					1. CONTRACT ID CODE PAGE OF PAGES				PAGES
A	MENDMENT OF SOLICITATION/MO	ACT				2			
2. AMENDMENT/MODIFICATION NO. 3. EFFECTIVE DATE			4. REQUISITION	ON/PURC	HASE REQ.	5. PRO	JECT NO	O. (If applic	able)
101371		(M/D/Y) See Block 16C	NO.						
6. ISSUED	RV	See Diock 100	N/A 7. ADMINISTERED BY (If other than Item 6)						
CODE	DI		CODE	INLU DI	(ii ouiei tiidii iteli	, 0)			
	partment of Energy								
	Northwest Site Office								
	ice Box 350								
	d, WA 99352			,					
8. NAME A	ND ADDRESS OF CONTRACTOR (No., street	et, county, State and ZIP code)		9A. AMENDMENT OF SOLICITATION NO.					
Battelle	Memorial Institute			9B. DATED (SEE ITEM			11)		
Pacific I	Northwest Division			, ,					
Richland	d, Benton County, WA 99352			10A. MODIFICATION OF CONTRACT/ ORDER N					ER NO.
DUNS#	032987476				DE AC05 70PL04920				
				DE-AC05-76RL01830 10B. DATED (SEE ITEM 13)					
CODE		FACILITY CODE		1	December		7.5		
	1	I 1. THIS ITEM APPLIES TO AMEN	NDMENTS OF S	OLICITAT					
☐ The at	pove numbered solicitation is amended as set for	th in Item 14. The hour and date	specified for rec	eipt of Off	ers Disevtend	ed \square is	not exter	nded Offer	s must
	ge receipt of this amendment prior to the hour ar								
returning _									
	reference to the solicitation and amendment nun OF OFFERS PRIOR TO THE DATE AND HOUR								
	ly submitted, such change may be made by tele								
	hour and date specified.								
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	TEM APPLIES ONLY TO MODIFICATIONS OF ES THE CONTRACT/ORDER NO. AS SET FOR								
CHECK	A. THIS CHANGE ORDER IS ISSUED P		pority) THE C	HANGE	S SET FORTH	IN ITEM	1 14 ARI	E MADE II	N THE
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	B. THE ABOVE NUMBERED CONTRAC					SES (su	ıch as c	hanges in	paying office,
	appropriation date, etc.) SET FORTH IN								
\boxtimes	C. THIS SUPPLEMENTAL AGREEMENT	T IS ENTERED INTO PURSU	IANT TO THE	AUTHO	RITY OF:				
	The mutual agreement of the parties	and authority)							
	D. OTHER (Specify type of modification	ани аитнопту)							
E. IMPO	RTANT: Contractor 🗌 is not, 🏻 [oxtimes is required to sign this	s document	and re	turn _2_ copi	es to t	he issu	uing offic	e.
14. DES	CRIPTION OF AMENDMENT/MODIFICAT	TON (Organized by UCF se	ction headinas	s. includi	na solicitation/c	ontract	subiect :	matter wh	ere feasible.)
	teral contract modification incorporate			Docum	ents, Exhibits	and O	ther Att	tachment	s. (See
Continua	ation Pages for the purpose and descr	ription of this modification)							
15A. NAN	ME AND TITLE OF SIGNER (Type or print	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)							
Karen L. Hoewing									
General Counsel			Ryan M. Kilbury						
	Contracting Officer								
15B. CON	NTRACTOR/OFFEROR	15C. DATE	16B. UNITE	NITED STATES OF AMERICA 16C. DATE SIGNED				GIGNED	
SIGNED SIGNED				$\mathcal{A}(\mathcal{A}(\mathcal{A}))$					
	Men Noentra	_ 3-11-14	BY	Rul	My		3	-12-	14
(\$	(Signatu	ure of C	ontracting Offi	icer)			/		

Purpose of Modification:

The Department of Energy (DOE), Office of Science (SC), Pacific Northwest Site Office (PNSO) is modifying the Contract to update Part III – List of Documents, Exhibits, And Other Attachments, Section J, List of Attachments, Appendix H and Appendix J to their most current form.

