	Group Savings Plans
	H – 34	Post Contract Responsibilities for Pension and Other Benefit Plans
	H – 35	Labor Relations

Section	Clause	Title
	H – 36	Key Positions
	H – 37	Conference Management (MAR 2023
	H – 38	Management and Operating Contractor (M&O) Subcontract Reporting (Sep 2015)
	H – 39	Risk Management and Insurance Programs
	H – 40	Defense and Indemnification of Employees
	H – 41	Additional Labor Requirements
	H – 42	EPACT Data Protection (DOE-H-7038) (APR 2022)
	H – 43	Contractor's Obligations Concerning U.S. Manufacturing Requirements of a Determination of Exceptional Circumstances (DEC) (DOE-H-7039) (APR 2022)
	H – 44	Real Property Asset Management
	H – 45	Foreign Engagements with DOE National Laboratories
	H – 46	Authorization of Activities Supporting the Institution – Agreement on Costs
	H – 47	Applied Technology Markings
	H – 48	Paid Leave under Section 3610 of the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) to Maintain Employees and Subcontractors in a Ready State
I		Part II – Contract Clauses, Section I, Contract Clauses
	I – 1	FAR 52.202-1 Definitions (Jun 2020) (As Modified by DEAR 952.201 2) (Feb 2011)
	I – 2	FAR 52.203-3 Gratuities (Apr 1984)
	I – 3	FAR 52.203-5 Covenant Against Contingent Fees (May 2014)
	I – 4	FAR 52.203-6 Restrictions on Subcontractor Sales to the Government ((June 2020)

Section	Clause	Title
	I – 5	FAR 52.203-7 Anti-Kickback Procedures (Jun 2020)
	I – 6	FAR 52.203-8 Cancellation, Rescission, and Recovery of Funds for Illegal or Improper Activity (May 2014)
	I – 7	FAR 52.203-10 Price or Fee Adjustment for Illegal or Improper Activity (May 2014)
	I – 8	FAR 52.203-12 Limitation on Payments to Influence Certain Federal Transactions (Jun 2020)
	I – 9	FAR 52.203-13 Contractor Code of Business Ethics and Conduct (Jun 2020)
	I – 10	FAR 52.203-14 Display of Hotline Poster(s) (Nov 2021)
	I – 11	FAR 52.203-16 Preventing Personal Conflicts of Interest (Jun 2020)
	I – 12	FAR 52.203-17 Contractor Employee Whistleblower Rights (Nov 2023)
	I – 13	FAR 52.203-19 Prohibition on Requiring Certain Internal Confidentiality Agreements or Statements (Jan 2017)
	I – 14	FAR 52.204-4 Printed or Copied Double-Sided on Postconsumer Fiber Content Paper (May 2011)
	I – 15	FAR 52.204-9 Personal Identity Verification of Contractor Personnel (Jan 2011)
	I – 16	FAR 52.204-10 Reporting Executive Compensation and First-Tier Subcontract Awards (June 2020)
	I – 17	FAR 52.204-13 System for Award Management Maintenance (Jul 2013)
	I – 18	FAR 52.204-21 Basic Safeguarding of Covered Contractor Information Systems (Nov 2021)
	I – 19	FAR 52.204-23 Prohibition on Contracting for Hardware, Software, and Services Developed or Provided by Kaspersky Lab Covered Entities (Dec 2023)
	I – 20	FAR 52.204-25 Prohibition on Contracting for Certain Telecommunications and Video Surveillance Services or Equipment (Aug 2020)
	I – 21	RESERVED
	I – 22	FAR 52.209-6 Protecting the Government’s Interest When Subcontracting with Contractors Debarred, Suspended, or Proposed for Debarment (Jun 2020)
	I – 23	FAR 52.209-9 Updates of Publicly Available Information Regarding Responsibility Matters (Oct 2018)

