



**US Army Corps
of Engineers®**

Prepared for the U.S. Army Corps of Engineers, Portland District,
under a Government Order with the U.S. Department of Energy
Contract DE-AC05-76RL01830

PNNL-21175

Compliance Monitoring of Yearling Chinook Salmon and Juvenile Steelhead Survival and Passage at Bonneville Dam, Spring 2011

COMPLIANCE REPORT

JR Skalski
RL Townsend
AG Seaburg

GR Ploskey
TJ Carlson

February 2012



Pacific Northwest
NATIONAL LABORATORY

*Proudly Operated by **Battelle** Since 1965*

DISCLAIMER

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor Battelle Memorial Institute, nor any of their employees, makes **any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights.** Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof, or Battelle Memorial Institute. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

PACIFIC NORTHWEST NATIONAL LABORATORY

operated by

BATTELLE

for the

UNITED STATES DEPARTMENT OF ENERGY

under Contract DE-AC05-76RL01830

Printed in the United States of America

Available to DOE and DOE contractors from the
Office of Scientific and Technical Information,
P.O. Box 62, Oak Ridge, TN 37831-0062;
ph: (865) 576-8401
fax: (865) 576-5728
email: reports@adonis.osti.gov

Available to the public from the National Technical Information Service,
U.S. Department of Commerce, 5285 Port Royal Rd., Springfield, VA 22161
ph: (800) 553-6847
fax: (703) 605-6900
email: orders@ntis.fedworld.gov
online ordering: <http://www.ntis.gov/ordering.htm>



This document was printed on recycled paper.

(9/2003)

Compliance Monitoring of Yearling Chinook Salmon and Juvenile Steelhead Survival and Passage at Bonneville Dam, Spring 2011

Compliance Report

JR Skalski	GR Ploskey
RL Townsend	TJ Carlson
AG Seaburg	

February 2012

Prepared for
U.S. Army Corps of Engineers, Portland District
under a Government Order with the U.S. Department of Energy
Contract DE-AC05-76RL01830

Pacific Northwest National Laboratory
Richland, Washington 99352

Preface

This study was conducted by the Pacific Northwest National Laboratory (PNNL) and the University of Washington (UW) for the U.S. Army Corps of Engineers, Portland District (USACE). The PNNL and UW project managers are Drs. Thomas J. Carlson and John R. Skalski, respectively. The USACE technical lead is Mr. Brad Eppard. The study was designed to estimate dam passage survival at Bonneville Dam as stipulated by the 2008 Federal Columbia River Power System Biological Opinion, and provide additional performance measures at that site as stipulated in the Columbia Basin Fish Accords.

This summary report focuses on the spring run stocks, yearling Chinook salmon, and steelhead. A comprehensive technical report of the 2011 tagging studies at Bonneville Dam will be delivered in 2012.

This report should be cited as follows:

Skalski JR, RL Townsend, A Seaburg, GR Ploskey, and TJ Carlson. 2012. *Compliance Monitoring of Yearling Chinook Salmon and Juvenile Steelhead Survival and Passage at Bonneville Dam, Spring 2011*. PNNL-21175, Final Report, Pacific Northwest National Laboratory, Richland, Washington.

Summary

The purpose of this compliance study was to estimate dam passage survival of yearling Chinook salmon and steelhead smolts at Bonneville Dam during spring 2011. Under the 2008 Federal Columbia River Power System Biological Opinion (BiOp), dam passage survival should be greater than or equal to 0.96 and estimated with a standard error (SE) less than or equal to 0.015. The study also estimated smolt passage survival from the forebay 2 km upstream of the dam to the tailrace 1 km below the dam, as well as the forebay residence time, tailrace egress, and spill passage efficiency, as required in the Columbia Basin Fish Accords.

A virtual/paired-release design was used to estimate dam passage survival at Bonneville Dam. The approach included releases of acoustic-tagged smolts above Bonneville Dam that contributed to the formation of a virtual release at the face of the dam. A survival estimate from this release was adjusted by a paired release below Bonneville Dam. A total of 7692 yearling Chinook salmon and 7766 steelhead smolts were tagged and released during the study. The Juvenile Salmon Acoustic Telemetry System (JSATS) tag model number ATS-156dB, weighing 0.438 g in air, was used in this investigation.

The high flows during spring 2011 disrupted planned 100 kcfs spill operations at Bonneville Dam. Therefore, dam passage survival was estimated for the early part of the study (i.e., 30 April–13 May) when spill was about 100 kcfs and for the entire season, which included much higher spill levels from 18–31 May 2011. The study results are summarized in the following tables.

Table ES.1. Estimates of dam passage survival^(a) at Bonneville Dam in 2011.

Period of Performance	Yearling Chinook Salmon	Steelhead
Early season (30 April–13 May)	0.9569 (0.0042) ^(b)	0.9755 (0.0180)
Season-wide (30 April–31 May)	0.9597 (0.0176)	0.9647 (0.0212)

(a) Dam passage survival is defined as survival from the upstream face of the dam to a standardized reference point in the tailrace.

(b) Used V_1 in a single-release model.

Table ES.2. Fish Accords performance measures at Bonneville Dam in 2011.

Performance Measures	Yearling Chinook Salmon	Steelhead
Forebay-to-tailrace survival ^(a)		
Early season (30 April – 13 May)	0.9579 (0.0042)	0.9752 (0.0180)
Season-wide (30 April – 31 May)	0.9528 (0.0175)	0.9589 (0.0211)
Forebay residence time (mean)	5.34 h (0.46)	7.00 h (0.43)
Tailrace egress rate (mean)	1.89 h (0.19)	3.77 h (0.32)
Spill passage efficiency ^(b)	0.5660 (0.0067)	0.5443 (0.0066)
Fish passage efficiency	0.7070 (0.0061)	0.7401 (0.0058)

(a) The forebay-to-tailrace survival estimate satisfies the “BRZ-to-BRZ” survival estimate called for in the Fish Accords.

(b) Spill passage efficiency presented here is the proportion of fish passing the dam at the spillway out of total project passage. However, by definition in the Fish Accords, spill passage efficiency includes passage through the spillway and the ice and trash sluiceway at Bonneville Dam, so this combined metric also is presented.

Table ES.3. Survival study summary.

Year: 2011		
Study Site(s): Bonneville Dam		
Objective(s) of study: Estimate dam passage survival and other performance measures for yearling Chinook salmon and steelhead.		
Hypothesis (if applicable): Not applicable; this is a compliance study.		
Fish: Species-race: yearling Chinook salmon (CH1), steelhead (STH) Source: John Day Dam fish collection facility		Implant Procedure: Surgical: Yes Injected: No
Size (median):	CH1 STH	Sample Size: CH1 STH
Weight:	32.39 g 72.42 g	# release sites: 3 3
Length:	148.5 mm 203.2 mm	# releases 32 32
		Total # 7692 7766 released:
Tag: Type/model: Advanced Telemetry Systems (ATS)-156dB Weight (gm): 0.438 g (air)	Analytical Model: Virtual/paired release	Characteristics of Estimate: Effects Reflected (direct, total, etc.): Direct Absolute or Relative: Absolute
Environmental/Operating Conditions (daily from 30 April through 31 May 2011): Discharge (kcfs): mean 380.9, minimum 231.6, maximum 506.5 Temperature (deg C): mean 11.4, minimum 9.4, maximum 12.8 Total Dissolved Gas (tailrace): mean 116.1%, minimum 110.2%, maximum 122.5% Treatment(s): None Unique Study Characteristics: Flood conditions after 13 May 2011		
Survival and Passage Estimates (value & SE):	CH1	STH
Dam survival		
• Early season	0.9569 (0.0042)	0.9755 (0.0180)
• Entire season	0.9597 (0.0176)	0.9647 (0.0212)
Forebay-to-tailrace survival		
• Early season	0.9579 (0.0042)	0.9752 (0.0180)
• Entire season	0.9528 (0.0175)	0.9589 (0.0211)
Forebay residence time	5.34 h (0.46)	7.00 h (0.43)
Tailrace egress rate	1.89 h (0.19)	3.77 h (0.32)
Spill passage efficiency	0.5660 (0.0067)	0.5443 (0.0066)
Fish passage efficiency	0.7070 (0.0061)	0.7401 (0.0058)
Compliance Results: Steelhead estimates of dam passage survival met survival requirement (i.e., $\hat{S} \geq 0.96$), but standard errors were too large (i.e., $\widehat{SE} \leq 0.015$) and did not meet BiOp requirement. Yearling Chinook salmon estimates of dam passage survival did not meet BiOp requirement.		

Acknowledgments

This study was the result of hard work by dedicated scientists from the Pacific Northwest National Laboratory (PNNL), Pacific States Marine Fisheries Commission (PSMFC), the U.S. Army Corps of Engineers, Portland District (USACE), and the University of Washington (UW). Their teamwork and attention to detail, schedule, and budget were essential for the study to succeed in providing high-quality, timely results to decision-makers.

- PNNL: C Arimescu, T Able, G Batten, C Brandt, A Bryson, K Carter, E Choi, D Deng, K Deters, G Dirkes, J Duncan, D Faber, E Fischer, A Flory, T Fu, D Geist, B Goodman, K Hall, M Halvorsen, K Ham, K Hand, M Hennen, JL Hughes, JS Hughes, G Johnson, F Kahn, R Karls, J Kim, B LaMarche, K Larson, K Lavender, J Martinez, B Miller, A Miracle, A Phillips, G Ploskey, G Roesijadi, I Royer, D Saunders, J Smith, G Squeochs, S Southard, N Tavin, A Thronas, S Titzler, N Trimble, D Trott, K Wagner, M Weiland, C Woodley, J Varvynec, and S Zimmerman.
- PSMFC: G Kolvachuk and D Ballenger along with the helpful staff at John Day and Bonneville Dam Juvenile Smolt Facilities. In addition, B Babcock, R Blanchard, S Carpenter, A Collins, L Cox, A Cushing, T Elder, D Etherington, M Gay, G George, A Halston, C Holzer, M Jenkins, K Knox, D Lock, R Martinson, D Marvin, T Monter, T Mitchell, M Neumann, L Oa's, T Royal, K Schaedel, N Tancreto, and R Wall.
- USACE: B Eppard, M Langeslay, and electricians, mechanics, riggers, operators, and biologists at John Day (M Zyndol and T Hurd), The Dalles (B Cordie), and Bonneville dams (J Rerecich, B Hausmann, and A Traylor).
- UW: J Skalski, R Townsend, A Seaburg, J Lady, and P Westhagen.

Acronyms and Abbreviations

°C	degree(s) Celsius
3D	three-dimensional
ATS	Advanced Telemetry Systems
B1	Bonneville Powerhouse 1
B2	Bonneville Powerhouse 2
B2CC	Bonneville Powerhouse 2 Corner Collector
BiOp	Biological Opinion
BRZ	boat-restricted zone
FCRPS	Federal Columbia River Power System
FPE	fish passage efficiency
g	gram(s)
h	hour(s)
JSATS	Juvenile Salmon Acoustic Telemetry System
kcf/s	thousand cubic feet per second
km	kilometer(s)
L	liter(s)
m	meter(s)
mg	milligram(s)
mm	millimeter(s)
MOA	Memorandum of Agreement
PIT	passive integrated transponder
PNNL	Pacific Northwest National Laboratory
PRI	pulse repetition interval
rkm	river kilometer(s)
RME	research, monitoring, and evaluation
ROR	run-of-river
RPA	Reasonable and Prudent Alternative
s	second(s)
SE	standard error
SPE	spill passage efficiency
USACE	U.S. Army Corps of Engineers
UW	University of Washington

Contents

Preface	iii
Summary	v
Acknowledgments.....	vii
Acronyms and Abbreviations	ix
1.0 Introduction	1.1
1.1 Background	1.1
1.2 Study Objectives	1.2
2.0 Methods	2.1
2.1 Release-Recapture Design.....	2.1
2.2 Handling, Tagging, and Release Procedures.....	2.3
2.2.1 Acoustic Tags.....	2.3
2.2.2 Fish Source.....	2.3
2.2.3 Tagging Procedure	2.3
2.2.4 Release Procedures.....	2.4
2.3 Acoustic Signal Processing	2.4
2.4 Statistical Methods	2.6
2.4.1 Estimation of Passage Survival	2.6
2.4.2 Tag-Life Analysis.....	2.7
2.4.3 Tests of Assumptions	2.7
2.4.4 Estimation of Forebay-to-Tailrace Survival.....	2.8
2.4.5 Estimation of Travel Times	2.9
2.4.6 Estimation of Spill Passage Efficiency	2.9
2.4.7 Estimation of Spill + B2CC Passage Efficiency	2.10
2.4.8 Estimation of Fish Passage Efficiency	2.10
3.0 Results	3.1
3.1 Discharge and Spill Conditions.....	3.1
3.2 Assessment of Assumptions.....	3.1
3.2.1 Examination of Tagger Effects.....	3.1
3.2.2 Examination of Tag-Lot Effects.....	3.2
3.2.3 Handling Mortality and Tag Shedding.....	3.2
3.2.4 Examination of Tailrace Release Location Effects on Survival.....	3.2
3.2.5 Examination of Time In-River on Survivals of Different Release Groups	3.3
3.2.6 Fish Size Distribution.....	3.3
3.2.7 Tag-Life Corrections.....	3.6
3.2.8 Arrival Distributions	3.7
3.2.9 Downstream Mixing.....	3.8

3.3	Survival and Passage Performance.....	3.8
3.3.1	Dam Passage Survival.....	3.8
3.3.2	Forebay-to-Tailrace Passage Survival.....	3.17
3.3.3	Forebay Residence Time.....	3.17
3.3.4	Tailrace Egress Time.....	3.19
3.3.5	Spill Passage Efficiency.....	3.19
3.3.6	Spill + B2CC Passage Efficiency.....	3.19
3.3.7	Fish Passage Efficiency.....	3.19
4.0	Discussion.....	4.1
4.1	Study Conduct.....	4.1
4.2	Study Performance.....	4.1
4.3	Cross-Year Summary.....	4.1
5.0	References.....	5.1
	Appendix A – Tests of Assumptions.....	A.1
	Appendix B – Capture Histories Used in Estimating Dam Passage Survival.....	B.1

Figures

2.1	Schematic of the virtual/paired-release design used to estimate dam passage survival at Bonneville Dam	2.2
2.2	Front view schematic of hydrophone deployments at three turbines showing double-detection arrays	2.3
3.1	Daily average total discharge and percent spill at Bonneville Dam during the 2011 JSATS study for yearling Chinook salmon and steelhead from 30 April to 31 May 2011.....	3.1
3.2	Distributions of tailrace detections of V_1 fish on autonomous nodes, numbers of fish released in the tailrace at five locations, and survival rates by tailrace release location.....	3.3
3.3	Relative frequency distributions for fish lengths of yearling Chinook salmon smolts used in Release V_1 , Release R_2 , Release R_3 , and ROR fish sampled at John Day Dam by the Fish Passage Center.	3.4
3.4	Relative frequency distributions for fish lengths of steelhead smolts used in Release V_1 , Release R_2 , Release R_3 , and ROR fish sampled at John Day Dam by the Fish Passage Center.	3.5
3.5	Range and median lengths of acoustic-tagged yearling Chinook salmon and steelhead used in the 2011 survival studies.....	3.6
3.6	Observed time of tag failure and fitted survivorship curves using the vitality model of Li and Anderson for tag lot 1, tag lot 2, and tag lots 3–5.	3.7
3.7	Comparison of fitted survivorship curves using the Vitality Model of Li and Anderson for JSATS tag lots 1, 2, and 3–5 used in the 2011 compliance studies.	3.8
3.8	Plots of the fitted tag-life survivorship curves for tag lots 1, 2, 3–5 and the arrival-time distributions of yearling Chinook salmon smolts and steelhead smolts for releases from CR390, CR346, CR325, CR307, CR275, CR233, and CR161 at the acoustic-detection array located at rkm 86.....	3.9
3.9	Frequency distribution plots of downstream arrival timing for yearling Chinook salmon releases R_2 and R_3 at detection arrays located at rkm 113 and rkm 86.....	3.13
3.10	Frequency distribution plots of downstream arrival timing for steelhead releases R_2 and R_3 at detection arrays located at rkm 113 and rkm 86	3.14
3.11	Distribution of forebay residence times for yearling Chinook salmon and steelhead smolts at Bonneville Dam, 2011.	3.18
3.12	Distribution of tailrace egress times for yearling Chinook salmon and steelhead smolts at Bonneville Dam, 2011.	3.20

Tables

2.1	Sample sizes of acoustic-tag releases used in the yearling Chinook salmon and steelhead survival studies at Bonneville Dam in 2011.	2.3
2.2	Relative release times for the acoustic-tagged fish to accommodate downstream mixing.	2.4
3.1	Estimated probabilities of an acoustic tag being active at a downstream detection site for yearling Chinook salmon smolts and steelhead smolts by tag lot and release group.....	3.11
3.2	Survival, detection, and λ parameters for final model used to estimate dam passage survival for yearling Chinook salmon smolts during the early part of spring	3.15
3.3	Survival, detection, and λ parameters for final model used to estimate dam passage survival for yearling Chinook salmon smolts for the entire study period.....	3.15
3.4	Survival, detection, and λ parameters for final model used to estimate dam passage survival for steelhead smolts during the early part of spring	3.16
3.5	Survival, detection, and λ parameters for final model used to estimate dam passage survival for steelhead smolts for the entire study period	3.17
3.6	Summary of the estimates of forebay-to-tailrace survival at Bonneville Dam in 2011 for yearling Chinook salmon and steelhead smolts for early season and the entire study.....	3.17
3.7	Estimated mean and median forebay residence times and mean and median tailrace egress times for yearling Chinook salmon and steelhead smolts at Bonneville Dam in 2011.....	3.19
4.1	Summary of 2010 and 2011 estimates of dam passage survival using best available information from either a conservative single-release model or the virtual/paired-release model by fish stock	4.2

1.0 Introduction

The compliance monitoring study reported here was conducted by researchers at Pacific Northwest National Laboratory (PNNL) and the University of Washington (UW) for the U.S. Army Corps of Engineers, Portland District (USACE) in spring 2011. The purpose of the study was to estimate dam passage survival at Bonneville Dam as stipulated by the 2008 Federal Columbia River Power System (FCRPS) Biological Opinion (BiOp), and provide additional performance measures at the dam as stipulated in the Columbia Basin Fish Accords for yearling Chinook salmon and steelhead (3 Treaty Tribes-Action Agencies 2008).

1.1 Background

The 2008 BiOp on operation of the FCRPS contains a Reasonable and Prudent Alternative (RPA) that includes actions calling for measurements of juvenile salmonid survival (RPAs 52.1 and 58.1). These RPAs are being addressed as part of the federal research, monitoring, and evaluation (RME) effort for the FCRPS BiOp. Most importantly, the FCRPS BiOp includes performance standards for juvenile salmonid survival in the FCRPS against which the Action Agencies (Bonneville Power Administration, Bureau of Reclamation, and USACE) must compare their performance estimates, as follows (after the RME Strategy 2 of the RPA):

Juvenile Dam Passage Performance Standards – The Action Agencies juvenile performance standards are an average across Snake River and lower Columbia River dams of 96% average dam passage survival for spring Chinook salmon and steelhead and 93% average across all dams for Snake River subyearling Chinook. Dam passage survival is defined as survival from the upstream face of the dam to a standardized reference point in the tailrace.

The 2008 *Columbia Basin Fish Accords Memorandum of Agreement [MOA] between the Three Treaty Tribes and FCRPS Action Agencies* (3 Treaty Tribes-Action Agencies 2008), known informally as the Fish Accords,¹ contains three additional requirements relevant to the 2010 survival studies (after the MOA Attachment A):

Dam Survival Performance Standard – Meet the 96% dam passage survival standard for yearling Chinook salmon and steelhead and the 93% standard for subyearling Chinook. Achievement of the standard is based on 2 years of empirical survival data....

Spill Passage Efficiency and Delay Metrics – Spill passage efficiency (SPE) and delay metrics under current spill conditions... are not expected to be degraded (“no backsliding”) with installation of new fish passage facilities at the dams....

Future Research, Monitoring and Evaluation – The Action Agencies’ dam survival studies for purposes of determining juvenile dam passage performance will also collect information about SPE, survival and delay between boat-restricted zones (BRZs), and other distribution and survival information. SPE and delay metrics will be considered in the performance check-ins or with Configuration and Operations Plan updates, but not as principal or priority metrics over dam survival

¹ Available at http://www.salmonrecovery.gov/Files/BiologicalOpinions/MOA_ROD.pdf.

performance standards. Once a dam meets the survival performance standard, SPE and delay metrics may be monitored coincidentally with dam survival testing.

This report summarizes the results of the 2011 spring acoustic-telemetry study of yearling Chinook salmon and steelhead at Bonneville Dam to assess the Action Agencies' compliance with the performance criteria of the BiOp and Fish Accords.

1.2 Study Objectives

The purpose of spring 2011 compliance monitoring at Bonneville Dam was to estimate performance measures for yearling Chinook salmon and steelhead smolts as outlined in the FCRPS BiOp and Fish Accords. For each fish stock, the following metrics were estimated using the Juvenile Salmon Acoustic Telemetry System (JSATS) technology:

- Dam passage survival, defined as survival from the upstream face of the dam to a standardized reference point in the tailrace. Performance¹ should be $\geq 96\%$ survival for spring stocks (i.e., yearling Chinook salmon and steelhead). Survival should be estimated with a standard error (SE) $\leq 1.5\%$.
- Forebay-to-tailrace survival, defined as survival from a forebay array 2 km upstream of the dam to a tailrace array 1 km downstream. The forebay-to-tailrace survival estimate satisfies the "BRZ-to-BRZ" survival estimated called for in the Fish Accords.
- Forebay residence time is calculated by subtracting the time of first detection on the forebay entrance array (river kilometer [rkm] 236) from the time of last detection on the dam-face array (rkm 234). For the population of tagged smolts passing the forebay, we estimated the mean, standard error, and median forebay residence time.
- Tailrace egress time is calculated by subtracting the time of last detection on the dam-face array (rkm 234) from the time of last detection on the tailrace array (rkm 233). For the population of tagged smolts passing through the tailrace, we estimated the mean, standard error, and median egress time.
- SPE is defined as the fraction of fish going through the dam via the spillway.
- Spill + Bonneville Powerhouse 2 Corner Collector (B2CC) passage efficiency (SPE2) is defined as the fraction of fish passing through the dam via the spillway and B2CC, as defined by the 2008 Fish Accords.
- Fish passage efficiency (FPE), defined as the fraction of fish going through the dam via the spillway and the sluiceway.²

Results are reported for the two fish stocks by performance measure. This report is designed to provide a succinct and timely summary of BiOp/Fish Accords performance measures. A subsequent, comprehensive technical report scheduled for 2012 will provide more detailed data on survival and fish passage for yearling Chinook salmon and steelhead at Bonneville Dam in 2011.

¹ Performance as defined in the 2008 FCRPS BiOp, Section 6.0.

² This was called spill passage efficiency in the Fish Accords.

2.0 Methods

Study methods involved fish release and recapture; the associated fish-handling, tagging, and release procedures; acoustic signal processing; and statistical and analytical approaches.

2.1 Release-Recapture Design

The release-recapture design used to estimate dam passage survival at Bonneville Dam consisted of a novel combination of a virtual release (V_1) of fish at the face of the dam and a paired release below the dam (Figure 2.1) (Skalski et al. 2010a, 2010b). Tagged fish released at five sites upstream of Bonneville Dam were used to supply a source of fish known to have arrived alive at the face of the dam. Upstream release sites were near Roosevelt, Washington (rkm 390), which is 41 km upstream of John Day Dam; the John Day Dam tailrace (rkm 346); Celilo, Oregon (rkm 325); The Dalles Dam tailrace (rkm 307); and Hood River, Oregon (rkm 275). By releasing the fish far enough upstream, they should have arrived at the dam in a spatial pattern typical of run-of-river (ROR) fish. This virtual-release group was then used to estimate survival through the dam and some distance beyond (i.e., rkm 161) (Figure 2.1). The location for the detection array at rkm 161 was chosen so that there was little or no chance of detecting fish that died during dam passage and floated downriver with still active tags. To account and adjust for this extra reach mortality, we made paired releases below Bonneville Dam in the tailrace at R_2 and in the tailwater near Knapp, Washington at R_3 (Figure 2.1), to estimate survival in that river segment below the dam. Dam passage survival was then estimated as the quotient of the survival estimates for the virtual release to that of the paired release. The sizes of the releases of the acoustic-tagged fish used in the dam passage survival estimates are summarized in Table 2.1.