Description of Modification:

- Revise Part III, Section J, Appendix H List of Approved Laboratory Land/Facilities (Owned and Leased) to update the list of approved land/facilities, update the descriptions of the facilities in line with the requirements of the Facilities Information Management System (FIMS) codes, and to update the footnotes associated with the list of approved Laboratory land/facilities. [M971]
- 2. Revise Part III, Section J, Appendix J Advance Agreement on Costs and Associated Use of Battelle-Owned Facilities and Real Property to include language that relates to the update in Section J, Appendix H List of Approved Laboratory Land/Facilities (Owned and Leased) and to add a paragraph 13, which outlines the methodology for Government investment in the Life Sciences Laboratory II (LSL-2), a Battelle-owned facility.
 [M971]

3. This modification results in no further changes to the Contract.

(End of Contract Modification)

Modification M971

PART III – List of Documents, Exhibits and Other Attachments

Section J

Appendix H

LIST OF APPROVED LABORATORY LAND/FACILITIES (OWNED AND LEASED)

Modification M971

Section J - Appendix H

LIST OF APPROVED LABORATORY LAND/FACILITIES (OWNED AND LEASED)

(Depicted by Figures JH-1, JH-2)

	DOEs	SC Owned					
Land	Alternate Name	Predominant Use					
PNNL Site	PNNL Site	Approximately 348 acres of Federally owned land (with multiple entries in FIMS) reserved for PNNL use located within Benton County, WA and in proximity to the Hanford Site 300 Area and the BMI Land-Richland. The PNNL Site encompasses 102 acres of a Preservation Designated Area which is not available for development.					
Facility Name	Alternate Name	Predominant Use (FIMS Usage Code)					
Coastal Security Institute 1	CSI1	Office					
Environmental Molecular Science Laboratory (EMSL)	3020	Applied Physics Laboratory					
Material Science & Technology Laboratory	3410	Chemical Laboratory (Nuclear)					
Radiation Detection Laboratory	3420	Other Materials R&D Test Buildings					
Ultra Low Background Counting Laboratory	3425	Other Materials R&D Test Buildings					
Ultratrace Laboratory	3430	Other Materials R&D Test Buildings					
Large Detector Laboratory	3440	Other Support Labs					
PSF Trailer A	3455	Office					
PSF Trailer B	3465	Office					
Laboratory Support Warehouse 3475		Programmatic General Storage					
	DOELE	M Owned					
Facility Name	Alternate Name	Predominant Use (FIMS Usage Code)					
Plant Operations &	350	Maintenance Shops, General					

¹ The Operational Agreement between the Office of Science, Pacific Northwest Site Office, and the Office of Environmental Management, Richland Operations Office (included as Appendix F of this Contract) explicitly addresses DOE-EM real property in use by PNNL which includes the facilities explicitly listed in this Appendix as well as other structural facilities supporting their operation. DOE EM-Owned Facilities in the 300 Area will be removed when no longer needed by SC and transitioned to EM in accordance with the referenced DOE-RL and PNSO Operational Agreement.

