	A TION MODIFICATION OF CONTRA	ACT	1. CON	TRACT ID COE	DE PA	I I	OF	PAGES
	ATION/MODIFICATION OF CONTRA				T DDO ID	-	(If applied	4
2. AMENDMENT/MODIFICATION NO. M920	3. EFFECTIVE DATE ( <i>M/D/Y</i> ) See Block 16C	4. REQUISITION NO.	JISITION/PURCHASE REQ. 5. PROJECT NO. (If applicable)			idie)		
6. ISSUED BY CODE		7. ADMINISTE CODE	RED BY	(If other than Iten	n 6)			
U.S. Department of Energy								
Pacific Northwest Site Office								
Post Office Box 350								
Richland, WA 99352			1	r				
8. NAME AND ADDRESS OF CONTRACTO	DR (No., street, county, State and ZIP code)			9A. AMENDME	NT OF SOL		ON NO.	
Battelle Memorial Institute				9B. DATED (SEE ITEM 11)				
Pacific Northwest Division								
Richland, Benton County, WA	99352			10A. MODIFICATION OF CONTRACT/ ORDER NO.				ER NO.
DUNS # 032987476				DE-AC05-76RL01830				
CODE	FACILITY CODE	10B. DATED (SEE ITEM 13) December 30, 1964						
	11. THIS ITEM APPLIES TO AME	NDMENTS OF S	OLICITA	TIONS				
returningcopies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE DATE AND HOUR SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and amendment and is received prior to the opening hour and date specified.  12. ACCOUNTING AND APPROPRIATION DATA (If required)						to change an		
13. THIS ITEM APPLIES ONLY TO MODIF IT MODIFIES THE CONTRACT/ORDER NO								
CHECK A. THIS CHANGE ORDER	IS ISSUED PURSUANT TO: (Specify aut)	horitv) THE C	HANGE	S SET FORTH	IN ITEM 1	4 ARE M	ADE IN	THE
B. THE ABOVE NUMBERE	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT ADMINISTRATIVE CHANGES. (such as changes in paying office					paying office,		
C. THIS SUPPLEMENTAL . The mutual agreement of the	AGREEMENT IS ENTERED INTO PURSU e parties	JANT TO THE	AUTHO	RITY OF:				
D. OTHER (Specify type of	D. OTHER (Specify type of modification and authority)							
E. IMPORTANT: Contractor	is not, 🛛 🖾 is required to sign thi	s document	and re	turn 2 copi	ies to the	e issuir	ng offic	e.
	MODIFICATION (Organized by UCF se			and the second			1.55	
This bilateral contract modification	incorporates changes to Part I, Section	on H, and Pa	art III, Se	ection J. (See	Continu	ation Pa	ages fo	r the
purpose and description of this mo	odification)							
15A. NAME AND TITLE OF SIGNER	(Type or print)	16A. NAME	AND TI	LE OF CONTR	ACTING	OFFICE	R (Type	or print)
Karen L. Hoewing		Ma	lania D	Flotobor				
General Counsel		in the second seco		nie P. Fletcher racting Officer				

15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED - 1-17-13	16B. UNITED STATES OF AMERICA BY Allouie P. Hetcher (Signature of Contracting Officer)	16C. DATE SIGNED
NSN 7540-01-152-8070	30-105		RD FORM 30 (REV. 10-83)

NSN 7540-01-152-8070 PREVIOUS EDITION UNUSABLE

Prescribed by GSAFAR (48 CFR) 53.243

# Purpose of Modification:

The Department of Energy (DOE), Office of Science (SC), Pacific Northwest Site Office (PNSO) is modifying the contract to update Sections H and J to its most current form.

- Delete and replace Part I, Section H, Special Contract Requirements, H-39, Definition of Unusually Hazardous or Nuclear Risk for FAR Clause 52.250-1 Indemnification Under Public Law 85-804, in accordance with the Secretary of Energy's "Memorandum of Decision" regarding same, dated December 5, 2012.
- Revise Part III, Section J List of Attachments, Table of Contents, to delete "(Reserved)" from the title of Appendix I, List of Battelle Memorial Institute Legacy Work.
- 3. Add to Part III, Section J List of Attachments, Appendix I the List of Battelle Memorial Institute Legacy Work.

# Description of Modification:

The following changes are hereby incorporated into the Contract:

1. Delete Part I, Section H, Clause H-39, Definition of Unusually Hazardous or Nuclear Risk for FAR Clause 52.250-1 Indemnification Under Public Law 85-804 in its entirety and replace with the following:

# 1.0 H-39 Definition of Unusually Hazardous or Nuclear Risk for FAR Clause 52.250-1 Indemnification Under Public Law 85-804