Section	Clause	Title
	I – 24	FAR 52.209-10 – Prohibition on Contracting with Inverted Domestic Corporations (Nov 2015)
	I – 25	FAR 52.210-1 Market Research (Nov 2021)
	I – 26	FAR 52.211-5 Material Requirements (Aug 2000)
	I – 27	FAR 52.215-8 Order of Precedence -- Uniform Contract Format (Oct 1997)
	I – 28	FAR 52.215-12 Subcontractor Certified Cost or Pricing Data (Jun 2020)
	I – 29	FAR 52.215-13 Subcontractor Certified Cost or Pricing Data – Modifications (Jun 2020)
	I – 30	FAR 52.215-4 – Integrity of Unit Prices (Jun 2020)
	I – 31	FAR 52.215-15 Pension Adjustments and Asset Reversions (Oct 2010)
	I – 32	FAR 52.215-17 Waiver of Facilities Capital Cost of Money (Oct 1997)
	I – 33	FAR 52.215-23 – Limitations on Pass-Through Charges (Jun 2020)
	I – 34	FAR 52.219-4 - Notice of Price Preference Evaluation for HUBZone Small Business Concerns (Oct 2022)
	I – 35	FAR 52.219-8 Utilization of Small Business Concerns (Feb 2024)
	I – 36	FAR 52.219-9 Small Business Subcontracting Plan (Sep 2023)
	I – 37	FAR 52.219-16 Liquidated Damages Subcontracting Plan (Sep 2021)
	I – 38	FAR 52.219-28 Post-Award Small Business Program Representation (Feb 2024)
	I – 39	FAR 52.222-1 Notice to the Government of Labor Disputes (Feb 1997)
	I – 40	FAR 52.222-3 Convict Labor (June 2003)
	I – 41	FAR 52.222-4 Contract Work Hours and Safety Standards Act – Overtime Compensation (May 2018)
	I – 42	FAR 52.222-11 Subcontracts (Labor Standards) (May 2014)

Section	Clause	Title
	I – 43	FAR 52.222-19 Child Labor-Cooperation with Authorities and Remedies (Feb 2024)
	I – 44	FAR 52.222-21 Prohibition of Segregated Facilities (Apr 2015)
	I – 45	FAR 52.222-26 Equal Opportunity (Sept 2016)
	I – 46	FAR 52.222-29 Notification of Visa Denial (Apr 2015)
	I – 47	FAR 52.222-35 Equal Opportunity for Veterans (Jun 2020)
	I – 48	FAR 52.222-36 Equal Opportunity for Workers with Disabilities (Jun 2020)
	I – 49	FAR 52.222-37 Employment Reports on Veterans (Jun 2020)
	I – 50	FAR 52.222-40 Notification of Employee Rights Under the National Labor Relations Act (Dec 2010)
	I – 51	FAR 52.222-50 Combating Trafficking in Persons (Nov 2021)
	I – 52	FAR 52.222-54 Employment Eligible Verification (Nov 2021)
	I – 53	FAR 52.223-2 -- Reporting of Biobased Products Under Service and Construction Contracts (May 2024)
	I – 54	FAR 52.223-3 Hazardous Material Identification and Material Safety Data (Feb 2021) Alternate I (Jul 1995)
	I – 55	FAR 52.223-5 Pollution Prevention and Right-to-Know Information (May 2024)
	I – 56	FAR 52.223-9 Estimate of Percentage of Recovered Material Content for EPA Designated Items (May 2008)
	I – 57	FAR 52.223-10 Waste Reduction Program (May 2024)
	I – 58	FAR 52.223-11 -- Ozone-Depleting Substances and High Global Warming Potential Hydrofluorocarbons (May 2024)
	I – 59	FAR 52.223-12 Maintenance, Service, Repair or Disposal of Refrigeration Equipment and Air Conditioners (May 2024)
	I – 60	FAR 52.223-13 – Acquisition of EPEAT® - Registered Imaging Equipment (Jun 2014) Alternate I (Oct 2015)

