

Prepared for the U.S. Department of Energy under Contract DE-AC05-76RL01830



Country Report on Building Energy Codes in Australia

B Shui M Evans S Somasundaram

April 2009



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PACIFIC NORTHWEST NATIONAL LABORATORY

operated by

BATTELLE

for the

UNITED STATES DEPARTMENT OF ENERGY

under Contract DE-AC05-76RL01830

Printed in the United States of America

Available to DOE and DOE contractors from the Office of Scientific and Technical Information, P.O. Box 62, Oak Ridge, TN 37831-0062; ph: (865) 576-8401 fax: (865) 576-5728 email: reports@adonis.osti.gov

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Foreword

Buildings account for about 30% of all energy consumption globally and a significant share of greenhouse gas emissions. Building energy codes help ensure that new buildings use energy efficiently, and this can reduce building energy use by 50% or more compared to buildings designed without energy efficiency in mind. This is important because buildings typically last 30-50 years, and it is much less expensive and time-consuming to design for energy efficiency than to retrofit a building later. Based on the experience of the Asia-Pacific region, it is clear that building energy codes, when implemented, save energy and improve comfort in new buildings. By design, most building energy codes are cost-effective, saving consumers significant amounts of money on their energy bills.

The Asia-Pacific Partnership on Clean Development and Climate (APP) is a public-private collaboration to accelerate the development and deployment of clean energy technologies. APP partners include Australia, Canada, China, India, Japan, South Korea and the United States (the U.S.). APP countries account for more than half of global energy consumption, and more than half of global greenhouse gas emissions. APP's Buildings and Appliance Task Force (BATF) provides a forum for APP partners to work together on energy efficiency in buildings and appliances. This report was prepared under the framework of BATF, in particular a BATF project called "Survey building energy codes and develop scenarios for reducing energy consumption through energy code enhancement in APP countries" (BATF-06-24).

At the request of the U.S. Department of Energy, the Pacific Northwest National Laboratory's Joint Global Change Research Institute has prepared a series of reports surveying building energy codes in the seven APP countries. These reports include country reports on building energy codes in each APP partner country and a comparative report based on the country reports. This particular report is the country report on building energy codes in Australia.

Acknowledgements

This report owes its existence to the Asia-Pacific Partnership on Clean Development and Climate. We would like to thank all the APP partner countries and experts who collaborated on this project. We are particularly grateful to Dr. Seung-Eon Lee at the Korean Institute of Construction Technology for his oversight of the APP project under which this report was prepared (BATF 06-24). We would also like to thank Mark Ginsberg, Jean Boulin and Marc LaFrance from the U.S. Department of Energy for their leadership and financial support of this work.

Diana Shankle, manager of the PNNL Building Energy Codes Program, has provided moral and intellectual support for this project. Julie Claydon at Australian Government Department of Innovation, Industry, Science and Research, and several reviewers at the Australian Government Department of the Environment, Water, Heritage and the Arts provided very helpful feedback on the report. Mark Halverson at PNNL also reviewed this report, and Alison Delgado and Kate Williams provided editorial assistance. We would also like to express our gratitude to several other individuals who supported or participated in the APP building energy code assessment in various capacities including Joe Huang, Bipin Shah, Elizabeth Malone, Kay Killingstad, Paulette Land and Kim Swieringa. And we would like to acknowledge the Korean Ministry of Knowledge Economy and the Korea Energy Management Corporation which supported the publication of this report.

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1. Introduction and Background

1.1 A Glance at the Economy and Energy

With an impressive annual average growth rate of 3.6% over the past 15 years, Australia is one of the fastest growing industrialized countries. In 2007, Australia had a gross domestic product (GDP) of US\$0.8 trillion, making it the smallest economy in the APP. Australia's per capita income is US\$24,142 (IMF, 2008a, b).

Well-endowed with natural resources, Australia is a major exporter of energy-intensive products (Australia is the largest net coal exporter in 2006). As a result, it is an emission-intensive economy. In 2006, Australia emitted 417 Mt of carbon dioxide, which was 1.4% of the global total that year (EIA, 2008).

1.2 **Buildings Sector**

According to the International Energy Agency (IEA), the buildings sector contributed to almost one-fifth of Australia's annual final energy use³ from 1990 to 2005 (Figure 1). This is a smaller role than in many other APP countries, as buildings in Australia consume less energy than the transportation and industrial sectors.

In 2005, the buildings sector consumed 15.9 million tons of oil equivalent (Mtoe). Within the buildings sector, residential buildings account for about two-thirds of the energy use, while commercial buildings account for the rest (or 10.0 vs. 5.8 Mtoe). From 1990 to 2005, energy demand in the buildings sector grew at a 2.4% annual growth rate. This growth was faster in commercial rather than residential buildings: 3.4% annually vs. 1.9%. Growth in energy demand in the buildings sector (2.4%) also outpaced the growth in the industrial (1.2%) and transportation sectors (1.9%) (IEA, 2007).

1.3 Relevant Regulations

1.3.1 Energy Efficiency

In the past, Australia's energy policy focused primarily on the supply side (IEA, 2005). However, the government has aggressively pursued energy efficiency in recent years. In 2004, the government published a widely quoted white paper entitled "Securing Australia's Energy Future" which included an examination of the potential of energy efficiency in reducing energy intensity and carbon emissions. The white paper announced several initiatives, including the expansion of the Minimum Energy Performance Standards to cover buildings and more appliances (Australian Government, 2004; IEA, 2005).

¹ Specifically, Australia is one of the fastest growing countries in the Organisation for Economic Cooperation and Development (OECD). See The Economist, 2007.

² Nominal GDP in current U.S. dollars. Its GDP based on purchasing power parity was US\$0.7 trillion in 2007. Its per capita income on a purchasing power parity basis US\$33,011.

³ Final energy use includes consumption of renewable and waste energy.

