Battelle Memorial Institute

Report on Federal and Non-Federal Awards in Accordance With OMB Circular A-133 for the Year Ended September 30, 2009 and Independent Auditor's Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of the Financial Statements Performed in Accordance with *Government Auditing Standards* and Independent Auditors' Report on Compliance with Requirements Applicable to Each Major Federal Award Program and on Internal Control Over Compliance in Accordance with OMB Circular A-133

BATTELLE MEMORIAL INSTITUTE

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BATTELLE MEMORIAL INSTITUTE

SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2009

Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
1. DIRECT FUNDING							
07	RD	Yes	Office of National Drug Control Policy	DRUG-FREE COMMUNITIES SUPPORT PROGRAM NATIONAL EVALUATION	$ 1,072,298	$ 27,756	
10	RD	Yes	Department of Agriculture	U.S. Forest Service	3,892	-	
11	417	Yes	Department of Commerce	Sea Grant Support	1,072		
11	431	Yes	Department of Commerce	Climate and Atmospheric Research	62,381		
11	478	Yes	Department of Commerce	Center for Sponsored Coastal Ocean Research Coastal Ocean Program	(1,418)		
11	509	Yes	Department of Commerce	Science To Achieve Results (STAR) Research Program	20,188		
11	RD	Yes	Department of Commerce	National Institute of Standards & Technology	3,124		
11	RD	Yes	Department of Commerce	National Oceanic & Atmospheric Administration	678,219	299,964	
					763,566	299,964	
12	300	Yes	Department of Defense	Basic and Applied Scientific Research	102,495		
12	RD	Yes	Department of Defense	Advanced Research Projects Agency	1,522,846	866,128	
12	RD	Yes	Department of Defense	Air Force	29,563,698	8,928,207	
12	RD	Yes	Department of Defense	Army	172,027,964	105,697,821	
12	RD	Yes	Department of Defense	Asst. Secy. Health Affairs	3,803,330	816,731	
12	RD	Yes	Department of Defense	Asst. Secy. Intl. Secur Affairs	527		
12	RD	Yes	Department of Defense	Asymmetric Warfare Group	35,723	21,200	
12	RD	Yes	Department of Defense	Classified	79,835,046	10,286,082	
12	RD	Yes	Department of Defense	Combating Terrorism Tech Support Office	114,722		
12	RD	Yes	Department of Defense	High Performance Computing Modernization Program	18,217		
12	RD	Yes	Department of Defense	Joint Program Executive Office	399,211		
12	RD	Yes	Department of Defense	Joint Program Manager Information Systems	3,894,391	992,710	
12	RD	Yes	Department of Defense	Joint Program Manager NBC	2,658		
12	RD	Yes	Department of Defense	Joint Requirements Office	12,705,149	1,964,765	
12	RD	Yes	Department of Defense	Logistics Information Service	1,919,365		
12	RD	Yes	Department of Defense	Medical Identification + Treatment Sus.	23,153		
12	RD	Yes	Department of Defense	Missile Defense Agency	251,534	185,262	
12	RD	Yes	Department of Defense	National Guard Bureau	2,415,738	186,329	
12	RD	Yes	Department of Defense	Navy	100,578,978	27,554,819	
12	RD	Yes	Department of Defense	Office of Deputy Assist. Secy. Of Defense	2,951,756	64,036	
12	RD	Yes	Department of Defense	Office of Secretary of Defense	486,168	18,000	
12	RD	Yes	Department of Defense	Office of Undersecretary of Defense	4,748,818		
12	RD	Yes	Department of Defense	Pentagon Force Protection Agency	3,152,364	352,208	
12	RD	Yes	Department of Defense	Special Operations Command	7,743,451	2,786,295	
12	RD	Yes	Department of Defense	Technical Information Center	1,462,234		
12	RD	Yes	Department of Defense	Threat Reduction Agency	10,079,803	17,266	
12	RD	Yes	Department of Defense	US Northern Command	3,477,138	397,762	
12	RD	Yes	Department of Defense	US Stratcom	288,904	75,572	
12	RD	Yes	Department of Defense	Washington Headquarters Services	1,157,614	6,240	
					444,762,995	161,217,433	
14	RD	Yes	Department of Housing and Urban Development	Office of Healthy Homes and Lead Hazard Control	222,959	-	
15	RD	Yes	Department of Interior	Minerals Management Service	237,007	54,412	
15	RD	Yes	Department of Interior	U.S. Bureau of Reclamation	1,813		