Maintenance Facility		
Paint Shop	350A	Paint Shop
Warehouse	350B	Pipe Fitting and Plumbing Shop
Storage Building	350C	General Storage
Oil Storage Facility	350D	Hazardous/Flammable Storage
Radiological Calibrations Laboratory	318	Calibrations Laboratory
Office Trailer (MO266)	318TRL4	Office
Life Sciences Laboratory 1	331	Biological Research Laboratory
Radiochemical Processing Laboratory (RPL)	325	Chemical Laboratory (Nuclear)
		sed-Programmatic ⁷
Facility Name	Alternate Name	Predominant Use (FIMS Usage Code)
Albuquerque NM Office	Albuquerque	Security Hq / Badge Issuance / Gate Houses (Polygraph Center)
Microproducts Breakthrough Institute	MBI11	Materials Laboratory
Port of Skamania Lab- Warehouse Building	POS	Programmatic General Storage
Port of Skamania Office Building	POS2	Office
Port Of Pasco Airport Hanger	POP	Helicopter and Airplane Hangars
Virginia Tech Applied Research Corp.	VT-ARC	Office
		ased-institutional ²
Facility Name	Alternate Name	Predominant Use (FIMS Usage Code)
2400/2410 Stevens	2400/2410STV	Applied Physics Laboratory
Applied Processing Engineering Laboratory	APEL	Large Scale Demonstration/Research Building
Battelle Seattle Research Center	BSRC	Office
WSU Building 9513 - Bioproducts Science & Engineering Laboratory	BSEL	Biological Research Laboratory
Biological Sciences Facility	BSF	Biological Research Laboratory
Battelle Washington Office	вwо	Office
Computational Sciences Facility	CSF	Computation Laboratory
Environmental Technology Building	ЕТВ	Office
Information Sciences Building I	ISB1	Office

² Contractor Leased Facilities are added to and removed from this list as approved Real Estate Packages, where DOE reviews terms and conditions, rates, market surveys and mission need to determine the use of this space by the Pacific Northwest National Laboratory. This establishes reimbursement for those lease costs incidental to the performance of work.

Information Sciences Building II	ISB2	Office				
Laboratory Support Building	LSB	Office				
Marine Sciences Laboratory 7	MSL7	Office				
National Security Building	NSB	Office				
Portland Office	Portland	Office				
Research Support Warehouse	RSW	General Storage				
Salk Building	SALK	Computation Laboratory				
Systems Engineering Facility	SEF	Office				
SIGMA 1 Office Building	SIGMAI	Office				
SIGMA 2 Office Building	SIGMAII	Office				
SIGMA 4 Office Building	SIGMAIV	Office				
Guest House at PNNL	GUESTHOUSE	Motel/Hotel/Lodges				
Joint Global Change Research Institute- University of Maryland	JGCRI	Office				
BMI-Owned						
Land ³	Alternate Name	-Owned Predominant Use				
BMI Land-Richland	BMI Land- Richland	Battelle Memorial Institute (BMI)-owned land is included in this list as DOE has authorized work to be conducted at specific work locations on this land, or it has been deemed beneficial to the operations of the Pacific Northwest National Laboratory in direct connection with and in proximity to the facilities listed herein.				
BMI Land-Sequim	BMI Land- Sequim	Battelle Memorial Institute (BMI)-owned land is included in this list as DOE has authorized work to be conducted at specific work locations on this land, or it has been deemed beneficial to the operations of the Pacific Northwest National Laboratory in direct connection with and in proximity to the facilities listed herein.				

³ The majority of BMI-owned land and supporting site infrastructure or Other Structures and Facilities (OSF) is located in Richland, WA in proximity to the PNNL Site. The listed BMI-Richland real property excludes the Battelle Staff Association (BSA) Recreation Area, the BSA Soccer Field, Kindercare, the vacant land parcels south of Battelle Boulevard, non-listed buildings, and other land parcels as specifically agreed to by DOE and Battelle. Vacant Battelle-owned land parcels north of Battelle Boulevard are provided for the beneficial use of PNNL. Use of these land parcels by PNNL is limited to those work activities as approved or authorized by DOE. Non-PNNL activities may be conducted in these areas as long as they are not in conflict with PNNL needs. The remaining BMI-owned land and supporting site infrastructure or Other Structures and Facilities (OSF) is located in Sequim, WA. The BMI-Sequim real property excludes the agricultural and forested areas on vacant land parcels, non-listed buildings, and other land parcels as specifically agreed to by DOE and Battelle. The inclusion of BMI-owned land into this list does not specifically address any potential costs or other liabilities by either party; it is only identified to designate authorized work locations. Supporting site infrastructure, or Other Structures and Facilities (OSF) as defined and categorized in FIMS, include any fixed real property improvements to land that are not classified as a building or predominately housed within a building.