In August 2004, the Ministerial Council on Energy initiated the National Framework for Energy Efficiency (NFEE), a major national effort to promote energy efficiency, productivity and the environment. In NFEE Stage One, nine integrated and inter-linked policy packages were created, including packages covering: (1) residential buildings, (2) commercial buildings, (3) commercial/industrial energy efficiency, (4) government energy efficiency, (5) appliance and equipment energy efficiency, (6) trade and professional training and accreditation, (7) commercial/industrial sector capacity building, (8) general consumer awareness, and (9) finance sector awareness (NFEE, 2008).

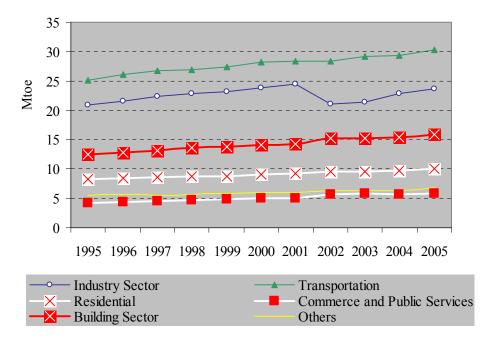


Figure 1 Energy Consumption by Sector in Australia, 1990-2005

Notes: Energy consumption in this figure refers to final energy use, which includes consumption of renewable and waste energy; the sector "Others" includes agriculture, forestry, fishing, non-specified and non-energy use.

Source: IEA, 2007

On December 13, 2007, the Australian Government, and State and Territory Energy Ministers launched Stage Two of the NFEE. The stage included a package of five new energy efficiency measures, including (1) expanding and enhancing the Minimum Energy Performance Standards (MEPS) program, (2) strategies for high efficiency heating, ventilation and air conditioning (HVAC) systems, (3) phase-out of inefficient incandescent lighting, (4) government leadership though green leases, and (5) development of measures for a national hot water strategy, for later consideration (NFEE, 2008)

1.3.2 Building Energy Codes

With participation from all levels of Australian government and from representatives of the building industry, the Australian Building Codes Board (ABCB) is a government body in charge of the development and administration of building codes in Australia. It was officially founded by the Australian Commonwealth, state and territory governments

in 1994 and reaffirmed in 2006. Key objectives of ABCB include 1) maintaining and updating the Building Code of Australia (BCA), 2) providing regulations to "aid the design, construction and use of buildings throughout Australia," and 3) to support the governmental agenda such as on issues relating to climate change (ABCB, 2008b).

The BCA offers technical provisions for the design and construction of buildings and other structures throughout Australia, allowing for variations by climate zone. It covers structure, fire resistance, services, equipment, energy efficiency, and certain aspects of health and amenities. Energy efficiency measures were introduced into the BCA in January 2003. On energy efficiency, the code allows for either a performance-based approach to compliance (compared to a reference building), or a prescriptive approach based on requirements for specific building components.

In 2000, the Australian Government launched a "two-pronged approach" to combat greenhouse gas emissions from buildings: (1) mandatory minimum energy performance requirements through the BCA, and (2) voluntary initiatives in industry (ABCB, 2008a).

Since 2003, the BCA has been updated annually. This country report was written based on the 2007 version of the BCA (BCA 2007). The most updated version when this report was published is the 2009 version (BCA 2009).

1.4 Implementation

1.4.1 BCA Hierarchy4

The BCA includes both guidance and compliance-level requirements (Figure 2). The guidance levels include objectives (level 1) and functional statements (level 2). The compliance levels consist of performance requirements (level 3) and building solutions (level 4). The performance requirements (level 3) outline a suitable level of performance to be met by building materials, components, design factors and construction methods. Building solutions (level 4) suggest the means of achieving compliance with the performance requirements (ABCB, 2008d).

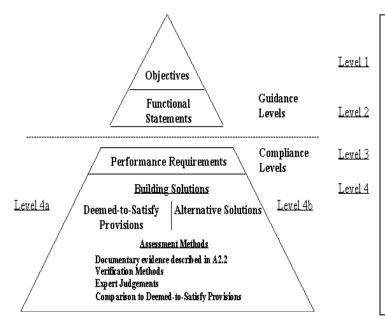
The BCA provides two compliance methods that can be followed to develop a building solution: a prescriptive⁵ approach with deemed-to-satisfy provisions (level 4a), or alternative solutions (level 4b) (see Figure 2). Designers can choose between the compliance methods, selecting: (1) a prescriptive solution, (2) an alternative solution or (3) a mixture of prescriptive and alternative solutions (Australian Institute of Building, 2004). In order to use an alternative solution, a building designer must use an assessment method (such as documentary evidence, verification, expert judgment or a comparison to the prescriptive approach) to demonstrate that the building will meet the performance requirements in the BCA (ABCB, 2008d). In the case of residential houses, verification that the alternative solution achieves the performance requirements can be done by

⁴ This section is edited based on BCA 2007, http://www.abcb.gov.au/go/about_bca_p2 and http://www.aib.org.au/buildingcodes/bca.htm.

⁵ In this country report, we use the term of "prescriptive" to replace the term of "deemed-to-satisfy" appeared in BCA 2007.

achieving a rating of no less than five stars using compliant house energy rating software (see section 3.2).

Figure 2 The BCA Hierarchy



At level 4a are the **prescriptive provisions**. These include examples of materials, components, design factors, and construction methods which, if used, will result in compliance with the performance requirements of the BCA.

At level 4b are the alternative solutions. The key to the performance-based BCA is that there is no obligation to adopt any particular material, component, design factor or construction method. An approval authority may still issue an approval if it differs in whole or in part from deemed-to-satisfy provisions described in the BCA if it can be demonstrated that the design complies with the relevant performance requirement.

Source: ABCB, 2008

1.4.2 Administrative Arrangements

The Commonwealth and all of the states and territories had adopted the BCA by early 1998. Enabling building regulatory legislation in each state and territory makes the BCA legally binding, although there is scope for states and territories to vary the BCA's provisions to suit local circumstances. This legislation prescribes the BCA to fulfill any technical requirements which have to be satisfied in order to gain approval of a building proposal (Australian Institute of Building, 2004).