- A. The term "a risk defined in this contract as unusually hazardous or nuclear" as used in FAR Clause 52.250-1 means the risk of legal liability to third parties (including legal costs as defined in paragraph (jj) of section 11 of the Atomic Energy Act of 1954, as amended, 42 U.S.C. §2014(jj), notwithstanding the fact that the claim or suit may not arise under section 170 of said Act, 42 U.S.C. §2010) arising from actions or inactions in the course of the following work performed by the Contractor under the Contract:
  - (1) Providing assistance in implementing physical security at nuclear and radiological facilities worldwide to ensure effective safeguards and security of weapons-usable nuclear materials and high-risk radiological materials both domestically and internationally under Department of Energy's (DOE) Global Threat Reduction Initiative (GTRI). Supporting activities shall include vulnerability assessments; design and installation of physical security systems; material consolidation; secure transportation; materials disposition and conversion to less attractive forms; implementation of detection and measurement technologies; and security operations training.
  - (2) Providing assistance in DOE's Material Protection Control and Accounting (MPC&A) program including cooperative work outside the United States on the design and implementation of MPC&A systems for facilities processing, handling, and storing nuclear materials, and the transportation of nuclear materials; provision of U.S.-manufactured equipment, and procurement of equipment for installation in facilities in order to implement the above systems; training in the design, use and assessment of MPC&A systems, export control, and facility transition support.
  - (3) Participation in the DOE/National Nuclear Security Administration program(s) focusing on the complete denuclearization of the Democratic People's Republic of Korea (DPRK),

including cooperative work outside the United States on the disablement and dismantlement of all declared and undeclared DPRK nuclear facilities and the verification of activities, equipment, and materials at said facilities; inspection, packaging, removal, securing in place, transportation, storage and disposition of spent nuclear fuel, nuclear materials (including uranium, highly-enriched uranium, and plutonium), and other radiological materials and equipment; and the conversion of any reactors using highly-enriched uranium fuel to low-enriched uranium fuel.

- (4) Participation in tasks or activities by the Contractor or its subcontractors on or after March 11, 2011 that is directed or authorized by the U.S. Department of Energy or the U.S. Department of Energy National Nuclear Security Administration as an element of activities taken in response to the Japanese earthquake and tsunami, including efforts to address and assess damage to nuclear power plants and potential radioactive releases from these plants now and into the future. [M764]
- (5) Other activities relating to nonproliferation, emergency response, anti-terrorism activities, or critical national security activities that involve the use, detection, identification, assessment, control, containment, dismantlement, characterization, packaging, transportation, movement, storage or disposal of nuclear, radiological, chemical, biological, or explosive materials, facilities or devices, provided such activities are specifically requested or approved, in writing, by the President of the United States, the Secretary of Energy, the Deputy Secretary of Energy, or an Under Secretary, and further provided that the request or approval specifically identifies a particular project involving one of those activities and makes the indemnity provided by this clause applicable to that particular project under the contract.
- B. The unusually hazardous or nuclear risks described above are indemnified only to the extent that they are not covered by the Price-Anderson Act (section 170d of the Atomic Energy Act of 1954, as amended 42 U.S.C. §2210d) or where the indemnification provided by the Price Anderson Act is limited by the restriction on public liability imposed by section 170e of the Atomic Energy Act of 1954, as amended, (42 U.S.C. §2210e) to an amount which is not sufficient to provide complete indemnification for the legal liability to which the Contractor is exposed.

# [M920]

- Part III, Section J List of Attachments, Table of Contents, is revised to delete "(Reserved)" from the title of Appendix I, List of Battelle Memorial Institute Legacy Work.
- 3. Part III, Section J List of Attachments, Appendix I the List of Battelle Memorial Institute Legacy Work, is added to the contract. (See Attached)
- 4. This modification results in no further changes to the Contract.