The same release-recapture design was also used to estimate forebay-to-tailrace survival, except that the virtual-release group was constructed of fish known to have arrived at the forebay array (rkm 236). The same below-dam paired release was used to adjust for the extra release mortality below the dam as was used to estimate dam passage survival. The double-detection arrays at the face of the dam (Figure 2.2) were analyzed as two independent arrays to allow estimation of detection probabilities by route of passage and assign routes of passage. These passage-route data were used to calculate SPE, spillway + B2CC passage efficiency, and FPE at Bonneville Dam. Detections on the forebay entrance array and dam-face array were used to estimate forebay residence time. The fish used in the virtual release at the face of the dam were also used to estimate tailrace egress time.

Three distinct manufacturing lots of tags were used during the spring 2011 JSATS study, (i.e., 1, 2, and 3–5). From each of these tag lots, approximately 50 tags (i.e., 50, 50, and 59, respectively) were randomly sampled to be used in tag-life assessments. The tags were activated, held in river water, and monitored continuously until they failed. The information from the tag-life study was used to adjust the perceived survival estimates from the Cormack-Jolly-Seber release-recapture model according to the methods of Townsend et al. (2006).

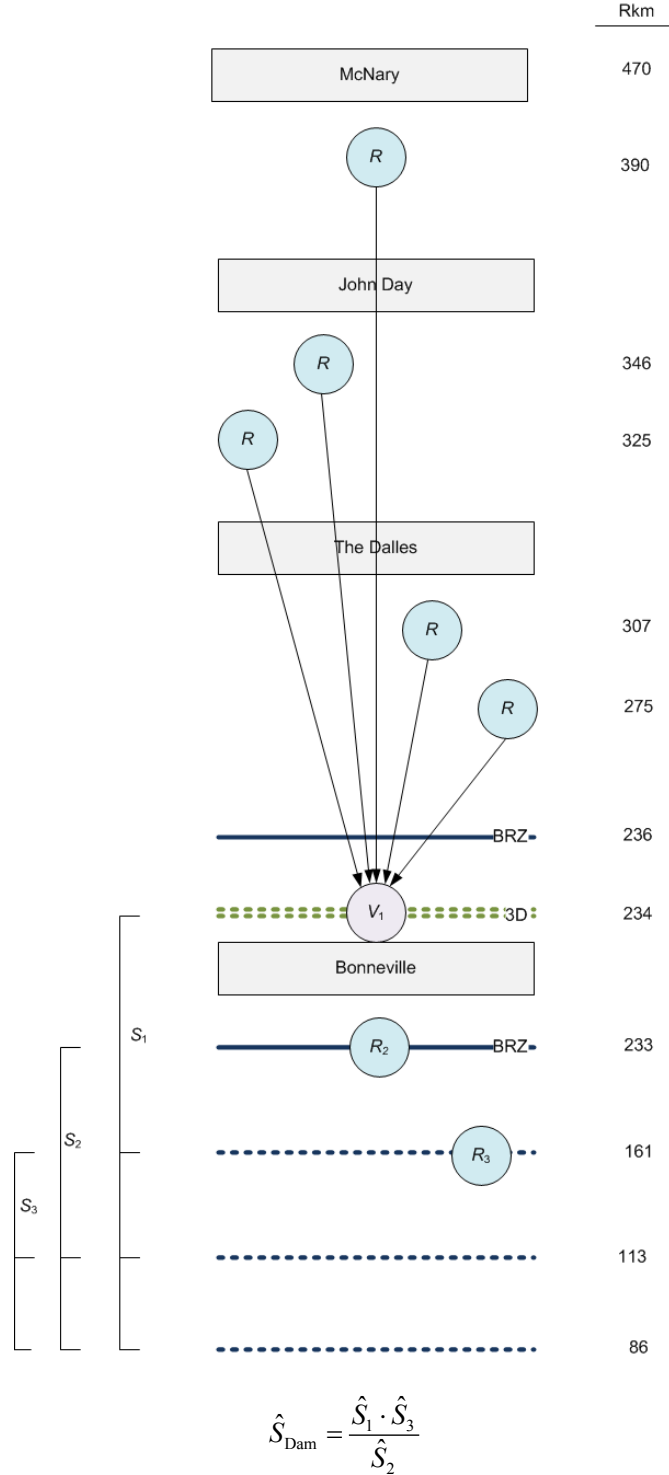


Figure 2.1. Schematic of the virtual/paired-release design used to estimate dam passage survival at Bonneville Dam. The virtual release (V_1) was composed of fish that arrived at the dam face from the release locations at rkm 390, 346, 325, 307, and 275. The below-dam release pair was composed of releases R_2 and R_3 with detection arrays used in the survival analysis denoted by dashed lines.

Table 2.1. Sample sizes of acoustic-tag releases used in the yearling Chinook salmon and steelhead survival studies at Bonneville Dam in 2011.

Release Location	Yearling Chinook Salmon	Steelhead
Upriver Releases (R_1)	6100	6180
Virtual Release (V_1)	5542	5663
Bonneville Tailrace (R_2)	798	792
Bonneville Reservoir (R_3)	794	794

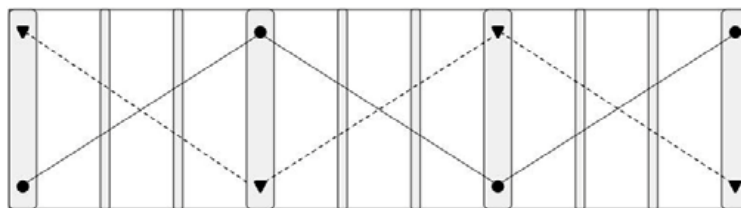


Figure 2.2. Front view schematic of hydrophone deployments at three turbines showing double-detection arrays. Circles denote four hydrophones contributing to Array 1 and triangles show four hydrophones contributing to Array 2. The alternating shallow and deep hydrophone deployment pattern on successive piers was used at all turbines and spill bays at the dam.

2.2 Handling, Tagging, and Release Procedures

Fish obtained from the John Day Dam juvenile bypass system were surgically implanted with JSATS tags, and then transported to seven different release points, as described in the following sections.

2.2.1 Acoustic Tags

The acoustic tags used in the spring 2011 study were manufactured by Advanced Telemetry Systems (ATS). Each tag, model number ATS-156dB, measured approximately 12 mm in length, 5.2 mm in width, 3.7 mm in thickness, and weighed approximately 0.43 g in air. The tags had a nominal transmission rate of 1 pulse every 3 s. Nominal tag life was expected to be about 25 days.

2.2.2 Fish Source

The yearling Chinook salmon and steelhead used in the study were all obtained from the John Day Dam juvenile bypass system. The Pacific States Marine Fisheries Commission diverted fish from the juvenile bypass system into an examination trough, as described by Martinson et al. (2006). Fish ≥ 95 mm in length without malformations or excessive descaling ($>20\%$) were selected for tagging.

2.2.3 Tagging Procedure

The fish to be tagged were anesthetized in an 18.9-L “knockdown” bucket with fresh river water and tricaine methanesulfonate (MS-222; 80 to 100 mg/L). Anesthesia buckets were refreshed repeatedly to

maintain the temperature within $\pm 2^{\circ}\text{C}$ of current river temperatures. Each fish was weighed and measured before tagging.

During surgery, each fish was placed ventral side up and a gravity-fed anesthesia supply line was placed into its mouth. The dilution of the “maintenance” anesthesia was 40 mg/L. Using a surgical blade, a 6- to 8-mm incision was made in the body cavity between the pelvic girdle and pectoral fin. A passive integrated transponder (PIT) tag was inserted followed by an acoustic tag. Both tags were inserted toward the anterior end of the fish. The incision was closed using 5-0 Monocryl suture.

After closing the incision, the fish were placed in a dark 18.9-L transport bucket filled with aerated river water. Fish were held in these buckets for 18 to 24 h before being transported for release into the river. The loading rate was five fish per bucket.

2.2.4 Release Procedures

All fish were tagged at John Day Dam and transported by truck to release locations (Figure 2.1). Transportation routes for reference release pairs below study dams were standardized to provide equal transport times. In practice, transport times were similar for the five upstream release sites and longer (2.5 h) but identical for the two reference releases downstream of Bonneville Dam. Upon arriving at each release site (Table 2.1), fish buckets were transferred to a boat for transport to five release locations spanning the width of the river, and equal numbers of buckets of fish were released at each of the five locations.

Released fish arrived at Bonneville Dam over 30 consecutive days (from 30 April to 31 May 2011) during all hours of the day. This arrival pattern was facilitated by having five release sites located from 41 to 156 km upstream of the dam, and by alternating between daytime and nighttime releases at each site, over the course of the study. The timing of the releases at the release sites was staggered to help facilitate downstream mixing (Table 2.2).

Table 2.2. Relative release times for the acoustic-tagged fish to accommodate downstream mixing.

Release Location	Relative Release Times	
V_1 (rkm 234)	Continuous	Continuous
R_2 (rkm 233)	Day 1: 0800	Day 1: 2000
R_3 (rkm 161)	Day 2: 0500	Day 2: 1700

2.3 Acoustic Signal Processing

Transmissions of JSATS tag codes received on cabled and autonomous hydrophones were recorded in raw data files. These files were downloaded periodically and transported to PNNL’s North Bonneville offices for processing. Receptions of tag codes within raw data files were processed to produce a data set of accepted tag-detection events. For cabled arrays, detections from all hydrophones at a dam were combined for processing. The following three filters were used:

- **Multipath filter:** For data from each individual cabled hydrophone, all tag-code receptions that occur within 0.156 s after an initial identical tag code reception were deleted under the assumption that closely lagging signals are multipath. Initial code receptions were retained. The delay of 0.156 s was the maximum acceptance window width for evaluating a pulse repetition interval (PRI) and was computed as $2(\text{PRI_Window} + 12 \times \text{PRI_Increment})$. Both PRI_Window and PRI_Increment were set at 0.006 s, which was chosen to be slightly larger than the potential rounding error in estimating PRI to two decimal places.
- **Multi-detection filter:** Receptions were retained only if the same tag code was received at another hydrophone in the same array within 0.3 s because receptions on separate hydrophones within 0.3 s (about 450 m of range) were likely from a single tag transmission.
- **PRI filter:** Only those series of receptions of a tag code (or “messages”) that were consistent with the pattern of transmissions from a properly functioning JSATS acoustic tag were retained. Filtering rules were evaluated for each tag code individually, and it was assumed that only a single tag would be transmitting that code at any given time. For the cabled system, the PRI filter operated on a message, which included all receptions of the same transmission on multiple hydrophones within 0.3 s. Message time was defined as the earliest reception time across all hydrophones for that message. Detection required that at least six messages be received with an appropriate time interval between the leading edges of successive messages.

The receptions of JSATS tag codes within raw data files from autonomous nodes were also processed to produce a data set of accepted tag-detection events, or events for short. A single file was processed at a time, and no information about receptions at other nodes was used. The Multipath and PRI filters described above were used.

The output of this process was a data set of events that summarized accepted tag detections for all times and locations where hydrophones were operating. Each unique event record included a basic set of fields that indicated the unique identification number of the fish, the first and last detection time for the event, the location of detection, and how many messages were detected within the event. This list was combined with accepted tag detections from the autonomous arrays and PIT-tag detections for additional quality assurance/quality control analysis prior to survival analysis. Additional fields capture specialized information, where available. One such example was route of passage, which was assigned a value for those events that immediately precede passage at a dam based on spatial tracking of tagged fish movements to a location of last detection. Multiple receptions of messages within an event can be used to triangulate successive tag positions relative to hydrophone locations.

One of the most important quality control steps was to examine the chronology of detections of every tagged fish on all arrays above and below the dam-face array to identify any detection sequences that deviated from the expected upstream to downstream progression through arrays in the river. Except for possible detections on forebay entrance arrays after detection on a nearby dam-face array 1 to 3 km downstream, apparent upstream movements of tagged fish between arrays that were greater than 5 km apart or separated by one or more dams were very rare (<0.015%) and probably represented false positive detections on the upstream array. False positive detections usually will have close to the minimum number of messages and were deleted from the event data set before survival analysis.

Three-dimensional (3D) tracking of JSATS-tagged fish in the immediate forebay of Bonneville Dam was used to determine routes of passage to estimate SPE. Acoustic tracking is a common technique in

bioacoustics based on time-of-arrival differences among different hydrophones. Usually, the process requires a three-hydrophone array for two-dimensional tracking and a four-hydrophone array for 3D tracking. For this study, only 3D tracking was performed. The methods were similar to those described by Weiland et al. (2010).

2.4 Statistical Methods

Statistical methods were used to test assumptions and estimate passage survival, tag life, forebay-to-tailrace survival, travel times, SPE, spill + B2CC passage efficiency, and FPE.

2.4.1 Estimation of Passage Survival

Maximum likelihood estimation was used to estimate dam passage survival at Bonneville Dam based on the virtual/paired-release design. The capture histories from all the replicate releases, both daytime and nighttime, were pooled to produce the estimate of dam passage survival. A joint likelihood model was constructed of a product multinomial with separate multinomial distributions describing the capture histories of the separate release groups (i.e., V_1 , R_2 , and R_3) and differentiated by tag lot. The major manufacturing lots (i.e., 1, 2, 3–5) had separately estimated tag-life corrections, but we assumed that all fish from a release location had common reach survival parameters.

The joint likelihood used to model the three release groups was initially fully parameterized. Each of the three releases was allowed to have unique survival and detection parameters. If precision was adequate (i.e., $SE \leq 0.015$) with the fully parameterized model, no further modeling was performed. If initial precision was inadequate, then likelihood ratio tests were used to assess the homogeneity of parameters across release groups to identify the best parsimonious model to describe the capture history data. This approach was used to help preserve both precision and robustness of the survival results. All calculations were performed using Program ATLAS (<http://www.cbr.washington.edu/paramest/atlas/>).

Dam passage survival was estimated by the function

$$\hat{S}_{\text{Dam}} = \frac{\hat{S}_1}{\left(\frac{\hat{S}_2}{\hat{S}_3} \right)} = \frac{\hat{S}_1 \cdot \hat{S}_3}{\hat{S}_2} \quad (2.1)$$

where \hat{S}_i is the tag-life-corrected survival estimate for the i th release group ($i = 1, \dots, 3$). The variance of \hat{S}_{Dam} was estimated in a two-step process that incorporated both the uncertainty in the tag-life corrections and the release-recapture processes.

In 2011, the compliance test at Bonneville Dam was disrupted by high flow conditions in late spring. Consequently, a post facto approach to examining dam passage survival during spring 2011 was necessary. Two alternative estimates of dam passage survival were computed as follows:

1. Survival during early period (30 April–13 May 2011)
2. Survival during entire season, including high flows (30 April–31 May 2011).

In estimating dam passage survival during a particular segment of the study, all fish in releases R_2 and R_3 (see Figure 2.1) during the period were used in the analyses.

2.4.2 Tag-Life Analysis

For each of the three major manufacturing lots of JSATS tags (i.e., 1, 2, 3–5), 50–59 acoustic tags were systematically sampled over the course of the yearling Chinook salmon and steelhead smolt tagging process. The tags were continuously monitored from activation to failure in ambient river water. For each tag lot, the failure times were fit to the four-parameter vitality model of Li and Anderson (2009). The vitality model tends to fit acoustic-tag failure times well, because it allows for both early onset of random failure due to manufacturing as well as systematic battery failure later on.

The survivorship function for the vitality model can be rewritten as

$$S(t) = 1 - \left(\Phi \left(\frac{1 - rt}{\sqrt{u^2 + s^2 t}} \right) - e^{\left(\frac{2u^2 r^2 + 2r}{s^4 + s^2} \right)} \Phi \left(\frac{2u^2 r + rt + 1}{\sqrt{u^2 + s^2 t}} \right) \right) e^{-kt} \quad (2.2)$$

where

- Φ = cumulative normal distribution,
- r = average wear rate of components,
- s = standard deviation in wear rate,
- k = rate of accidental failure,
- u = standard deviation in quality of original components.

The random failure component, in addition to battery discharge, gives the vitality model additional latitude to fit tag-life data not found in other failure-time distributions such as the Weibull or Gompertz. Parameter estimation was based on maximum likelihood estimation.

For the virtual-release group (V_1) based on fish known to have arrived at the dam and with active tags, the conditional probability of tag activation, given the tag was active at the detection array at rkm 349, was used in the tag-life adjustment for that release group. The conditional probability of tag activation at time t_1 , given it was active at time t_0 , was computed by the quotient:

$$P(t_1 | t_0) = \frac{S(t_1)}{S(t_0)}$$

2.4.3 Tests of Assumptions

Approaches to assumption testing are described below.

2.4.3.1 Burnham et al. (1987) Tests

Tests 2 and 3 (T2 and T3) of Burnham et al. (1987) have been used to assess whether upstream detection history has an effect on downstream survival. Such tests are most appropriate when fish are physically recaptured or segregated during capture as in the case with PIT-tagged fish going through the juvenile bypass system. However, acoustic-tag studies do not use physical recaptures to detect fish.

Consequently, there is little or no relevance of these tests in acoustic-tag studies. Furthermore, the very high detection probabilities present in acoustic-tag studies frequently preclude calculation of these tests. For these reasons, these tests were not performed.

2.4.3.2 Tests of Mixing

Evaluation of the homogeneous arrival of release groups at downriver detection sites was based on graphs of arrival distributions. The graphs were used to identify any systematic and meaningful departures from mixing. Ideally, the arrival distributions should overlap one another with similarly timed modes.

2.4.3.3 Tagger Effects

Subtle differences in handling and tagging techniques can have an effect on the survival of acoustically tagged smolts used in the estimation of dam passage survival. For this reason, tagger effects were evaluated. The single release-recapture model was used to estimate reach survivals for fish tagged by different individuals. The analysis evaluated whether any consistent pattern of reduced reach survivals existed for fish tagged by any of the tagging staff.

For k independent reach survival estimates, a test of equal survival was performed using the F -test

$$F_{k-1,\infty} = \frac{s_{\hat{S}}^2}{\left(\frac{\sum_{i=1}^k \widehat{\text{Var}}(\hat{S}_i | S_i)}{k} \right)}$$

where

$$s_{\hat{S}}^2 = \frac{\sum_{i=1}^k (\hat{S}_i - \hat{\bar{S}})^2}{k-1}$$

and

$$\hat{\bar{S}} = \frac{\sum_{i=1}^k \hat{S}_i}{k}$$

The F -test was used in evaluating tagger effects as well as tag-lot effects.

2.4.4 Estimation of Forebay-to-Tailrace Survival

The same virtual/paired release methods used to estimate dam passage were also used to estimate forebay-to-tailrace survival. The only distinction was the virtual-release group (V_1) was composed of fish known to have arrived alive at the forebay array (rkm 236) of Bonneville Dam instead of at the dam face (Figure 2.1).

2.4.5 Estimation of Travel Times

Travel times associated with forebay residence time and tailrace egress were estimated using arithmetic averages as specified in the Fish Accords, i.e.,

$$\bar{t} = \frac{\sum_{i=1}^n t_i}{n},$$

with the variance of \bar{t} estimated by

$$\widehat{\text{Var}}(\bar{t}) = \frac{\sum_{i=1}^n (t_i - \bar{t})^2}{n(n-1)},$$

and where t_i was the travel time of the i th fish ($i=1, \dots, n$). Median travel times were also computed and reported.

Tailrace egress time was calculated by subtracting the time of last detection of a fish on the dam-face array (rkm 234) from its time of last detection on the tailrace array (rkm 233). Forebay residence time was calculated by subtracting the time of first detection of a fish on the forebay entrance array (rkm 236) from the time of last detection on the dam-face array (rkm 234). For forebay residence time and tailrace egress time, we estimated the mean, standard error, and median travel times.

2.4.6 Estimation of Spill Passage Efficiency

SPE was estimated by the fraction

$$\widehat{\text{SPE}} = \frac{\hat{N}_{SP}}{\hat{N}_{SP} + \hat{N}_{B1SL} + \hat{N}_{B1T} + \hat{N}_{B2JBS} + \hat{N}_{B2CC} + \hat{N}_{B2T}},$$

where \hat{N}_i is the estimated abundance of acoustic-tagged fish through the i th route (i = spillway [SP], Bonneville Powerhouse 1 sluiceway [B1SL], Powerhouse 1 turbines [B1T], Bonneville Power house 2 juvenile bypass system [B2JBS], Powerhouse 2 corner collector [B2CC], and Powerhouse 2 turbines [B2T]). The double-detection array was used to estimate absolute abundance (N) through a route using the single mark-recapture model (Seber 1982:60) independently at each route. Calculating the variance in stages, the variance of $\widehat{\text{SPE}}$ was estimated as

$$\begin{aligned} \text{Var}(\widehat{\text{SPE}}) = & \frac{\text{SPE}(1-\text{SPE})}{\sum_{i=1}^6 N_i} + \text{SPE}^2 (1-\text{SPE})^2 \\ & \cdot \left[\frac{\text{Var}(\hat{N}_{SP})}{N_{SP}^2} + \frac{\text{Var}(\hat{N}_{B1SL}) + \text{Var}(\hat{N}_{B1T}) + \text{Var}(\hat{N}_{B2JBS}) + \text{Var}(\hat{N}_{B2CC}) + \text{Var}(\hat{N}_{B2T})}{(N_{SL} + N_{B1T} + N_{B2JBS} + N_{B2CC} + N_{B2T})^2} \right]. \end{aligned}$$

2.4.7 Estimation of Spill + B2CC Passage Efficiency

Spill + B2CC passage efficiency was estimated by the fraction

$$\widehat{\text{SPE}}_2 = \frac{\hat{N}_{SP} + \hat{N}_{B2CC}}{\hat{N}_{SP} + \hat{N}_{B1SL} + \hat{N}_{B1T} + \hat{N}_{B2JBS} + \hat{N}_{B2CC} + \hat{N}_{B2T}}.$$

The double-detection array was used to estimate absolute abundance (N) through a route using the single mark-recapture model (Seber 1982:60) independently at each route. Calculating the variance in stages, the variance of $\widehat{\text{SPE}}_2$ was estimated as

$$\begin{aligned} \text{Var}(\widehat{\text{SPE}}_2) = & \frac{\text{SPE}_2(1 - \text{SPE}_2)}{\sum_{i=1}^6 \hat{N}_i} + \text{SPE}_2^2(1 - \text{SPE}_2)^2 \cdot \\ & \cdot \left[\frac{\text{Var}(\hat{N}_{SP}) + \text{Var}(\hat{N}_{B2CC})}{(N_{SP} + N_{B2CC})^2} + \frac{\text{Var}(\hat{N}_{B1SL}) + \text{Var}(\hat{N}_{B1T}) + \text{Var}(\hat{N}_{B2JBS}) + \text{Var}(\hat{N}_{B2T})}{(N_{B1SL} + N_{B2=1T} + N_{B2JBS} + N_{B2T})^2} \right]. \end{aligned}$$

2.4.8 Estimation of Fish Passage Efficiency

Fish passage efficiency was estimated by the fraction

$$\widehat{\text{FPE}} = \frac{\hat{N}_{SP} + \hat{N}_{B2CC} + \hat{N}_{B1SL} + \hat{N}_{B2JBS}}{\hat{N}_{SP} + \hat{N}_{B1SL} + \hat{N}_{B1T} + \hat{N}_{B2JBS} + \hat{N}_{B2CC} + \hat{N}_{B2T}}.$$

Calculating the variance in stages, the variance of $\widehat{\text{FPE}}$ was estimated as

$$\begin{aligned} \text{Var}(\widehat{\text{FPE}}) = & \frac{\text{FPE}(1 - \text{FPE})}{\sum_{i=1}^6 N_i} + \text{FPE}^2(1 - \text{FPE})^2 \\ & \cdot \left[\frac{\text{Var}(\hat{N}_{SP}) + \text{Var}(\hat{N}_{B2CC}) + \text{Var}(\hat{N}_{B1SL}) + \text{Var}(\hat{N}_{B2JBS})}{(N_{SP} + N_{B2CC} + N_{B1SL} + N_{B2JBS})^2} + \frac{\text{Var}(\hat{N}_{B1T}) + \text{Var}(\hat{N}_{B2T})}{(N_{B1T} + N_{B2T})^2} \right]. \end{aligned}$$

In order to expedite this report, all passage efficiencies were calculated based on passage counts assuming all routes had equal probabilities of detection using a binomial sampling model.