Section	Clause	Title
	I-61	FAR 52.223-14 – Acquisition of EPEAT® -Registered Televisions (Jun 2014) Alternate I (Jun 2014)
	I – 62	FAR 52.223-15 – Energy Efficiency in Energy-Consuming Products (May 2020)
	I – 63	FAR 52.223-16 – Acquisition of EPEAT®-Registered Personal Computer Products (Oct 2015) Alternate I (Jun 2014)
	I – 64	FAR 52.223-17 Affirmative Procurement of EPA-Designated Items in Service and Construction Contracts (Aug 2018)
	I – 65	FAR 52.223-18 – Encouraging Contractor Policies to Ban Text Messaging While Driving (Jun 2020)
	I – 66	FAR 52.223-19 -- Compliance with Environmental Management Systems (May 2011))
	I – 67	FAR 52.223-20 Aerosols (May 2024)
	I – 68	FAR 52.223-21 Foams (May 2024)
	I – 69	FAR 52.223-99 Ensuring Adequate COVID-19 Safety Protocols for Federal Contractors (OCT 2021) (DEVIATION)
	I – 70	FAR 52.224-1 Privacy Act Notification (Apr 1984)
	I – 71	FAR 52.224-2 Privacy Act (Apr 1984)
	I – 72	FAR 52.224-3 Privacy Training (Jan 2017)
	I – 73	FAR 52.225-1 Buy American - Supplies (Oct 2022) - As Modified by Dear 970.2570 (Nov 2010)
	I – 74	FAR 52.225-8 -- Duty-Free Entry (Oct 2010)
	I – 75	FAR 52.225-9 Buy American– Construction Materials (Oct 2022)
	I – 76	FAR 52.225-13 Restriction on Certain Foreign Purchases (Feb 2021)
	I – 77	FAR 52.226-1 Utilization of Indian Organizations and Indian-Owned Economic Enterprises (Jun 2000)
	I – 78	FAR 52.227-10 Filing of Patent Applications-Classified Subject Matter (Dec 2007)
	I – 79	FAR 52.227-23 Rights to Proposal Data (Technical) (Jun 1987)

Section	Clause	Title
	I – 80	FAR 52.229-8 Taxes -- Foreign Cost-Reimbursement Contracts (Mar 1990)
	I – 81	FAR 52.230-2 – Cost Accounting Standards (Jun 2020)
	I – 82	FAR 52.230-6 Administration of Cost Accounting Standards (Jun 2010)
	I – 83	FAR 52.232-17 Interest (May 2014)
	I – 84	FAR 52.232-24 Prohibition of Assignment of Claims (May 2014)
	I – 85	FAR 52.232-39 Unenforceability of Unauthorized Obligations (Jun 2013)
	I – 86	FAR 52.232-40 – Providing Accelerated Payments to Small Business Subcontractors (Mar 2023)
	I – 87	FAR 52.233-1 Disputes (May 2014) Alternate I (Dec 1991)
	I – 88	FAR 52.233-3 Protest After Award (Aug 1996) Alternate I (Jun 1985)
	I – 89	FAR 52.233-4 Applicable Law for Breach of Contract Claim (OCT 2004)
	I – 90	FAR 52.236-8 Other Contracts (Apr 1984)
	I – 91	FAR 52.237-3 Continuity of Services (Jan 1991)
	I – 92	FAR 52.242-1 Notice of Intent to Disallow Costs (Apr 1984)
	I – 93	FAR 52.242-3 Penalties for Unallowable Costs (Sep 2021) (Deviation)(PF 2022-23)(Dec 2022)
	I – 94	FAR 52.242-4 Certification of Final Indirect Costs (Jan 1997)(Deviation)(PF 2022- 23)(OCT 2021)
	I – 95	FAR 52.242-5 Payments to Small Business Subcontractors (Jan 2017)
	I – 96	FAR 52.242-13 Bankruptcy (Jul 1995)
	I – 97	FAR 52.244-5 Competition in Subcontracting (Aug 2024)
	I – 98	FAR 52.244-6 Subcontracts for Commercial Products and Commercial Services (Feb 2024)