					238,820	54,412	
16	RD	Yes	Department of Justice	Federal Bureau of Investigation	2,069,579	27,760	

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Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
20	108	Yes	Department of Transportation	Aviation Research Grants	$ 66,238	$ 9,984	
20	RD	Yes	Department of Transportation	ARRA-Federal Highway Administration	123,737	20,720	
20	RD	Yes	Department of Transportation	Federal Aviation Administration	246,242		
20	RD	Yes	Department of Transportation	Federal Highway Administration	6,207,063	2,678,314	
20	RD	Yes	Department of Transportation	Federal Motor Carrier Safety Administration	63,241		
20	RD	Yes	Department of Transportation	JA Volpe Transportation Systems Center	(3)		
20	RD	Yes	Department of Transportation	National Cooperative Highway Research Program	4,454	726	
20	RD	Yes	Department of Transportation	National Highway Traffic & Safety Administration	1,908,878	182,323	
20	RD	Yes	Department of Transportation	National Transportation Research Center	2,572		
20	RD	Yes	Department of Transportation	Pipeline & Hazardous Material Safety Administration	174,876	96,500	
20	RD	Yes	Department of Transportation	Transportation Security Lab	1,711,856	340,493	
					10,509,154	3,329,060	
21	RD	Yes	Department of the Treasury	US Secret Service	36,933	-	
42	RD	Yes	Library of Congress	Digital Talking Book	452		
42	RD	Yes	Library of Congress	Open World Library of Congress	10,470	(597)	
					10,922	(597)	
43	RD	Yes	National Aeronautics & Space Administration	Aircraft Performance for Engine-Health Monitoring			
43	RD	Yes	National Aeronautics & Space Administration	Ames Research Center	17,131	870	
43	RD	Yes	National Aeronautics & Space Administration	ASRS Information for Runway Incursion Prevention	1,415		
43	RD	Yes	National Aeronautics & Space Administration	ASRS Report Processing Services	(3,771)		
43	RD	Yes	National Aeronautics & Space Administration	Aviation Safety Reporting System (ASRS) — Security Gap Analysis			
43	RD	Yes	National Aeronautics & Space Administration	Earth-Sun System Division	124,757		
43	RD	Yes	National Aeronautics & Space Administration	Glenn Research Center	117,557	18,773	
43	RD	Yes	National Aeronautics & Space Administration	Jet Propulsion Lab	3,882,277	311,174	
43	RD	Yes	National Aeronautics & Space Administration	Marshall Space Flight Center	20,810		
43	RD	Yes	National Aeronautics & Space Administration	Office of Earth Science	114,242	9,534	
43	RD	Yes	National Aeronautics & Space Administration	Patient Safety Reporting System (PSRS)	(1,415)		
43	RD	Yes	National Aeronautics & Space Administration	Review & Education Support Service	(10,444)	(7,033)	
43	RD	Yes	National Aeronautics & Space Administration	Rotorcraft Incident-Accident Analysis Project			
43	RD	Yes	National Aeronautics & Space Administration	Science Mission Directorate	565,256	175,269	
43	RD	Yes	National Aeronautics & Space Administration	Simmod Maintenance and Enhancement			
					4,827,815	508,587	
47	050	Yes	National Science Foundation	Geosciences	49,344	2,395	
47	070	Yes	National Science Foundation	Computer and Information Science and Engineering	53,913	273	
47	RD	Yes	National Science Foundation	Structural and Functional Property Integration for Protein S	141,339		
47	RD	Yes	National Science Foundation	Size and Emplacement of Grande Ronde Basalt Flows, Columbia	10		
47	RD	Yes	National Science Foundation	USAP Medical Review Panel Meeting	4,996		
					249,602	2,668	
66	509	Yes	Environmental Protection Agency	Science To Achieve Results (STAR) Research Program	61,075		
66	511	Yes	Environmental Protection Agency	Office of Research and Development Consolidated Research/Training/ Fellowships	665,469	27,802	
66	931	Yes	Environmental Protection Agency	International Financial Assistance Projects	235,794	72,291	
66	RD	Yes	Environmental Protection Agency	Air Quality Planning & Standards Office	46,723		
66	RD	Yes	Environmental Protection Agency	Coalbed Methane in Ukraine	3,289		