# (End of Contract Modification)

#### Contract No. DE-AC05-76RL01830 Modification M920

### APPENDIX I LIST OF BATTELLE MEMORIAL INSTITUTE LEGACY WORK (LEGACY PROJECT AUTHORIZATION - OCTOBER 2012)

SCOPE				WALS	WALS PROP END
	PROPOSAL TITLE	CONT CLNT NAME	FUND CLNT NAME	COST AMT	
	56067 - Predicting Kinetic Rate Constants for Condensed Phas	Spectral Sciences, Inc.	Air Force Research Laboratory	146,891	3/13/2013
	58844 - Potsdam Roadmaps towards Sustainable Energy Futures	Potsdam Institute for Climate Impact Research	Potsdam Institute for Climate Impact Research	245,000	6/30/2013
63607	62301 - INSPIRE Maintenance	Multi-client Industrial	Multi-client Industrial	62,443	9/30/2013
63766	58619 - LinkS - Linking Global and Regional Energy Strategie	SINTEF Energy Research	SINTEF Energy Research	71,000	4/25/2014
	62654 - Enhancement of the Light Right Survey (LRS) Tool	Philips Lighting	Philips Lighting	40,064	12/20/2012
	48887 - Improving Efficiency of Commercial Buildings in WA	State of Washington, Attorney Generals Office	State of Washington, Attorney Generals Office	1,630,000	12/31/2014
	FRAPCON-3 User Group. Paper study.	Multi-client	Multi-client	75,000	
	61846 - Silicon Detectors for High Resolution Radioxenon Mea	XIA, LLC	DOE - SC Germantown Building	70,000	2/12/2014
	52172 - Economic Methods for Assessing Climate Change	Mizuho Information & Research Institute	Mizuho Information & Research Institute	17,294	1/31/2013
	62215 - Helium Ion Microscopy of Shale Mineral Deposits	ExxonMobile Research and Engineering Company	ExxonMobile Research and Engineering Company	6,845	8/31/2013
	57320 - The Impact of geo-engineering by aerosols on the cli	University of Calgary	University of Calgary	224,674	9/30/2013
		GE Global Research	GE Global Research	37,046	12/31/2013
	AMS Deployment at National Parks	Electric Power Research Institute - EPRI	Electric Power Research Institute - EPRI	5,797	12/31/2013
	Evaluation of Dose and Dose Rate Effects in Beagle dogs expo	Electric Power Research Institute - EPRI	Electric Power Research Institute - EPRI	50,949	12/31/2013
	Develop and Utilize an Integrated Model of GHG Emissions	Electric Power Research Insititute - EPRI	Electric Power Research Insititute - EPRI	126,140 59,236	12/31/2013
	61605 - Polymer Characterization	PepsiCo, Inc.	PepsiCo, Inc.	59,236 115,819	12/31/2013 5/31/2014
	55433 - Seamless prediction of cold clouds (NCAR) 62232 - Analyzing Model Simulations from the Community Land	National Center of Atomospheric Research Carnegie Institution of Washington	NASA - National Aeronautics & Space Administration	31.780	5/31/2014 7/30/2014
	54211 - Collaborative Research: The Role of Surface, Subsurf	National Oceanic and Atmospheric Administration (NOAA)	NASA - National Aeronautics & Space Administration National Oceanic and Atmospheric Administration (NOAA)	57,288	7/31/2014
	58354 - Integrated Assessment Modeling of Major Forest Distu	University of Maryland at College Park	NASA - National Aeronautics & Space Administration	297,040	8/31/2014
	57489 - Integrated Assessment of Coupled Human-Forest Dynami	University of New Hampshire	NASA - National Aeronautics & Space Administration	391,305	8/31/2014
	53677 - Comparative proteomics of women infected with Malari	Seattle Biomedical Research Institute	NIH - National Institutes of Health	268,370	11/30/2014
	56613 - GEPR: Soybean Root Hairs: A model for single-cell pl	University of Missouri	National Science Foundation	107,341	8/31/2015
	Impact of Organic Matter and Black Carbon Emissions	National Oceanic and Atmospheric Administration (NOAA)	National Oceanic and Atmospheric Administration (NOAA)	455,008	8/31/2015
	60730 - High Order Model, Computation, and Stochastic Hybrid	University of Notre Dame	National Science Foundation	28,124	9/30/2015
	62438 - Prediction and Network Construction Using High-throu	University of Washington	NIH - National Institute of General Medical Sciences	46,326	6/30/2013
	54923 - Genetic analysis of Salmonella typhimurium virulence	Oregon Health Science University	NIH - National Institutes of Health	85.890	11/30/2013