Section	Clause	Title
	I – 99	FAR 52.246-26 Reporting Nonconforming Items (Aug 2024)
	I – 100	FAR 52.247-1 Commercial Bill of Lading Notations (Feb 2006)
	I – 101	FAR 52.247-63 -- Preference for U.S.-Flag Air Carriers (Jun 2003)
	I – 102	FAR 52.247-64 Preference for Privately Owned U.S.-Flag Commercial Vessels (Feb 2006)
	I – 103	FAR 52.247-67 Submission of Transportation Documents for Audit (Feb 2006)
	I – 104	FAR 52.249-6 Termination (Cost-Reimbursement) (May 2004) (Modified by DEAR 970.4905-1 (Dec 2000)
	I – 105	FAR 52.249-14 Excusable Delays (Apr 1984)
	I – 106	FAR 52.250-1 Indemnification Under Public Law 85-804- Alternate I (Apr 1984)
	I – 107	FAR 52.251-1 Government Supply Sources (Apr 2012) (Deviation)
	I – 108	FAR 52.251-2 Interagency Fleet Management System Vehicles and Related Services (Jan 1991)
	I – 109	FAR 52.252-6 Authorized Deviations in Clauses (Nov 2020)
	I – 110	FAR 52.253-1 Computer Generated Forms (Jan 1991)
	I – 111	DEAR 952.203-70 Whistleblower Protection for Contractor Employees (Dec 2000)
	I – 112	DEAR 952.204-2 Security (Aug 2016)
	I – 113	DEAR 952.204-70 Classification/Declassification (Sep 1997)
	I – 114	DEAR 952.204-71 Sensitive Foreign Nations Controls (Mar 2011)
	I – 115	DEAR 952.204-75 Public Affairs (Dec 2000)
	I – 116	DEAR 952.204-77 Computer Security (Aug 2006)

Section	Clause	Title
	I – 117	DEAR 952.208-7 Tagging of Leased Vehicles (Apr 1984)
	I – 118	DEAR 952-209-72 – Organizational Conflicts of Interest (Aug 2009) Alternate 1 (Aug 2009)
	I – 119	DEAR 952.211-71 Priorities and Allocations (Atomic Energy) (Apr 2008)
	I – 120	DEAR 952.215-70 Key Personnel (Dec 2000)
	I – 121	DEAR 952.217-70 Acquisition of Real Property (Mar 2011)
	I – 122	DEAR 952.226-71 – Utilization of Energy Policy Act Target Entities (Jun 1996)
	I – 123	DEAR 952.226-74 Displaced Employee Hiring Preference (Jun 1997)
	I – 124	DEAR 952.235-71 Research Misconduct (Jul 2005)
	I – 125	DEAR 952.247-70 Foreign Travel (Jun 2010)
	I – 126	DEAR 952.250-70 Nuclear Hazards Indemnity Agreement (Oct 2005) (AL 2012-10)
	I – 127	DEAR 952.251-70 Contractor Employee Travel Discounts (Aug 2009)
	I – 128	DEAR 970.5203-1 Management Controls (Jun 2007) SC Alternate)(APR 2018)(Deviation)(PF 2022-23)(OCT 2021)
	I – 129	DEAR 970.5203-2 Performance Improvement and Collaboration (May 2006)
	I – 130	DEAR 970.5203-3 – Contractor's Organization (Dec 2000) (SC Alternate)
	I – 131	DEAR 970.5204-1 Counterintelligence (Dec 2010) (SC Alternate)
	I – 132	DEAR 970.5204-2 Laws, Regulations, and DOE Directives (Dec 2000)
	I – 133	DEAR 970.5204-3 Access to and Ownership of Records (Oct 2014) (DEVIATION)
	I – 134	DEAR 970.5208-1 Printing (Dec 2000)