3.0 Results

The results cover discharge conditions, tests of assumptions, and survival and passage estimates.

3.1 Discharge and Spill Conditions

The average daily total discharge at Bonneville Dam during the first half of the JSATS survival study (30 April–17 May 2011) was 272.3 kcfs with an average percent spill of 36.9% (Figure 3.1). Over the entire course of the study, average daily total discharge was 380.9 kcfs with an average daily percent spill of 46.1% (Figure 3.1). By the end of the study, daily discharges exceeded 500 kcfs.

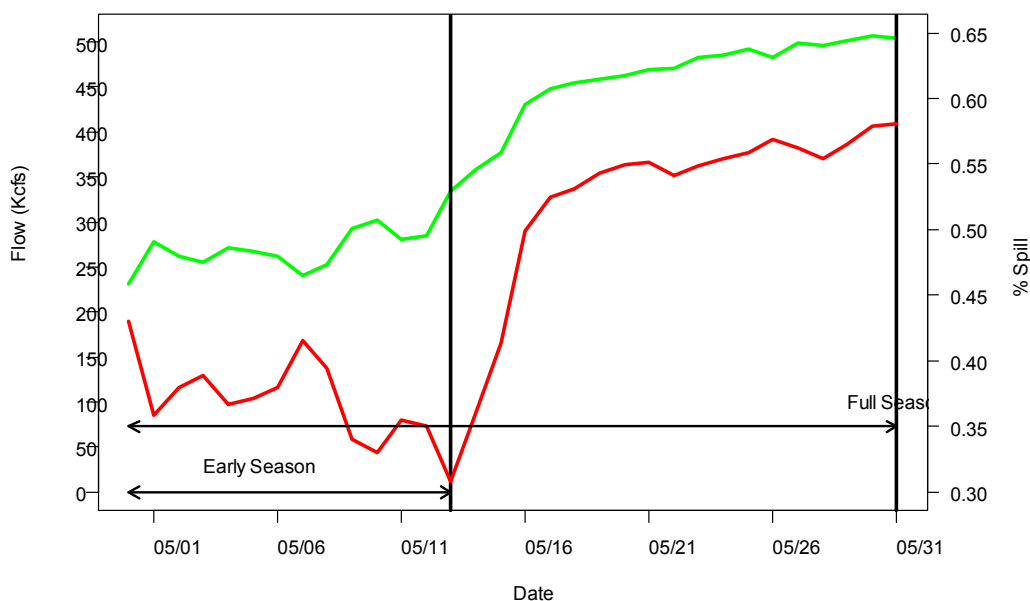


Figure 3.1. Daily average total discharge (kcfs) and percent spill at Bonneville Dam during the 2011 JSATS study for yearling Chinook salmon and steelhead from 30 April to 31 May 2011.

3.2 Assessment of Assumptions

The assessment of assumptions covers tagger effects, tag-lot effects, delayed handling effects, fish size distributions, tag-life corrections, arrival distributions, and downstream mixing.

3.2.1 Examination of Tagger Effects

A total of eight different taggers assisted in tagging all yearling Chinook salmon and steelhead smolts associated with the JSATS survival studies at John Day, The Dalles, and Bonneville dams in spring 2011. Analyses found tagger effort was homogenously distributed either across all locations within a replicate release or within the project-specific releases within a replicate (Appendix A). Examination of reach survivals and cumulative survivals from above John Day Dam to below Bonneville Dam found no consistent or reproducible evidence that fish tagged by different staff members had

different in-river survival rates (Appendix A). Therefore, fish tagged by all taggers were included in the estimation of survival and other performance measures.

3.2.2 Examination of Tag-Lot Effects

Three major tag lots (i.e., 1, 2, and 3–5) were used in the tagging of the yearling Chinook salmon and steelhead smolts during the 2011 JSATS investigations. Overall, tag lots were not homogeneously distributed across all release locations (Appendix A). However, they were homogeneously distributed within each of the below-dam paired releases (i.e., R_2 – R_3 , R_4 – R_5 , and R_6 – R_7) used in the virtual/paired-release design (Appendix A).

After correcting for differences in tag life, there was no consistent or reproducible evidence to indicate differences in survival for fish tagged by the different tag lots. Therefore, fish tagged from all tag lots were used in the estimation of survival and other performance measures.

3.2.3 Handling Mortality and Tag Shedding

Fish were held for 24 to 36 h prior to release. The pre-release tagging mortality in spring was 0.31% for yearling Chinook salmon smolts and 0.08% for juvenile steelhead. No tags were shed during the 24-h holding period.

3.2.4 Examination of Tailrace Release Location Effects on Survival

We explored the distribution of weighted detections of dam-passed fish (V_1 in Figure 2.1) on tailrace autonomous nodes relative to the distribution of reference releases among five locations in the tailrace and examined the effect of tailrace release location on single release survival rates to an array near Vancouver, Washington at rkm 161 (Figure 3.2). The percent of fish detected on three autonomous nodes in the Bonneville tailrace was weighted to equalize sampling effort among node locations. Sampling effort varied because some nodes stopped sampling prematurely because of damage or they were lost. Detectability, as indicated by the percent of detections that only had the minimum number of hits, did not vary among the tailrace locations.

The uniform distribution of fish releases among five locations in the tailrace appeared to be reasonable given the observed distribution of detections of dam-passed fish (V_1 – Figure 2.1) weighted only for sampling effort. Fish that passed the dam were detected at only a slightly higher percentage on the middle node than on nodes on either side of the channel. Survival rates to Vancouver varied from 0.982 to 0.992 for yearling Chinook salmon smolts and from 0.945 to 0.991 for juvenile steelhead. Wide and overlapping 95% confidence intervals suggest that point estimates of survival rates did not differ significantly among release locations. Low precision is expected given sample sizes of about 150 fish per location over the study season.

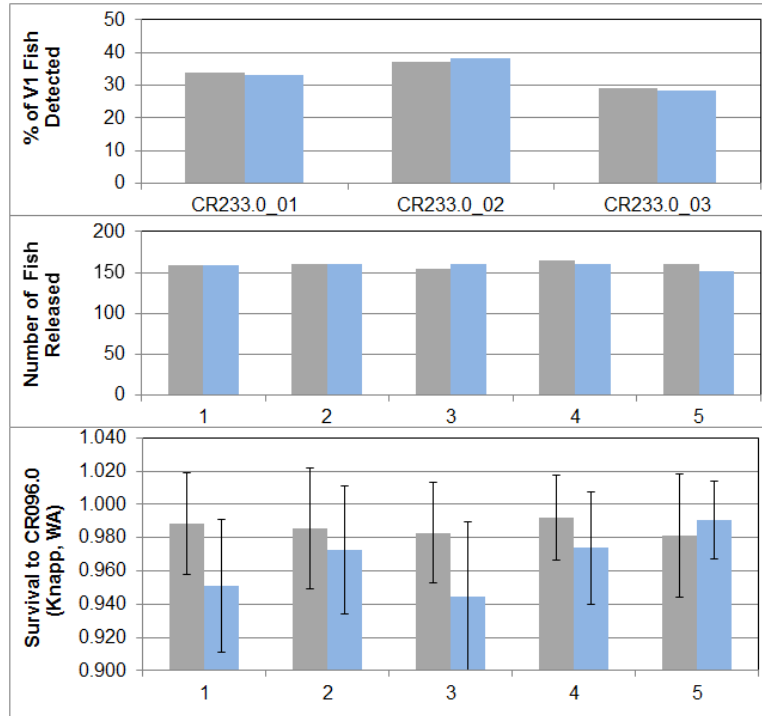


Figure 3.2. Distributions of tailrace detections of V_1 fish (see Figure 2.1) on autonomous nodes (top), numbers of fish released in the tailrace at five locations (middle), and survival rates by tailrace release location (bottom). Gray bars are for yearling Chinook salmon smolts; blue bars are for juvenile steelhead; vertical bars are 95% confidence intervals on survival estimates.

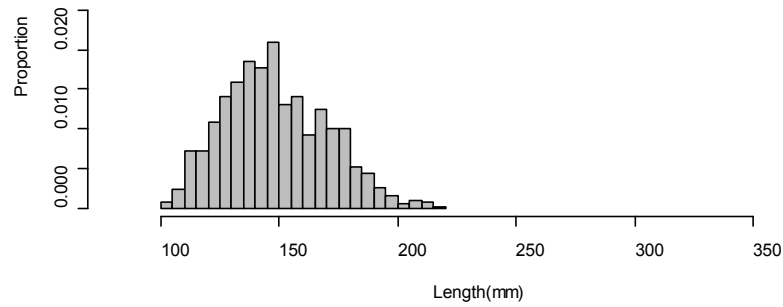
3.2.5 Examination of Time In-River on Survivals of Different Release Groups

The virtual release formed from the detections of upriver releases at the face of the dam could result in biased survival estimates if fish from varying upriver release locations had differential downriver survival rates. For this reason, reach survivals and cumulative survivals were compared across fish from different upriver release locations. There was no consistent or reproducible evidence to suggest that the amount of time (i.e., distance) in river had a subsequent effect on downriver smolt survival for either yearling Chinook salmon or steelhead (Appendix A). Therefore, in constructing the virtual releases at the face of the dam, fish from all available upriver release locations were used in subsequent survival and other parameter estimation.

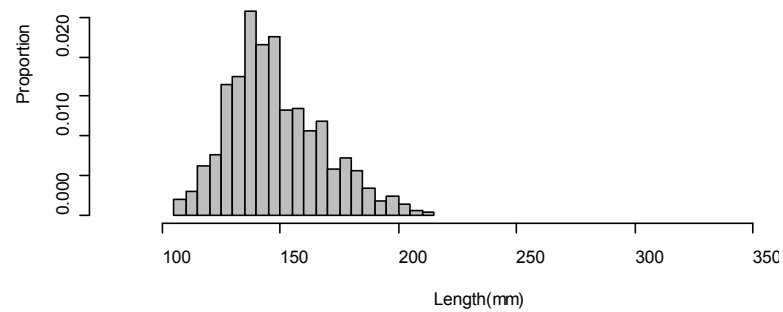
3.2.6 Fish Size Distribution

Comparison of JSATS-tagged fish with ROR fish sampled at John Day Dam through the Smolt Monitoring Program shows that the length frequency distributions were generally well matched for yearling Chinook salmon (Figure 3.3) and steelhead (Figure 3.4). The length distributions for the three yearling Chinook salmon releases (Figure 3.5) and the three steelhead releases (Figure 3.5) were quite similar. Mean lengths for the acoustically tagged yearling Chinook salmon were 148.5 mm and for the steelhead, 203.2 mm. Mean lengths for yearling Chinook salmon and steelhead sampled by the Fish Passage Center at the Bonneville Dam juvenile sampling facility were 145.4 mm and 207.2 mm, respectively. Fish size did not change over the course of the study (Figure 3.5).

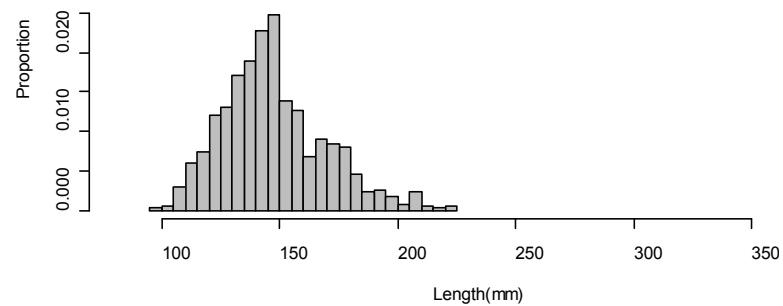
(a) Bonneville Dam (Release V_1)



(b) Bonneville Tailrace (Release R_2)



(c) Mid-Reservoir (Release R_3)



(d) ROR Yearling Chinook at John Day Dam

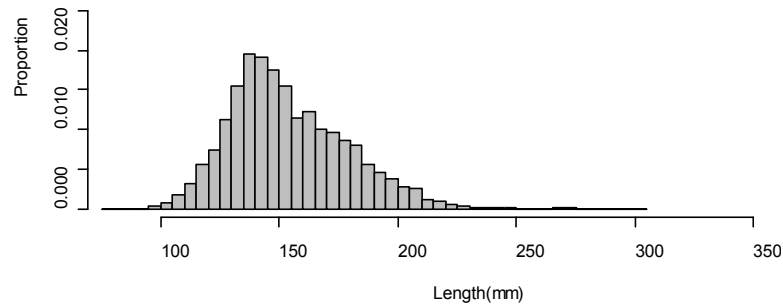
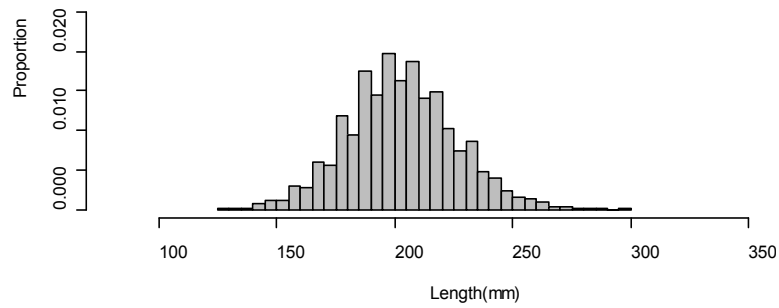
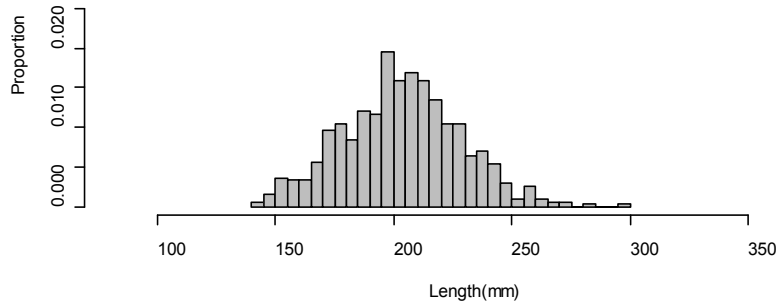


Figure 3.3. Relative frequency distributions for fish lengths (mm) of yearling Chinook salmon smolts used in (a) Release V_1 , (b) Release R_2 , (c) Release R_3 , and (d) ROR fish sampled at John Day Dam by the Fish Passage Center.

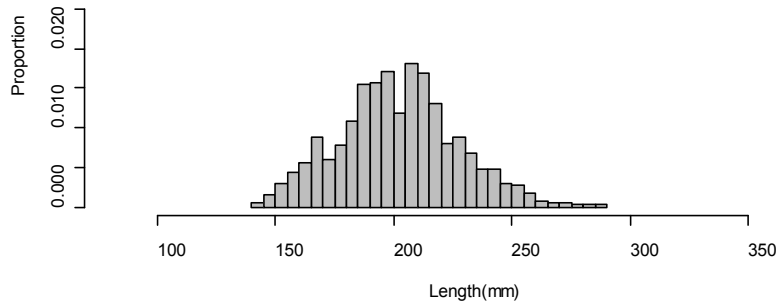
(a) Bonneville Dam (Release V_1)



(b) Bonneville Tailrace (Release R_2)



(c) Mid-Reservoir (Release R_3)



(d) ROR Steelhead at John Day Dam

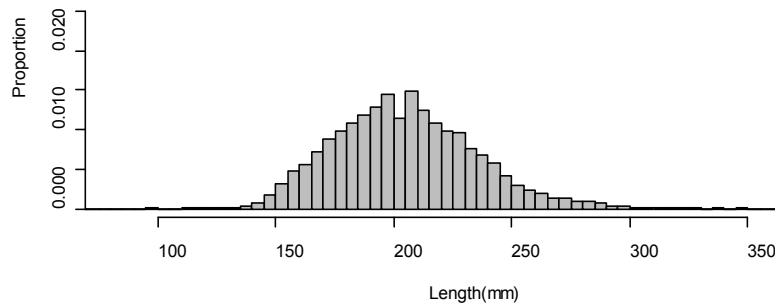
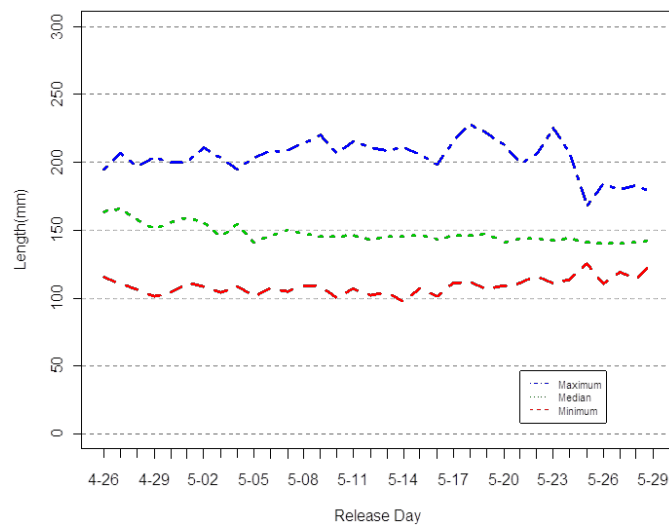


Figure 3.4. Relative frequency distributions for fish lengths (mm) of steelhead smolts used in (a) Release V_1 , (b) Release R_2 , (c) Release R_3 , and (d) ROR fish sampled at John Day Dam by the Fish Passage Center.

(a) Yearling Chinook salmon smolts



(b) Steelhead smolts

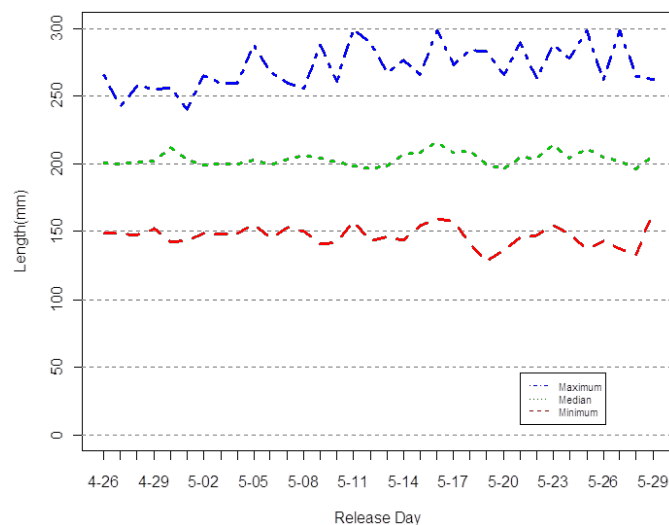


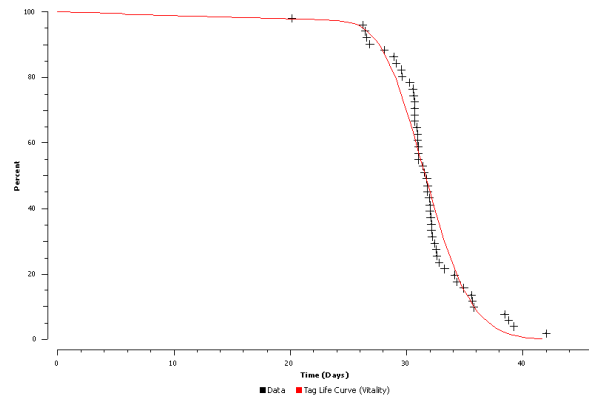
Figure 3.5. Range and median lengths of acoustic-tagged yearling Chinook salmon and steelhead used in the 2011 survival studies. Releases were made daily from 30 April through 31 May at seven release locations: rkm 390, rkm 346, rkm 325, rkm 307, rkm 275, rkm 233, and rkm 161.

3.2.7 Tag-Life Corrections

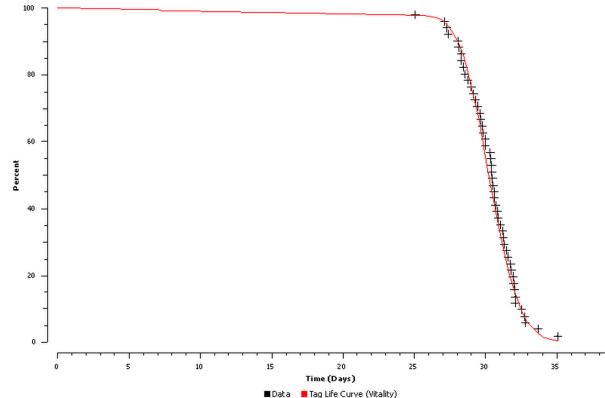
During the 2011 spring study, five different manufacturing lots of JSATS tags were used in tagging the yearling Chinook salmon and steelhead smolts. Lot 1 was manufactured distinctly from lot 2, which was manufactured distinctly from lots 3–5. From each of these three groupings of tag lots, 50–59 tags were systematically sampled to conduct independent tag-life studies. Vitality curves of Li and Anderson (2009) were fit independently to each of the lots 1, 2, and 3–5 (Figure 3.6). Mantel-Haenszel (1959) tests of homogeneous tag-life distributions found lot 1 was significantly different from lot 2 ($P = 0.0005$) and

lots 3–5 ($P = 0.0023$) but lots 2 and lots 3–5 were not different ($P = 0.5698$) (Figure 3.6, Figure 3.7). Average tag lives were 31.74, 30.32, and 30.52 days for lots 1, 2, and 3–5, respectively.

a. Tag lot 1



b. Tag lot 2



c. Tag lot 3–5

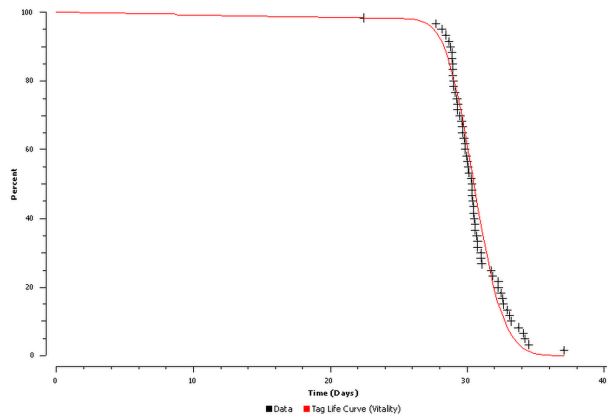


Figure 3.6. Observed time of tag failure and fitted survivorship curves using the vitality model of Li and Anderson (2009) for (a) tag lot 1, (b) tag lot 2, and tag lots 3–5.

3.2.8 Arrival Distributions

The estimated probability an acoustic tag was active when fish arrived at a downstream detection array depends on the tag-life curve and the distribution of observed travel times (Figure 3.8). Examination of the fish arrival distributions to the last detection array used in the survival analyses indicated all fish that arrived had passed through the study area before tag failure became important. The probabilities that acoustic tags were active downstream were calculated by integrating the tag survivorship curve (Figure 3.8) over the observed distribution of fish arrival times (i.e., time from tag activation to arrival). The three separate tag-life survivorship models for tag lots 1, 2, and 3–5 were used to estimate the probabilities of tag failure and provide tag-life-adjusted estimates of smolt survival. The probabilities of a JSATS tag being active at a downstream detection site were specific to release location, tag lot, and species (Table 3.1). In all cases, the probability a tag was active at a downstream detection site as far as rkm 86 for yearling Chinook salmon smolts was ≥ 0.9947 and ≥ 0.9952 for steelhead smolts.