66	RD	Yes	Environmental Protection Agency	Gulf of Mexico Program Office	56,445	48,895	

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Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
66	RD	Yes	Environmental Protection Agency	National Center for Environmental Assessment	$ 1,191,438	$ 204,448	
66	RD	Yes	Environmental Protection Agency	National Center for Environmental Research	274,620		
66	RD	Yes	Environmental Protection Agency	National Exposure Research Lab	684,820	188,669	
66	RD	Yes	Environmental Protection Agency	National Homeland Security Research Center	3,305,903	205,343	
66	RD	Yes	Environmental Protection Agency	National Risk Management Research Lab	5,795,120	1,426,065	
66	RD	Yes	Environmental Protection Agency	Office of Air & Radiation	5,672		
66	RD	Yes	Environmental Protection Agency	Office of Enforcement and Compliance Assurance	55,785		
66	RD	Yes	Environmental Protection Agency	Office of Pollution Prevention	2,387,210	122,571	
66	RD	Yes	Environmental Protection Agency	EPA Office of Prevention, Pesticides, and Toxic Substances	620,529	251,060	
66	RD	Yes	Environmental Protection Agency	Office of R&D	293,632	75,411	
66	RD	Yes	Environmental Protection Agency	Office of Science & Technology	382,272	101	
66	RD	Yes	Environmental Protection Agency	Office of Science Coordination & Policy	786,256	12,995	
66	RD	Yes	Environmental Protection Agency	Office of Water	402,508	79,699	
66	RD	Yes	Environmental Protection Agency	Region Two Assessment Office	734,880		
					17,989,440	2,715,350	
77	RD	Yes	Nuclear Regulatory Commission	Evaluation of Leak-Before-Break Criteria	373,230	5,179	
77	RD	Yes	Nuclear Regulatory Commission	Maximumizing Enhancements in Risk Informed Technology (MERIT)	137,147		
77	RD	Yes	Nuclear Regulatory Commission	Reactor Coolant Pressure Boundary Analyses — Task 1	128,989		
77	RD	Yes	Nuclear Regulatory Commission	Technical Assistance for the Assessment of NMSS Environmental Review Program	80,094	32,420	
					719,460	37,599	
81	086	Yes	Department of Energy	Conservation Research and Development	24,173		
81	089	Yes	Department of Energy	Fossil Energy Research and Development	8,295,933	1,900,004	
81	RD	Yes	Department of Energy	Brookhaven National Laboratory	2,516		
81	RD	Yes	Department of Energy	DOE Asst Secy Policy Planning & Program Evaluation	79,462		
81	RD	Yes	Department of Energy	DOE Environ. Mgmt — MS	4,266		
81	RD	Yes	Department of Energy	DOE — Chicago Operations Office	1,077		
81	RD	Yes	Department of Energy	Lawrence Livermore National Laboratory	29,349		
81	RD	Yes	Department of Energy	National Energy Technology Laboratory (NETL)	237,010	4,050	
81	RD	Yes	Department of Energy	National Renewable Energy Laboratory — NREL	25,825		
81	RD	Yes	Department of Energy	Oakridge National Laboratory	498		
81	RD	Yes	Department of Energy	Office of Environmental Management	76,893		
81	RD	Yes	Department of Energy	Ohio Field Office	128,755		
81	RD	Yes	Department of Energy	Rocky Mountain Oil Field	3,575		
81	RD	Yes	Department of Energy	Sandia National Laboratory	9,694		
81	RD	Yes	Department of Energy	Tennessee Valley Authority	35,171		
					8,954,197	1,904,054	
93	242	Yes	Department of Health and Human Services	Mental Health Research Grants	394,634	158,367	
93	262	Yes	Department of Health and Human Services	Occupational Safety and Health Program	401,370	3,539	
93	273	Yes	Department of Health and Human Services	Alcohol Research Programs	168,026	275	
93	279	Yes	Department of Health and Human Services	Drug Abuse and Addiction Research Programs	28,377		
93	361	Yes	Department of Health and Human Services	Nursing Research	528,169	329,120	
93	393	Yes	Department of Health and Human Services	Cancer Cause and Prevention Research	689,154		
93	394	Yes	Department of Health and Human Services	Cancer Detection and Diagnosis Research	(3,498)		
93	837	Yes	Department of Health and Human Services	Cardiovascular Diseases Research	438,224	10,922	
93	848	Yes	Department of Health and Human Services	Research (B)	873		