	53776 - Genetic Analysis of Salmonella Typhimurium Virulence	Oregon Health Sciences University	NIH - National Institutes of Health	144,012	
	58766 - Automated NMR Potato Sensing	Lamb Weston	Lamb Weston	27,072	
	53063 - Evaluation and development of FT catalysts	Korea Institute of Energy Research	Korea Institute of Energy Research	28,958	12/31/2012
	61886 - COMcheck Ontario	Municipal Affairs & Housing Building	Municipal Affairs & Housing Building	8,127	3/31/2013
63604	62241 - CSC FRAMES Support Task Order 1521.02	Computer Sciences Corporation	EPA Mid-Continent Ecology Division	125,453	5/7/2013
63760	61148 - NREL WIND SITE SWEA	Los Alamos Technical Associates (LATA)	National Renewable Energy Laboratory - NREL	17,000	9/30/2013
63573	61088 - CO2BOLs post combustion	U.S. Department of Energy (DOE)	U.S. Department of Energy (DOE)	455,333	3/31/2014
63585	61615 - EMFP of Bitumen for Fuel and Chemical Production	H-Quest Partners, LP	H-Quest Partners, LP	20,064,674	9/30/2015
	59070 - Numerical Simulator for the Kinetic Exchange of Gas	Korea Institute of Geoscience & Mineral Research	Korea Institute of Geoscience & Mineral Research	85,464	3/31/2013
	62715 - GS3 for EPA Region 5	EPA, Environmental Protection Agency	EPA, Environmental Protection Agency	37,136	9/30/2013
	55898 - Shell Oil Co.	Shell Oil Company	Shell Oil Company	360,000	12/31/2014
	Carbon Sequestration Field Pilot Study	National Energy Technology Laboratory (NETL)	National Energy Technology Laboratory (NETL)	2,167,949	12/31/2014
	61713 - Support for RTI	Real-Time Innovations, Inc.	Air Force, Research Laboratory	182,414	9/30/2013
	63106 - Installation of SAIC P7500 at 3440 Test Track	Science Applications International Corporation - SAIC	DHS - Customs & Border Protection	300,000	10/31/2012
	63166 - Installation of Smiths IRD & HE System at 3440 Test	Smith's Detection	DHS - Customs & Border Protection	710,000	12/30/2012
	Visual Sample Plan Training Course in Support of AMEC	AMEC	AMEC	30,000	4/30/2013
	60207 - Geometric flow approach to implicit solvation modeli	Michigan State University	NIH - National Institutes of Health	118,823	7/31/2013
	60586 - Characterization/bioinformatics-modeling	Washington University School of Medicine	National Institute of Health (NIH)	254,386	8/31/2013
	57182 - Natural Products	Antelope Therapeutics, LLC	Antelope Therapeutics, LLC	572,712	9/30/2013
	62510 - NEIMiner Phase II National Biomedical Computation Resource	Intelligent Automation, Inc.	U.S. Army Corps of Engineers NIH - National Institutes of Health	8,472 57,659	11/13/2013 4/30/2014
	61394 - Immunization Safety Beliefs in Microblogs	University of California, San Diego Infectious Deseases Society of America Edu & Rsch	Sealy Center for Vaccine Development, UTMB	50,956	5/1/2014
	61764 - Nanophotonic sensor fabrication and characterization	University of Delaware	National Science Foundation	39.000	3/31/2014
	59994 - Mechanism of Oxysterol Activation of Membrane Choles	Washington University School of Medicine	NIH - National Institutes of Health	158,361	9/30/2015
	54067 - RL16 Laboratory (post-cert)	CTBTO	CTBTO	375,000	9/30/2015
	28480 - Chornobyl Shelter Implementation Plan Project Manage	Ministry of Energy of Ukraine State Dept. of Nuclear Safety	European Bank for Reconstruction and Development	45,000,000	9/30/2015
	59383 - GC PUD Productivity	Grant County Public Utility District	Grant County Public Utility District	45,000,000	12/31/2012
	61023 - Spawn Habitat	Grant County Public Utility District	Grant County Public Utility District	7,582	12/31/2012
	59272 - Fall Chinook Working Group	Grant County Public Utility District	Grant County Public Utility District	11,000	12/31/2012
	62541 - Phase 2-O2 Isotopes Fall Chinook	Idaho Power Company	Idaho Power Company	83,000	12/31/2012
	62290 - McNary Steelhead Fallback	Army Corps of Engineers - Walla Walla District (USACE)	Army Corps of Engineers - Walla Walla District (USACE)	99,677	12/31/2012