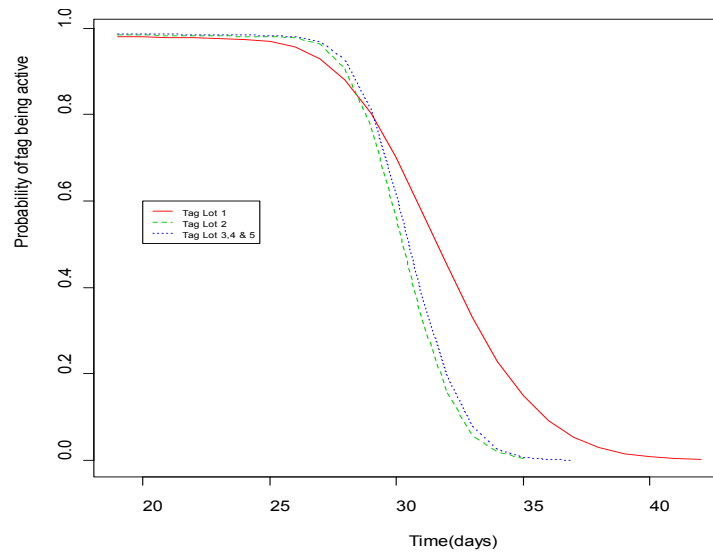


Figure 3.7. Comparison of fitted survivorship curves using the Vitality Model of Li and Anderson (2009) for JSATS tag lots 1, 2, and 3–5 used in the 2011 compliance studies.

3.2.9 Downstream Mixing

The virtual release from the face of Bonneville Dam was continuously formed from the smolts arriving throughout day and night. To help induce downstream mixing of the release groups, the R_2 release was 21 h before the R_3 release, based on travel times through that reach in an average year. This release schedule was used for both the yearling Chinook salmon and steelhead smolts. Plots of the arrival timing of the various release groups at downstream detection sites indicate reasonable mixing for both yearling Chinook salmon (Figure 3.9) and steelhead (Figure 3.10) smolts. The survival modes for releases R_2 and R_3 were nearly synchronous. The virtual release (V_1) from the face of Bonneville Dam was continuous and, for this reason, its arrival distribution was not plotted in association with those of R_2 and R_3 .

3.3 Survival and Passage Performance

Survival and passage performance metrics include dam passage survival, forebay-to-tailrace passage survival, forebay residence time, tailrace to egress time, SPE, spill + B2CC passage efficiency, and FPE.

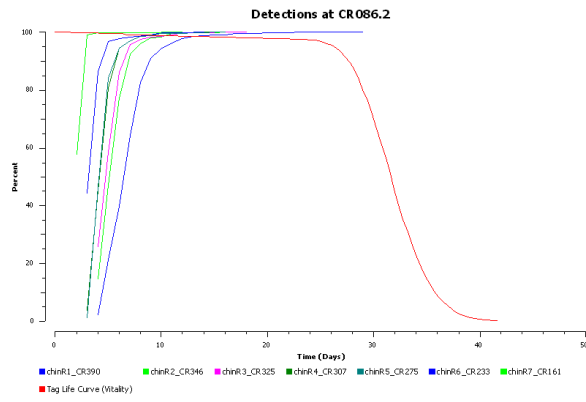
3.3.1 Dam Passage Survival

3.3.1.1 Yearling Chinook Salmon

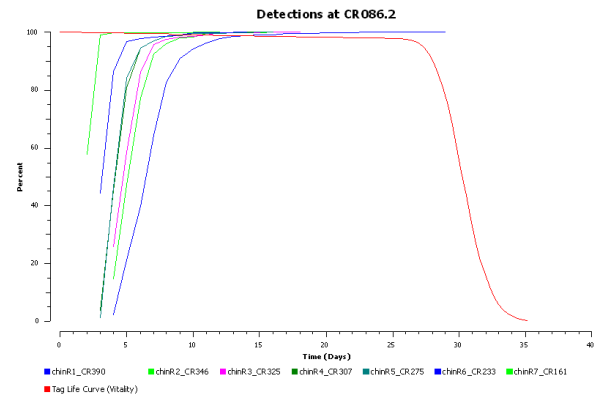
The estimates of dam passage survival for yearling Chinook salmon smolts at Bonneville Dam were calculated for two periods of time. One period was from the beginning of the study on 27 April 2011 through 13 May 2011, while flows were moderate and spill was held at 100 kcfs. The second time period was from the beginning to the end of the study on 30 May 2011 and includes the higher flow and spill levels later in the season (Figure 3.1).

(a) Yearling Chinook salmon

Tag lot 1



Tag lot 2



Tag lots 3–5

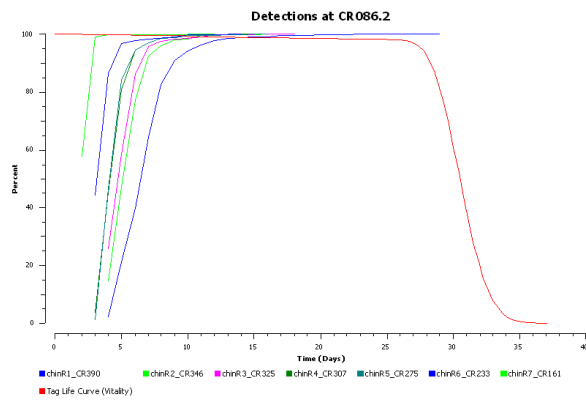
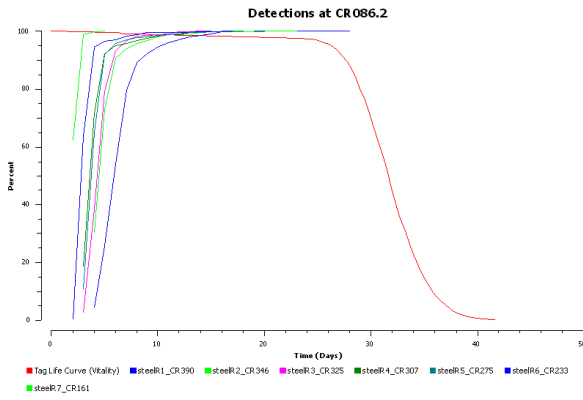
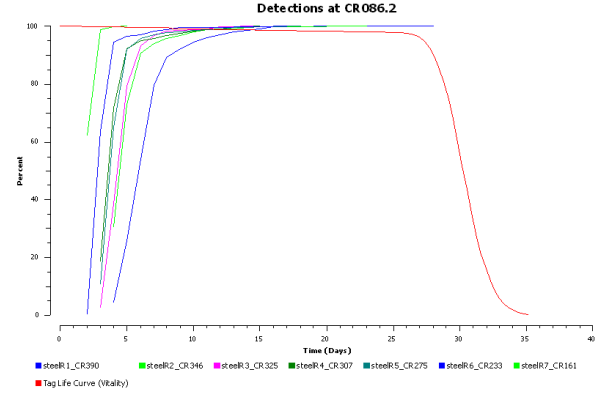


Figure 3.8. Plots of the fitted tag-life survivorship curves for tag lots 1, 2, 3–5 and the arrival-time distributions of (a) yearling Chinook salmon smolts and (b) steelhead smolts for releases from CR390, CR346, CR325, CR307, CR275, CR233, and CR161 at the acoustic-detection array located at rkm 86 (Figure 2.1).

(b) Steelhead
Tag lot 1



Tag lot 2



Tag lots 3–5

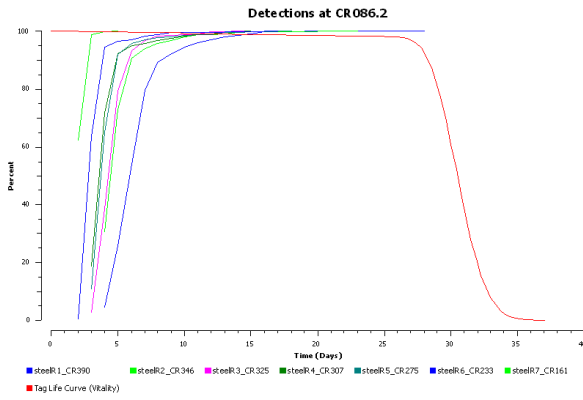


Figure 3.8. (contd)

For the early part of the study, dam passage survival was estimated to be

$$\hat{S}_{\text{Dam}} = 0.9569$$

with a standard error of $\widehat{\text{SE}} = 0.0042$. This estimate was not corrected for survival between release locations for R_2 and R_3 , because the paired release estimated survival in that extra reach to be

$$\frac{0.9942}{0.9857} = 1.0086$$

Therefore, the more reasonable approach was to assume the extra-reach survival between rkm 233 and 161 to be 1.0 and estimate dam passage survival using the virtual release (V_1) to be 161 (Table 3.2).

Table 3.1. Estimated probabilities (L) of an acoustic tag being active at a downstream detection site for (a) yearling Chinook salmon smolts and (b) steelhead smolts by tag lot and release group. (Standard errors are in parentheses.)

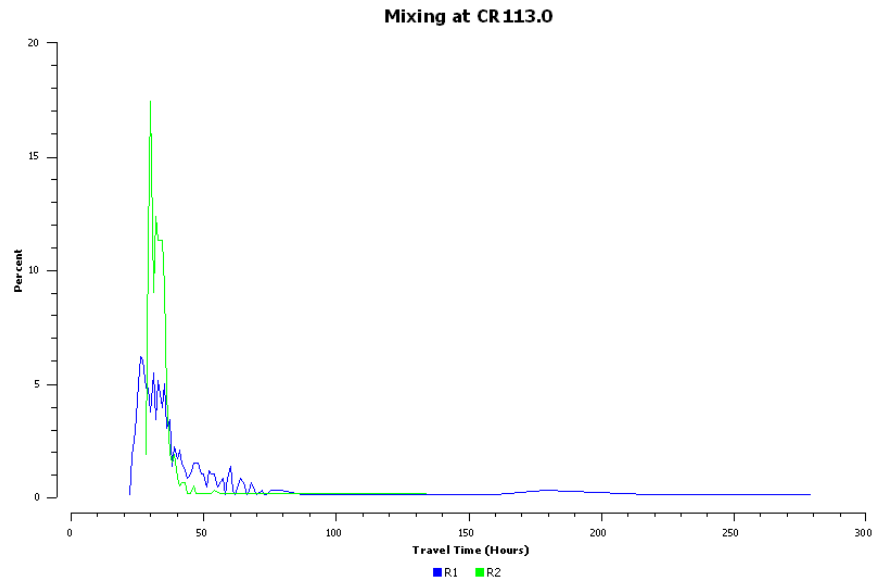
a. Yearling Chinook Salmon				
Release Group	Tag Lot	Detection Site		
		rkm 161	rkm 113	rkm 86
V_1 (Rkm 390) ^(a)	1	0.9985 (0.0011)	0.9977 (0.0016)	0.9974 (0.0019)
	2	0.9991 (0.0007)	0.9987 (0.0010)	0.9985 (0.0012)
	3–5	0.9995 (0.0016)	0.9992 (0.0025)	0.9990 (0.0031)
V_1 (Rkm 346) ^(a)	1	0.9983 (0.0014)	0.9978 (0.0018)	0.9974 (0.0021)
	2	0.9991 (0.0008)	0.9985 (0.0012)	0.9984 (0.0013)
	3–5	0.9995 (0.0016)	0.9992 (0.0025)	0.9990 (0.0032)
V_1 (Rkm 325) ^(a)	1	0.9986 (0.0011)	0.9980 (0.0016)	0.9977 (0.0019)
	2	0.9990 (0.0008)	0.9986 (0.0011)	0.9983 (0.0013)
	3–5	0.9995 (0.0015)	0.9992 (0.0024)	0.9990 (0.0032)
V_1 (Rkm 307) ^(a)	1	0.9985 (0.0012)	0.9979 (0.0018)	0.9975 (0.0021)
	2	0.9990 (0.0008)	0.9985 (0.0012)	0.9983 (0.0014)
	3–5	0.9991 (0.0017)	0.9992 (0.0025)	0.9990 (0.0033)
V_1 (Rkm 275) ^(a)	1	0.9983 (0.0014)	0.9975 (0.0020)	0.9973 (0.0022)
	2	0.9989 (0.0009)	0.9984 (0.0013)	0.9982 (0.0014)
	3–5	0.9992 (0.0020)	0.9991 (0.0029)	0.9989 (0.0035)
R_2 (Rkm 233)	1	--	0.9950 (0.0041)	0.9947 (0.0043)
	2	--	0.9966 (0.0027)	0.9963 (0.0029)
	3–5	--	0.9976 (0.0067)	0.9973 (0.0075)
R_3 (Rkm 161)	1	--	0.9972 (0.0024)	0.9967 (0.0027)
	2	--	0.9977 (0.0018)	0.9974 (0.0020)
	3–5	--	0.9982 (0.0048)	0.9981 (0.0053)
b. Steelhead				
V_1 (Rkm 390) ^(a)	1	0.9987 (0.0011)	0.9983 (0.0016)	0.9978 (0.0019)
	2	0.9991 (0.0008)	0.9987 (0.0011)	0.9985 (0.0013)
	3–5	0.9994 (0.0017)	0.9992 (0.0025)	0.9991 (0.0030)
V_1 (Rkm 346) ^(a)	1	0.9985 (0.0014)	0.9979 (0.0019)	0.9978 (0.0021)
	2	0.9992 (0.0008)	0.9987 (0.0011)	0.9985 (0.0013)
	3–5	0.9995 (0.0016)	0.9992 (0.0026)	0.9990 (0.0031)
V_1 (Rkm 325) ^(a)	1	0.9986 (0.0013)	0.9981 (0.0018)	0.9979 (0.0020)
	2	0.9989 (0.0010)	0.9985 (0.0013)	0.9985 (0.0014)
	3–5	0.9994 (0.0017)	0.9992 (0.0025)	0.9990 (0.0032)
V_1 (Rkm 307) ^(a)	1	0.9985 (0.0014)	0.9978 (0.0020)	0.9977 (0.0021)
	2	0.9990 (0.0009)	0.9985 (0.0013)	0.9984 (0.0014)
	3–5	0.9993 (0.0020)	0.9991 (0.0028)	0.9990 (0.0033)
V_1 (Rkm 275) ^(b)	1	0.9984 (0.0015)	0.9978 (0.0021)	0.9976 (0.0022)
	2	0.9986 (0.0011)	0.9985 (0.0013)	0.9983 (0.0015)
	3–5	0.9994 (0.0018)	0.9991 (0.0028)	0.9990 (0.0033)
R_2 (Rkm 233)	1	--	0.9957 (0.0040)	0.9952 (0.0044)
	2	--	0.9968 (0.0028)	0.9966 (0.0030)
	3–5	--	0.9976 (0.0070)	0.9974 (0.0076)
R_3 (Rkm 161)	1	--	0.9972 (0.0026)	0.9969 (0.0029)
	2	--	0.9977 (0.0020)	0.9976 (0.0022)
	3–5	--	0.9982 (0.0053)	0.9981 (0.0056)
(a) Conditional probabilities of a tag being active, given they were active when a fish first arrived at the dam face.				

For the entire study period, dam passage survival for yearling Chinook salmon is estimated to be

$$\hat{S}_{\text{Dam}} = \frac{0.9584}{\left(\frac{0.9531}{0.9544} \right)} = \frac{0.9584}{0.9986} = 0.9597$$

with a standard error of $\widehat{SE} = 0.0176$ (Table 3.3). Likelihood ratio tests indicated the detection probability at CR113 and the $\lambda(=S \cdot p)$ parameters in the last reach were homogeneous between the three release groups, allowing estimation using a reduced model $P(\chi_4^2 \geq 2.9220) = 0.5710$. Because the full model did not achieve the prescribed level of precision in the 2008 BiOp, model evaluation was used to find a more parsimonious model that validly equated downstream parameter values between release groups and improved precision. This more parsimonious model also failed to achieve adequate precision as specified in the 2008 BiOp.

(a) rkm 113



(b) rkm 86

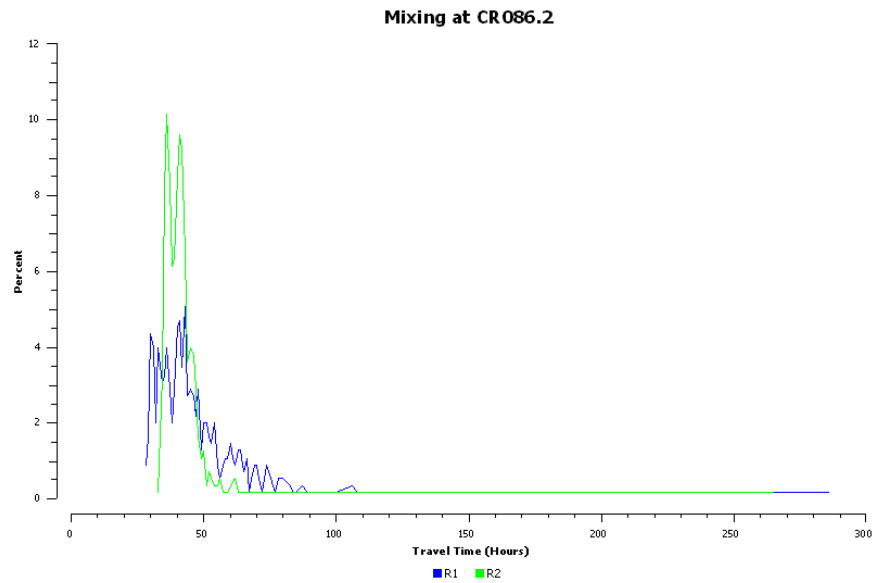
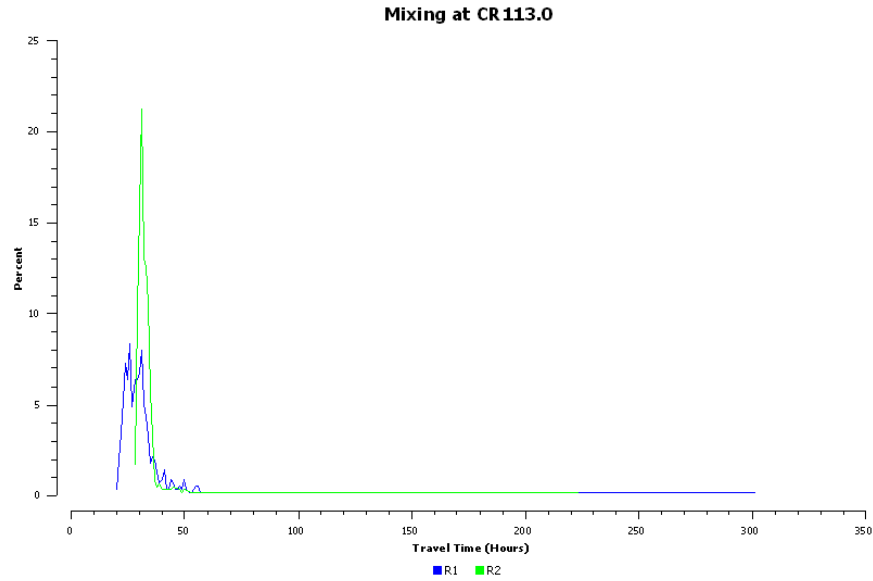


Figure 3.9. Frequency distribution plots of downstream arrival timing (expressed as percentages) for yearling Chinook salmon releases R_2 and R_3 at detection arrays located at (a) rkm 113 and (b) rkm 86 (see Figure 2.1).

(a) rkm 113



(b) rkm 86

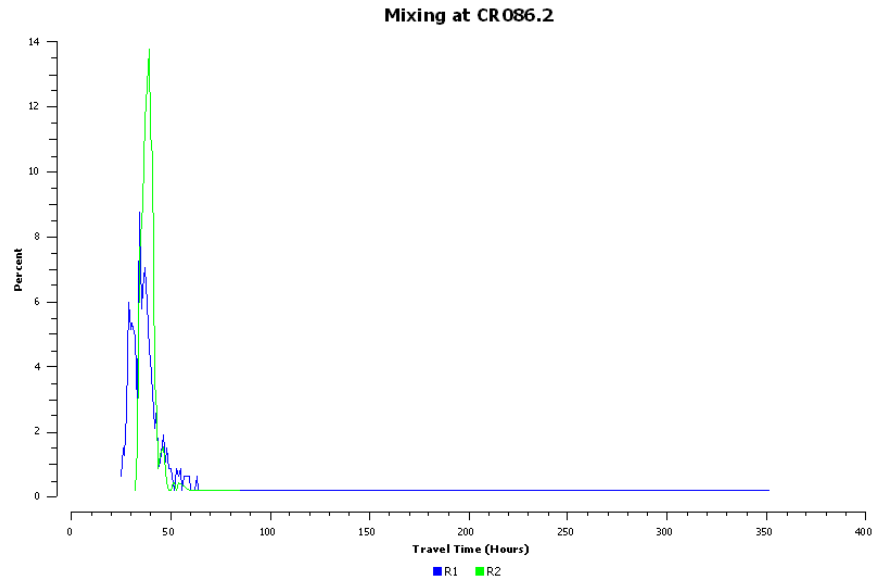


Figure 3.10. Frequency distribution plots of downstream arrival timing (expressed as percentages) for steelhead releases R_2 and R_3 at detection arrays located at (a) rkm 113 and (b) rkm 86 (see Figure 2.1).

Table 3.2. Survival, detection, and λ parameters for final model used to estimate dam passage survival for yearling Chinook salmon smolts during the early part of spring (30 April to 13 May 2011). Standard errors in parentheses.

Release	\hat{S}			$\hat{\lambda}$
	CR234–161	CR161–113	Release – CR113	CR113–CR86.2
V_1	0.9569 (0.0042)	0.9951 (0.0033)		0.9256 (0.0060)
R_2			0.9923 (0.0088)	0.9305 (0.0051)
R_3			0.9808 (0.0099)	0.9305 (0.0051)

Release	\hat{p}	
	CR161	CR113
V_1	0.9528 (0.0044)	0.8102 (0.0084)
R_2		0.8123 (0.0217)
R_3		0.8145 (0.0218)

Table 3.3. Survival, detection, and λ parameters for final model used to estimate dam passage survival for yearling Chinook salmon smolts for the entire study period. Standard errors in parentheses.

Release	\hat{S}			$\hat{\lambda}$
	CR234–161	CR161–113	Release – CR113	CR113–CR86.2
V_1	0.9584 (0.0035)	0.9555 (0.0057)		0.7147 (0.0064)
R_2			0.9531 (0.0142)	0.7147 (0.0064)
R_3			0.9544 (0.0133)	0.7147 (0.0064)

Release	\hat{p}	
	CR161	CR113
V_1	0.8542 (0.0051)	0.7571 (0.0062)
R_2		0.7571 (0.0062)
R_3		0.7571 (0.0062)

3.3.1.2 Steelhead

For the initial period of the study before high flow levels began (i.e., 30 April–13 May 2011), the dam passage survival for steelhead was estimated to be

$$\hat{S}_{\text{Dam}} = \frac{0.9527}{\left(\frac{0.9634}{0.9865} \right)} = \frac{0.9527}{0.9766} = 0.9755$$

with an associated standard error of $\widehat{SE} = 0.0180$ (Table 3.4). A likelihood ratio test found that the downstream detection and survival for the three release groups could not be equated ($P(\chi^2_4 \geq 9.0592) = 0.0600$) and, as such, a full model was used in parameter estimation.

For the entire spring study, dam passage survival for steelhead was estimated to be

$$\hat{S}_{\text{Dam}} = \frac{0.9491}{\left(\frac{0.9247}{0.9398}\right)} = \frac{0.9491}{0.9839} = 0.9647$$

with an estimated standard error of $\widehat{SE} = 0.0212$. A likelihood ratio found the downstream detection and $\lambda (= S \cdot p)$ parameters were not significantly different between the three release groups ($P(\chi^2_4 \geq 5.1830) = 0.2690$) and, as such, the estimate of dam passage survival was based on a reduced model (Table 3.5). Despite the reduced model, precision was not adequate to meet the BiOp standard (i.e., $\widehat{SE} < 0.015$).