93	855	Yes	Department of Health and Human Services	Allergy, Immunology and Transplantation Research	244,531	52,594	
93	864	Yes	Department of Health and Human Services	Population Research	249,408	22,977	

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Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
93	865	Yes	Department of Health and Human Services	Child Health and Human Development Extramural Research	$ 349,085	$ -	
93	RD	Yes	Department of Health and Human Services	ARRA — Indian Health Service	112		
93	RD	Yes	Department of Health and Human Services	Agency for Health Care Res. & Quality	2,754,456	1,316,516	
93	RD	Yes	Department of Health and Human Services	Centers for Disease Control	18,021,452	444,158	
93	RD	Yes	Department of Health and Human Services	Food & Drug Administration	1,163,580	34,555	
93	RD	Yes	Department of Health and Human Services	Indian Health Service	882,375		
93	RD	Yes	Department of Health and Human Services	National Cancer Institute	1,769,814	4,483	
93	RD	Yes	Department of Health and Human Services	National Institute Allergy & Infectious Disease	25,464,563	3,888,460	
93	RD	Yes	Department of Health and Human Services	National Institute Environmental Health Science	28,506,048	97,012	
93	RD	Yes	Department of Health and Human Services	National Institutes of Health	128,375		
93	RD	Yes	Department of Health and Human Services	National Institute Occupational Safety/Health	362,878	3,787	
93	RD	Yes	Department of Health and Human Services	Program Support Center	238,382	149,317	
					82,780,388	6,516,082	
97	065	Yes	Department of Homeland Security	Homeland Security Advanced Research Projects Agency	621,440	29,200	
97	069	Yes	Department of Homeland Security	Aviation Research Grants	157,342		
97	RD	Yes	Department of Homeland Security	Advanced Research Projects Agency	610,825	37,203	
97	RD	Yes	Department of Homeland Security	Chemical Security Analysis Center	431,418		
97	RD	Yes	Department of Homeland Security	Coast Guard	4,412,058	209,595	
97	RD	Yes	Department of Homeland Security	Directorate for Management	106,131	(6,283)	
97	RD	Yes	Department of Homeland Security	Directorate for Science & Technology	10,327,511	424,419	
97	RD	Yes	Department of Homeland Security	Federal Emergency Management Agency	(2,886)		
97	RD	Yes	Department of Homeland Security	InterAgency Board for Equipment Standardization and InterOperability	215,168	5,863	
97	RD	Yes	Department of Homeland Security	National Protection and Programs Directorate	3,915,914	790,307	
97	RD	Yes	Department of Homeland Security	Office of Domestic Preparedness	90,915		
97	RD	Yes	Department of Homeland Security	Office of Health Affairs	677,580	94,287	
97	RD	Yes	Department of Homeland Security	Transportation Security Administration	20,795,785	4,961,994	
					42,359,201	6,546,585	
99	RD	Yes	Miscellaneous	US House of Representatives	83,340		
99	RD	Yes	Miscellaneous: NON-Federal	State of Connecticut	191,859	32,855	
					275,199	32,855	
			Total Direct Funding		$617,846,420	$183,219,568	

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SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2009

Agency Code	CFDA	R&D	Federal Agency	Prime/Upper Tier Contractor	Total Expenditures	Subcontracted Dollars	Contract Ref.
2. INDIRECT FUNDING (BATTELLE IS SUB RECIPIENT/SUBCONTRACTOR)							
10	RD	Yes	Department of Agriculture	JDC, Inc.	$ 124	$ -	47245
10	RD	Yes	Department of Agriculture	RTI International	112,427		1-312-0211911
10	RD	Yes	Department of Agriculture	National Center for Atmospheric Research	(520)		S07-58281
10	RD	Yes	Department of Agriculture	Texas Agricultural Experiment Station	847		570308
					112,878	-	
11	463	Yes	Department of Commerce	Lower Columbia River Estuary Partnership	(336)		03-2010, 102009, 02-2010
11	RD	Yes	Department of Commerce	Skagit River System Cooperative	28,976		AC213 00
11	RD	Yes	Department of Commerce	Scripps Institution of Oceanography, UCSD	(12)		10249341