Facility Name ¹	Alternate Name	Predominant Use
Atmospheric Measurement Laboratory	AML	Physics Laboratory
Auditorium	AUD	Auditorium/Theater
Battelle Inhalation Laboratory	BIL	Biological Research Laboratory
Battelle Receiving & Shipping Warehouse	BRSW	General Storage
Engineering Development Laboratory	EDL	Physics Laboratory
Engineering Support Building	ESB	Office
Grounds Equipment Storage Building	GES	Hazardous/Flammable Storage
Life Sciences Laboratory 2	LSL-II	Biological Research Laboratory
Life Sciences Laboratory 2A	LSL-IIA	Biological Research Laboratory
Math Building	MATH	Office
Marine Sciences Laboratory 1	MSL1	Biological Research Laboratory
Marine Sciences Laboratory 1 West	MSL1W	Plants (Water Treatment)
Marine Sciences Laboratory 2	MSL2	Biological Research Laboratory
Marine Sciences Laboratory 3	MSL3	Pumping Station
Marine Sciences Laboratory 4	MSL4	Pumping Station (Potable Water)
Marine Sciences Laboratory 5	MSL5	Chemistry Labs (Nuclear)
Marine Sciences Laboratory 5A	MSL5A	Hazardous/Flammable Storage
Marine Sciences Laboratory 5B	MSL5B	Hazardous/Flammable Storage
Marine Sciences Laboratory 5C	MSL5C	Temperature and Humidity Controlled Warehouse
Chemical Engineering Laboratory	CEL	Other Chemistry Laboratory
Process Development Laboratory East	PDLE	Other Support Labs (High Bay)
Process Development Laboratory West	PDLW	Other Support Labs (High Bay)

Battelle Memorial Institute (BMI)-owned facilities are included in this list as DOE has authorized work to be conducted at these specific locations or it has been deemed beneficial to the operations of the Pacific Northwest National Laboratory, through a series of Contracting Officer letters authorizing the reimbursement of certain costs BMI incurs in providing these facilities to the Government for its use and enjoyment. The inclusion of BMI-owned facilities into this list does not specifically address any potential costs or other liabilities by either party; it is only identified to designate authorized work locations. For planning and operational management purposes, consult the annual Laboratory Plan for dates of anticipated cessation of PNNL use of BMI-owned facilities.

Plant Growth Facility 1	PGF1	Environmental Laboratory (Greenhouse)
Plant Growth Facility 2	PGF2	Environmental Laboratory (Greenhouse)
Plant Growth Facility 3	PGF3	Environmental Laboratory (Greenhouse)
Plant Growth Facility 4	PGF4	Environmental Laboratory (Greenhouse)
Plant Growth Facility 5	PGF5	Environmental Laboratory (Greenhouse)
Physical Science Laboratory	PSL	Chemistry Laboratory (Non-nuclear)
Research Operations Building	ROB	Office
Chemical And Flammable Storage	RTL510	Hazardous/Flammable Storage
Research Technology Laboratory 520	RTL520	Nuclear Physics Laboratory
Research Technology Laboratory 524	RTL524	Other Service Building (Fire Riser)
Radioactive Storage	RTL530	Nuclear Contaminated Storage
Paper Shredder Facility	RTL540	Programmatic General Storage
Technical Services	RTL550	Maintenance Shops, General
Utility Building	RTL560	Plants (Gas Fired)
Autoclave Center	RTL570	Other Materials R&D Test Buildings
Crafts Shop	RTL580	Maintenance Shops, General
Warehouse RTL590	RTL590	General Storage
Technical Support Warehouse	TSW	General Storage

Richland Map (Figure JH-1)



Sequim Map (Figure JH-2)