Table 3.4. Survival, detection, and λ parameters for final model used to estimate dam passage survival for steelhead smolts during the early part of spring (30 April to 13 May 2011). Standard errors in parentheses.

Release	\hat{S}			$\hat{\lambda}$
	CR234–161	CR161–113	Release – CR113	CR113–CR86.2
V_1	0.9527 (0.0044)	1.0017 (0.0042)		0.8490 (0.0082)
R_2			0.9634 (0.0134)	0.9634 (0.0134)
R_3			0.9865 (0.0115)	0.9865 (0.0115)

Release	\hat{p}	
	CR161	CR113
V_1	0.9776 (0.0031)	0.8049 (0.0088)
R_2		0.8227 (0.0221)
R_3		0.8158 (0.0222)

Table 3.5. Survival, detection, and λ parameters for final model used to estimate dam passage survival for steelhead smolts for the entire study period. Standard errors in parentheses.

Release	\hat{S}		$\hat{\lambda}$	
	CR234–161	CR161–113	Release – CR113	CR113–CR86.2
V_1	0.9491 (0.0036)	0.9594 (0.0065)		0.6199 (0.0069)
R_2			0.9247 (0.0170)	0.6199 (0.0069)
R_3			0.9398 (0.0156)	0.6199 (0.0069)

Release	\hat{p}	
	CR161	CR113
V_1	0.9164 (0.0041)	0.7533 (0.0067)
R_2	0.9164 (0.0041)	0.7533 (0.0067)
R_3	0.9164 (0.0041)	0.7533 (0.0067)

3.3.2 Forebay-to-Tailrace Passage Survival

The estimates of forebay-to-tailrace passage survival were calculated analogously to that of dam passage survival except the virtual-release group (V_1) was composed of fish known to have arrived at the forebay array (i.e., detection array rkm 236, Figure 2.1) rather than at the dam face. The analyses used the same statistical models used in estimating dam passage survival. The full season estimates for yearling Chinook salmon and juvenile steelhead were made from a reduced model because likelihood ratio tests indicated the detection probability at CR113 and the $\lambda (= S \cdot p)$ parameters in the last reach were homogeneous between the three release groups. The full model was used for the early season estimate for yearling Chinook and steelhead.

The estimates of forebay-to-tailrace survival (Table 3.6) were very close to the estimates of dam passage survival, with the greatest difference being 0.0069 across all comparisons. Standard errors were also comparable because sample sizes were nearly the same.

Table 3.6. Summary of the estimates of forebay-to-tailrace survival at Bonneville Dam in 2011 for yearling Chinook salmon and steelhead smolts for early season (30 April–13 May 2011) and the entire study (30 April–31 May 2011). Standard errors in parentheses.

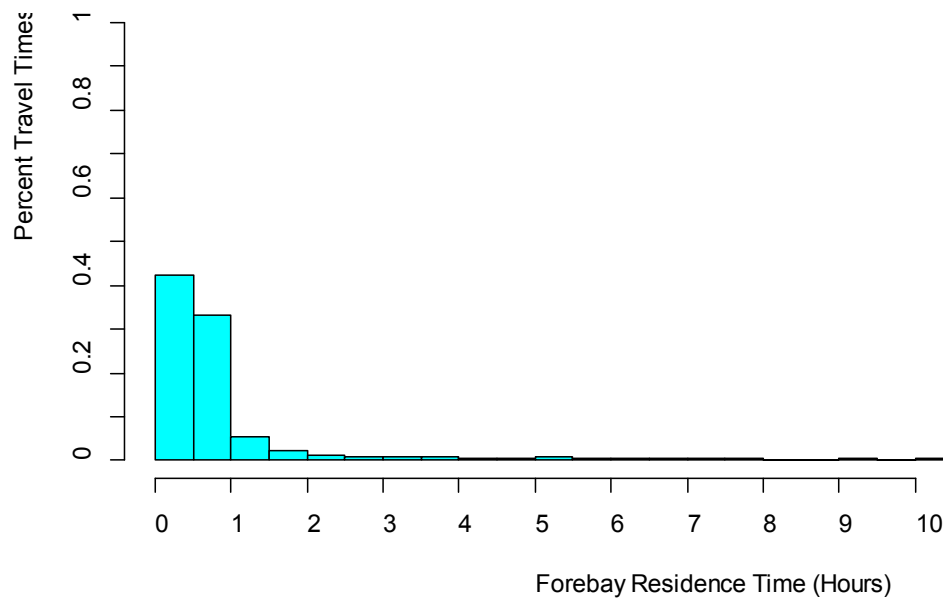
Period	Yearling Chinook Salmon	Steelhead
Early Season (30 April–13 May)	0.9579 (0.0042)	0.9752 (0.0180)
Season-Wide (30 April–31 May)	0.9528 (0.0175)	0.9589 (0.0211)

3.3.3 Forebay Residence Time

The forebay residence times were based on the times from the first detection at the forebay (BRZ) array to the last detection at the double array in front of Bonneville Dam. The forebay array was located 2 km upstream of the dam.

The majority of the yearling Chinook salmon and steelhead had a forebay residence time of ≤ 1 h with a mode between 0 and 0.5 h (Figure 3.11). Median residence times were 0.55 h and 0.85 h for yearling Chinook salmon and steelhead, respectively (Table 3.7). Mean forebay residence time for yearling Chinook salmon smolts was estimated to be 5.34 h ($\widehat{SE} = 0.46$) and for steelhead smolts, 7.00 h ($\widehat{SE} = 0.43$).

(a) Yearling Chinook salmon



(b) Steelhead

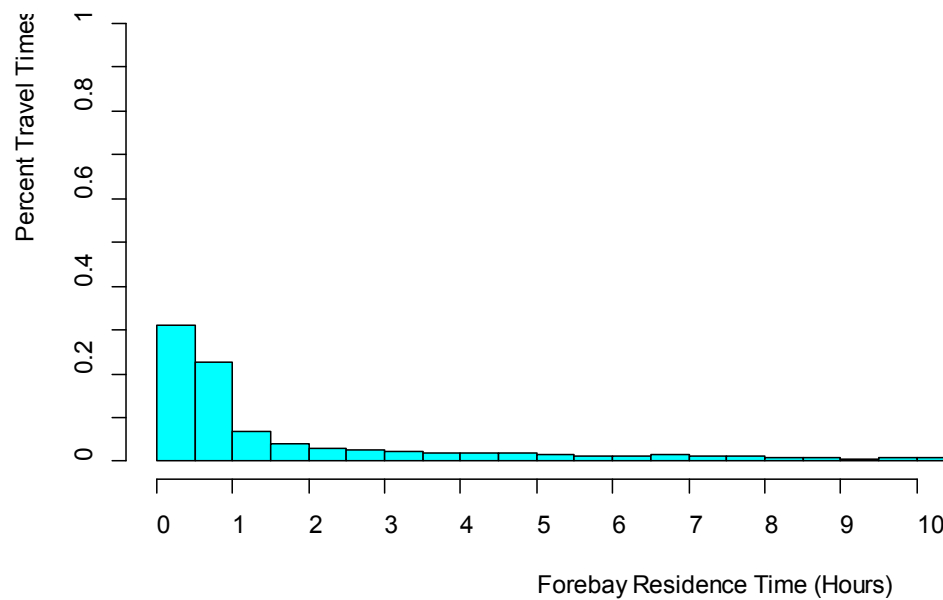


Figure 3.11. Distribution of forebay residence times for (a) yearling Chinook salmon and (b) steelhead smolts at Bonneville Dam, 2011.

Table 3.7. Estimated mean and median forebay residence times (h) and mean and median tailrace egress times (h) for yearling Chinook salmon and steelhead smolts at Bonneville Dam in 2011.

Performance Measure	Yearling Chinook Salmon		Steelhead	
	Mean	Median	Mean	Median
Forebay Residence Time	5.34 h (0.46)	0.55 h	7.00 h (0.43)	0.85 h
Tailrace Egress Time	1.89 h (0.19)	0.38 h	3.77 h (0.32)	0.39 h

3.3.4 Tailrace Egress Time

The tailrace egress time was calculated based on the time from the last detection of fish at the double array at the face of Bonneville Dam to the last detection at the BRZ tailrace array. The tailrace array was located 1 km below the dam. The majority of the yearling Chinook salmon and steelhead had a tailrace regress time of ≤ 0.5 h (Figure 3.12). Mean tailrace egress time for yearling Chinook salmon smolts was estimated to be 1.89 h ($\widehat{SE} = 0.19$). For steelhead smolts, mean tailrace egress time was estimated to be 3.77 h ($\widehat{SE} = 0.32$). Median egress times were 0.38 h for yearling Chinook salmon and 0.39 h for steelhead (Table 3.7).

3.3.5 Spill Passage Efficiency

SPE is defined as the fraction of the fish that passed through a hydro project by the spillway. The double-detection array at the face of Bonneville Dam was used to identify and track fish as they entered the forebay. Using the observed counts and assuming a common detection probability at all routes, SPE was calculated using a binomial sampling model. For yearling Chinook smolts, $SPE = 0.5660$ ($\widehat{SE} = 0.0067$), and for steelhead smolts, $SPE = 0.5443$ ($\widehat{SE} = 0.0066$).

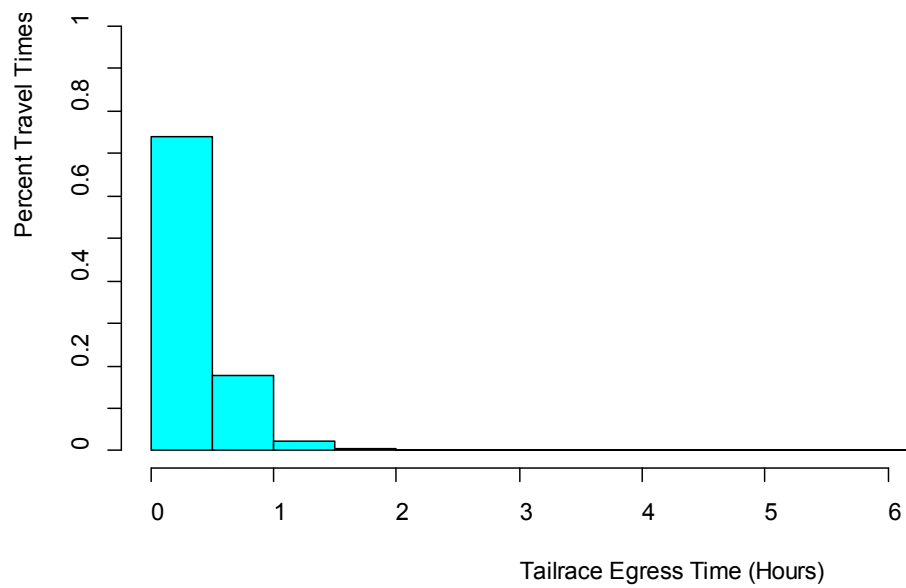
3.3.6 Spill + B2CC Passage Efficiency

The 2008 Fish Accords required an estimate of spill + B2CC passage efficiency, which the Fish Accords referred to as spill passage efficiency. We calculated this metric by dividing the numbers of fish tracked passing the spillway and B2CC by the total number passing the dam, assuming a common detection probability at all routes and a binomial sampling model. For yearling Chinook salmon smolts, the estimate of this proportion was 0.5959 ($\widehat{SE} = 0.0066$); for juvenile steelhead, it was 0.6406 ($\widehat{SE} = 0.0064$).

3.3.7 Fish Passage Efficiency

FPE is the fraction of the fish that passed through a hydropower project by the spillway, the B1 sluiceway, the B2CC, and the B2JBS. As with SPE, the double-detection array at the face of Bonneville Dam was used to identify and track fish as they entered the dam. Using the observed counts and assuming a common detection probability at all passage routes, FPE was calculated using a binomial sampling model. For yearling Chinook salmon smolts at Bonneville Dam in 2011, FPE is estimated to be 0.7070 ($\widehat{SE} = 0.0061$), and for steelhead smolts, $FPE = 0.7401$ ($\widehat{SE} = 0.0058$).

(a) Yearling Chinook salmon



(b) Steelhead

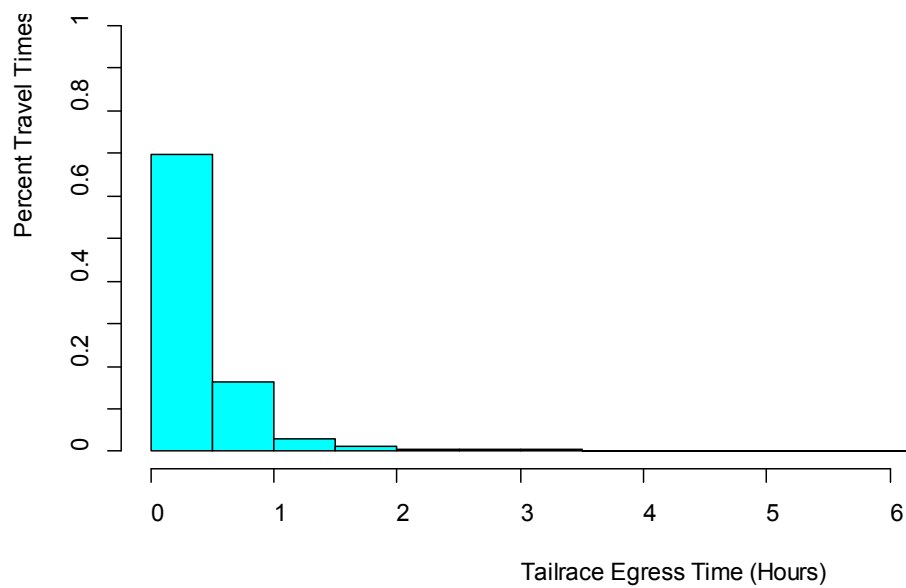


Figure 3.12. Distribution of tailrace egress times for (a) yearling Chinook salmon and (b) steelhead smolts at Bonneville Dam, 2011.

4.0 Discussion

The discussion describes the effect of high flow conditions on the study results for 2011, study performance, and compares results for 2010 and 2011.

4.1 Study Conduct

The large spring runoffs in 2011 resulted in higher flow volumes and more spill at Bonneville Dam than initially planned. The conditions affected the 2011 JSATS compliance studies at Bonneville Dam in three ways. Most notably, the summer subyearling Chinook salmon compliance study was cancelled. Secondly, the planned 100 kcfs spill level was interrupted beginning on 13 May 2011 with spill levels exceeding 200 kcfs by the end of the spring investigations (Figure 3.1). Detection probabilities at the below-Bonneville-Dam hydrophone arrays were much lower than anticipated. Detection probabilities at CR161 ranged from 0.85 to 0.95, while prior experience experienced ≈ 0.95 . At CR113, observed detection probabilities ranged from 0.75 to 0.82 rather than the 0.90 that was anticipated. These lower detection probabilities resulted in lower precision for the estimates of dam passage survival than required by the BiOp. The estimated standard errors from the virtual/paired-release design ranged from 0.0176 to 0.0212 instead of being ≤ 0.0150 .

The Pacific Northwest is anticipating spring 2012 to be similar to spring 2011. If so, the study design will need to compensate for higher flow volumes and anticipated lower detection probabilities next year. Planned actions to improve detection probabilities in 2012 include increasing the numbers of R_2 and R_3 released fish from 800 to 1000 per site, deploying two additional autonomous nodes at each survival detection array, and reducing the pulse repetition rate for tags implanted in R_2 and R_3 fish from 3 s to 2 s.

4.2 Study Performance

The high flows and greater spill during the latter part of the compliance studies did not necessarily appear to improve dam passage survivals. For steelhead smolts, the estimate of dam passage survival was lower for the entire season compared to the early season but not significantly so (see Section 3.3.1). For yearling Chinook salmon smolts, the estimate of dam passage survival was greater for the season-wide estimate than the early season estimate, but not significantly so. The yearling Chinook salmon estimate of dam passage survival missed the 2008 BiOp criterion of $S \geq 0.96$ by a fraction of a percentage point, with a value of 0.9597, using data from the entire spring study. For the steelhead smolts, both the early and entire season estimates of dam passage survival exceeded the threshold of 0.96, but neither estimate had a standard error ≤ 0.015 .

4.3 Cross-Year Summary

In 2010, no formal compliance studies were performed at Bonneville Dam, but available equipment was used to estimate survival from the face of the dam to a hydrophone array 81 km below the dam (CR153) using a single release-recapture model. In essence, it was the virtual release V_1 by itself without correction for any extra-mortality between the tailrace and the downstream detection array. Hence, the single-release estimates using just the virtual releases at the dam face should be conservative.

The compliance results for 2010 and 2011, using either single-release or virtual/paired-release models, are summarized in Table 4.1. For 2011, the season-wide estimates are reported in Table 4.1. For yearling Chinook salmon, the season-wide estimate is higher than the early season estimate of dam passage survival. For steelhead smolts, the pattern is reversed. These 2011 values represent dam passage survival under the prevailing conditions in 2011, which included standard spring operating conditions at Bonneville Dam, followed by mandatory changes in dam operations due to emergency flood conditions.

Table 4.1. Summary of 2010 and 2011 estimates of dam passage survival using best available information from either a conservative single-release model or the virtual/paired-release model by fish stock. Season-wide estimates reported for 2011.

Year	Yearling Chinook Salmon	Steelhead	Subyearling Chinook Salmon
2010	0.952 (0.0040) ^(a)	0.945 (0.0043) ^(a)	0.958 (0.0055) ^(a)
2011	0.9597 (0.0176)	0.9647 (0.0212)	N/A

(a) Single-release model.

5.0 References

- 3 Treaty Tribes-Action Agencies. 2008. *Memorandum of Agreement Among the Umatilla, Warm Springs and Yakama Tribes, Bonneville Power Administration, U.S. Army Corps of Engineers, and U.S. Bureau of Reclamation, Portland, Oregon, April 4, 2008*. Available at <http://www.salmonrecovery.gov/ColumbiaBasinFishAccords.aspx>.
- Burnham KP, DR Anderson, GC White, C Brownie, and KH Pollock. 1987. "Design and analysis methods for fish survival experiments based on release-recapture." *American Fisheries Society Monograph* 5.
- Li T and JJ Anderson. 2009. "The vitality model: A way to understand population survival and demographic heterogeneity." *Theoretical Population Biology* 76:118–131.
- Mantel N and W Haenszel. 1959. "Statistical Aspects of the Analysis of Data from Retrospective Studies of Disease." *Journal of the National Cancer Institute* 22:719–748.
- Martinson R, G Kovalchuk, and D Ballinger. 2006. "Monitoring of downstream salmon and steelhead at federal hydroelectric facilities." 2005–2006 Annual Report, Project No. 198712700, BPA Report DOE/BP-00022085-2, Portland, Oregon.
- Seber GAF. 1982. *The Estimation of Animal Abundance*. MacMillan, New York.
- Skalski JR, GE Johnson, and TJ Carlson. 2010a. *Compliance monitoring of juvenile yearling Chinook salmon and steelhead survival and passage at The Dalles Dam, Spring 2010*. PNNL-19819, summary report submitted to the U.S. Army Corps of Engineers, Portland District, Portland, Oregon, by Pacific Northwest National Laboratory, Richland, Washington.
- Skalski JR, RL Townsend, TW Steig, and S Hemstrom. 2010b. "Comparison of two alternative approaches for estimating dam passage survival using acoustic-tagged sockeye salmon smolts." *North American Journal of Fisheries Management* 30:831–839.
- Townsend RL, JR Skalski, P Dillingham, and TW Steig. 2006. "Correcting bias in survival estimation resulting from tag failure in acoustic and radiotelemetry studies." *Journal of Agricultural Biology and Environmental Statistics* 11(2):183–196.
- Weiland MA, GR Ploskey, JS Hughes, Z Deng, T Fu, TJ Monter, GE Johnson, F Khan, MC Wilberding, AW Cushing, SA Zimmerman, DM Faber, KM Carter, JW Boyd, RL Townsend, JR Skalski, J Kim, ES Fischer, and MM Meyer. 2010. *Acoustic Telemetry Evaluation of Juvenile Salmonid Passage and Survival Proportions at John Day Dam, 2009*. PNNL-19422, draft final report submitted to the U.S. Army Corps of Engineers, Portland District, Portland, Oregon, by Pacific Northwest National Laboratory, Richland, Washington.

Appendix A

Tests of Assumptions

Appendix A

Tests of Assumptions

A.1 Tagger Effects

All of the data from the seven releases associated with the three-dam study were examined for tagger effects. This was done because of the interrelationship between the multiple releases and estimation of dam passage survival at a specific location and to increase the statistical power to detect effects.

To minimize any tagger effects that might go undetected, tagger effort should be balanced across release locations and within replicates. A total of eight taggers participated in the tagging of yearling Chinook salmon and steelhead. Tagger effort was found to be balanced across the seven release locations regardless whether the data were pooled across species ($P(\chi^2_{42} \geq 27.70) = 0.9562$) or analyzed separately by yearling Chinook salmon ($P(\chi^2_{42} \geq 22.68) = 0.9935$) or steelhead ($P(\chi^2_{42} \geq 10.62) = 1.00$) (Table A.1).

Tagger effort was also examined within each the 32 replicate releases conducted over the course of the season (Table A.2). Tagger effort was found to be balanced within replicates 1, 2, 5, 6, 9, 10, 13, 14, 17, 18, 21, 22, 25, 26, 29, and 30 ($P \geq 0.9982$). To accommodate staff time off during the month-long study, tagger effort was conditionally balanced within the individual project releases (i.e., R1–R3, R4–R5, and R6–R7) for the remaining replicates ($P \geq 0.7459$) (Table A.2). This conditional and unconditional balance within replicates is the reason for the overall balance observed in Table A.1. To minimize the number of contingency tables presented, results in Table A.2 are pooled across species.

To test for tagger effects, reach survivals and cumulative survivals were calculated for fish tagged by different staff members on a release location (i.e., R1, ..., R7) and species basis (Table A.3). Of the 56 tests of homogeneous reach survivals, 7 were found to be significant at $\alpha = 0.10$ (i.e., 12.5%). By chance alone, we might expect 10% of 56 tests (i.e., 5.6) to be significant at $\alpha = 0.10$ when no effect exists. There was no consistent pattern, with two taggers responsible for 2 of 7 significant results each, and three taggers responsible for 1 significant result each. Similarly, only 2 of 54 (3.7%) tests of the homogeneous cumulative survivals were found to be significant at $\alpha = 0.10$. Therefore, fish tagged by all taggers were considered acceptable for the survival analyses.