11	RD	Yes	Department of Commerce	Cooperative Institute for Coastal & Estuarine Env. Tech.	99,371	15,009	08042
11	RD	Yes	Department of Commerce	Northwest Maritime Center	(20)		49747
11	RD	Yes	Department of Commerce	JISAO/SMA Climate Impacts Group, University of Washington	(247)		842470
11	RD	Yes	Department of Commerce	Kitsap County	42,700		48392
					170,432	15,009	
12	300	Yes	Department of Defense	Oregon State University	18,829		K9474R-A
12	300	Yes	Department of Defense	Cal Poly Corporation	72,324		08-014-48920
12	431	Yes	Department of Defense	Leonard Wood Institute	402		Subaward Agreement LWI-191-039
12	800	Yes	Department of Defense	University of Dayton Research Institute	3,139,583	2,048,488	Agreement No. RSC07022/Clins 001 & 002
12	RD	Yes	Department of Defense	AAI Services Corp	135,829		Subcontract No. 3T089-026, PO BDS000350
12	RD	Yes	Department of Defense	Advance Ordnance Technoly (AOT) — Corporate	(1,043)		98-047
12	RD	Yes	Department of Defense	Advanced Life Sciences	1,190,484		Subcontract No. OP51395
12	RD	Yes	Department of Defense	Agentase	(85)		PO DK-041011-ME-B, PO DK-061006-ME-B
12	RD	Yes	Department of Defense	Analytical Services Inc	69,327		Subcontract ASI-2007-C-0007/BP0 07-007-021 T.O. 1
12	RD	Yes	Department of Defense	Analytical Services Inc	500,693		Subcontract ASI-2007-C-0007/BP0 07-007-021 T.O. 2
12	RD	Yes	Department of Defense	Analytical Services Inc	1,316,977		Subcontract ASI-2007-C-0007/BP0 07-007-021 T.O. 3
12	RD	Yes	Department of Defense	Analytical Services Inc	1,003,766		Subcontract ASI-2007-C-0007/BP0 07-007-021 T.O. 5
12	RD	Yes	Department of Defense	Applied Research Associates Inc	217,765		Subcontract No,. S-29000 50
12	RD	Yes	Department of Defense	Applied Research Associates Inc	179,706		Subcontract No S-29000 54
12	RD	Yes	Department of Defense	Applied Research Associates Inc	338,842		Subcontrk No S-29000.L00001.00001
12	RD	Yes	Department of Defense	Aptima, Inc	4,453		0461-1479
12	RD	Yes	Department of Defense	Arinc Inc	50,144		Agreement No. 226226/SIN 874-1
12	RD	Yes	Department of Defense	Arinc Inc	1,083,412	445,598	Subcontract No. 169913/DO 01
12	RD	Yes	Department of Defense	Arinc Inc	(8,910)		Subcontract No. 169913
12	RD	Yes	Department of Defense	Arizona State University	272,541		W81XWH-07-1-0549
12	RD	Yes	Department of Defense	Aviation + Missile Solutions Inc	61,494		Subcontract AMS-SC-05-040/TASK 17/SIN 871-1
12	RD	Yes	Department of Defense	Azimuth Inc	25,485		Subcontr No BAT-1633-S-20090501
12	RD	Yes	Department of Defense	Bae Systems	262,662		Subcontract 329486
12	RD	Yes	Department of Defense	Bechtel Group Inc	1,150,367		BOA 24719-TSA-001/DELIVERY ORDER 01
12	RD	Yes	Department of Defense	Bechtel Group Inc	714,972		Subcontract 24852-FC7-TOOO-o0002
12	RD	Yes	Department of Defense	Bechtel Group Inc	42,451		Subcontract 24852-TO-002 TO 004
12	RD	Yes	Department of Defense	Bechtel Group Inc	2,804,625		Subcontract No 24852-TO-002
12	RD	Yes	Department of Defense	Bechtel Group Inc	(9,091)		Subcontract 24852-TO-002 TO 006
12	RD	Yes	Department of Defense	Bechtel Group Inc	495,545		Subcontract 24852-TO-002 TO 003TS - TRADE STUDIES
12	RD	Yes	Department of Defense	Bechtel Group Inc	343,752		Subcontract No. 24915-300/TASK ORDER 001
12	RD	Yes	Department of Defense	Bechtel Group Inc	1,696,251		Subcontract No. 24915-300/TASK ORDER 004 - LNTP
12	RD	Yes	Department of Defense	Bechtel Group Inc	2,545		Subcontract No 24915-300/TO 005
12	RD	Yes	Department of Defense	Bechtel Group Inc	497,632		Subcontract No. 24915-300
12	RD	Yes	Department of Defense	Bennet Aerospace, LLC	4,930		56594
12	RD	Yes	Department of Defense	BK Systems	139,869	69,289	51849
12	RD	Yes	Department of Defense	Bluefin Robotics Corp	7,100		PO 07-242
12	RD	Yes	Department of Defense	Bluefin Robotics Corp	24,626		PO NO 08-408
12	RD	Yes	Department of Defense	Bluefin Robotics Corp	46,631		P.O. NO. 08-438

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Agency Code	CFDA	R&D	Federal Agency	Prime/Upper Tier Contractor	Total Expenditures	Subcontracted Dollars	Contract Ref.