Section	Clause	Title
	I – 135	DEAR 970.5211-1 Work Authorization (May 2007)
	I – 136	DEAR 970.5215-1 Total Available Fee: Base Fee Amount and Performance Fee Amount (Dec 2000) Alternate II (Dec 2000) Alternate IV (DEC 2000)
	I – 137	DEAR 970.5215-3 – Conditional Payment of Fee, Profit, and Other Incentives – Facility Management Contracts (Aug 2009) Alt II (Aug 2009)
	I – 138	DEAR 970.5216-7 Allowable Cost and Payment (OCT 2021)(Deviation) (PF 2022-23)(OCT 2021)
	I – 139	DEAR 970.5217-1 Strategic Partnership Projects Program (Non-Doe Funded Work) (Apr 2015)
	I – 140	DEAR 970.5222-1 Collective Bargaining Agreements Management and Operating Contracts (Dec 2000)
	I – 141	DEAR 970.5222-2 Overtime Management (Dec 2000)
	I – 142	DEAR 970.5223-1 Integration of Environment, Safety, and Health into Work Planning and Execution (Dec 2000)
	I – 143	DEAR 970.5223-4 – Workplace Substance Abuse Programs at DOE Sites (Dec 2010)
	I – 144	DEAR 970.5223-7 Sustainable Acquisition Program (Oct 2010)
	I – 145	DEAR 970.5225-1 Compliance with Export Control Laws and Regulations (Nov 1015)
	I – 146	DEAR 970.5226-1 Diversity Plan (Dec 2000)
	I – 147	DEAR 970.5226-2 Workforce Restructuring under Section 3161 of the National Defense Authorization Act for Fiscal Year 1993 (Dec 2000)
	I – 148	DEAR 970.5226-3 Community Commitment (Dec 2000)
	I – 149	DEAR 970.5227-2 – Rights in Data – Technology Transfer (Dec 2000) (Deviation)
	I – 150	DEAR 970.5227-3 – Technology Transfer Mission (AL 2022-01) (Jan 2022) (Deviation) (Aug 2002)
	I – 151	DEAR 970.5227-4 Authorization and Consent (Aug 2002) (AL-2021-04) (Deviation)

Section	Clause	Title
	I – 152	DEAR 970.5227-5 Notice and Assistance Regarding Patent and Copyright Infringement (Aug 2002) (AL 2021-04) (Deviation)
	I – 153	DEAR 970.5227-6 Patent Indemnity-Subcontracts (Dec 2000)
	I – 154	DEAR 970.5227-8 Refund of Royalties (Aug 2002)
	I – 155	DEAR 970.5227-10 Patent Rights-Management and Operating Contracts, Nonprofit Organization or Small Business Firm Contractor (DEC 2000) (Deviation)
	I-156	DEAR 970.5228-1 Insurance – Litigation and Claims (July 2013)
	I -157	DEAR 970.5229-1 State and Local Taxes (Dec 2000)
	I -158	DEAR 970.5231-4 Preexisting Conditions (Dec 2000) Alternate I (Dec 2000)
	I -159	DEAR 970.5232-1 Reduction or Suspension of Advance, Partial, or Progress Payments (Dec 2000)
	I -160	DEAR 970.5232-2 – Payments and Advances (Dec 2000) (Deviation)(PF 2022-23)(OCT 2021)Alternate II (PF 2022-05) (Nov 2021) Alternate III (Dec 2000)
	I -161	DEAR 970.5232-3 – Accounts, Records, and Inspection (Dec 2010) (Deviation)(PF 2022-23)(OCT 2021)
	I -162	DEAR 970.5232-4 Obligation of Funds (Dec 2000)
	I -163	DEAR 970.5232-5 Liability with Respect to Cost Accounting Standards (Dec 2000)
	I -164	DEAR 970.5232-6 Strategic Partnership Projects Funding Authorization (Apr 2015)
	I -165	DEAR 970.5232-7 Financial Management System (Dec 2000) (Deviation)(PF 2022-23)(OCT 2021)
	I -166	DEAR 970.5232-8 Integrated Accounting (Dec 2000) (Deviation)(PF 2022-23)(OCT 2021)
	I -167	DEAR 970.5235-1 – Federally Funded Research and Development Center Sponsoring Agreement (Dec 2010)
	I -168	DEAR 970.5236-1 Government Facility Subcontract Approval (Dec 2000)