### APPENDIX I LIST OF BATTELLE MEMORIAL INSTITUTE LEGACY WORK (LEGACY PROJECT AUTHORIZATION - OCTOBER 2012)

SCOPE				WALS	WALS PROP END
	PROPOSAL_TITLE	CONT CLNT NAME	FUND CLNT NAME	COST_AMT	
63837		Grant County Public Utility District	Grant County Public Utility District	50,000	12/31/2012
63624	62747 - Tailrace Tracking	Army Corps of Engineers - Walla Walla District (USACE)	Army Corps of Engineers - Walla Walla District (USACE)	290,951	2/15/2014
63623	62724 - External JSATS Field Test	Army Corps of Engineers - Walla Walla District (USACE)	Army Corps of Engineers - Walla Walla District (USACE)	568,597	3/29/2013
	62636 - Steelhead Kelt Passage	Army Corps of Engineers - Walla Walla District (USACE)	Army Corps of Engineers - Walla Walla District (USACE)	567,550	3/29/2013
	Spawning & Overwintering of Rainbow Trout	Fish & Wildlife Department - Colville Confederated Tribes	BPA - Portland	288,000	4/30/2013
	61316 - WW JSATS	Army Corps of Engineers - Walla Walla District (USACE)	Army Corps of Engineers - Walla Walla District (USACE)	7,519,737	5/1/2014
	61110 - Basin of Origin	Grant County Public Utility District	Grant County Public Utility District	450,000	12/31/2013
	60894 - Stranding/Entrapment	Grant County Public Utility District	Grant County Public Utility District	750,804	9/30/2015
	59402 - Life Cycle Model 61020 - Survival Study	Grant County Public Utility District Army, Corps of Engineers - Portland District	Grant County Public Utility District Army, Corps of Engineers - Portland District	305,017 742,526	9/30/2015 9/30/2013
	60989 - Barotrauma Consultation	Symbiotics, LLC	Symbiotics, LLC	101,000	9/30/2013
	59599 - GC Thermal Modeling	Grant County Public Utility District	Grant County Public Utility District	317,000	12/31/2014
	60676 - PRD Turbine Assessment	Grant County Public Utility District	Grant County Public Utility District	848,000	12/31/2014
	Tech Services GC PUD	Grant County Public Utility District	Grant County Public Utility District	5,000,000	9/30/2015
	54761 - In vivo Characterization of Extracellular Reduction	Bowling Green State University	NIH - National Inst of Environmental Health Sciences (NIEHS)	5,959	11/30/2012
	61486 - Research Consultation for American Chemical Council	American Chemistry Council	American Chemistry Council	4,601	12/31/2012
63890	61593 - Airborne Ammonia Sensor Test Flight	Los Gatos Research	U.S. Department of Energy (DOE)	7,900	12/31/2012
63570	61062 - Calculations of Airflow and Particle Breakup Inside	Oregon State University	NASA - John C. Stennis Space Center	3,636	2/5/2013
	61949 - Social Network Analysis: Enhance Climate Change Adap	Centre for Environmental Mgmt, CQ University Australia	National Climate Change Adaptation Research Facility	43,632	2/6/2013
	61690 - Inhalation Route Isobutyl Series Pharmacokinetics	Oxo Process Panel, ACC	Oxo Process Panel, ACC	40,769	3/31/2013
	62322 - UMCP Human Dimensions of Global Change(HDGC) Researc	University of Maryland at College Park	University of Maryland at College Park	67,000	4/30/2013
	54193 - PBPK Enabled Urine Biomarker Based Human BPA Exposur	EPA, Environmental Protection Agency	EPA, Environmental Protection Agency	274,080	5/3/2013
	Validation of Biomarkers for Triple Negative Breast Cancer	University of Texas Health Science Center-San Antonio	NIH - National Cancer Institute	600,000	6/30/2013
	Exceptional, Unconventional Research Enabling Knowledge Acce	University of Miami	NIH - National Institutes of Health	209,112	8/31/2013
	62847 - Migration of Y-90 in Tumor	Advanced Medical Isotope Corporation	Advanced Medical Isotope Corporation	412,435 56,222	9/30/2013 12/31/2013
	62435 - Intergenerational & intragenerational equity- climat 60829 - Modeling International Offsets	Ragnar Frisch Centre for Economic Research Electric Power Research Institute	Norwegian Research Council Electric Power Research Institute	56,222	12/31/2013
	Support for GTSP	Electric Power Research Institute	Electric Power Research Institute	179,199	12/31/2013