12	RD	Yes	Department of Defense	Bluefin Robotics Corp	$ 25,198	$ -	P.O. NO. 08-706
12	RD	Yes	Department of Defense	BridgePort Instruments	8,285		51611
12	RD	Yes	Department of Defense	Business Technology & Solutions	820,961		Subcontract Pass 0011-02/TO 1011-BATTELLE
12	RD	Yes	Department of Defense	California Polytechnic State University	1		N000140711113
12	RD	Yes	Department of Defense	Capstone Corp	23,954		Subcontract Agreement No. 2007CAP-010
12	RD	Yes	Department of Defense	Capstone Corp	(8,350)		Subcontract Agreement No. 2007CAP-028
12	RD	Yes	Department of Defense	Capstone Corp	72,092		Subcontract Agreement 2008CAP-008
12	RD	Yes	Department of Defense	Capstone Corp	40,992		Subcontract Agreement 2007CAP-002/TASK ORDER 001
12	RD	Yes	Department of Defense	CAS INC	(152)		Subcontract No CAS SC354-06/TASK ORDER 004
12	RD	Yes	Department of Defense	CDI MARINE	13,463		Purchase Order 2332080745
12	RD	Yes	Department of Defense	CDI MARINE	14,930		Purchase Order 2348080217
12	RD	Yes	Department of Defense	Charles River Analytics, Inc.	5,134		SC0818701
12	RD	Yes	Department of Defense	Classified	2,212,329		BATT-001;___083107,____021108
12	RD	Yes	Department of Defense	Classified	23,765		112008-1
12	RD	Yes	Department of Defense	Classified	327,473		Cont No PO 7209-6
12	RD	Yes	Department of Defense	Classified	42,074		PO NO 4400098336/VENDOR NO 6837
12	RD	Yes	Department of Defense	Classified	90,563		LTR CONTR NO BBMKSA004/REF BOA BBMKS3004
12	RD	Yes	Department of Defense	Clean Earth Technologies	(19,059)		Subcontract CET-TSWG-1
12	RD	Yes	Department of Defense	Clean Earth Technologies	(4,585)		Subcontract No CET-DHS-LC01
12	RD	Yes	Department of Defense	Coleman Res Corp	474,380		Subcontract 11006Sa007
12	RD	Yes	Department of Defense	Combustion Research Engineering	68		PO NO CRE-002-102307
12	RD	Yes	Department of Defense	Computer Sciences Corp	(1,909)		Purchase Order S-8110-029
12	RD	Yes	Department of Defense	Computer Sciences Corp	959,926		Subcontract No. S1015372/To 001
12	RD	Yes	Department of Defense	Concurrent Technologies Corp	57,194		Task Order Number 090200133
12	RD	Yes	Department of Defense	Concurrent Technologies Corp	46,327		T.O. 070200332
12	RD	Yes	Department of Defense	Concurrent Technologies Corp	860		BOA# BOA-NDCEE-BATTELLE/TO# 070300066
12	RD	Yes	Department of Defense	Concurrent Technologies Corp	2,606		Letter Task Order 070400020 Task 0451
12	RD	Yes	Department of Defense	Concurrent Technologies Corp	109,368		TO NO 070400292
12	RD	Yes	Department of Defense	Concurrent Technologies Corp	21		Task Order No. 070700209
12	RD	Yes	Department of Defense	Concurrent Technologies Corp	116		BOA-NDCEE-BATTELLE/TO 080400266
12	RD	Yes	Department of Defense	Concurrent Technologies Corp	26		TO # 070500171/TASK 0467
12	RD	Yes	Department of Defense	Concurrent Technologies Corp	1,237,202		BOA-NDCEE-BATTELLE/TO 081000076
12	RD	Yes	Department of Defense	CORE6 Solutions Llc	552,014		Subcontract No. 1001BMI-0009
12	RD	Yes	Department of Defense	Crosslink	40,784		Purchase Order 2805XNTD
12	RD	Yes	Department of Defense	Cubic Applicatons Inc	3		CAI-1247-3200-04/TO 1
12	RD	Yes	Department of Defense	Cubic Applicatons Inc	202,118		CAI-1247-3200-04/TO 2
12	RD	Yes	Department of Defense	Dawson Group Inc	132		Subcontract Agreement 2006010.000 02