Table A.1. Numbers of yearling Chinook salmon and steelhead tagged by each staff member by release locations (R1, R2, ..., R7). Chi-square tests of homogeneity were not significant.

a. Yearling Chinook salmon and steelhead releases pooled

Release Location	Tagger							
	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell
R1–CR390	581	576	668	569	528	456	899	820
R2–CR346	279	254	302	263	293	227	388	383
R3–CR325	193	173	197	176	196	148	248	265
R4–CR307	195	176	197	168	200	150	249	264
R5–CR275	190	172	195	176	201	152	242	271
R6–CR233	189	179	190	179	196	150	246	261
R7–CR161	192	178	196	179	191	141	246	265

$$P(\chi_{42}^2 \geq 27.70) = 0.9562$$

b. Yearling Chinook salmon

Release Location	Tagger							
	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell
R1–CR390	280	292	335	284	252	216	447	404
R2–CR346	136	127	147	133	149	113	197	191
R3–CR325	98	88	97	84	99	73	125	135
R4–CR307	95	85	98	84	102	77	123	135
R5–CR275	95	84	93	86	104	76	122	139
R6–CR233	94	90	97	86	101	75	125	130
R7–CR161	93	91	102	90	97	67	122	132

$$P(\chi_{42}^2 \geq 22.68) = 0.9935$$

c. Steelhead

Release Location	Tagger							
	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell
R1–CR390	301	284	333	285	276	240	452	416
R2–CR346	143	127	155	130	144	114	191	192
R3–CR325	95	85	100	92	97	75	123	130
R4–CR307	100	91	99	84	98	73	126	129
R5–CR275	95	88	102	90	97	76	120	132
R6–CR233	95	89	93	93	95	75	121	131
R7–CR161	99	87	94	89	94	74	124	133

$$P(\chi_{42}^2 \geq 10.62) \doteq 1.00$$

Table A.2. Contingency tables with number of fish tagged by each staff member per release location within a replicate release. A total of 32 replicate day or nighttime releases were performed over the course of the 2011 investigations. Results of the chi-square tests of homogeneity are presented for each table.

a. Replicate 1

Release	Kate	Kathleen	Kyle	Shon
R1-CR390	35	40	31	54
R2-CR346	14	21	16	25
R3-CR325	10	14	10	16
R4-CR307	10	14	11	15
R5-CR275	11	12	13	14
R6-CR233	10	12	12	16
R7-CR161	9	12	11	18
Chi-square = 2.7577		DF = 18		P-value = 1

b. Replicate 2

Release	Kate	Kathleen	Kyle	Shon
R1-CR390	36	44	32	51
R2-CR346	17	20	14	24
R3-CR325	12	12	10	16
R4-CR307	12	12	11	15
R5-CR275	10	14	11	15
R6-CR233	11	12	11	15
R7-CR161	10	12	11	15
Chi-square = 1.2674		DF = 18		P-value = 1

c. Replicate 3

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1-CR390	0	39	44	34	0	0	49	0	0.9677
R2-CR346	0	15	19	18	0	0	24	0	
R3-CR325	0	9	14	10	0	0	17	0	
R4-CR307	0	11	12	10	0	0	17	0	0.9948
R5-CR275	0	12	12	10	0	0	16	0	
R6-CR233	10	0	0	0	11	10	0	19	0.8460
R7-CR161	11	0	0	0	13	7	0	17	
Chi-square = 496.3651				DF = 42		<i>P</i> -value < 0.0001			

d. Replicate 4

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1-CR390	0	34	42	37	0	0	49	0	0.9977
R2-CR346	0	14	21	17	0	0	24	0	
R3-CR325	0	10	12	11	0	0	17	0	
R4-CR307	0	9	13	12	0	0	16	0	0.9318
R5-CR275	0	11	11	11	0	0	17	0	
R6-CR233	12	0	0	0	13	8	0	17	0.7459
R7-CR161	12	0	0	0	9	11	0	18	
Chi-square = 495.4415				DF = 42		<i>P</i> -value < 0.0001			

Table A.2. (contd)

e. Replicate 5

Release	Amanda	MaryBeth	Rhonda	Tyrell
R1-CR390	37	31	24	71
R2-CR346	16	18	15	26
R3-CR325	11	11	10	18
R4-CR307	10	11	9	20
R5-CR275	11	11	9	19
R6-CR233	12	12	9	17
R7-CR161	13	11	9	16
Chi-square = 4.8581		DF = 18	<i>P</i> -value=0.9991	

f. Replicate 6

Release	Amanda	MaryBeth	Rhonda	Tyrell
R1-CR390	37	40	29	58
R2-CR346	17	17	14	28
R3-CR325	11	10	10	19
R4-CR307	12	11	9	18
R5-CR275	11	10	10	19
R6-CR233	11	13	9	17
R7-CR161	12	10	9	16
Chi-square = 1.5118		DF = 18	<i>P</i> -value = 1	

g. Replicate 7

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	36	0	0	0	37	29	0	62	0.9966
R2–CR346	19	0	0	0	18	12	0	27	
R3–CR325	12	0	0	0	12	9	0	17	
R4–CR307	12	0	0	0	12	10	0	15	0.9449
R5–CR275	12	0	0	0	13	8	0	17	
R6–CR233	0	11	12	10	0	0	17	0	0.9176
R7–CR161	0	10	15	10	0	0	15	0	
Chi-square = 493.4409				DF = 42			<i>P</i> -value < 0.0001		

h. Replicate 8

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	36	0	0	0	37	30	0	61	0.9970
R2–CR346	15	0	0	0	17	14	0	28	
R3–CR325	12	0	0	0	11	8	0	16	
R4–CR307	13	0	0	0	12	10	0	15	0.9747
R5–CR275	12	0	0	0	12	9	0	17	
R6–CR233	0	10	13	11	0	0	15	0	0.9910
R7–CR161	0	10	14	10	0	0	16	0	
Chi-square = 486.5198				DF = 42		<i>P</i> -value < 0.0001			

Table A.2. (contd)

i. Replicate 9

Release	Kate	Kathleen	Kyle	Shon
R1–CR390	35	43	38	48
R2–CR346	16	20	16	24
R3–CR325	10	13	11	16
R4–CR307	11	14	9	16
R5–CR275	11	13	10	16
R6–CR233	10	11	11	15
R7–CR161	11	12	11	16
Chi-square = 1.2239		DF = 18		<i>P</i> -value = 1

j. Replicate 10

Release	Kate	Kathleen	Kyle	Shon
R1–CR390	33	43	36	52
R2–CR346	14	21	16	25
R3–CR325	11	14	10	15
R4–CR307	10	14	10	16
R5–CR275	8	13	11	15
R6–CR233	10	13	12	15
R7–CR161	10	14	11	15
Chi-square = 1.0171		DF = 18		<i>P</i> -value = 1

k. Replicate 11

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	0	34	43	36	0	0	51	0	0.9939
R2–CR346	0	16	21	15	0	0	24	0	
R3–CR325	0	12	11	11	0	0	16	0	
R4–CR307	0	11	14	10	0	0	15	0	0.9832
R5–CR275	0	10	15	11	0	0	14	0	
R6–CR233	12	0	0	0	12	10	0	15	0.9900
R7–CR161	13	0	0	0	12	9	0	16	
Chi-square = 491.1992				DF = 42		<i>P</i> -value < 0.0001			

l. Replicate 12

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	0	34	46	36	0	0	48	0	0.9999
R2–CR346	0	15	21	17	0	0	23	0	
R3–CR325	0	11	13	11	0	0	15	0	
R4–CR307	0	13	14	10	0	0	13	0	0.8539
R5–CR275	0	12	11	13	0	0	13	0	
R6–CR233	13	0	0	0	11	9	0	16	0.9295
R7–CR161	12	0	0	0	12	7	0	18	
Chi-square = 491.908				DF = 42		<i>P</i> -value < 0.0001			

Table A.2. (contd)

m. Replicate 13

Release	Amanda	MaryBeth	Rhonda	Shon	Tyrell
R1-CR390	34	0	27	50	51
R2-CR346	19	17	16	0	24
R3-CR325	12	11	10	0	17
R4-CR307	12	12	9	0	17
R5-CR275	12	12	9	0	17
R6-CR233	13	13	7	0	17
R7-CR161	12	11	8	0	18
Chi-square = 140.8547		DF = 24		<i>P</i> -value < 0.0001	

n. Replicate 14

Release	Amanda	MaryBeth	Rhonda	Shon	Tyrell
R1-CR390	35	0	31	48	50
R2-CR346	18	19	14	0	23
R3-CR325	13	12	9	0	16
R4-CR307	13	13	10	0	14
R5-CR275	12	12	9	0	17
R6-CR233	12	11	10	0	17
R7-CR161	14	13	7	0	16
Chi-square = 137.8706		DF = 24		<i>P</i> -value < 0.0001	

o. Replicate 15

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	41	0	0	0	39	32	0	52	0.9873
R2–CR346	20	0	0	0	20	13	0	23	
R3–CR325	13	0	0	0	11	8	0	18	
R4–CR307	13	0	0	0	12	8	0	17	0.9345
R5–CR275	14	0	0	0	11	10	0	15	
R6–CR233	0	13	11	10	0	0	16	0	0.9161
R7–CR161	0	10	12	11	0	0	17	0	
Chi-square = 494.3843				DF = 42				<0.0001	

p. Replicate 16

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	40	0	0	0	39	32	0	52	0.9959
R2–CR346	17	0	0	0	17	15	0	26	
R3–CR325	13	0	0	0	12	8	0	17	
R4–CR307	12	0	0	0	12	9	0	17	0.9933
R5–CR275	12	0	0	0	12	8	0	18	
R6–CR233	0	11	11	10	0	0	15	0	0.9883
R7–CR161	0	12	10	11	0	0	15	0	
Chi-square = 484.8889				DF = 42				<0.0001	

Table A.2. (contd)

q. Replicate 17

Release	Kate	Kathleen	Kyle	Shon
R1-CR390	32	42	33	55
R2-CR346	15	17	18	23
R3-CR325	12	10	12	16
R4-CR307	11	11	11	17
R5-CR275	12	9	12	17
R6-CR233	11	12	10	16
R7-CR161	12	10	11	15
Chi-square = 3.1892		DF = 18		P-value = 1

r. Replicate 18

Release	Kate	Kathleen	Kyle	Shon
R1-CR390	36	42	35	50
R2-CR346	17	16	16	26
R3-CR325	11	11	12	15
R4-CR307	12	11	9	18
R5-CR275	11	11	11	16
R6-CR233	12	11	13	14
R7-CR161	12	12	12	14
Chi-square = 2.7843		DF = 18		P-value = 1

s. Replicate 19

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	0	41	36	38	0	0	49	0	0.9882
R2–CR346	0	17	18	16	0	0	25	0	
R3–CR325	0	11	12	13	0	0	14	0	
R4–CR307	0	11	11	12	0	0	16	0	0.9352
R5–CR275	0	13	12	10	0	0	15	0	
R6–CR233	14	0	0	0	12	8	0	16	0.9704
R7–CR161	12	0	0	0	12	9	0	17	
Chi-square = 492.9525				DF = 42				<0.0001	

t. Replicate 20

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	0	39	37	36	0	0	52	0	0.9996
R2–CR346	0	18	16	17	0	0	24	0	
R3–CR325	0	11	12	12	0	0	15	0	
R4–CR307	0	12	12	12	0	0	14	0	0.9836
R5–CR275	0	11	13	11	0	0	15	0	
R6–CR233	12	0	0	0	12	10	0	16	0.9705
R7–CR161	12	0	0	0	12	8	0	17	
Chi-square = 490.2024				DF = 42				<0.0001	

Table A.2. (contd)

u. Replicate 21

Release	Amanda	MaryBeth	Rhonda	Tyrell
R1-CR390	41	41	29	53
R2-CR346	20	18	14	24
R3-CR325	12	13	9	16
R4-CR307	13	14	8	15
R5-CR275	11	15	8	16
R6-CR233	11	14	10	15
R7-CR161	11	12	8	17
Chi-square = 1.8491		DF = 18		<i>P</i> -value = 1

v. Replicate 22

Release	Amanda	MaryBeth	Rhonda	Tyrell
R1-CR390	39	40	32	48
R2-CR346	20	18	15	23
R3-CR325	10	15	10	15
R4-CR307	12	14	9	15
R5-CR275	12	14	8	16
R6-CR233	10	13	10	17
R7-CR161	12	11	10	17
Chi-square = 2.6222		DF = 18		<i>P</i> -value = 1

w. Replicate 23

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	41	0	0	0	41	30	0	52	0.9994
R2–CR346	18	0	0	0	20	15	0	23	
R3–CR325	12	0	0	0	14	9	0	15	
R4–CR307	13	0	0	0	12	10	0	15	0.9949
R5–CR275	12	0	0	0	12	10	0	16	
R6–CR233	0	10	11	12	0	0	16	0	0.9904
R7–CR161	0	11	11	11	0	0	17	0	
Chi-square = 490.2628				DF = 42				<0.0001	

x. Replicate 24

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	40	0	0	0	45	27	0	52	0.9923
R2–CR346	16	0	0	0	22	14	0	23	
R3–CR325	12	0	0	0	12	9	0	17	
R4–CR307	12	0	0	0	13	8	0	17	0.9590
R5–CR275	11	0	0	0	12	10	0	17	
R6–CR233	0	12	13	11	0	0	14	0	0.9836
R7–CR161	0	11	12	12	0	0	15	0	
Chi-square = 491.5424				DF = 42				<0.0001	

Table A.2. (contd)

y. Replicate 25

Release	Kate	Kathleen	Kyle	Shon
R1–CR390	39	47	36	40
R2–CR346	16	16	16	26
R3–CR325	10	13	11	16
R4–CR307	12	11	10	17
R5–CR275	10	12	11	17
R6–CR233	12	12	11	15
R7–CR161	11	11	11	12
Chi-square = 5.3708		DF = 18	<i>P</i> -value = 0.9982	

z. Replicate 26

Release	Kate	Kathleen	Kyle	Shon
R1–CR390	36	38	37	53
R2–CR346	16	20	16	24
R3–CR325	11	13	11	15
R4–CR307	10	13	11	16
R5–CR275	11	13	11	15
R6–CR233	11	11	11	16
R7–CR161	10	10	8	12
Chi-square = 1.0206		DF = 18	<i>P</i> -value = 1	

aa. Replicate 27

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	0	35	40	35	0	0	54	0	0.9981
R2–CR346	0	18	17	17	0	0	23	0	
R3–CR325	0	12	12	11	0	0	15	0	
R4–CR307	0	10	10	11	0	0	14	0	0.9924
R5–CR275	0	10	11	10	0	0	14	0	
R6–CR233	12	0	0	0	13	11	0	14	0.9939
R7–CR161	12	0	0	0	13	10	0	15	
Chi-square = 480.2391			DF = 42						<0.0001

bb. Replicate 28

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	0	38	41	39	0	0	46	0	0.9984
R2–CR346	0	16	18	18	0	0	24	0	
R3–CR325	0	10	11	10	0	0	14	0	
R4–CR307	0	11	11	9	0	0	14	0	0.9284
R5–CR275	0	9	13	10	0	0	13	0	
R6–CR233	12	0	0	0	12	9	0	16	0.8987
R7–CR161	10	0	0	0	15	10	0	15	
Chi-square = 478.3536				DF = 42				<0.0001	

Table A.2. (contd)

cc. Replicate 29

Release	Amanda	MaryBeth	Rhonda	Tyrell
R1-CR390	37	43	34	50
R2-CR346	18	18	16	24
R3-CR325	13	14	8	15
R4-CR307	12	13	9	16
R5-CR275	12	12	10	15
R6-CR233	11	12	10	16
R7-CR161	12	12	10	16
Chi-square = 1.2964		DF = 18		P-value = 1

dd. Replicate 30

Release	Amanda	MaryBeth	Rhonda	Tyrell
R1-CR390	21	21	16	24
R2-CR346	17	21	16	22
R3-CR325	12	13	10	15
R4-CR307	12	12	10	16
R5-CR275	11	14	10	15
R6-CR233	12	12	10	16
R7-CR161	12	13	9	16
Chi-square = 0.9309		DF = 18		P-value = 1

ee. Replicate 31

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	33	0	0	0	35	26	0	44	1.0000
R2–CR346	14	0	0	0	16	11	0	19	
R3–CR325	12	0	0	0	12	10	0	16	
R4–CR307	12	0	0	0	13	11	0	19	0.9684
R5–CR275	12	0	0	0	15	11	0	17	
R6–CR233	0	13	13	13	0	0	16	0	0.9986
R7–CR161	0	14	15	14	0	0	17	0	
Chi-square = 473.8784			DF = 42			<0.0001			

ff. Replicate 32

Release	Amanda	Kate	Kathleen	Kyle	MaryBeth	Rhonda	Shon	Tyrell	<i>P</i> -value
R1–CR390	33	0	0	0	39	28	0	40	0.9976
R2–CR346	15	0	0	0	17	13	0	20	
R3–CR325	13	0	0	0	13	11	0	18	
R4–CR307	12	0	0	0	14	11	0	18	0.9925
R5–CR275	13	0	0	0	14	13	0	20	
R6–CR233	0	12	12	11	0	0	15	0	0.9958
R7–CR161	0	15	14	14	0	0	17	0	
Chi-square = 486.7447			DF = 42			<0.0001			

Table A.3. Estimates of reach survival and cumulative survival for (a) yearling Chinook salmon smolts and (b) steelhead, along with P -values associated with the F -tests of homogeneous survival across fish tagged by different staff members.

a. Yearling Chinook salmon smolts

1) Release 1 – Reach survival

	Release to CR349		CR349 to CR325		CR325 to CR309		CR309 to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9823	0.0079	0.9636	0.0113	0.9968	0.0039	0.9579	0.0125	0.9958	0.0042	0.9908	0.0132	0.9345	0.0297
Kate	0.9795	0.0083	0.9613	0.0115	0.9965	0.0037	0.9561	0.0125	0.9958	0.0042	0.9874	0.0123	0.9435	0.0255
Kathleen	0.9731	0.0088	0.9601	0.0109	0.9935	0.0046	0.9493	0.0126	0.9888	0.0064	0.9399	0.0162	0.9447	0.0278
Kyle	0.9824	0.0078	0.9501	0.0131	0.9731	0.0101	0.9688	0.0109	1.0000	0.0000	0.9502	0.0154	0.9874	0.0248
MaryBeth	0.9643	0.0117	0.9628	0.0122	1.0011	0.0006	0.9650	0.0123	0.9951	0.0049	0.9379	0.0194	0.9355	0.0343
Rhonda	0.9815	0.0092	0.9573	0.0140	0.9955	0.0051	0.9604	0.0141	0.9886	0.0080	0.9497	0.0209	0.9252	0.0373
Shon	0.9799	0.0066	0.9703	0.0081	0.9881	0.0053	0.9811	0.0067	0.9949	0.0036	0.9441	0.0127	0.9993	0.0187
Tyrell	0.9802	0.0069	0.9622	0.0096	0.9951	0.0038	0.9602	0.0101	0.9970	0.0030	0.9455	0.0139	0.9529	0.0228
P -value	0.8084		0.9719		0.0087		0.6973		0.7485		0.0858		0.5196	

2) Release 1 – Cumulative survival

	Release to CR349		Release to CR325		Release to CR309		Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9823	0.0079	0.9465	0.0135	0.9435	0.0139	0.9038	0.0176	0.9000	0.0179	0.8917	0.0213	0.8332	0.0301
Kate	0.9795	0.0083	0.9416	0.0138	0.9382	0.0141	0.8970	0.0179	0.8932	0.0181	0.8820	0.0210	0.8321	0.0275
Kathleen	0.9731	0.0088	0.9343	0.0136	0.9282	0.0141	0.8812	0.0178	0.8713	0.0183	0.8190	0.0223	0.7737	0.0296
Kyle	0.9824	0.0078	0.9334	0.0149	0.9083	0.0172	0.8799	0.0193	0.8799	0.0193	0.8361	0.0228	0.8255	0.0296
MaryBeth	0.9643	0.0117	0.9284	0.0163	0.9294	0.0163	0.8969	0.0192	0.8926	0.0195	0.8371	0.0252	0.7831	0.0351
Rhonda	0.9815	0.0092	0.9395	0.0163	0.9353	0.0169	0.8983	0.0208	0.8880	0.0215	0.8433	0.0276	0.7802	0.0374
Shon	0.9799	0.0066	0.9508	0.0102	0.9395	0.0113	0.9218	0.0127	0.9171	0.0131	0.8658	0.0170	0.8652	0.0223
Tyrell	0.9802	0.0069	0.9431	0.0115	0.9385	0.0120	0.9012	0.0149	0.8985	0.0150	0.8496	0.0189	0.8096	0.0251
P -value	0.8084		0.9613		0.7767		0.7912		0.7700		0.2749		0.3320	

Table A.3. (contd)

3) Release 2 – Reach survival

	Release to CR325		CR325 to CR309		CR309 to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	1.0005	0.0004	0.9853	0.0106	0.9474	0.0194	1.0000	0.0000	0.9568	0.0211	0.9785	0.0364
Kate	1.0000	0.0000	1.0000	0.0000	0.9616	0.0173	0.9908	0.0091	0.9540	0.0243	0.9583	0.0450
Kathleen	1.0001	0.0001	0.9931	0.0069	0.9046	0.0244	0.9919	0.0080	0.9154	0.0274	0.9372	0.0382
Kyle	0.9932	0.0075	0.9690	0.0153	0.9459	0.0201	0.9911	0.0089	0.9676	0.0191	1.0046	0.0362
MaryBeth	0.9879	0.0095	0.9783	0.0124	0.9731	0.0137	0.9919	0.0080	0.9643	0.0219	0.9551	0.0370
Rhonda	0.9827	0.0124	0.9908	0.0094	0.9725	0.0157	1.0000	0.0000	0.9351	0.0285	0.9268	0.0414
Shon	0.9746	0.0112	1.0002	0.0002	0.9690	0.0126	0.9942	0.0058	0.9585	0.0174	0.9448	0.0325
Tyrell	0.9898	0.0074	0.9895	0.0076	0.9523	0.0158	0.9937	0.0063	0.9546	0.0219	0.9101	0.0350
<i>P</i> -value	0.2701		0.3361		0.1281		0.9480		0.7861		0.7442	

4) Release 2 – Cumulative survival

	Release to CR325		Release to CR309		Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	1.0005	0.0004	0.9857	0.0103	0.9338	0.0213	0.9338	0.0213	0.8935	0.0284	0.8743	0.0403
Kate	1.0000	0.0000	1.0000	0.0000	0.9616	0.0173	0.9528	0.0188	0.9089	0.0293	0.8710	0.0457
Kathleen	1.0001	0.0001	0.9932	0.0068	0.8984	0.0250	0.8912	0.0257	0.8158	0.0339	0.7646	0.0420
Kyle	0.9932	0.0075	0.9624	0.0165	0.9104	0.0249	0.9023	0.0258	0.8730	0.0303	0.8770	0.0419
MaryBeth	0.9879	0.0095	0.9664	0.0148	0.9405	0.0196	0.9329	0.0205	0.8996	0.0284	0.8592	0.0384
Rhonda	0.9827	0.0124	0.9737	0.0151	0.9469	0.0211	0.9469	0.0211	0.8854	0.0334	0.8206	0.0439
Shon	0.9746	0.0112	0.9748	0.0112	0.9445	0.0164	0.9391	0.0170	0.9001	0.0231	0.8504	0.0345
Tyrell	0.9898	0.0074	0.9793	0.0104	0.9326	0.0182	0.9267	0.0189	0.8846	0.0271	0.8050	0.0352
<i>P</i> -value	0.2701		0.3867		0.4513		0.4331		0.4395		0.4395	

Table A.3. (contd)

5) Release 3 – Reach survival

	Release to CR309		CR309 to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9803	0.0143	0.9375	0.0250	0.9882	0.0117	0.9612	0.0261	0.9579	0.0593
Kate	0.9886	0.0113	0.9791	0.0162	0.9744	0.0179	0.9209	0.0308	1.0148	0.0412
Kathleen	1.0000	0.0000	0.9592	0.0202	0.9888	0.0112	0.9506	0.0240	1.0080	0.0294
Kyle	1.0000	0.0000	0.9413	0.0259	0.9865	0.0134	0.8863	0.0363	1.0341	0.0272
MaryBeth	0.9899	0.0101	0.9796	0.0143	1.0000	0.0000	0.9901	0.0156	0.9946	0.0488
Rhonda	0.9738	0.0192	0.9565	0.0246	1.0000	0.0000	0.9418	0.0333	1.0445	0.0708
Shon	0.9763	0.0137	0.9597	0.0181	0.9904	0.0096	0.9298	0.0273	0.9241	0.0363
Tyrell	0.9798	0.0128	0.9147	0.0246	1.0000	0.0000	0.9734	0.0219	0.9332	0.0431
<i>P</i> -value	0.7449		0.4098		0.7639		0.2063		0.4650	