Section	Clause	Title
	I -169	DEAR 970.5242-1 Penalties for Unallowable Costs (Aug 2009)
	I -170	DEAR 970.5243-1 Changes (Dec 2000)
	I -171	DEAR 970.5244-1 – Contractor’s Purchasing System (Aug 2016)(Deviation)(PF 2015-17)(MAR 2015)(Deviation)(PF 2022-23)(OCT 2021)
	I -172	DEAR 970.5245-1 Property (Aug 2016) Alternate I (Aug 2016)
J		Part III – List of Documents, Exhibits, and Other Attachments, Section J, List of Attachments
		Table of Contents
J – Appendix A		Part III – List of Documents, Exhibits, and Other Attachments, Section J, Appendix A, Advance Understanding on Human Resources Costs Applicable to the Operation of Pacific Northwest National Laboratory
	I.	Introduction
	II.	Compensation
	III.	Ancillary Pay Components
	IV	Reductions in Contractor Employment
	V	Payments on Termination of Employment
	VI	Settlement Costs
	VII	Labor Relations
	VIII	Programs Involving Employee Absence from the Workplace
	IX	Employee Training, Education and Development
	X	Employee Programs
	XI	Recruiting Personnel
	XII	Employee Benefits

Section	Clause	Title
J – Appendix B		Part III – List of Documents, Exhibits, and Other Attachments, Section J, Appendix B, Special Financial Institution Account(s) Agreement for Use with the Payments Cleared Financing Arrangement
	I.	Recitals
	II.	Covenants
	III.	U.S. Bank Treasury Management Terms and Conditions Supplement Electronic Deposit Services
	IV	Signatures
	V	Technical Representations and Certifications
	V1	Requirements Specification/Statement of Work –Financial Institution’s Information On Checks Paid Loc Financing Agreement for The Pacific Northwest National Laboratory
J – Appendix C		Part III – List of Documents, Exhibits, and Other Attachments, Section J, Appendix C, Subcontracting Plan for Socioeconomic Programs
	I.	Goals
	II.	Battelle Subcontracting Plan Administrator
	III.	Administration of Battelle’s Subcontracting Plan
	IV	Flow–Down Requirements to Battelle’s Subcontractors
	V	Periodic Reporting and Cooperating with DOE and SBA
	VI	Maintaining Records
J – Appendix D		Part III – List of Documents, Exhibits, and Other Attachments, Section J, Appendix D, List of Applicable DOE Directives and External Requirements
J – Appendix E		Part III – List of Documents, Exhibits, and Other Attachments, Section J, Appendix E, Standards of Performance–Based Fee, FY 2025 Battelle Performance Evaluation and Measurement Plan for Management and Operations of the Pacific Northwest National Laboratory

		Introduction
	I.	Determining the Contractor's Performance Rating, Performance-Based Fee and Award Term Eligibility
	II.	Performance Goals, Objectives & Notable Outcomes
	1.0.	Provide for Efficient and Effective Mission Accomplishment
	1.1	Provide Science and Technology Results with Meaningful Impact on the Field
	1.2	Provide Quality Leadership in Science and Technology that Advances Community Goals and DOE Mission Goals
	2.0	Provide for Efficient and Effective Design, Fabrication, Construction and Operations of Research Facilities
	2.1	Provide Effective Facility Design(s) as Required to Support Laboratory Programs (i.e., activities leading up to CD-2)
	2.2	Provide for the Effective and Efficient Construction of Facilities and/or Fabrication of Components (execution phase, post CD-2 to CD-4)
	2.3	Provide Efficient and Effective Operation of Facilities
	2.4	Utilization of Facility(ies) to Provide Impactful S&T Results and Benefits to External User Communities
	3.0	Provide Effective and Efficient Science and Technology Program Management
	3.1	Provide Effective and Efficient Strategic Planning and Stewardship of Scientific Capabilities and Program Vision
	3.2	Provide Effective and Efficient Science and Technology Project/Program/Facilities Management
	3.3	Provide Efficient and Effective Communications and Responsiveness to Headquarters Needs
	Attachment 1	Program Office Goal & Objective Weightings Office of Science
	4.0	Provide Sound and Competent Leadership and Stewardship of the Laboratory
	4.1	Leadership and Stewardship of the Laboratory
	4.2	Management and Operation of the Laboratory
	4.3	Advancing Laboratory Diversity, Equity, Inclusion and Accessibility