12	RD	Yes	Department of Defense	Directed Vapor Technologies Intl Inc	378		Subcontract
12	RD	Yes	Department of Defense	D-STAR Engineering Corporation	(732)		53212
12	RD	Yes	Department of Defense	DVC LLC	155,379		S100821801
12	RD	Yes	Department of Defense	DVC LLC	42,025		BOA S1008218/TO 006
12	RD	Yes	Department of Defense	DVC LLC	384,140		S100821810
12	RD	Yes	Department of Defense	DVC LLC	41,926		S1008218
12	RD	Yes	Department of Defense	DVC LLC	7,528		S1008218/T O S100821811
12	RD	Yes	Department of Defense	DVC LLC	478,706		Subcontract No S1008218/Task Order No S100821814
12	RD	Yes	Department of Defense	DVC LLC	696,751		Subcontract No S1008218/DO 13
12	RD	Yes	Department of Defense	DVC LLC	139,529		S1008218/Task Order 15
12	RD	Yes	Department of Defense	DVC LLC	1,098,469		S1008218
12	RD	Yes	Department of Defense	DVC LLC	522,421		Subcontract No. S1008218
12	RD	Yes	Department of Defense	DVC LLC	36,735		S1008218
12	RD	Yes	Department of Defense	DVC LLC	418,684		Subcontract No S1008218/Task Order 20
12	RD	Yes	Department of Defense	DVC LLC	16,276		Subcontract No. S1008218/Task Order 18
12	RD	Yes	Department of Defense	DVC LLC	128,810		Subcontract No. S1008218/Task Order 22
12	RD	Yes	Department of Defense	EDO Professional Services	84,641		EDO-07-SA-0025/D187-001
12	RD	Yes	Department of Defense	EG+G	32,557,876	1,882,513	Subcontract G24101 - FY08 Subcont No CHG DM24101
12	RD	Yes	Department of Defense	EG+G	(1,091)		PO G48506
12	RD	Yes	Department of Defense	EG+G	15,467		Subcontract No. DM48724
12	RD	Yes	Department of Defense	EG+G	288,319		Subcontract No. DMb46251

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Agency Code	CFDA	R&D	Federal Agency	Prime/Upper Tier Contractor	Total Expenditures	Subcontracted Dollars	Contract Ref.
12	RD	Yes	Department of Defense	Front Edge Technology	$ 142,022	$ -	51661
12	RD	Yes	Department of Defense	Gas Technology Institute	3,822		TSA NO. OP54395R
12	RD	Yes	Department of Defense	GCI Inc	13,658		GCI-07-1237-03
12	RD	Yes	Department of Defense	General Dynamics Corp	(6,882)		Purchase Order PRO106569, Pro113732
12	RD	Yes	Department of Defense	General Dynamics Corp	2,036		Subcontract Agreement Gsa-Ans-Sc-0096
12	RD	Yes	Department of Defense	General Dynamics Corp	577,146		Ltr Subcontract No 09-Lsc-005 (LTR Subcont Not Neg'D)
12	RD	Yes	Department of Defense	General Dynamics Corp	4,113		Purchase Order 40024912
12	RD	Yes	Department of Defense	General Dynamics Corp	2,639		PO 118796
12	RD	Yes	Department of Defense	General Dynamics Corp	2,337		PO #35166375
12	RD	Yes	Department of Defense	General Dynamics Corp	433,018		PO DD04-60020, PO 44771, PO 51798, PO 69087, PO 69020, PO 90310, PO 98822
12	RD	Yes	Department of Defense	General Dynamics Corp	2,732		PO 53284, PO 51854, PO 65244, PO 81717
12	RD	Yes	Department of Defense	General Dynamics Corp	298,951		PO 68372, 68785, 70026, 63371, 71025, 76132, 75552, 75790, 77527, 78131, 88474
12	RD	Yes	Department of Defense	General Dynamics Corp	264,227		PO 84238, PO 90522, PO 100807
12	RD	Yes	Department of Defense	General Dynamics Corp	385,168		Order #08375
12	RD	Yes	Department of Defense	General Dynamics Corp	5,675		PO 111650, 111636
12	RD	Yes	Department of Defense	General Dynamics Corp	6,487		Subcontract No WFF-SC-0015/Task Order No Battelle-To-001