6) Release 3 – Cumulative survival

	Release to CR309		Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9803	0.0143	0.9190	0.0277	0.9082	0.0292	0.8729	0.0367	0.8362	0.0593
Kate	0.9886	0.0113	0.9680	0.0195	0.9432	0.0247	0.8685	0.0369	0.8814	0.0505
Kathleen	1.0000	0.0000	0.9592	0.0202	0.9485	0.0225	0.9016	0.0312	0.9087	0.0397
Kyle	1.0000	0.0000	0.9413	0.0259	0.9286	0.0281	0.8230	0.0419	0.8511	0.0483
MaryBeth	0.9899	0.0101	0.9697	0.0172	0.9697	0.0172	0.9601	0.0228	0.9549	0.0494
Rhonda	0.9738	0.0192	0.9315	0.0296	0.9315	0.0296	0.8773	0.0417	0.9163	0.0720
Shon	0.9763	0.0137	0.9370	0.0219	0.9280	0.0231	0.8628	0.0332	0.7973	0.0406
Tyrell	0.9798	0.0128	0.8963	0.0262	0.8963	0.0262	0.8725	0.0322	0.8142	0.0441
<i>P</i> -value	0.7449		0.3474		0.5715		0.2765		0.3432	

Table A.3. (contd)

7) Release 4 – Reach survival

	Release to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	1.0015	0.0016	0.9880	0.0120	0.9347	0.0336	0.8793	0.0537
Kate	0.9765	0.0164	1.0000	0.0000	0.9878	0.0181	0.9584	0.0470
Kathleen	1.0016	0.0013	0.9780	0.0154	0.9818	0.0193	0.9711	0.0369
Kyle	0.9881	0.0118	1.0000	0.0000	0.9252	0.0312	0.9399	0.0418
MaryBeth	1.0011	0.0011	0.9891	0.0108	0.9273	0.0324	0.8360	0.0514
Rhonda	0.9870	0.0129	1.0000	0.0000	0.9554	0.0263	1.0181	0.0456
Shon	0.9924	0.0081	0.9912	0.0087	0.9448	0.0233	0.9949	0.0436
Tyrell	0.9711	0.0146	0.9917	0.0083	0.9704	0.0197	0.9724	0.0419
<i>P</i> -value	0.2677		0.7656		0.5274		0.0888	

8) Release 4 – Cumulative survival

	Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	1.0015	0.0016	0.9895	0.0105	0.9249	0.0347	0.8133	0.0517
Kate	0.9765	0.0164	0.9765	0.0164	0.9645	0.0240	0.9244	0.0476
Kathleen	1.0016	0.0013	0.9796	0.0143	0.9617	0.0235	0.9340	0.0381
Kyle	0.9881	0.0118	0.9881	0.0118	0.9142	0.0328	0.8593	0.0465
MaryBeth	1.0011	0.0011	0.9902	0.0098	0.9182	0.0333	0.7676	0.0498
Rhonda	0.9870	0.0129	0.9870	0.0129	0.9430	0.0287	0.9600	0.0494
Shon	0.9924	0.0081	0.9837	0.0114	0.9294	0.0254	0.9247	0.0454
Tyrell	0.9711	0.0146	0.9630	0.0163	0.9344	0.0247	0.9086	0.0426
<i>P</i> -value	0.2677		0.8464		0.8839		0.0441	

Table A.3. (contd)

9) Release 5 – Reach survival

	Release to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9895	0.0105	0.9439	0.0356	0.8632	0.0641
Kate	0.9881	0.0118	0.9482	0.0268	0.9876	0.0405
Kathleen	0.9892	0.0107	0.9293	0.0283	1.0372	0.0474
Kyle	0.9884	0.0116	0.9513	0.0263	0.9501	0.0414
MaryBeth	0.9808	0.0135	0.9799	0.0211	0.9605	0.0530
Rhonda	0.9737	0.0184	0.9749	0.0246	0.9679	0.0542
Shon	0.9836	0.0115	0.9358	0.0250	0.9707	0.0456
Tyrell	0.9712	0.0142	0.9235	0.0307	0.9268	0.0492
<i>P</i> -value	0.9496		0.8070		0.4299	

10) Release 5 – Cumulative survival

	Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9895	0.0105	0.9340	0.0366	0.8062	0.0597
Kate	0.9881	0.0118	0.9369	0.0287	0.9253	0.0448
Kathleen	0.9892	0.0107	0.9193	0.0297	0.9535	0.0518
Kyle	0.9884	0.0116	0.9403	0.0283	0.8933	0.0444
MaryBeth	0.9808	0.0135	0.9610	0.0246	0.9231	0.0520
Rhonda	0.9737	0.0184	0.9493	0.0299	0.9188	0.0547
Shon	0.9836	0.0115	0.9205	0.0269	0.8935	0.0471
Tyrell	0.9712	0.0142	0.8969	0.0326	0.8313	0.0468
<i>P</i> -value	0.9496		0.8755		0.4359	

Table A.3. (contd)

11) Release 6 – Reach survival

	Release to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9735	0.0224	0.9394	0.0400
Kate	1.0350	0.0142	0.9185	0.0467
Kathleen	0.9569	0.0232	0.9860	0.0300
Kyle	0.9648	0.0237	0.9481	0.0440
MaryBeth	0.9798	0.0177	0.9094	0.0373
Rhonda	0.9528	0.0264	1.0702	0.0530
Shon	0.9919	0.0152	0.9680	0.0400
Tyrell	1.0044	0.0132	0.9561	0.0404
<i>P</i> -value	0.0697		0.1837	

12) Release 6 – Cumulative survival

	Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9735	0.0224	0.9145	0.0395
Kate	1.0350	0.0142	0.9507	0.0385
Kathleen	0.9569	0.0232	0.9436	0.0336
Kyle	0.9648	0.0237	0.9147	0.0448
MaryBeth	0.9798	0.0177	0.8911	0.0374
Rhonda	0.9528	0.0264	1.0196	0.0559
Shon	0.9919	0.0152	0.9601	0.0385
Tyrell	1.0044	0.0132	0.9603	0.0378
<i>P</i> -value	0.0697		0.4992	

Table A.3. (contd)

13) Release 7 – Reach survival

	Release to CR113	
	\hat{S}	\widehat{SE}
Amanda	0.9238	0.0481
Kate	0.9590	0.0466
Kathleen	0.9316	0.0382
Kyle	0.9757	0.0473
MaryBeth	0.9770	0.0328
Rhonda	0.9454	0.0397
Shon	0.9465	0.0321
Tyrell	0.9221	0.0366
<i>P</i> -value	<i>0.9611</i>	

b. Steelhead salmon smolts
14) Release 1 – Reach survival

	Release to CR349		CR349 to CR325		CR325 to CR309		CR309 to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9601	0.0113	0.9860	0.0070	0.9934	0.0051	0.9768	0.0098	0.9826	0.0086	0.9573	0.0150	0.8991	0.0293
Kate	0.9508	0.0128	0.9814	0.0083	0.9962	0.0039	0.9849	0.0086	0.9651	0.0121	0.9382	0.0159	1.0187	0.0308
Kathleen	0.9369	0.0133	0.9873	0.0064	0.9901	0.0057	0.9683	0.0102	0.9887	0.0065	0.9645	0.0129	1.0048	0.0323
Kyle	0.9686	0.0104	0.9601	0.0118	0.9886	0.0065	0.9781	0.0093	0.9872	0.0073	0.9612	0.0140	0.9568	0.0304
MaryBeth	0.9783	0.0088	0.9634	0.0115	0.9882	0.0069	0.9829	0.0088	0.9817	0.0091	0.9491	0.0178	0.9302	0.0380
Rhonda	0.9584	0.0129	0.9739	0.0106	0.9955	0.0046	0.9972	0.0047	0.9892	0.0076	0.9270	0.0190	0.9763	0.0341
Shon	0.9515	0.0101	0.9696	0.0083	0.9952	0.0034	0.9819	0.0068	0.9840	0.0065	0.9368	0.0129	1.0022	0.0231
Tyrell	0.9736	0.0079	0.9778	0.0073	0.9954	0.0036	0.9688	0.0092	0.9818	0.0074	0.9495	0.0131	0.9490	0.0285
<i>P</i> -value	<i>0.1645</i>		<i>0.2884</i>		<i>0.8869</i>		<i>0.3137</i>		<i>0.5454</i>		<i>0.6392</i>		<i>0.0930</i>	

Table A.3. (contd)

15) Release 1 – Cumulative survival

	Release to CR349		Release to CR325		Release to CR309		Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9601	0.0113	0.9467	0.0130	0.9405	0.0138	0.9186	0.0161	0.9027	0.0172	0.8641	0.0213	0.7769	0.0302
Kate	0.9508	0.0128	0.9331	0.0148	0.9296	0.0152	0.9155	0.0170	0.8836	0.0191	0.8289	0.0227	0.8444	0.0341
Kathleen	0.9369	0.0133	0.9251	0.0144	0.9159	0.0152	0.8869	0.0175	0.8769	0.0180	0.8458	0.0207	0.8499	0.0333
Kyle	0.9686	0.0104	0.9299	0.0151	0.9193	0.0161	0.8992	0.0179	0.8877	0.0187	0.8533	0.0218	0.8164	0.0323
MaryBeth	0.9783	0.0088	0.9424	0.0141	0.9313	0.0152	0.9153	0.0170	0.8986	0.0182	0.8528	0.0235	0.7933	0.0369
Rhonda	0.9584	0.0129	0.9334	0.0161	0.9292	0.0166	0.9266	0.0171	0.9167	0.0178	0.8497	0.0240	0.8296	0.0362
Shon	0.9515	0.0101	0.9225	0.0126	0.9181	0.0129	0.9015	0.0141	0.8870	0.0149	0.8310	0.0181	0.8328	0.0259
Tyrell	0.9736	0.0079	0.9519	0.0105	0.9476	0.0110	0.9180	0.0137	0.9013	0.0146	0.8557	0.0183	0.8121	0.0289
<i>P</i> -value	0.1645		0.7891		0.7715		0.7262		0.8003		0.9448		0.7588	

16) Release 2 – Reach survival

	Release to CR325		CR325 to CR309		CR309 to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	1.0003	0.0003	0.9930	0.0072	0.9726	0.0140	0.9918	0.0082	0.9640	0.0180	0.9567	0.0359
Kate	1.0003	0.0003	0.9840	0.0112	0.9780	0.0138	0.9735	0.0151	0.9147	0.0270	0.9356	0.0464
Kathleen	0.9940	0.0064	0.9671	0.0145	0.9814	0.0116	0.9847	0.0107	0.9642	0.0170	1.0251	0.0483
Kyle	0.9927	0.0077	0.9841	0.0111	0.9868	0.0112	0.9735	0.0151	0.9184	0.0283	0.8859	0.0446
MaryBeth	1.0001	0.0001	0.9860	0.0098	0.9718	0.0139	1.0000	0.0000	0.9377	0.0227	0.9253	0.0386
Rhonda	0.9916	0.0087	0.9908	0.0091	0.9732	0.0153	1.0000	0.0000	0.9456	0.0245	0.9540	0.0556
Shon	0.9897	0.0074	0.9892	0.0076	0.9951	0.0054	0.9942	0.0058	0.9082	0.0220	0.9816	0.0336
Tyrell	0.9952	0.0052	0.9839	0.0092	0.9532	0.0156	0.9933	0.0066	0.9433	0.0206	0.9399	0.0453
<i>P</i> -value	0.7902		0.7547		0.4981		0.4474		0.5105		0.5348	

Table A.3. (contd)

17) Release 2 – Cumulative survival

	Release to CR325		Release to CR309		Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	1.0003	0.0003	0.9932	0.0070	0.9660	0.0154	0.9580	0.0168	0.9236	0.0236	0.8836	0.0386
Kate	1.0003	0.0003	0.9843	0.0110	0.9626	0.0173	0.9370	0.0216	0.8571	0.0321	0.8019	0.0487
Kathleen	0.9940	0.0064	0.9613	0.0155	0.9434	0.0188	0.9290	0.0206	0.8957	0.0254	0.9182	0.0496
Kyle	0.9927	0.0077	0.9769	0.0132	0.9641	0.0170	0.9385	0.0211	0.8619	0.0329	0.7635	0.0455
MaryBeth	1.0001	0.0001	0.9861	0.0098	0.9583	0.0167	0.9583	0.0167	0.8986	0.0268	0.8315	0.0409
Rhonda	0.9916	0.0087	0.9825	0.0123	0.9561	0.0192	0.9561	0.0192	0.9041	0.0296	0.8625	0.0559
Shon	0.9897	0.0074	0.9791	0.0104	0.9743	0.0116	0.9686	0.0126	0.8797	0.0242	0.8634	0.0371
Tyrell	0.9952	0.0052	0.9792	0.0103	0.9333	0.0182	0.9271	0.0188	0.8745	0.0260	0.8220	0.0445
<i>P</i> -value	0.7902		0.7126		0.7533		0.6753		0.7042		0.3265	

18) Release 3 – Reach survival

	Release to CR309		CR309 to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9895	0.0105	0.9727	0.0186	0.9733	0.0186	0.9683	0.0232	1.0272	0.0569
Kate	1.0000	0.0000	0.9431	0.0256	0.9730	0.0189	0.9396	0.0280	1.0006	0.0656
Kathleen	1.0000	0.0000	0.9943	0.0104	0.9655	0.0196	0.9375	0.0273	1.0068	0.0559
Kyle	0.9891	0.0108	0.9231	0.0279	1.0000	0.0000	0.9773	0.0215	0.9583	0.0563
MaryBeth	1.0003	0.0004	0.9728	0.0181	0.9747	0.0177	0.8820	0.0361	1.0958	0.0930
Rhonda	0.9733	0.0186	0.9589	0.0232	1.0000	0.0000	0.9720	0.0258	0.9622	0.0677
Shon	0.9919	0.0081	0.9773	0.0141	0.9813	0.0131	0.9592	0.0211	0.9937	0.0471
Tyrell	0.9846	0.0108	0.9720	0.0156	0.9806	0.0136	0.9542	0.0219	0.9348	0.0474
<i>P</i> -value	0.6295		0.2810		0.7382		0.2099		0.7317	

Table A.3. (contd)

19) Release 3 – Cumulative survival

	Release to CR309		Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9895	0.0105	0.9625	0.0210	0.9368	0.0250	0.9072	0.0325	0.9319	0.0585
Kate	1.0000	0.0000	0.9431	0.0256	0.9176	0.0298	0.8622	0.0380	0.8627	0.0675
Kathleen	1.0000	0.0000	0.9943	0.0104	0.9600	0.0196	0.9000	0.0320	0.9062	0.0576
Kyle	0.9891	0.0108	0.9130	0.0294	0.9130	0.0294	0.8923	0.0348	0.8551	0.0577
MaryBeth	1.0003	0.0004	0.9731	0.0179	0.9485	0.0225	0.8365	0.0396	0.9167	0.0870
Rhonda	0.9733	0.0186	0.9333	0.0288	0.9333	0.0288	0.9072	0.0369	0.8729	0.0677
Shon	0.9919	0.0081	0.9693	0.0161	0.9512	0.0194	0.9124	0.0274	0.9067	0.0489
Tyrell	0.9846	0.0108	0.9570	0.0186	0.9385	0.0211	0.8954	0.0288	0.8370	0.0484
<i>P</i> -value	0.6295		0.2229		0.8869		0.7561		0.9586	

20) Release 4 – Reach survival

	Release to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9800	0.0140	1.0000	0.0000	0.9111	0.0317	0.8392	0.0507
Kate	0.9915	0.0111	0.9753	0.0172	0.8974	0.0347	0.9228	0.0503
Kathleen	1.0016	0.0013	0.9783	0.0152	0.9455	0.0250	0.9886	0.0495
Kyle	0.9903	0.0121	0.9857	0.0142	0.9226	0.0315	0.9437	0.0558
MaryBeth	0.9917	0.0104	0.9878	0.0121	0.9592	0.0236	0.9492	0.0574
Rhonda	1.0033	0.0034	0.9831	0.0168	0.9613	0.0288	0.9322	0.0600
Shon	0.9694	0.0157	0.9825	0.0123	0.9466	0.0237	0.9462	0.0459
Tyrell	0.9678	0.0175	0.9612	0.0190	0.9630	0.0209	0.9974	0.0569
<i>P</i> -value	0.2631		0.7965		0.5862		0.5751	

Table A.3. (contd)

21) Release 4 – Cumulative survival

	Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9800	0.0140	0.9800	0.0140	0.8929	0.0336	0.7493	0.0510
Kate	0.9915	0.0111	0.9670	0.0187	0.8678	0.0375	0.8008	0.0534
Kathleen	1.0016	0.0013	0.9798	0.0141	0.9264	0.0279	0.9158	0.0518
Kyle	0.9903	0.0121	0.9762	0.0166	0.9007	0.0344	0.8500	0.0580
MaryBeth	0.9917	0.0104	0.9796	0.0143	0.9396	0.0269	0.8919	0.0574
Rhonda	1.0033	0.0034	0.9863	0.0136	0.9481	0.0313	0.8838	0.0597
Shon	0.9694	0.0157	0.9524	0.0190	0.9015	0.0289	0.8530	0.0472
Tyrell	0.9678	0.0175	0.9302	0.0224	0.8958	0.0290	0.8935	0.0565
<i>P</i> -value	0.2631		0.2717		0.6473		0.4050	

22) Release 5 – Reach survival

	Release to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9895	0.0105	0.9602	0.0243	0.9177	0.0466
Kate	0.9659	0.0193	0.9664	0.0243	0.9081	0.0536
Kathleen	0.9804	0.0137	0.8727	0.0358	0.8720	0.0495
Kyle	1.0000	0.0000	0.9673	0.0228	0.9061	0.0480
MaryBeth	0.9897	0.0103	0.9436	0.0251	0.9521	0.0499
Rhonda	0.9868	0.0131	0.8860	0.0380	0.9851	0.0484
Shon	0.9917	0.0083	0.9342	0.0249	0.9445	0.0533
Tyrell	0.9773	0.0130	0.9559	0.0206	1.0495	0.0510
<i>P</i> -value	0.6971		0.0880		0.2866	

Table A.3. (contd)

23) Release 5 – Cumulative survival

	Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9895	0.0105	0.9501	0.0261	0.8719	0.0472
Kate	0.9659	0.0193	0.9334	0.0300	0.8477	0.0541
Kathleen	0.9804	0.0137	0.8556	0.0371	0.7461	0.0509
Kyle	1.0000	0.0000	0.9673	0.0228	0.8765	0.0481
MaryBeth	0.9897	0.0103	0.9339	0.0267	0.8892	0.0517
Rhonda	0.9868	0.0131	0.8743	0.0392	0.8612	0.0557
Shon	0.9917	0.0083	0.9264	0.0259	0.8750	0.0534
Tyrell	0.9773	0.0130	0.9342	0.0237	0.9804	0.0518
<i>P</i> -value	0.6971		0.1194		0.1531	

24) Release 6 – Reach survival

	Release to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9728	0.0222	0.7971	0.0469
Kate	1.0103	0.0053	0.9490	0.0501
Kathleen	0.9562	0.0242	0.9724	0.0563
Kyle	0.9438	0.0261	1.0223	0.0562
MaryBeth	0.9529	0.0264	0.9205	0.0541
Rhonda	0.9518	0.0308	0.9206	0.0700
Shon	0.9458	0.0235	1.0321	0.0462
Tyrell	0.9668	0.0193	0.9900	0.0343
<i>P</i> -value	0.5359		0.0487	

Table A.3. (contd)

25) Release 6 – Cumulative survival

	Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Amanda	0.9728	0.0222	0.7754	0.0460
Kate	1.0103	0.0053	0.9588	0.0482
Kathleen	0.9562	0.0242	0.9298	0.0565
Kyle	0.9438	0.0261	0.9649	0.0574
MaryBeth	0.9529	0.0264	0.8772	0.0536
Rhonda	0.9518	0.0308	0.8762	0.0683
Shon	0.9458	0.0235	0.9762	0.0472
Tyrell	0.9668	0.0193	0.9571	0.0348
<i>P</i> -value	0.5359		0.1042	

26) Release 7 – Reach survival

	Release to CR113	
	\hat{S}	\widehat{SE}
Amanda	0.8905	0.0440
Kate	0.9473	0.0501
Kathleen	0.9415	0.0479
Kyle	0.9668	0.0443
MaryBeth	0.9002	0.0464
Rhonda	0.9230	0.0578
Shon	0.9080	0.0468
Tyrell	0.8905	0.0440
<i>P</i> -value	0.9540	

A.2 Examination of Tag-Lot Effects

Three different tag lots were used in the tagging of the yearling Chinook salmon and steelhead smolts. Overall, the tag lots were not evenly distributed among the seven release locations (Table A.4). However, closer examination found the below-dam release pairs (i.e., R_2-R_3 , R_4-R_5 , and R_6-R_7) to be homogeneous with regard to tag-lot allocation ($P \geq 0.9415$). This pairwise homogeneity is particularly important in the virtual/paired-release design where the downstream pair is used to estimate the extra-reach mortality needed to adjust the survival estimate from the virtual forebay release.

Tests of homogeneous reach survivals across tag lots by release locations were performed (Table A.5). These tests looked for any tag-lot effects not accounted for by the tag-lot-specific tag-life corrections. Of the 56 tests of homogeneous reach survivals across tag lots, 11 were significant at $P \leq 0.10$ (i.e., 19%). However, there was no particular pattern to the lot-specific reach survivals. Tag lot 1 had the lowest survival in 3 of the 11 significant tests; lot 2 had the lower survival in 3 tests, and lots 3–5 had the lowest survival in 5 tests.

In the 54 tests of homogeneous cumulative survival, 9 were significant at $P \leq 0.10$ (i.e., 16.7%). However, the tests of cumulative survival are not independent within an analysis of a release group. For example, 7 of the 9 significant results all occurred within the R_1 release of steelhead. Also in that case, tag lot 1 had the lowest survivals in 2 of the 7 instances, while tag lot 2 had the lowest survival in 5 instances.

We conclude that tag lots corrected for tag life have no significant effect on observed smolt survivals. Therefore, fish tagged from all tag lots should be used in the analyses.