	48559 - Vinyl Acetate Council Consulting	Vinyl Acetate Council	Vinyl Acetate Council	1,000,000	1/3/2014
	59135 - Molecular Mechanisms of LPS Preconditioning in Strok	Oregon Health & Science University	NIH - NINDS	80,000	6/30/2014
	62182 - Evaluation of BPA Dermal Exposure and TK Among Cashi	Ohio State University	Centers for Disease Control & Prevention	88,663	6/30/2014
	54142 - Mitral-FSI	University of Maine	NIH - National Institutes of Health	381.000	6/30/2014
63729	55374 - Urinary Proteome Monitoring for Transplant Injury	Stanford University	NIH - National Institutes of Health	800,000	7/31/2014
63566	60962 - A 1.5-T superconducting solenoid-dipole magnet for M	Massachusetts Institute of Technology (MIT)	NIH - National Institutes of Health	152,010	9/30/2014
63745	62084 - Reduction of Black Carbon from Diesel Sources	EPA, Office of International Affairs	EPA, Office of International Affairs	1,000,000	9/30/2015
	59973 - Structure-Function of Dirigent Protein in Lignan Bio	Washington State University	National Science Foundation	219,024	9/30/2015
	53375 - Administrative Core for OSU/PNNL Superfund	Oregon State University	National Toxicology Program (NIEHS)	251,596	9/30/2015
	60260 - Deep RNA sequencing and proteomic analysis of a hybr	University of California, Los Angeles	NIH - National Institutes of Health	575,107	9/30/2015
	61923 - Structural Genomics on Infectious Diseases	Seattle Biomedical Research Institute	NIH - Nat'l Inst of Allergy & Infectious Diseases (NIAID	809,184	9/30/2015
	60831 - Vulnerability of DOD facilities to climate change		EDOD - Strategic Environmental Research & Development-SERDP	1,734,518	9/30/2015
	52726 - Statistics & Bioinformatics for SBRP	Oregon State University	NIH - National Institutes of Health	3,152,595	9/30/2015 9/30/2015
	57951 - Transplacental pharmacokinetics of dibenzo[a,]pyren Animal Model Development for Medical Countermeasures	Oregon State University Department of Health and Human Services	National Toxicology Program (NIEHS) Department of Health and Human Services	3,261,092 26.000.000	9/30/2015 9/30/2015
	56852 - IEA Task 34	IEA Bioenergy	IEA Bioenergy	26,000,000	12/31/2012
	Aberdeen Proving Ground	Johnson Controls, Federal Solutions	Army, Aberdeen Proving Grounds	209.934	12/31/2012
	48997 - ENVIRONMENT-ASSISTED CRACKING MECHANISMS	Rolls Royce & Associates Ltd.	Rolls Royce & Associates Ltd.	223,504	3/31/2012
	62045 - Production of Bio-Hydrogenated Diesel (BHD) in Micro	Oregon State University	PTT Public Company Limited	108,500	6/20/2013
	61182 - Membrane Evaluation for Redox Flow Battery	LynnTech, Inc.	U.S. Department of Energy (DOE)	125,000	9/30/2014
	62021 - FCE UVP Components	Fuel Cell Energy, Inc.	Navy, Office of Naval Research	300,000	9/30/2014
63839	60752 - Sustainable Alternative Jet Fuel from Cellulosic Bio	LanzaTech	US Department of Transportation	200,009	9/30/2014
	57999 - ARRA - Pacific Northwest Smart Grid Demonstration	U.S. Department of Energy (DOE)	U.S. Department of Energy (DOE)	46,128,990	9/30/2015
	60549 - ARRA Technical Support to FutureGen Industrial Alli	FutureGen Industrial Alliance, Inc.	National Energy Technology Laboratory (NETL)	68,539,852	9/30/2015
	59676 - NEEA Industrial Model	Northwest Energy Efficiency Alliance	Bonneville Power Administration - Portland	52,780	12/31/2012
	60951 - American Samoa Tropical Energy Code Adoption and Tra	American Samoa Energy Office	DOE, Energy Efficiency and Renewable Energy Network	106,964	12/31/2012
	61493 - Transcriptomics Analysis of Fermentation Organisms			67,109	3/31/2013
	28336 - CVSA Pilot Inspection Study	Commercial Vehicle Safety Alliance	DOE, Office of Civilian Radioactive Waste Management	66,000	9/30/2013
	54159 - SECA Vertical Team Coal Based SOFC Stack Development 62676 - Low Cost Vacuum Insulated Glass Windows	Delphi Milgard Windows & Doors	DOE, Office of Fossil Energy	705,000 205,288	6/30/2014 12/31/2012
03021	02070 - LOW COSE VACUUTI INSUIALEU GIASS WITHOWS	IVIIIgara VVIII auvis & DUUIS	Milgard Windows & Doors	200,288	12/31/2012