Each state and territory's building regulatory legislation consists of an act of the local parliament and subordinate legislation. Administrative matters typically covered in the enabling or subordinate legislation include procedures for (1) plan submission and approval, (2) issuing of building permits, (3) inspections during and after construction, (4) provision of evidentiary certificates, (5) issuing of certificates of occupancy or compliance, (6) accreditation or approval of materials or components, (7) review and enforcement of standards, and (8) fees and charges (Australian Institute of Building, 2004).

The BCA is not free of charge for users, which is similar to such APP countries as the United States and Canada. There is a national campaign calling for a free BCA to increase compliance (Australia Institute of Building, 2008).

2 Building Energy Requirements in Building Code of Australia 2007⁶

2.1 Overview

2.1.1 Building Classification

The 2007 version of the BCA (BCA 2007) includes two volumes: Volume One covers provisions for multi-unit residential, commercial and public buildings; Volume Two is for detached and attached residential dwellings (Table 1).

Table 1 Building Classification in BCA 2007

Class	Descriptions	Simplified Name Used in this Document
C1	Class 1a: a single detached house, or one of a group of two or more attached dwellings; Class 1b: boarding houses, guest houses or hostels with a total area not exceeding 300 square meters	A residential house
C2	A multi-family residential building or a building containing 2 or more sole-occupancy units, each being a separate dwelling	A multi-family residential building
С3	A residential building other than a Class 1 or Class 2 building, including residential parts of a hotel or motel, a school, a health-care building, or a detention center	A hotel or dormitory
C4	A dwelling in a building that is Class 5, 6, 7, 8 or 9 if it is the only dwelling in the building	A dwelling in a commercial building
C5	An office building	An office building
C6	A retail building	A retail building
C7	Class 7a: parking lot or garage; Class 7b: a place used for storage, or display of goods or produce for sale by wholesale	A commercial garage or storage unit
C8	A laboratory or a building in which a handicraft or process for production occurs	A laboratory building
С9	Class 9a: health-care building; Class 9b: an assembly building including a trade workshop or a laboratory in a school; Class 9c: senior citizen housing	Miscellaneous commercial buildings
C10	A non-habitable building or structure, including Class 10a: such as a private garage, carport or shed; Class 10b: such as a fence, mast, retaining or free-standing wall or swimming pool	A private garage

Source: Part A 3.2 of BCA 2007

In this country report, the buildings in the C2, C3, C4 or C9c categories are called dwelling-oriented buildings. The remaining buildings in Volume One, including buildings in the C5, C6, C7, C8, C9a and C9b categories, are called non-dwelling buildings. These simplified terms are not part of the official BCA 2007 terminology.

⁶ This country report was written based on BCA 2007. BCA 2009 is the latest version available for purchase.

In order to facilitate the reading and understanding of specific provisions for a dozen building types, each building classification is simplified with a representative building type and presented in the column "simplified name used in this document." Please note that this simplification is not part of the official BCA 2007 terminology.

2.1.2 Structure of the Building Energy Code in BCA 2007

BCA 2007 has ten sections in Volume One, including (Section A) general provisions, (B) structure, (C) fire resistance, (D) access, (E) services and equipment, (F) health and amenity, (G) ancillary provisions, (H) special use buildings, (I) maintenance, and (J) energy efficiency. There are three sections in Volume Two, including (Section 1) general requirements, (2) performance provisions and (3) acceptable construction.

The BCA includes one Part (Part I2) on energy efficiency installation under Section I and eight Parts (Part J1 to Part J8) on energy efficiency for buildings under Section J in Volume One (Table 2). The contents of energy efficiency for residential houses are mainly located in two places in Volume Two: Section 2, performance requirements (general descriptions on energy efficiency) and Part 3.12 of Section 3 (the specific provisions for energy efficiency of building components). Section J also contains seven specifications for buildings (Table 3).

As noted above, all buildings and houses must meet the mandatory energy efficiency clauses of the BCA, as well as either the prescriptive provisions⁷ or the alternative, performance-based provisions.

⁷ See J1.0, J2.0, J3.0, J4.0, J5.0, J6.0, J7.0 and J8.0.

Table 2 Building Code Structure on Energy Efficiency in Volumes One and Two

Section or Part Number in the BCA 2007		Section or Part		
Buildings (Volume One)	Residential Houses (Volume Two)	Title in BCA 2007	Description	
Section J	Section 2 and Part 3.12	Energy Efficiency		
JO1	O2.6	Objective	The goal of the regulation	
JF1	F2.6	Functional Statements	General terms on how a building could be expected to satisfy the objectives	
JP1 (Buildings) JP2 (Services)	P2.6.1 (Buildings) P2.6.2 (Services)	Performance Requirement	Energy efficiency appropriate to the function and use of the building and its services	
JV1-JV3	V2.6.2.1 - V2.6.2.2	Verification Methods	Explanations of the methods for verifying compliance	
JV	Not Applied (N.A.)	Annual Energy Consumption Calculation	Parameters used in annual energy consumption calculation	
Part J1	Part 3.12.1	Building Envelope ⁸	Provisions for thermal insulation, roofs and ceilings, walls and other parts of the building envelope	
Part J2	Part 3.12.2	External Glazing	Provisions for windows and shading	
Part J3	Part 3.12.3	Building Sealing	Provisions for chimneys and flues, skylights, doors and windows, exhaust fans and evaporative coolers	
Part J4	Part 3.12.4	Air Movement	Provisions for air movement, ventilation openings, ceiling fans and evaporative coolers	
Part J5	Not Applied (N.A.)	Air-Conditioning and Ventilation Systems	Requirements for air-conditioning and ventilation systems, time switches, heating and chilling systems, and miscellaneous exhaust systems	
Part J6	N.A.	Artificial Lighting and Power	Provisions for interior and exterior lighting	
Part J7	Part 3.12.5.0 - 3.12.5.2	Hot Water Supply	Provisions for thermal insulation, central heating water piping, and heating and cooling ductwork	
Part J8	N.A.	Access for Maintenance	Provisions for maintenance access	
Section I	N.A.	Maintenance		
Part I2	N.A.	Energy Efficiency Installations	Provisions for energy efficiency installations	
Specification J1.2 to J6	N.A.	Specifications	Specific provisions for thermal conductivity, insulation, lighting and power control Source: BCA 2007	

Source: BCA 2007

 $^{^{8}}$ For consistency with the other country reports, we use the term "building envelope" in this report instead of "building fabric," which appears in BCA 2007.