Table A.4. Numbers of tags used per tag lot at each release location for (a) yearling Chinook salmon and (b) steelhead smolts in the 2011 Juvenile Salmon Acoustic Telemetry System (JSATS) survival study. Chi-square tests of homogeneity performed for the overall table and pairwise comparisons of the below-dam release pairs.

a. Yearling Chinook salmon

Release Location	Tag lot			<i>P</i> -value
	1	2	3, 4, 5	
R1–CR390	706	501	1303	0.9801
R2–CR346	226	302	665	
R3–CR325	150	200	449	
R4–CR307	150	149	500	0.9805
R5–CR275	150	146	503	
R6–CR233	100	150	548	0.9323
R7–CR161	96	146	552	
Chi-square = 211.77		DF = 12		<0.0001

b. Steelhead

Release Location	Tag lot			<i>P</i> -value
	1	2	3, 4, 5	
R1–CR390	698	498	1391	0.9415
R2–CR346	228	302	666	
R3–CR325	150	197	450	
R4–CR307	150	150	500	1.0000
R5–CR275	150	150	500	
R6–CR233	99	146	547	0.9681
R7–CR161	100	150	544	
Chi-square = 178.67		DF = 12		<0.0001

Table A.5. Estimates of reach survival and cumulative survival for (a) yearling Chinook salmon and (b) steelhead smolts, along with *P*-values associated with the *F*-tests of homogeneous survival across tag lots.

a. Yearling Chinook salmon smolts

1) Release 1 – Reach survival

	Release to CR349		CR349 to CR325		CR325 to CR309		CR309 to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9802	0.0052	0.9578	0.0077	0.9924	0.0034	0.9664	0.0071	0.9937	0.0032	0.9587	0.0081	1.0025	0.0041
Lot 2	0.9801	0.0063	0.9528	0.0096	0.9914	0.0043	0.9501	0.0101	0.9954	0.0032	0.9570	0.0107	0.9839	0.0124
Lot 3, 4, 5	0.9762	0.0042	0.9672	0.0050	0.9922	0.0027	0.9665	0.0053	0.9951	0.0022	0.9719	0.0095	0.9512	0.0226
<i>P</i> -value	0.8312		0.4029		0.9774		0.2268		0.9067		0.4775		0.0520	

2) Release 1 – Cumulative survival

	Release to CR349		Release to CR325		Release to CR309		Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9802	0.0052	0.9389	0.0090	0.9317	0.0095	0.9004	0.0113	0.8947	0.0116	0.8577	0.0133	0.8598	0.0138
Lot 2	0.9801	0.0063	0.9338	0.0111	0.9258	0.0117	0.8796	0.0146	0.8756	0.0148	0.8380	0.0170	0.8245	0.0191
Lot 3, 4, 5	0.9762	0.0042	0.9442	0.0064	0.9368	0.0068	0.9054	0.0081	0.9009	0.0083	0.8756	0.0117	0.8329	0.0205
<i>P</i> -value	0.8312		0.7192		0.7177		0.2511		0.2898		0.1713		0.3508	

3) Release 2 – Reach survival

	CR349 to CR325		CR325 to CR309		CR309 to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9912	0.0062	0.9869	0.0077	0.9409	0.0159	0.9952	0.0048	0.9662	0.0127	0.9762	0.0127
Lot 2	0.9868	0.0066	0.9799	0.0081	0.9623	0.0111	0.9893	0.0061	0.9498	0.0132	1.0133	0.0066
Lot 3, 4, 5	0.9913	0.0037	0.9939	0.0032	0.9531	0.0084	0.9961	0.0027	0.9688	0.0139	0.9316	0.0296
<i>P</i> -value	0.8128		0.3376		0.4611		0.5483		0.5465		0.0096	

Table A.5. (contd)

4) Release 2 – Cumulative survival

	Release to CR325		Release to CR309		Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9912	0.0062	0.9782	0.0098	0.9204	0.0180	0.9159	0.0185	0.8849	0.0213	0.8639	0.0236
Lot 2	0.9868	0.0066	0.9669	0.0103	0.9305	0.0146	0.9205	0.0156	0.8743	0.0191	0.8860	0.0201
Lot 3, 4, 5	0.9913	0.0037	0.9852	0.0047	0.9390	0.0093	0.9353	0.0095	0.9061	0.0159	0.8441	0.0269
<i>P</i> -value	0.8128		0.3195		0.6600		0.6329		0.4803		0.4571	

5) Release 3 – Reach survival

	Release to CR309		CR309 to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9800	0.0114	0.9728	0.0134	0.9790	0.0120	0.9787	0.0122	0.9948	0.0112
Lot 2	0.9950	0.0050	0.9448	0.0162	0.9946	0.0054	0.9380	0.0180	0.9852	0.0149
Lot 3, 4, 5	0.9831	0.0063	0.9478	0.0108	0.9943	0.0040	0.9511	0.0152	1.0146	0.0379
<i>P</i> -value	0.3806		0.2811		0.2815		0.1597		0.6857	

6) Release 3 – Cumulative survival

	Release to CR309		Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9800	0.0114	0.9533	0.0172	0.9333	0.0204	0.9134	0.0230	0.9086	0.0250
Lot 2	0.9950	0.0050	0.9401	0.0168	0.9350	0.0174	0.8771	0.0235	0.8641	0.0261
Lot 3, 4, 5	0.9831	0.0063	0.9318	0.0120	0.9265	0.0123	0.8812	0.0183	0.8941	0.0354
<i>P</i> -value	0.3806		0.6137		0.9326		0.4326		0.5469	

Table A.5. (contd)

7) Release 4 – Reach survival

	Release to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9867	0.0094	0.9932	0.0067	0.9663	0.0150	0.9913	0.0106
Lot 2	0.9799	0.0115	0.9795	0.0117	0.9648	0.0155	1.0147	0.0060
Lot 3, 4, 5	0.9926	0.0040	0.9954	0.0033	0.9655	0.0146	0.9260	0.0318
<i>P</i> -value	0.5987		0.3169		0.9975		0.0043	

8) Release 4 – Cumulative survival

	Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9867	0.0094	0.9800	0.0114	0.9470	0.0184	0.9388	0.0207
Lot 2	0.9799	0.0115	0.9597	0.0161	0.9259	0.0215	0.9396	0.0225
Lot 3, 4, 5	0.9926	0.0040	0.9880	0.0049	0.9539	0.0152	0.8833	0.0296
<i>P</i> -value	0.5987		0.2137		0.5377		0.1777	

9) Release 5 – Reach survival

	Release to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9733	0.0132	0.9381	0.0200	0.9890	0.0165
Lot 2	1.0000	0.0000	0.9656	0.0153	0.9896	0.0136
Lot 3, 4, 5	0.9801	0.0062	0.9592	0.0154	0.9686	0.0362
<i>P</i> -value	0.1775		0.4899		0.7849	

Table A.5. (contd)

10) Release 5 – Cumulative survival

	Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9733	0.0132	0.9131	0.0231	0.9031	0.0273
Lot 2	1.0000	0.0000	0.9656	0.0153	0.9556	0.0199
Lot 3, 4, 5	0.9801	0.0062	0.9401	0.0162	0.9106	0.0335
<i>P</i> -value	0.1775		0.1338		0.3440	

11) Release 6 – Reach survival

	Release to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9802	0.0140	0.9897	0.0155
Lot 2	0.9934	0.0066	1.0023	0.0079
Lot 3, 4, 5	0.9951	0.0104	0.9472	0.0243
<i>P</i> -value	0.5635		0.0608	

12) Release 6 – Cumulative survival

	Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9802	0.0140	0.9701	0.0204
Lot 2	0.9934	0.0066	0.9956	0.0103
Lot 3, 4, 5	0.9951	0.0104	0.9425	0.0225
<i>P</i> -value	0.5635		0.1277	

Table A.5. (contd)

13) Release 7 – Reach survival

	Release to CR113	
	\hat{S}	\widehat{SE}
Lot 1	0.9874	0.0156
Lot 2	0.9790	0.0139
Lot 3, 4, 5	0.9552	0.0229
<i>P</i> -value	0.4180	

b. Steelhead smolts

14) Release 1 – Reach survival

	Release to CR349		CR349 to CR325		CR325 to CR309		CR309 to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9571	0.0077	0.9623	0.0074	0.9907	0.0038	0.9637	0.0074	0.9771	0.0061	0.9691	0.0072	1.0002	0.0083
Lot 2	0.9318	0.0113	0.9761	0.0071	0.9957	0.0031	0.9756	0.0073	0.9725	0.0078	0.9427	0.0117	0.9965	0.0137
Lot 3, 4, 5	0.9705	0.0045	0.9809	0.0038	0.9932	0.0023	0.9858	0.0036	0.9902	0.0031	0.9492	0.0083	0.9969	0.0258
<i>P</i> -value	0.0037		0.0960		0.5329		0.0489		0.0945		0.1095		0.9867	

15) Release 1 – Cumulative survival

	Release to CR349		Release to CR325		Release to CR309		Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9571	0.0077	0.9211	0.0102	0.9125	0.0107	0.8793	0.0123	0.8592	0.0132	0.8326	0.0142	0.8328	0.0158
Lot 2	0.9318	0.0113	0.9096	0.0129	0.9057	0.0131	0.8835	0.0144	0.8593	0.0156	0.8101	0.0178	0.8072	0.0207
Lot 3, 4, 5	0.9705	0.0045	0.9520	0.0057	0.9455	0.0061	0.9321	0.0069	0.9229	0.0072	0.8760	0.0102	0.8734	0.0237
<i>P</i> -value	0.0037		0.0085		0.0150		0.0017		0.0002		0.0045		0.0674	

Table A.5. (contd)

16) Release 2 – Reach survival

	CR349 to CR325		CR325 to CR309		CR309 to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	1.0000	0.0000	0.9868	0.0075	0.9733	0.0107	0.9909	0.0064	0.9449	0.0155	1.0030	0.0135
Lot 2	0.9834	0.0073	0.9899	0.0058	0.9864	0.0068	0.9897	0.0059	0.9416	0.0140	0.9960	0.0136
Lot 3, 4, 5	0.9992	0.0015	0.9813	0.0054	0.9735	0.0067	0.9879	0.0049	0.9425	0.0124	0.9594	0.0360
<i>P</i> -value	0.0775		0.6208		0.4398		0.9344		0.9853		0.3713	

17) Release 2 – Cumulative survival

	Release to CR325		Release to CR309		Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	1.0000	0.0000	0.9868	0.0075	0.9605	0.0129	0.9518	0.0142	0.8993	0.0200	0.9021	0.0234
Lot 2	0.9834	0.0073	0.9735	0.0092	0.9603	0.0112	0.9503	0.0125	0.8949	0.0177	0.8913	0.0213
Lot 3, 4, 5	0.9992	0.0015	0.9805	0.0054	0.9545	0.0084	0.9429	0.0090	0.8887	0.0145	0.8526	0.0332
<i>P</i> -value	0.0775		0.4602		0.9084		0.8561		0.9118		0.3803	

18) Release 3 – Reach survival

	Release to CR309		CR309 to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9933	0.0066	0.9866	0.0094	0.9796	0.0117	0.9376	0.0202	1.0246	0.0164
Lot 2	0.9898	0.0071	0.9282	0.0185	0.9669	0.0133	0.9675	0.0138	0.9913	0.0193
Lot 3, 4, 5	0.9912	0.0044	0.9737	0.0081	0.9878	0.0061	0.9577	0.0144	1.0688	0.0563
<i>P</i> -value	0.9221		0.0034		0.3863		0.4209		0.3039	

Table A.5. (contd)

19) Release 3 – Cumulative survival

	Release to CR309		Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9933	0.0066	0.9800	0.0114	0.9600	0.0160	0.9001	0.0245	0.9222	0.0291
Lot 2	0.9898	0.0071	0.9188	0.0195	0.8883	0.0224	0.8595	0.0249	0.8520	0.0295
Lot 3, 4, 5	0.9912	0.0044	0.9651	0.0091	0.9533	0.0099	0.9130	0.0167	0.9758	0.0522
<i>P</i> -value	0.9221		0.0058		0.0042		0.2107		0.0739	

20) Release 4 – Reach survival

	Release to CR275		CR275 to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9933	0.0066	0.9463	0.0185	0.9362	0.0206	1.0211	0.0192
Lot 2	0.9800	0.0114	0.9932	0.0068	0.9522	0.0177	0.9952	0.0142
Lot 3, 4, 5	0.9821	0.0064	0.9897	0.0051	0.9501	0.0141	0.9230	0.0360
<i>P</i> -value	0.4905		0.0070		0.7848		0.0157	

21) Release 4 – Cumulative survival

	Release to CR275		Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9933	0.0066	0.9400	0.0194	0.8800	0.0265	0.8986	0.0319
Lot 2	0.9800	0.0114	0.9733	0.0132	0.9268	0.0213	0.9224	0.0249
Lot 3, 4, 5	0.9821	0.0064	0.9720	0.0074	0.9235	0.0154	0.8524	0.0338
<i>P</i> -value	0.4905		0.1706		0.2305		0.2554	

Table A.5. (contd)

22) Release 5 – Reach survival

	Release to CR234		CR234 to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9867	0.0094	0.9259	0.0216	1.0030	0.0124
Lot 2	0.9867	0.0094	0.9601	0.0162	0.9755	0.0187
Lot 3, 4, 5	0.9840	0.0056	0.9436	0.0137	0.9586	0.0378
<i>P</i> -value	0.9654		0.3840		0.4582	

23) Release 5 – Cumulative survival

	Release to CR234		Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9867	0.0094	0.9135	0.0230	0.9163	0.0256
Lot 2	0.9867	0.0094	0.9473	0.0184	0.9241	0.0250
Lot 3, 4, 5	0.9840	0.0056	0.9285	0.0145	0.8901	0.0358
<i>P</i> -value	0.9654		0.4494		0.6900	

24) Release 6 – Reach survival

	Release to CR161		CR161 to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9802	0.0142	0.9934	0.0163
Lot 2	0.9659	0.0151	0.9911	0.0136
Lot 3, 4, 5	0.9705	0.0117	0.9449	0.0301
<i>P</i> -value	0.7527		0.1916	

Table A.5. (contd)

25) Release 6 – Cumulative survival

	Release to CR161		Release to CR113	
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}
Lot 1	0.9802	0.0142	0.9738	0.0211
Lot 2	0.9659	0.0151	0.9573	0.0198
Lot 3, 4, 5	0.9705	0.0117	0.9170	0.0288
<i>P</i> -value	0.7527		0.2147	

26) Release 7 – Reach survival

	Release to CR113	
	\hat{S}	\widehat{SE}
Lot 1	0.9714	0.0240
Lot 2	0.9835	0.0160
Lot 3, 4, 5	0.9297	0.0282
<i>P</i> -value	0.2303	

A.3 Examination of Delayed Handling Effects

The purpose of these tests was to assess whether downstream reach survivals were affected by how far upstream smolts were released. The results of these tests were used to determine which release groups were included in the constructs of a downstream virtual-release group. Data were pooled across taggers and tag lots in performing these analyses because previous tests of tag-lot and tagger effects were nonsignificant.

One of the 10 reach comparisons were significant at $\alpha = 0.10$. In those 10 cases, the survival estimates typically differed by less than 0.01, and reach survival for the uppermost release group was often higher than that of the downriver release groups (Table A.6). Comparison of cumulative survivals in reaches common to multiple release groups found 4 of 30 (i.e., 13.3%) tests to be significant at $\alpha = 0.10$ (Table A.7). In all cases, the upper release group (R_1) had higher survival than a group released further downriver. These observations are not consistent with evidence of time-dependent tag effects.

In conclusion, no evidence was found that a delayed handling/tag effect may affect the survival studies. For this reason, all available upriver releases were used in the construction of virtual-release groups at the face of John Day, The Dalles, and Bonneville dams.

Table A.6. Comparison of reach survivals between tag releases from different upstream locations for (a) yearling Chinook salmon and (b) steelhead during the 2011 JSATS survival study. Shaded reach survivals were not included in the F -tests of homogeneous survival because they represent new releases. Newly released fish and previously released fish were not compared within a reach.

a. Yearling Chinook salmon

Reach	CR390		CR346		CR325		CR307		CR275		CR233		CR161		P (F -test)
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	
Release to CR349	0.9810	0.0029													
CR349 to CR325	0.9620	0.0039	0.9923	0.0029											
CR325 to CR309	0.9924	0.0019	0.9892	0.0031	0.9874	0.0043									0.3788
CR309 to CR275	0.9636	0.0039	0.9538	0.0062	0.9525	0.0077	0.9915	0.0038							0.3760
CR275 to CR234	0.9954	0.0016	0.9947	0.0024	0.9919	0.0036	0.9924	0.0034	0.9851	0.0047					0.7845
CR234 to CR161	0.9551	0.0054	0.9518	0.0080	0.9464	0.0095	0.9541	0.0092	0.9451	0.0099	0.9863	0.0067			0.8916
CR161 to CR113	0.9577	0.0094	0.9515	0.0133	0.9799	0.0155	0.9467	0.0161	0.9571	0.0176	0.9586	0.0144	0.9479	0.0141	0.6943

b. Steelhead

Reach	CR390		CR346		CR325		CR307		CR275		CR233		CR161		P (F -test)
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	
Release to CR349	0.9623	0.0039													
CR349 to CR325	0.9757	0.0032	0.9975	0.0020											
CR325 to CR309	0.9932	0.0017	0.9847	0.0036	0.9932	0.0033									0.0328
CR309 to CR275	0.9795	0.0031	0.9769	0.0046	0.9663	0.0068	0.9867	0.0047							0.1489
CR275 to CR234	0.9831	0.0029	0.9895	0.0033	0.9807	0.0054	0.9816	0.0052	0.9874	0.0043					0.4732
CR234 to CR161	0.9480	0.0052	0.9367	0.0080	0.9495	0.0092	0.9401	0.0097	0.9379	0.0096	0.9659	0.0082			0.7484
CR161 to CR113	0.9691	0.0107	0.9528	0.0151	0.9938	0.0208	0.9451	0.0189	0.9445	0.0178	0.9501	0.0175	0.9258	0.0167	0.2810

Table A.7. Comparison of cumulative survivals between different upstream tag-release locations for (a) yearling Chinook salmon and (b) steelhead during the 2011 JSATS survival study. P -values associated with F -tests of homogeneous survival.

a. Yearling Chinook salmon

Reach	CR390		CR346		P (F -test)
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	
CR325 to CR309	0.9924	0.001879	0.9955	0.0035	0.4352
CR325 to CR275	0.9565	0.004293	0.9542	0.010577	0.8403
CR325 to CR234	0.9524	0.004486	0.9515	0.010804	0.9387
CR325 to CR161	0.9097	0.006679	0.9178	0.020062	0.7017
CR325 to CR113	0.873	0.009901	0.8403	0.035585	0.3760

Reach	CR390		CR346		CR325		P (F -test)
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	
CR309 to CR275	0.9636	0.003938	0.9538	0.00623	0.9525	0.007725	0.3794
CR309 to CR234	0.9591	0.00417	0.9487	0.006539	0.9447	0.00827	0.2754
CR309 to CR161	0.9173	0.006508	0.9035	0.009765	0.8932	0.01192	0.2085
CR309 to CR113	0.8778	0.009878	0.8603	0.013978	0.8763	0.017157	0.6184

Reach	CR390		CR346		CR325		CR307		P (F -test)
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	
CR275 to CR234	0.9953	0.00159	0.9947	0.002434	0.9919	0.003578	0.9924	0.003353	0.7922
CR275 to CR161	0.9484	0.005704	0.9459	0.008373	0.9400	0.010208	0.9453	0.009765	0.9199
CR275 to CR113	0.9175	0.009446	0.908	0.013089	0.9168	0.016292	0.9057	0.016121	0.9067

Table A.7. (contd)

Reach	CR390		CR346		CR325		CR307		CR275		$P (F\text{-test})$
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	
CR234 to CR161	0.9552	0.005388	0.9519	0.007953	0.9465	0.009451	0.9542	0.009151	0.9452	0.009856	0.8898
CR234 to CR113	0.9148	0.009493	0.9057	0.013356	0.9275	0.016155	0.9033	0.016241	0.9047	0.017662	0.7595

Reach	CR390		CR346		CR325		CR307		CR275		CR233		$P (F\text{-test})$
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	
CR161 to CR113	0.9508	0.009279	0.9467	0.01329	0.9683	0.014953	0.9425	0.016114	0.9475	0.017317	0.951	0.014248	0.8584

b. Steelhead

Reach	CR390		CR346		$P (F\text{-test})$
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	
CR325 to CR309	0.9932	0.001732	0.9847	0.003614	0.0339
CR325 to CR275	0.9732	0.003501	0.9623	0.00573	0.1045
CR325 to CR234	0.9566	0.004246	0.9521	0.006327	0.5548
CR325 to CR161	0.9075	0.006436	0.8938	0.009622	0.2366
CR325 to CR113	0.8798	0.011103	0.8527	0.015729	0.1593

Reach	CR390		CR346		CR325		$P (F\text{-test})$
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	
CR309 to CR275	0.9795	0.003114	0.9770	0.004568	0.9663	0.006767	0.1449
CR309 to CR234	0.9628	0.003942	0.9667	0.005313	0.9476	0.007999	0.0587
CR309 to CR161	0.9137	0.006254	0.9055	0.009175	0.8998	0.011579	0.5660
CR309 to CR113	0.8869	0.011095	0.8628	0.015653	0.8932	0.021076	0.3864

Table A.7. (contd)

Reach	CR390		CR346		CR325		CR307		P (F -test)
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	
CR275 to CR234	0.9832	0.002878	0.9895	0.003287	0.9807	0.005444	0.9816	0.005216	0.4769
CR275 to CR161	0.9346	0.005959	0.9251	0.008922	0.9334	0.010451	0.9199	0.011227	0.6431
CR275 to CR113	0.9049	0.010877	0.8887	0.015463	0.9408	0.020741	0.8824	0.019403	0.0699

Reach	CR390		CR346		CR325		CR307		CR275		P (F -test)
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	
CR234 to CR161	0.9481	0.005237	0.9368	0.007967	0.9496	0.00921	0.9402	0.009665	0.938	0.009601	0.7478
CR234 to CR113	0.9192	0.010907	0.8925	0.015407	0.9437	0.020814	0.8886	0.019067	0.8859	0.018182	0.0788

Reach	CR390		CR346		CR325		CR307		CR275		CR233		P (F -test)
	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	\hat{S}	\widehat{SE}	
CR161 to CR113	0.9651	0.01067	0.9459	0.014803	0.9828	0.020228	0.9385	0.018589	0.94	0.017674	0.9403	0.017119	0.3321

Appendix B

Capture Histories Used in Estimating Dam Passage Survival

Appendix B

Capture Histories Used in Estimating Dam Passage Survival

Table B.1. Capture histories at sites at rkm 161, 113, and 86 (Figure 2.1) for release group V_1 (see Figure 2.1) for yearling Chinook salmon used in estimating dam passage survival and boat-restricted zone (BRZ)-to-BRZ survival. A “1” denotes detection, “0” denotes nondetection, and “2” denotes detection and censoring due to removal.

Capture History	V_1 (Season-Wide)		V_1 (Early Season)	
	Dam Passage Survival	BRZ-to-BRZ Survival	Dam Passage Survival	BRZ-to-BRZ Survival
1 1 1	2,393	2,382	1,689	1,691
0 1 1	324	323	83	84
1 0 1	757	751	399	402
0 0 1	128	127	16	16
1 2 0	0	0	0	0
0 2 0	0	0	0	0
1 1 0	880	864	132	134
0 1 0	236	231	11	11
2 0 0	0	0	0	0
1 0 0	503	494	44	44
0 0 0	321	357	112	110
Total	5,542	5,529	2,486	2,492

Table B.2. Capture histories at sites at rkm 113 and 86 (Figure 2.1) for release groups R_2 , and R_3 for yearling Chinook salmon used in estimating dam passage survival. A “1” denotes detection, “0” denotes nondetection, and “2” denotes detection and censoring due to removal.

Capture History	Season-Wide Dam Passage Survival		Early Season Dam Passage Survival	
	R_2	R_3	R_2	R_3
1 1	424	421	264	259
0 1	127	131	61	59
2 0	0	0	0	0
1 0	155	152	17	12
0 0	92	90	8	10
Total	798	794	350	340

Table B.3. Capture histories at sites at rkm 161, 113, and 86 (Figure 2.1) for release group V_1 for steelhead used in estimating dam passage survival and BRZ-to-BRZ survival. A “1” denotes detection, “0” denotes nondetection, and “2” denotes detection and censoring due to removal.

Capture History	V_1 (Season-Wide)		V_1 (Early Season)	
	Dam Passage Survival	BRZ-to-BRZ Survival	Dam Passage Survival	BRZ-to-BRZ Survival
1 1 1	2,242	2,241	1,603	1,607
0 1 1	139	138	31	31
1 0 1	742	738	385	384
0 0 1	60	59	11	11
1 2 0	0	0	0	0
0 2 0	0	0	0	0
1 1 0	1,294	1,280	281	282
0 1 0	191	185	10	9
2 0 0	0	0	0	0
1 0 0	644	639	66	66
0 0 0	351	382	123	124
Total	5,663	5,662	2,510	2,514

Table B.4. Capture histories at sites at rkm 113 and 86 (Figure 2.1) for release groups R_2 , and R_3 for steelhead used in estimating dam passage survival. A “1” denotes detection, “0” denotes nondetection, and “2” denotes detection and censoring due to removal.

Capture History	Season-wide Dam Passage Survival		Early Season Dam Passage Survival	
	R_2	R_3	R_2	R_3
1 1	353	360	246	248
0 1	114	97	53	56
2 0	0	0	0	0
1 0	195	218	25	33
0 0	130	119	19	13
Total	792	794	343	350

Distribution

No. of Copies

OFFSITE

Brad Eppard
USACE Portland District
P.O. Box 2946
Portland, OR 97204

Mike Langeslay
USACE Portland District
P.O. Box 2946
Portland, OR 97204

No. of Copies

ONSITE

5 Pacific Northwest National Laboratory

TJ Carlson	BPO
GE Johnson	BPO
GR Ploskey	NBON
S Schlahta	K6-83
MA Weiland	NBON



*Proudly Operated by **Battelle** Since 1965*

902 Battelle Boulevard
P.O. Box 999
Richland, WA 99352
1-888-375-PNNL (7665)
www.pnnl.gov



U.S. DEPARTMENT OF
ENERGY