Contract Number: DE-AC05-76RL01830 Modification M971

PART III – List of Documents, Exhibits and Other Attachments

Section J

Appendix J

Advance Agreement on Costs and Associated Use of Battelle-Owned Facilities and Real Property

Modification M971

ADVANCE AGREEMENT ON COSTS AND ASSOCIATED USE OF BATTELLE-OWNED FACILITIES AND REAL PROPERTY

The Parties acknowledge that in consideration of the extension of Contract No. DE-AC05-76RL01830 (hereinafter referred to as "the PNNL Prime Contract") from October 1, 2012 through September 30, 2017, it would be in the best interests of both Parties to enter into this Advance Agreement (hereinafter referred to as "the Agreement"),

The Parties agree as follows:

- 1. Effective October 1, 2012, Battelle grants to the Department of Energy (hereinafter referred to as "DOE") operational control over the Battelle owned facilities as identified in Section J, Appendix H of the PNNL Prime Contract (hereinafter referred to as "the Facilities") and the right to exclusive use of the Facilities and the beneficial use of the Battelle-owned vacant land north of Battelle Boulevard, Other Structures and Facilities and the areas and grounds appurtenant to the Facilities necessary for access, occupancy and enjoyment. The Parties agree that DOE's exclusive use of the Facilities shall include any use authorized under the PNNL Prime Contract, or as otherwise agreed to by the Parties.
- 2. This Agreement, including without limitation, DOE's exclusive use and occupancy of the Facilities, as identified in Section J, Appendix H, and the beneficial use of the Battelle-owned vacant land north of Battelle Boulevard, Other Structures and Facilities and the areas and grounds appurtenant to the Facilities shall terminate if Battelle is no longer the operator of PNNL, or alternatively by mutual assent.
- 3. Battelle agrees to allow access to the Facilities, without reasonably preventable or recurring disruption, as is required for DOE to access, occupy, use and enjoy such facilities as provided in this Agreement.
- 4. Battelle provides DOE the option to lease the Facilities at any time during the term of the PNNL Prime Contract. If DOE exercises this option to lease the Facilities, as defined at the time in Section J, Appendix H, this Agreement shall terminate upon the lease becoming effective. The lease term may not extend greater than 5 years past the end date of this Agreement without mutual assent. The Parties agree that in principle any lease shall be market based as negotiated between the Parties and shall be adjusted for the degree of Government investment and assets in the Facilities (irrespective of funding type) and any unamortized portion of Battelle's share of the residual radiological

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contamination as set forth in paragraph 8 based on a 10 year amortization period commencing with the effective date of this Agreement.

- 5. DOE expenditures in the Facilities under the DOE capitalization threshold shall be operating expenses under the PNNL Prime Contract provided such expenditures are authorized by DOE upon evaluation of mission necessity and benefit justification. DOE may from time to time make appropriate mission essential capital modifications to the Facilities, as approved by the Contracting Officer.
- 6. Battelle expenditures in the Facilities shall follow Battelle capitalization criteria. Battelle shall be responsible for capital expenditures above the DOE capitalization threshold to maintain the Facilities in good working order. Battelle may from time to time elect to make investments below the DOE capitalization threshold in the Facilities, not otherwise approved by DOE, utilizing Battelle funds.
- 7. The Parties agree that improvements to roads, grounds, supporting site infrastructure and parking lots will be split between the Parties such that DOE investments, as justified, appropriate and expressly approved by the Contracting Officer, will address requirements of the combined core campus including and North of Battelle Blvd while Battelle will address those South of Battelle Blvd and on the Sequim campus. The Parties agree to evaluate the potential transfer of Battelle Blvd to the City of Richland within the term of this Agreement.
- 8. The Parties acknowledge that through the conduct of both Government and private work, several Battelle owned facilities (the Engineering Development Laboratory (EDL), the Marine Sciences Laboratory (MSL-1/MSL-5), the Life Sciences Laboratory 2 (LSL-2), the Physical Sciences Laboratory (PSL), and the Research Technology Laboratory (RTL)) are, in part, radiologically contaminated. The Parties acknowledge that both Battelle and the Government have responsibility for the radiological contamination in these Battelle owned facilities. The Parties agree that, pursuant to Government interests, DOE shall assume responsibility (to include both regulatory and financial aspects) for such contamination, including control and ultimately remediation of the radiological contamination. Battelle agrees to share the costs for remediation of such radiological contamination by contributing 10% of the cost except as further provided in this paragraph 8. As consideration for Battelle granting DOE exclusive use of the Facilities, radiological remediation completed while Battelle is the operator of PNNL will be without cost share by Battelle. To that end, the Parties agree to remediate the radiological contamination in LSL-2, EDL, PSL, MSL-1 and MSL-5 prior to September 30, 2017 and remediate the radiological