Table 3 Summary of Specifications in Section J Energy Efficiency of Volume One

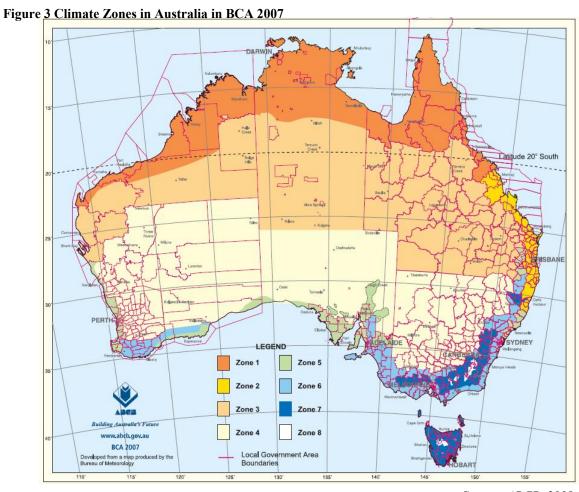
Theme	Specification Number and Title in Volume One	Description
	Material Properties (J1.2)	Thermal conductivity (W/m·K) of typical wall, roof, ceiling and floor materials; typical R-values for airspaces and air films; typical thermal properties (R-value added by a reflective surface) for reflective surfaces and airspaces in roofs
Thermal conductivity	Roof and Ceiling Construction (J1.3)	Typical R-values (ventilated vs. unventilated) for roof and ceiling construction by roof construction type
	Wall Construction (J1.5)	Typical R-values for wall construction by external wall construction type
	Floor Construction (J1.6)	Typical R-values for floor construction (for a floor without a floor heating system) by floor construction type
Insulation	Ductwork Insulation and Sealing (J5.2)	Requirements for sealing and insulating supply and return ductwork used in a system that heats or cools a building. Minimal total R-values for ductwork and fittings
Insulation of Piping, Vessels, Heat Exchan and Tanks (J5.4)		Requirements for insulating piping, vessels, heat exchangers, and tanks containing heated or chilled fluid, such as minimum total R-value for piping
Lighting and Power Control	Lighting and Power Control Devices (J6)	Requirements for lighting and power control devices including timers, time switches, motion detectors and daylight control devices

Source: BCA 2007

2.1.3 Climate Zones

Australia's climate is arid to semiarid in the west, temperate in the south and east, and tropical in the north (ABCB, 2008c; McGlynn, 2006). The BCA divides Australia into eight climate zones. Climate zone 1, located in the far north, has very humid summers with warm winters, and climate zone 8, located in the far south, is the coldest region (Figure 3 and Table 4).

Notice that the most significant difference between BCA 2007 and BCA 2009 is the climate zone map; in BCA 2009, a few local geographic areas changed climate zone.



Source: ABCB, 2008c

Table 4 Summary of Climate Zones

Climate zone	Description	Average 3 pm January water vapour pressure	Average January maximum temperature	Average July mean temperature	Average annual heating degree days
1	High humidity summer, warm winter	≥ 2.1 kPa	≥ 30°C	-	-
2	Warm humid summer, mild winter	≥ 2.1 kPa	< 30°C	-	-
3	Hot dry summer, warm winter	≤ 2.1 kPa	≥ 30°C	≥ 14°C	-
4	Hot dry summer, cool winter	≤ 2.1 kPa	≥ 30°C	< 14ºC	-
5	Warm temperate	≤ 2.1 kPa	< 30°C	-	< 1000
6	Mild temperate	≤ 2.1 kPa	< 30°C	-	1000 to 1999
7	Cool temperate	≤ 2.1 kPa	< 30°C	-	2000 to alpine
8	Areas defined as "alpine" in the BCA				

Source: McGlynn, 2006

2.2 Building Envelope (Building Fabric)9

All of the provisions for the building envelope apply to most building types¹⁰, including buildings and residential houses (Table 5).¹¹

Table 5 Building Envelope in BCA 2007

Buildings (Volume One)	Residential Houses (Volume Two)	Description
Prescriptive Provisions	N.A.	N.A.
Application	Application	Building classes applied
General thermal construction	Building envelope thermal insulation	Requirements for insulation
Roof and ceiling construction	Roof	The minimum total R-values in roofs and ceilings by climate zone
Skylights ¹²	Skylights	Requirements for skylight area and corresponding total U-values and solar heat gain coefficient
Walls	External walls	The minimum total R-values of external walls by climate zone
Floors	Floors	The minimum total R-value by floor types and climate zone
	Attached primary garage buildings	Building description and the required thermal performance

Thermal Insulation: The building envelope must have insulation that complies with the Australian-New Zealand standards (AS/NZS 4859)¹³. There are detailed requirements on how insulation is to be installed. Insulation must form a continuous barrier with ceilings, walls, bulkheads, floors and the like. 14 The BCA 2007 provides the minimum total Rvalue for roofs or ceilings, walls and floors of buildings and houses (Table 6).

⁹ See Part J1 in Volume One for commercial buildings, and Part 3.12.1in Volume Two for residential

The most buildings exclude garage, storage, or laboratory buildings that do not have a conditioned space, or an atrium or solarium that is not a conditioned space and is separated from the remainder of the building by an envelope.

¹¹ See Part J1.1 of BCA 2007.