#### APPENDIX I LIST OF BATTELLE MEMORIAL INSTITUTE LEGACY WORK (LEGACY PROJECT AUTHORIZATION - OCTOBER 2012)

					WALS
SCOPE				WALS	PROP_END_
_NO	PROPOSAL_TITLE	CONT_CLNT_NAME	FUND_CLNT_NAME	COST_AMT	
63546	, ,,, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Institute for Systems Biology	Army, Medical Research and Materiel Command	179,775	7/31/2013
	53773 - Technical Assistance to Ashland for Catalytic Hydrot Quality Assurance Support	Ashland Speciality Chemical Company Theseus Professional Services, LLC	Ashland Speciality Chemical Company DOE, Office of Environmental Management	45,902 139,111	7/2/2013 9/30/2013
	57361 - Quality Assurance Support	Theseus Professional Services, LLC	Farris Engineering Curtiss Wright Flow Control Corp	16.715	9/30/2013
	62446 - Infrared Transmitting Glass Ceramics	Raytheon - Integrated Defense Systems	Defense Advanced Research Projects Agency (DARPA)	144,549	12/31/2013
	59828 - AECL Reactor Dosimetry	Atomic Energy of Canada Limited	Atomic Energy of Canada Limited	17,722	3/31/2013
	52687 - Tri Cities Patient Safety Improvement	Benton Franklin Community Health Alliance	Benton Franklin Community Health Alliance	30,839	12/31/2012
	61072 - EPRI PWR Reactor Support	Electric Power Research Institute	Electric Power Research Institute	12,068	12/31/2012
	52306 - Southern California Edison Co.	Southern California Edison Co.	Southern California Edison Co.	36,640	12/31/2012
	54489 - SM Stoller - Germanium Detector	SM Stoller Corporation	SM Stoller Corporation	996	3/31/2013
	59658 - Chemical Waste Management Northwest	Chemical Waste Management	Chemical Waste Management	854	5/31/2013
63533	59647 - STTR Phase II: Development of an Integrated In Situ	EIC Laboratories, Inc.	DOE, Office of Science	35,874	8/14/2013
63535	59674 - SBIR Phase II: Development of a Compact Fiber Optica	EIC Laboratories, Inc.	DOE, Office of Science	106,733	8/14/2013
64040	53725 - Isotope Production from Gamma and Neutron Generators	Thorenco, LLC	Thorenco, LLC	30,000	9/30/2013
63449	47859 - Westinghouse Retrospective Reactor Dosimetry	Westinghouse Electric Company - Nuclear Fuel Unit	Westinghouse Electric Company - Nuclear Fuel Unit	115,763	9/30/2013
	57296 - Modeling/Simulation Studies of Cincinnatic Arch Mt.	Battelle Memorial Institute	National Energy Technology Laboratory (NETL)	11,000	10/31/2012
	56185 - Hunters Point Parcel F BCO Support	Battelle Columbus Operations	Naval Facilities Engineering Command - Southwest Division	15,000	10/31/2012
	DU Soil Contamination and Transport	Battelle Eastern Science & Technology Center	Army Public Health Command	1,162	11/30/2012
	62594 - Sulfuryl Flouride Modeling support	Battelle Columbus Operations	Dow Chemical Company	20,000	12/31/2012
	62879 - ExFIRS Support	Battelle Columbus Operations	Internal Revenue Service	50,000	12/31/2012
	First Wave	Battelle Columbus Operations	Independent Establishments and Government Corporations	75,000	12/31/2012
	54140 - Technical and Analytical Support to NA-24	Battelle Columbus Operations	DOE - National Nuclear Security Administration	200,000	1/31/2013
	57119 - Chlorpyrifos Children Modeling 60928 - Veteranary Support for Tox Northwest	Battelle Columbus Operations	Dow Chemical Company National Institutes of Health (NIH)	72,000 15.000	2/28/2013 3/31/2013
	60931 - Veteranary Support for Tox Northwest	Battelle Columbus Operations Battelle Columbus Operations	National Institutes of Health (NIH)	10,000	3/31/2013
	61370 - RNTRA	Battelle Columbus Operations	DHS - Department of Homeland Security	261,000	3/31/2013
	56994 - Fagitue Management for Rapid Renewal Highway Contstr	Battelle Columbus Operations	DOE Transporation Reasearch Board	82,300	3/31/2013
	63175 - EDSP - TO 03	Battelle Columbus Operations	EPA, Environmental Protection Agency	47,000	4/30/2013
	59343 - ACRP 03-16	Battelle Memorial Institute	Transportation Research Board	40,000	4/30/2013
	Assessment of Contamination after Laundering	Battelle Columbus Operations	EPA Office of Research and Development	133,980	8/31/2013
	56589 - High-Flyer Dosimetry Testing	Battelle Memorial Institute	Air Force, School of Aerospace Medicine	110,000	9/30/2013
	27190 - Provide Multiple Services to Pre Clinical Drug Devel	Battelle Columbus Operations	Department of Health and Human Services	350,000	9/30/2013
63911	55031 - Brooks Air Force Base - Dosimeter Irradiations	Battelle San Antonio Operations	Air Force, Brooks Air Force Base	76,465	8/1/2014
63538	59781 - Biological Threat Reduction Program (BTRP/BTRIC)	Battelle Crystal City Office	Defense Threat Reduction Agency (DTRA)	1,500,000	9/30/2013
63491	PBPK Model Support for EPA-ORD	Battelle Memorial Institute	EPA, Environmental Protection Agency	350,000	10/31/2013
	Evaluation of PB Biokinetic Model for Bacillus anthracis	Battelle Memorial Institute	EPA, National Risk Management Research Laboratory	174,000	10/31/2013
	59556 - ICM Benefit Analysis	Battelle Columbus Operations	US Department of Transportation	75,000	4/30/2014
	55879 - MRCSP Phase III	Battelle Columbus Operations	National Energy Technology Laboratory (NETL)	1,000,000	9/30/2014
	63219 - Evaluation of AEP Oklahoma gridSMART Volt Var Projec	Battelle Columbus Operations	American Electric Power Service	75,000	12/15/2012
	07182 - Monitoring marine receiving water	City of Sequim	City of Sequim	9,943	12/31/2012
	41578 - Biomarkers and Toxicology involving EVOS-related Spe	Exxon Mobil Corporation	Exxon Mobil Corporation	62,000 5.100	12/31/2012 12/31/2012
	44198 - Silver and Copper Analysis in Effluent	City of Salisbury, WWTP	City of Salisbury, WWTP	19.252	12/31/2012
	57393 - Hydrodynamic Modeling Analyses for the Mill Road Res 56575 - Kitsap Co EPA Region X 2009	Columbia Land Trust Kitsap County Public Works	Bonneville Power Administration - Portland EPA Region 10 - Seattle	12,978	12/31/2012
	62562 - USACE NYD Arthur Kill	Battelle Ocean Sciences Laboratory	Army - Corps of Engineers - New York District	28.125	12/31/2012
	58366 - Palau Circulation Modeling	University of California, San Diego	Navy, Office of Naval Research	61.239	2/26/2012
	62488 - Pelton Round Butte - Temperature Model - FY 2012	Portland General Electric	Portland General Electric	119,910	12/31/2012
	62452 - BW Treatment Technology Verification using Test Orga	National Science Foundation International	U.S. EPA - Great Lakes Region	117,640	1/31/2013
	61780 - EDSP - TO 38	EPA, Environmental Protection Agency	EPA, Environmental Protection Agency	362,824	2/28/2013
	63005 - FSU Mercury	Florida State University	National Oceanic and Atmospheric Administration (NOAA)	12,000	3/1/2013
	60076 - EDSP - TO 33	EPA, Environmental Protection Agency	EPA, Environmental Protection Agency	332,854	3/21/2013
63527	59495 - EDSP-TO 31 Larval Amphibian	EPA, Environmental Protection Agency	EPA, Environmental Protection Agency	444,663	3/21/2013
63528	59496 - EDSP-TO 32 Medaka	EPA, Environmental Protection Agency	EPA, Environmental Protection Agency	676,818	3/21/2013
	54627 - Hecla Greens Creek Mining Company	Hecla Green Creek Mining Company	Hecla Green Creek Mining Company	15,566	4/16/2013
	Fish and Shellfish Monitoring-Analytical	Aluminum Company of America (ALCOA)	Aluminum Company of America (ALCOA)	45,000	6/26/2013
	52238 - Ballast Water RDTE Facility	National Oceanic & Atmospheric Administration (NOAA)	National Oceanic & Atmospheric Administration (NOAA)	569,821	9/30/2013
	61699 - META CCA Analysis	META Environmental	Electric Power Research Institute	3,569	9/30/2013
63444	43549 - Morro Bay Taxonomic Identifications	Marine Research Specialists	City of Morro Bay	2,800	9/30/2013