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contamination in RTL prior to September 30, 2022. This agreement is based on the currently known extent of condition of these facilities and subject to review if conditions are later found to materially differ. The responsibilities and obligations set forth in this paragraph shall survive termination of this Agreement or the PNNL Prime Contract.

- 9. Battelle shall annually report progress against the obligations in this agreement as well as expenditures as approved by DOE and Battelle in the Facilities and appurtenant areas and grounds in order to monitor this Agreement.
- 10. Nothing in this Agreement shall be deemed to constitute a release of Battelle from Ilability under the Comprehensive, Environmental, Response, Compensation, and Liability Act or any other relevant environmental law or regulation or from financial responsibility for pre-existing unknown hazardous substances that may be discovered during radiological remediation of the Battelle owned facilities identified in paragraph 8.
- 11. Upon termination of this Agreement, DOE shall vacate the Facilities and at its sole election, either remove any real property improvements or abandon any such improvements in place. If DOE elects to remove any real property improvements made at DOE expense rather than abandoning such in place, DOE shall arrange for the removal. The removal of any such real property improvements shall be at no cost to Battelle and DOE shall leave the Facilities in a reasonable condition.
- 12. The Parties agree that each and every obligation of the Government contained herein involving an expenditure of funds is subject to the availability of the appropriated funds of the DOE, or in the event of a claim, as provided by the Contract Disputes Act, if applicable. DOE will use its best efforts to obtain funds to meet all of its obligations under this Agreement. Nothing herein shall be construed as implying that the Congress will, at a later date, appropriate funds sufficient to meet deficiencies.
- 13. For as long as Battelle Memorial Institute remains as the operating contractor for PNNL, the cost based arrangement remains in place and DOE enjoys the benefit of the investments made in LSL-2. Should DOE exercise the option to lease LSL-2, in return for investments made to LSL-2, Battelle grants to DOE a guarantee period of not less than 20 years of exclusive availability of the facility. This guarantee period begins and is in effect as of 10/1/2017. For purposes of the establishment of fair market rent, the Parties agree to utilize the unexecuted lease valuation and base rent that was mutually agreed to on 10/1/2012 as the base for future rental periods, allowing for adjustments in 5 year increments for

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the rental amount for both DOE investments >\$250,000 and CPI. Beginning 10/1/2012, adjustments for DOE investments >\$250,000 will be accumulated during 5 year increments and for every dollar DOE expends for these investments, the Parties agree that DOE will be provided a credit of .50 cents on the dollar, amortized over the next 20 years. Rent will be adjusted for the following 20 year period (first adjustment beginning 10/1/2017, second adjustment 10/1/2022). CPI adjustment will be done in 5 year tiers consistent with 10/1/12 unexecuted Lease (with CPI adjustment beginning 10/1/12). CPI to be applied to 10/1/12 Initial Rent.

Unexecuted Lease Values
\$2,385,240
103,075
23.14

Agreed and acknowledged	by	the	Parties	88	of	the	10 hr	day	of
Battelle Memorial Institute By:			U.S BV:	. Der	ettr	nent o	Energy		
Date: 3-7-14			Date	ə:	()3	- 10-	,4(•	