¹² Known as roof lights in Australia.

¹³ AS/NZS 4859 provides general criteria, technical provisions and test procedures for building insulation. ¹⁴ See Parts J1.2, and 3.12.1.1 of BCA 2007.

Table 6 The Range of Minimum Total R-values for Roofs (and Ceilings), Walls and Floors by Climate Zone

	Dwelling-oriented Buildings	Non-dwelling Buildings	Residential Houses
Roofs and ceilings in	2.2 (Z1)	3.2 (Z1 to Z6)	2.7 (Z1)
general	- 4.3 (Z8)	- 4.3 (Z8)	- 4.8 (Z8)
Roofs and ceilings below a non- conditioned space	1.1 (Z1) - 2.15 (Z8)	1.6 (Z1 to Z6) - 2.15 (Z8)	
Walls	1.4 (Z1, 2 and 3) - 2.8 (Z8),	1.8 (Z1 to 7) - 2.8 (Z8)	1.9 (Z1, 2 and 3) - 3.3 (zone 8)
Floors	1.0 (Z6 and 7) - 2.5 (Z8)	1.5 (Z1, 3, 4, 6, and 7) - 2.5 (Z8)	2.0 (Z4) - 3.0 (Z8)

Notes:

- 1. Z1 refers to climate zone 1, which has a high humidity summer with a warm winter and is located in the far north of Australia. Z8 refers to climate zone 8, which is an "alpine" area and is located in the far south (Figure 3 Climate Zones in Australia).
- 2. Dwelling oriented buildings include multi-family buildings (C2), hotels or dormitories (C3), dwelling(s) in commercial buildings (C4) or senior housing in miscellaneous commercial buildings (C9c).
- 3. Non-dwelling buildings include office buildings (C5), retail buildings (C6), public garages (C7), laboratory buildings (C8), health care buildings (C9a) and laboratory buildings in schools (C9b).

Skylights in buildings and residential houses should be between 1.5% and 10% of the floor area of the room or space. A list of prescriptive, total U-value and Solar Heat Gain Coefficient requirements should be met. Skylight areas can exceed 10% when the skylights are the only lighting source. BCA 2007 also provides thermal performance of skylights (Table 7).

Table 7 Thermal Performance of Skylights

Skylight Shaft	Ratio of Total Area of Skylights to Floor Area Served			
Index	1.5% < Ratio <= 3%	3% < Ratio <= 5%	5% < Ratio <= 10%	
< 0.5	SHGC < 0.75	SHGC < 0.55	SHGC < 0.25	
	Total U-value < 5.0	Total U-value < 5.0	Total U-value < 2.0	
0.5 to < 1.0	Total U-value < 5.0	SHGC < 0.70	SHGC < 0.35	
	Total O-value < 3.0	Total U-value < 5.0	Total U-value < 2.5	
1.0 to <2.5	Total U-value < 5.0	Total U-value < 5.0	SHGC < 0.45	
	Total O-value < 3.0	Total O-value \5.0	Total U-value < 2.5	
2.5 and above	Total U-value < 5.0	Total U-value < 5.0	SHGC < 0.55	
	Total O-value < 5.0	Total O-value \3.0	Total U-value < 5.0	

Note: The skylight shaft index is determined by measuring the distance from the center of the shaft at the roof to the center of the shaft at the ceiling level and dividing it by the average internal dimension of the shaft opening at the ceiling level.

Source: BCA 2007

¹⁵ See Parts J1.4 and 3.12.1.3 of BCA 2007.

2.3 External Windows (or Glazing)¹⁶

The BCA contains specific provisions on the energy efficiency characteristics of windows (Table 8). The provisions on external windows apply to most building types except for commercial garages and other unconditioned spaces.¹⁷ The windows must be designed and installed according to either method one (for dwelling buildings) or method two (for non-dwelling buildings). Notice that window provisions in Residential Houses (Volume Two) are expressed in a similar way to Method 1 with slight differences (such as on slab-on-ground concession etc).

Table 8 External Windows (Glazing) in BCA 2007

Buildings (Volume One)	Residential Houses (Volume Two)	Description
Prescriptive Provisions	N.A.	N.A.
Application	Application	Applicable building classes
Glazing – Method 1	External glazing	Description of Method 1
Glazing – Method 2		Description of Method 2
Shading	Shading	The minimum total R-values of external walls by climate zone

Method One vs. Method Two

In method one, the estimated aggregate conductance is the sum of products between the area of each glazing element and the total U-value of the same glazing element. This aggregate conductance value must not exceed the allowances obtained by multiplying their floor area, measured within the enclosing walls, by the conductance (C_U). The estimated aggregate solar heat gain is the sum of the products between the area of each glazing element, the solar heat gain of the same glazing element and the solar exposure factor for the same glazing element. This aggregate solar heat gain must not exceed the allowances obtained by multiplying their floor area, measured within the enclosing walls, by solar heat gain (C_{SHGC}). Both C_U and C_{SHGC} are constants varied by climate zone and referred to in Table J2.3a (Part J2.3 and Part 3.12.2.1)

In method two, the estimated aggregate air conditioning energy value is calculated by adding the air-conditioning energy value through each glazing element. This estimated aggregate air-conditioning energy value attributble to the glazing must not exceed the allowance obtained by multiplying the facade area of the orientation by the energy index in Table J2.4a (Part J2.4).

Source: BCA 2007

The BCA requires shading in some cases to comply with the window compliance methods described above. In these cases, shading must be from permanent, external projections, such as verandas or balconies, or external shading devices, such as shutters or blinds.¹⁸

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¹⁶ See Part J2 in Volume One of BCA 2007 for commercial buildings, and Part 3.12.21 in Volume Two of BCA 2007 for residential houses.

¹⁷ See Part J2.1 and Part 3.12.2 of BCA 2007.

¹⁸ See Part J2.5 and Part 3.12.2 of BCA 2007.

