| | | | | | 1. CONTRACT ID CODE PAGE | | | OF | PAGES | |
|---|--|--|--|--|--|-------|---|---------|-------------|--|
| AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT | | | | | | | 1 | | 3 | |
| 2. AMENDM | MENDMENT/MODIFICATION NO. 3. EFFECTIVE DATE (M/D/Y) | | 4. REQUISITION/PURCHASE REQ. NO. | | 5. PROJECT NO. (If applicable) | | | | | |
| | | See Block 16C | | | | | 1 | | | |
| 6. ISSUED BY CODE | | | 7. ADMINISTERED BY (If other than Item 6) CODE | | | | | | | |
| U.S. Department of Energy | | | | | | | | | | |
| _ | orthwest Site Office | | | | | | | | | |
| Post Offi | ce Box 350 | | | | | | | | | |
| Richland | , WA 99352 | | | | | | | | | |
| 8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP code) | | | | | 9A. AMENDMENT OF SOLICITATION NO. | | | | | |
| Battelle Memorial Institute | | | | | 9B. DATED (SEE ITEM 11) | | | | | |
| Pacific Northwest Division | | | | | | | | | | |
| Richland, Benton County, WA 99352 | | | | | 10A. MODIFICATION OF CONTRACT/ ORDER NO. | | | | | |
| DUNS # 032987476 | | | | | DE-AC05-76RL01830 | | | | | |
| | | | | | 10B. DATED (SEE ITEM 13) | | | | | |
| CODE FACILITY CODE | | | | December 30, 1964 | | | | | | |
| 11. THIS ITEM APPLIES TO AMENDMENTS OF SOLICITATIONS | | | | | | | | | | |
| The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers ☐ is extended, ☐ is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning ☐ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE DATE AND HOUR SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and amendment and is received prior to the opening hour and date specified. 12. ACCOUNTING AND APPROPRIATION DATA (If required) | | | | | | | | | | |
| 13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS SET FORTH IN ITEM 14. | | | | | | | | | | |
| CHECK | A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specifv authoritv) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE | | | | | | | | | |
| 1 1 1 | | ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT ADMINISTRATIVE CHANGES (such as changes in paying office, ation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO AUTHORITY OF FAR 43.103(b). | | | | | | | | |
| IXI I | C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO THE AUTHORITY OF: | | | | | | | | | |
| | D. OTHER (Specify type of modification and authority) | | | | | | | | | |
| | | | | | | | | | | |
| E. IMPORTANT: Contractor 🗌 is not, 🛛 is required to sign this document and return _2_ copies to the issuing office. | | | | | | | | | | |
| 14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings. including solicitation/contract subject matter where feasible.) | | | | | | | | | | |
| This bilateral contract modification incorporates administrative changes to Part III, Section J, Appendix E, "Standards of Performance-Based | | | | | | | | | | |
| Fee", "Fiscal Year 2020 Battelle Performance Evaluation and Measurement Plan for the Management and Operations of the Pacific | | | | | | | | | | |
| Northwest National Laboratory". (See Continuation Pages for the purpose and description of this modification) | | | | | | | | | | |
| | | | | | | | | | | |
| 15A. NAME AND TITLE OF SIGNER (Type or print) | | | | 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) | | | | | | |
| Dana Storms | | | | C. Sue Harp | | | | | | |
| Prime Contract Manager | | | | Contracting Officer | | | | | | |
| | | | | | | | | T 465 - | | |
| 15B. CON | TRACTOR/OFFEROR | 15C. DATE SIGNED | 16B. UNITE | USTATI | ES OF AMERIC | А | | 16C. [| DATE SIGNED | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| (Signature of person authorized to sign) | | | (Signati | ure of C | Contracting Off | icer) | | | | |

Purpose of Modification:

The Department of Energy (DOE), Office of Science (SC), Pacific Northwest Site Office (PNSO) is modifying the contract to update Part III, Section J, Appendix E as follows:

- 1. Update performance language and grading definition tables within the Fiscal Year 2020 Performance Evaluation Measurement Plan (PEMP) Goal 4.0. This update is for clarification purposes and does not change or modify Contractor performance requirements.
- 2. See also conformed Appendix E reflecting the below updates as part of this Modification.

Description of Modification:

The following updates are hereby incorporated into the Contract:

- 1. Delete the following bullet under Objective 4.1 by which we mean statement:
 - "• Develop and leverage appropriate relations with private industry to the benefit of the laboratory and the U.S. taxpayer."
- 2. Add the following bullets under Objective 4.3 by which we mean statement:
 - "• Develop and leverage appropriate relationships with private industry and universities to the benefit of the laboratory, DOE and the U.S. taxpayer (e.g., public-private partnerships, long-term research relationships);
 - Facilitate regional partnerships and initiatives that contribute to the local economy and support DOE and laboratory outreach efforts and scientific missions;"
- 3. Edit Letter Grade Table Definitions under Objective 4.3 with the following:

Grade A+ Definition, add as the third sentence: "The laboratory leadership successfully develops national and regional partnerships that significantly enhance DOE and laboratory outreach efforts and scientific missions."

Grade A Definition, add as the third sentence: "The laboratory leadership develops national and regional partnerships that positively impact DOE and laboratory outreach efforts and scientific missions."

Grade B+ Definition, add as the second sentence: "The laboratory leadership develops national and regional partnerships that support DOE and laboratory outreach efforts and scientific missions."

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Grade B Definition, add as the second sentence: "The laboratory has limited partnerships to advance DOE and laboratory outreach efforts and scientific missions."

Grade C Definition, add to the end of the sentence: "and has little success in developing partnerships."

Grade D Definition, add to the end of the sentence: "including partnership efforts."

Grade F Definition add the words "and partnerships" directly after the words "technology activities."

- 4. Replace Objective 4.4 bullets by which we mean statements with deletions and additions as follows:
 - "• Corporate involvement/contributions that to facilitate DOE strategic plans and program initiatives and/or deal with operational challenges at the laboratory;
 - Using corporate resources to enhance DOE mission objectives by establishing joint-appointments or other programs/projects/activities that strengthen the laboratory (e.g., joint appointments, integrated research initiatives, novel educational opportunities), and
 - Providing other contributions to the laboratory that enable the laboratory to do things that are good for <u>DOE</u>, the laboratory and its community and that <u>DOE</u> cannot supply."
- 5. Edit Letter Grade Table Definitions under Objective 4.4 with the following:

Grades A+, A, and B+ Definitions replace the word "lab" with the word "laboratory" and add the words "support and" in front of the words "operation of the laboratory."

6. This modification results in no further changes to the Contract.

(End of Contract Modification)
[M1266]