#### Contract No. DE-AC05-76RL01830 Modification M920

### APPENDIX I LIST OF BATTELLE MEMORIAL INSTITUTE LEGACY WORK (LEGACY PROJECT AUTHORIZATION - OCTOBER 2012)

					WALS
SCOPE				WALS	PROP_END_
_NO	PROPOSAL_TITLE	CONT_CLNT_NAME	FUND_CLNT_NAME	COST_AMT	DATE
63446	EPA Region 2 Low Level Hg Analyses	EPA Region 2 - New York	EPA Region 2 - New York	7,500	9/30/2013
63450	47930 - Eagle River Water and Sanitation District	Eagle River Water & Sanitation District	Eagle River Water & Sanitation District	10,988	9/30/2013
63443	42556 - Englewood Mercury Analyses 2001 Open PO	City of Englewood Colorado	City of Englewood Colorado	12,000	9/30/2013
63592	61829 - Carbon Footprint Tool Technical Support	Noblis	Noblis	86,723	9/30/2013
63616	62561 - USACE NYD Newark Bay	Battelle Ocean Sciences Laboratory	Army - Corps of Engineers - New York District	57,956	4/30/2013
63458	51728 - Penobscot River Mercury Study	Eviron International Corporation	Mallinckrodt	99,290	9/30/2013
63547	60371 - 2010 Maine DEP Gulfwatch	AXYS Analytical Services Ltd	Maine Department of Environmental Protection	56,500	9/30/2013
63600	62172 - TO 1 Chemical Repository	Battelle Columbus Operations	EPA, Environmental Protection Agency	90,260	9/30/2013
63599	61985 - USACE NYD HARS Sampling	Battelle Ocean Sciences Laboratory	Army - Corps of Engineers - New York District	111,488	9/30/2013
63457	DuPont South River Project	Dupont De Nemours and Company, Inc.	Dupont De Nemours and Company, Inc.	176,645	9/30/2013
63526	59394 - Fir Island Farm Restoration	Shannon & Wilson, Inc.	Shannon & Wilson, Inc.	138,733	9/30/2013
63531	59524 - CSF Proteomics	University of Medicine & Dentistry of New Jersey	NIH - National Institutes of Health	155,000	9/30/2013
63487	54715 - Temperature Modeling Support Boundary Hydroelectric	Seattle City Light	Seattle City Light	76,065	12/31/2013
63454	Mercury Analysis - Olin Corporation	Olin Corporation	Olin Corporation	27,434	12/31/2013
63509	56654 - City of Greeley - Hg Analysis	City of Greeley - WPCF	City of Greeley - WPCF	10,983	12/31/2013
63610	62444 - Alyeska Work Order for 2012	Alyeska Pipeline Services Company	Alyeska Pipeline Services Company	95,293	12/31/2013
63739	61146 - High throughput screening of endocrine disruptors	EPA, Environmental Protection Agency	EPA, Environmental Protection Agency	1,200,000	9/30/2015
63494	55849 - Population-Climate Project	Population Action International	Population Action International	4,017	9/30/2013
	61262 - Nerospora quantitative proteomics study	University of California, Berkeley	British Petroleum	49,500	10/31/2012
63478	53806 - Modeling Post-2012 International Climate Policy Scen	Pew Center on Global Climate Change	Pew Center on Global Climate Change	18,796	12/31/2012
63747	62434 - Cardiac Metabolism and Protein Synthesis during Extr	Seattle Children's Research Institute	NIH - National Institutes of Health	12,000	12/31/2012
63525	59310 - Characterization of Thin Films for Mermistor Devices	Oregon State University	Navy, Office of Naval Research	35,000	12/31/2012
63532	59531 - Characterize the proteome response to hydrocodone	Genova Foundation	Army, Medical Research and Materiel Command	170,839	2/28/2013
63483	A Systems Biology Approach to Emerging Respiratory Viral Dis	University of Washington	NIH - Nat'l Inst of Allergy & Infectious Diseases (NIAID	1,880,000	9/25/2013
63539	Blood plasma biomarker verification from early state breast	Fred Hutchinson Cancer Research Center	Susan G. Komen Breast Cancer Foundation	5,984	9/30/2013
63465	52792 - Support for GTSP 3	Chevron Environmental Management Company	Chevron Environmental Management Company	72,968	9/30/2013
63502	56191 - Optimal U.S. freight transport to reduce emissions	University of Illinois	EPA, Environmental Protection Agency	34,225	10/31/2013
63459	51834 - Support for GTSP Phase 3	ExxonMobil Corporation	ExxonMobil Corporation	463,201	12/31/2013
63472	53527 - Chairmanship of GOFC/GOLD	American Geophysical Union	NASA - National Aeronautics & Space Administration	90,089	4/13/2014
63914	61077 - High-Content High-Throughput Zebrafish Assays for Pr	Oregon State University	EPA, Environmental Protection Agency	450,000	1/31/2015
63522	Biomarkers for prostate cancer	University of Washington	NIH - National Cancer Institute	355,000	6/30/2015
63567	Microbiome Studies of Type 1 Diabetes	J. Craig Venter Institute	NIH - Nat'l Inst of Diabetes, Digestive, & Kidney Diseases	823,378	8/31/2015
63753	62860 - Gryphon Scientific	Battelle Columbus Operations	DHS - Department of Homeland Security	50,000	3/31/2013
64155	62631 - Support for Midwest Carbon Sequestration	Battelle Columbus Operations	Ohio Department of Development	10,000	10/31/2012