2.4 Building Sealing¹⁹

The provisions on building sealing apply to most building types (Table 9).²⁰

Table 9 Building Sealing in BCA 2007

Buildings (Volume One)	Residential Houses (Volume Two)	Description
Prescriptive provisions	N.A.	N.A.
Application of part	Application	Applicable building classes
Chimneys and flues	Chimneys and flues	
Skylights	Skylights	
Windows and doors	External windows and doors	Specific requirements for sealing
Exhaust fans	External walls	each of these building
Construction of roofs, walls	Construction of roofs,	components
and floors	walls and floors	
Evaporative coolers	Evaporative coolers	

The chimney or flue of an open solid-fuel burning appliance must have a damper or flap that can be closed to seal the chimney or flue.²¹

Skylights must be sealed when serving a conditioned space or a habitable room in areas with cool to cold winters (zones 4, 6, 7 and 8). Skylights that have moveable windows must also include a weatherproof seal of the moveable components.²²

Doors and windows in the building envelope in areas with cool to cold winters must have weatherstripping to limit air infiltration. These provisions do not apply to (a) external louver doors and windows, and (b) windows complying with the maximum air infiltration rates provided in AS 2047.²³

Miscellaneous exhaust fans, such as bathroom or kitchen exhaust fans, must have a selfclosing damper or the like when serving conditioned or habitable spaces in areas with cool to cold winters.²⁴

In order to restrict air leakage from buildings, the BCA sets construction requirements for roofs, walls, floors, windows and other openings. These requirements do not apply to openings for smoke ventilation.²⁵

¹⁹ See Part J3 in Volume One of BCA 2007 for commercial buildings, and Part 3.12.3 in Volume Two of BCA 2007 for residential houses.

²⁰ See Parts J3.1 and 3.12.3 of BCA 2007.

²¹ See Parts J3.2 and 3.12.3.1 of BCA 2007.

²² See Parts J3.3 and 3.12.3.2 of BCA 2007.

²³ See Parts J3.4 and 3.12.3.3 of BCA 2007.

²⁴ See Parts J3.5 and J3.12.3.4 of BCA 2007.

²⁵ See Parts J3.6 and J3.12.3.5 of BCA 2007.

Evaporative coolers (or air coolers) in cool and cold climates generally must have a self-closing damper.

2.5 Air Movement²⁶

The BCA contains provisions for air movement. The provisions apply to habitable rooms in multi-family buildings, dwellings in commercial buildings and residential houses (Table 10).

Table 10	Air Moveme	nt in Volum	e One and	Volume T	WO
Table to	Air vioveme		e Chie and	voilline i	wn

Dwelling-oriented Buildings (Volume One)	Residential Houses (Volume Two)	Description	
Prescriptive provisions	N.A.	N.A.	
Application of part	Application	Applicable building classes	
Air movement	Air movement	Minimum requirements for total ventilation	
Ventilation openings	Ventilation openings		
Ceiling fans and evaporative	Ceiling fans and evaporative	d evaporative openings per room	
coolers	coolers	1 3 1	

The provisions relate to the number of ventilation openings per room (or the percentage of floor area of each habitable room), the installation of a ceiling fan or evaporative cooler. The requirements vary by climate zone. Ventilation requirements are highest in areas with very humid summers and warm winters (zone 1). These requirements may be met through an opening from an adjoining room under certain conditions²⁷

In areas with warm to hot climates (zones 1 to 5), the ventilation openings must be connected by a breeze path, or by a minimum of two ventilation openings per habitable room, with each ventilation opening having an area no less than 25% of the required total ventilation opening area.²⁸ These requirements are in addition to the requirements on total ventilation openings.

2.6 <u>Air-Conditioning and Ventilation Systems</u>²⁹

In contrast to the previous parts (including building envelope and air movement) that focused on the physical building structure related to energy efficiency, this part emphasizes the energy efficiency of mechanical systems. Though Volume Two on residential houses does not address the performance of HVAC systems, it does address piping and ductwork insulation.³⁰

For air-conditioning units or systems in buildings, the BCA requires that any supply and return ductwork be insulated and sealed in accordance with Specification J5.2 Ductwork

²⁶ See Part J4 in Volume One of BCA 2007 for commercial buildings, and Part 3.12.4 of Volume Two of BCA 2007 for residential houses.

²⁷ See Parts J4.2 and 3.12.4.1 of BCA 2007.

²⁸ See Parts J4.3 and 3.12.4.2 of BCA 2007.

²⁹ See Part J5 of Volume One of BCA 2007 for commercial buildings.

³⁰ See Part 3.12.5 of BCA 2007.

Insulation and Sealing. When serving more than one sole-occupancy unit, air-conditioning zone or area with different heating and cooling needs, ventilation systems must have the ability to thermostatically control the temperature of each sole-occupancy unit (zone or area) and limit reheating to no more than a 7.5 K rise in temperature.³¹

Mechanical ventilation systems must have efficient fan motors. When serving conditioned spaces, the system must not provide more than 50% of the minimum mechanical ventilation: in other words, designers should limit the size of ventilation systems based on need. This does not apply when buildings can draw on unconditioned outside air to provide free cooling or when the system would require additional exhaust ventilation to balance the required mechanical ventilation.³²

Buildings must have time switches in accordance with Specification J6 Lighting and Power Control Devices to control air-conditioning systems of more than $10 \, \text{kWr}^{33}$, ventilation systems with an air flow rate of more than 1,000 liters/second or heating systems of more than $10 \, \text{kW}_{\text{heating}}$.

All piping, vessels, heat exchangers, or tanks with heated or chilled liquids must have insulation in accordance with Specification J5.4 Insulation of Piping, Vessels, Heat Exchangers and Tanks. If the system contains more than one water heater, the system should be capable of stopping the flow of water to those not operating. The related components and equipments must meet other energy efficiency requirements in the BCA as well.³⁵

Large kitchen and bathroom exhaust systems that have variable demand, such as in a commercial kitchen, must have a variable speed fan or other means of stopping the motor when the system does not need it. The code defines large as systems with an air flow rate of more than 1,000 liters/second.³⁶

Although Part J5 mainly concentrates on the energy efficiency of air-conditioning and ventilation systems, this part makes it clear that safety always has precedence. For example, the efficiency-related provisions for air-conditioning and ventilation systems must not inhibit the ability of these systems to ventilate smoke. These systems must maintain air flow for safe operation.