	4.4	Leadership of External Engagements and Partnerships
	4.5	Contractor Value-added
	5.0	Sustain Excellence and Enhance Effectiveness of Integrated Safety, Health, and Environmental Protection
	5.1	Provide an Efficient and Effective Worker Health and Safety Program
	5.2	Provide Efficient and Effective Environmental Management System
	6.0	Deliver Efficient, Effective, and Responsive Business Systems and Resources that Enable the Successful Achievement of the Laboratory Mission(s)
	6.1	Provide an Efficient, Effective, and Responsive Financial Management System
	6.2	Provide an Efficient, Effective, and Responsive Acquisition Management System and Property Management System
	6.3	Provide an Efficient, Effective, and Responsive Human Resources Management and Talent Management Systems
	6.4	Provide Efficient, Effective, and Responsive Contractor Assurance Systems, including Internal Audit and Quality
	6.5	Demonstrate Effective Transfer of Knowledge and Technology and the Commercialization of Intellectual Assets
	7.0	Sustain Excellence in Operating, Maintaining, and Renewing the Facility and Infrastructure Portfolio to Meet Laboratory Needs
	7.1	Manage Facilities and Infrastructure in an Efficient and Effective Manner that Optimizes Usage, Minimizes Life Cycle Costs, and Ensures Site Capability to Meet Mission Needs
	7.2	Provide Planning for and Acquire the Facilities and Infrastructure Required to Support the Continuation and Growth of Laboratory Missions and Programs
	8.0	Sustain and Enhance the Effectiveness of Integrated Safeguards and Security Management (ISSM) and Emergency Management Systems
	8.1	Provide an Efficient and Effective Emergency Management System
	8.2	Provide an Efficient and Effective Cyber Security System for the Protection of Classified and Unclassified Information
	8.3	Provide an Efficient and Effective Physical Security Program for the Protection of Special Nuclear Materials, Classified Matter, Classified Information, Sensitive Information, and Property

J – Appendix F		Part III – List of Documents, Exhibits, and Other Attachments, Section J, Appendix F, Operational Agreement Between the Office of Science, Pacific Northwest Site Office and the Office of Environmental Management, Richland Operations Office
J – Appendix G		Part III – List of Documents, Exhibits, and Other Attachments, Section J, Appendix G, Sensitive Foreign Nations Controls
J – Appendix H		Part III – List of Documents, Exhibits, and Other Attachments, Section J, Appendix H, List of Approved Laboratory Facilities (Owned and Leased)
J – Appendix I		Part III – List of Documents, Exhibits, and Other Attachments, Appendix I, Advance Agreement on Costs and Associated Use of Battelle-Owned Facilities and Real Property
J – Appendix J		Part III – List of Documents, Exhibits, and Other Attachments, Section J, Appendix J, Non-Employee and Employee Categorization Framework for Work Performed at the Pacific Northwest National Laboratory
J – Appendix K		Part III – List of Documents, Exhibits, and Other Attachments, Section J, Appendix K, Advance Agreement on Allowable Costs Associated with the Transfer of Real Property
J – Appendix L		Part III – List of Documents, Exhibits, and Other Attachments, Section J, Appendix L, Special Emphasis Activities