2.7 Artificial Lighting and Power³⁷

This section provides prescriptive requirements for maximum lamp power density for different building types and maximum illumination power density for different building spaces. Only buildings have requirements regarding lighting. Residential houses do not

³¹ See Part J5.2a of BCA 2007.

³² See Part J5.2b of BCA 2007.

³³ kWr refers to kW for air-conditioning systems.

³⁴ See Part J5.3 of BCA 2007.

³⁵ See Part 5.4 of BCA 2007.

³⁶ See Part J5.5 of BCA 2007.

³⁷ See Part J6 of Volume One of BCA 2007 for commercial buildings.

have such provisions, and the BCA's prescriptive provisions also do not apply to sole-occupancy units in multi-family building or dwelling units in commercial buildings.

Lamp power densities are higher in commonly used spaces, such as eating areas and libraries, and lower in corridors and stairways. For example, in dwelling-oriented buildings, the maximum illumination power density ranges from 3 watts/m² (in public corridors) to 25 watts/m² (in employee work areas).³⁸ There are also adjustment factors for motion detectors, daylight sensors and dimmers. Time switches or sensing devices are required in most buildings with more than 250 square meters in floor space.

There are also requirements for display lighting and lighting around the perimeter of a building. Daylight sensors or time switches must control the artificial lighting around the perimeters of buildings. When total perimeter lighting load exceeds 100 watts, the lighting must have an average light source efficiency of no less than 60 lumens/watt, or be controlled by a motion detector.³⁹

Note that the above provisions do not apply to emergency lighting, exit signs and warning systems, or to lighting within and around a detention center.

Regarding other power requirements, time switches must control the power supply to boiling or chilled water storage units.⁴⁰

2.8 Hot Water Supply⁴¹

In buildings, hot water supply system for food preparation and sanitary purposes, must comply with a separate standard, AS/NZS 3500.4.⁴² The only exception to this is for solar hot water supply systems in the warmest climate zones (zones 1, 2 and 3). This provision also applies to hot water systems in residential houses.⁴³

In residential houses, the thermal insulation on central heating water piping, and heating and cooling ductwork must have protection against the effect of weather and sunlight, and be able to withstand the temperature within the piping or ductwork. ⁴⁴ Central heating water piping, which is not located in a conditioned space, must be thermally insulated to achieve fixed minimum R-values, ranging from 0.2 (such as on internal piping in zone 1) to 0.6 (such as on piping located outside the building in zone 8). Heating and cooling ductwork and fittings must be sealed against air loss, and achieve set minimum total R-values by climate zone, ranging from 0.4 (for fittings across all zones) to 1.5 (for heating-only systems or refrigerated cooling-only systems in zone 8).

³⁸ See Part J6.2 of BCA 2007.

³⁹ See Part J6.5 of BCA 2007.

⁴⁰ See Part J6.6 of BCA 2007.

⁴¹ See Part J7 in Volume One of BCA 2007 for commercial buildings, and Part 3.12.5 in Volume Two of BCA 2007 for residential houses.

⁴² See Part J7.2 of BCA 2007.

⁴³ See Part 3.12.5.0 of BCA 2007.

⁴⁴ See Part 3.12.5.1 of BCA 2007.

⁴⁵ See Part 3.12.5.2 of BCA 2007.

2.9 Access for Maintenance⁴⁶

The BCA ensures that maintenance workers have access to all equipment and components buildings.⁴⁷

Volume Two for residential houses does not address this issue.

2.10 Energy Efficiency Installations⁴⁸

The objective of Part I2 of Section I Maintenance is "to reduce greenhouse gas emissions by efficiently using energy throughout the life of the building." It does not apply to a sole-occupancy unit in a multi-family residential building, or a dwelling part in a commercial building. A building's services (including adjustable or motorized shading devices, time switches and motion detectors, room temperature thermostats, heat transfer equipment, and others) "are to be continually capable of using energy efficiently" and meet the required energy efficiency standard continually.

Volume Two for residential houses does not address this issue.

3 Other Developments

3.1 National Australian Built Environment Rating System (NABERS)

NABERS (National Australian Built Environment Rating System) is a national initiative and was originally referred to as the Australian Building Greenhouse Rating (AGBR) scheme. AGBR was developed by the Australian Department of the Environment, Water, Heritage and the Arts (DEWHA, formerly the Department of Environment and Heritage). The New South Wales Department of Environment and Climate Change has contracted with DEWHA to promote NABERS at national level since 2005 (IEA, 2008a).

NABERS is a performance-based rating system that measures overall environmental performance of an existing building during operation. The building types include commercial offices, hotels or residential buildings. The measured environmental performance include energy, refrigerants (greenhouse and ozone depletion potential), water, stormwater runoff and pollution, sewage, landscape diversity, transport, indoor air quality, occupant satisfaction, waste, and toxic materials (NABERS, 2008).

Property owners, occupants, investors and other stakeholders can use NABERS to assess the environmental impacts of building use, and to define and set operational performance targets and measure actual performance. It can be done either with NABERS self-assessment tools, or with a NABERS certified assessor who can issue a certified rating. The issued certified rating can then be displayed and publicized (IEA, 2008a).

For more information, please refer to www.nabers.com.au.

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⁴⁶ See Part J8 in Volume One of BCA 2007 for commercial buildings.

⁴⁷ See Part J8.1 of BCA 2007.

⁴⁸ See Part I2 in Volume One of BCA 2007 for commercial buildings.

3.2 Nationwide House Energy Rating Scheme (NatHERS)

The Nationwide House Energy Rating Scheme (NatHERS) helps Australian households assess how energy efficient their homes are. The goal of this government-initiated program is to help homeowners make more informed choices about home purchases and renovations (NaHERS, 2008).

NatHERS provides a framework for accrediting various computer software tools to rate the potential thermal comfort of Australian homes. To gain accreditation, software tools are required to assess the same minimum set of design features, and must produce a consistent rating. NatHERS accredited software provides a rating on a scale of zero to 10 stars. The more stars, the less likely the occupants need cooling or heating to stay comfortable.

Achieving a certain star rating meets most of the energy efficiency requirements within the BCA for the building envelope. The star rating target is five stars but varies slightly between jurisdictions and is currently lower for apartments than for houses. An alternative to star rating is to construct the dwelling using methods described in the Deemed-to-Satisfy Provisions of the BCA. The Australian Capital Territory Government also requires the disclosure of the NatHERS rating prior to the sale or lease of each house (IEA, 2008b).

For more information, please refer to www.nathers.gov.au/about/index.html.

3.3 Green Loans Program for Households

The Australian Government announced the Green Loans program in May 2008, a five-year program working to help Australian households install solar, water saving, and energy efficient products. The Green Loans Program will provide: (1) access to detailed, quality household sustainability assessments, (2) green renovations packs (CFLs, low flow showerhead, shower timers), and (3) access to low interest Green Loans of up to AUS\$10,000 each.

For more information, please refer to www.environment.gov.au/greenloans/index.html.

3.4 Voluntary Building Industry Initiatives

In 2006, the Australian Government introduced the Voluntary Building Industry Initiatives Programme, aimed to persuade the building industry to adopt best practices to reduce greenhouse gas impacts from building and construction operations (DEWHA, 2008). Projects developed include the:

- Window Energy Rating Scheme,
- Environmental Design Guides,
- Marketing Sustainable Design Workshops (under the Building Developers' Association of America),
- Greensmart Professional Accreditation Course (under the Housing Industry Association),

- Energy Wise-Dollar Wise Training Course and
- Lighting Best Practice Project.

For more information on, please refer to www.environment.gov.au/settlements/energyefficiency/buildings/practices.html.

3.5 Energy Efficiency Homes Package

In early 2009, the Australian government announced a new program to help improve energy efficiency in homes. The program offers ceiling insulation worth up to AU\$1,600 to all Australian owner-occupiers of currently uninsulated homes, an AU\$\$1,600 rebate on the cost of installing solar hot water systems and rebates for landlords on the costs of insulating rental properties. These benefits are available to all Australians, regardless of income. The goal of the program is to help residents save up to 40% on their electricity bills. Currently, the government estimates that 40% of Australians homes are not insulated.

For more information, please see www.environment.gov.au/energyefficiency/index.html.

4 Conclusions

Energy efficiency measures were introduced into the BCA, a national building code system, in January 2003. The integration of the BCA helps effectively promote energy efficiency in new buildings and simplifies the energy efficiency requirements across jurisdictions in Australia. The Australian government, research institutes, NGOs, and building associations have been and are highly active in conducting research and outreach activities to improve implementation of the building energy codes. Australia clearly has emerged as a leading country in the promotion of building energy efficiency.

List of Acronyms

ABCB Australian Building Codes Board

APP Asia-Pacific Partnership on Clean Development and Climate

AS Australian standards

AS/NZS Australian-New Zealand standards

BCA Building Code of Australia BCA 2007 The 2007 version of BCA BCA 2009 The 2009 version of BCA

DEWHA Department of Environment, Water, Heritage and the Arts

GDP Gross domestic product

HVAC Heating, ventilation and air conditioning MEPS Minimum Energy Performance Standards

NABERS National Australian Built Environment Rating System

NatHERS Nationwide House Energy Rating Scheme NFEE National Framework for Energy Efficiency

NGO Non-governmental organization

OECD Organisation for Economic Co-operation and Development

R&D Research and development

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Useful Websites

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- 2. Australia Department of Climate Change, www.climatechange.gov.au
- 3. Department of the Environment, Water, Heritage and the Arts, www.environment.gov.au
- 4. National Australian Built Environment Rating System, www.nabers.com.au
- 5. National Framework for Energy Efficiency, www.nfee.gov.au

The Asia-Pacific Partnership on Clean Development and Climate

The Asia-Pacific Partnership on Clean Development and Climate is an innovative new effort to accelerate the development and deployment of clean energy technologies.

Partner Countries

APP partners Australia, Canada, China, India, Japan, Republic of Korea, and the United States have agreed to work together and with private sector partners to meet goals for energy security, national air pollution reduction, and climate change in ways that promote sustainable economic growth and poverty reduction. The Partnership will focus on expanding investment and trade in cleaner energy technologies, goods and services in key market sectors. The Partners have approved eight public-private sector task forces covering:

- Aluminum
- Buildings and Appliances
- Cement
- Cleaner Use of Fossil Energy
- Coal Mining
- Power Generation and Transmission
- Renewable Energy and Distributed Generation
- Steel

The seven partner countries collectively account for more than half of the world's economy, population and energy use, and they produce about 65 percent of the world's coal, 62 percent of the world's cement, 52 percent of world's aluminum, and more than 60 percent of the world's steel.

Buildings and Appliances Task Force

Reducing our use of energy for buildings and appliances decreases the demand for primary energy and is a key means to deliver better economic performance, increase energy security and reduce greenhouse gas and air pollutant emissions. Partner countries have recognized for some time the importance of cooperating on energy efficiency for buildings and appliances, and have already taken a range of bilateral and other collaborative actions in this area. As the Partners represent a majority of the world's manufacturing capacity for a diverse range of appliances, we have the potential to drive significant regional and global improvements in energy efficiency in this sector. The Partners will demonstrate technologies, enhance and exchange skills relating to energy efficiency auditing, share experiences and policies on best practices with regard to standards and codes, as well as labeling schemes for buildings, building materials and appliances.