12	RD	Yes	Department of Defense	General Dynamics Corp	(2,918)		Subcontract No WFF-SC-0015/Task Order No Battelle-To-001
12	RD	Yes	Department of Defense	General Electric Co	13,563		Purchase Order 200-10-14C43218
12	RD	Yes	Department of Defense	General Sciences Inc	31		PO 5838
12	RD	Yes	Department of Defense	Gkn Aerospace	729,321		Agreement Number GKN-09-014
12	RD	Yes	Department of Defense	Gkn Aerospace	266,356		Battelle Proposal GKN Proposal OP52050 9-16-08
12	RD	Yes	Department of Defense	Goodrich Corp	37,190		Subcontract 160411
12	RD	Yes	Department of Defense	Goodrich Corp	72,827		Subcontract No 161300
12	RD	Yes	Department of Defense	Goodrich Corp	(21)		Goodrich Subcontract No. 203853
12	RD	Yes	Department of Defense	Goodrich Corp	8,739		Subcontract No 211131
12	RD	Yes	Department of Defense	Goodrich Corp	102,901		SUBTK NO 401039
12	RD	Yes	Department of Defense	Grow Group Inc	113,318		P.O. 0107460 - 09
12	RD	Yes	Department of Defense	Harris Corp	1,334		5950806
12	RD	Yes	Department of Defense	Harris Corp	43,296		SUBCK A000085517 & SUBCK A000085520
12	RD	Yes	Department of Defense	Harris Corp	6,981		SUBKT NO A000089568
12	RD	Yes	Department of Defense	Harris Corp	11,664		SUBK NO A0148
12	RD	Yes	Department of Defense	HJ Ford	1,036		Subcontract No ASCBPA-X-6006-BATTELLE
12	RD	Yes	Department of Defense	HJ Ford	181,014		Subcontract No. BAT-PREDATOR-08-001
12	RD	Yes	Department of Defense	HJ Ford	(834)		Task Order DO0020BAT
12	RD	Yes	Department of Defense	Human Resources Research Organization	14,317		Purchase Order 6883
12	RD	Yes	Department of Defense	Intecon LLC	(413)		PO 30-0002-01
12	RD	Yes	Department of Defense	Intecon LLC			Subcontract No. N2A2S-BATTELLE-080707
12	RD	Yes	Department of Defense	Intecon LLC	275,186		PO 30-0011-02 VER 1
12	RD	Yes	Department of Defense	Intecon LLC	418,545		PO 30-0010-02
12	RD	Yes	Department of Defense	Intecon LLC	200,337		Po 30-0014-0010/Subcontract No N2A2S-Battelle-080706
12	RD	Yes	Department of Defense	Intecon LLC	131,432		PO 30-0020-0100
12	RD	Yes	Department of Defense	Intecon LLC	110,515		PO 30-0011-03
12	RD	Yes	Department of Defense	Intecon LLC	163,094		PO 30-0010-03
12	RD	Yes	Department of Defense	Intecon LLC	53,565		PO 30-0014-03
12	RD	Yes	Department of Defense	Intuitive Research & Technology Corporation (IRTC)	187		V3416(A)-BTL-001
12	RD	Yes	Department of Defense	IQ Corp	60,195		Subcontract & Proposal OP43611
12	RD	Yes	Department of Defense	ITS Corporation	22,868		PO 08-17-01, 08-17-02, 08-17-03, 08-17-04, 08-17-05
12	RD	Yes	Department of Defense	ITS Corporation	6,683		P O RV-09-0006
12	RD	Yes	Department of Defense	ITT Corp	(2,354)		SUBK NSTA2222 1235 01, T O #02
12	RD	Yes	Department of Defense	ITT Corp	42,995		SUBK NSTA2222 1235 01, T O #03
12	RD	Yes	Department of Defense	ITT Corp	226,461		SUBK NSTA2222 1235 01, T O #04
12	RD	Yes	Department of Defense	Johns Hopkins Univ	42,112		Contract No 939382
12	RD	Yes	Department of Defense	KAB Laboratories	250,655		7141-KAB-0048/TASK NO 0073
12	RD	Yes	Department of Defense	L-3 Services Inc	4,053		SYTECH99-D-0021/Task Order 0602
12	RD	Yes	Department of Defense	L-3 Services Inc	(29,596)		GS-35F-5338H/Subcontract 0102-03/PO 91EMM00056/SIN 132-51
12	RD	Yes	Department of Defense	L-3 Services Inc	553,472		PO SVA-100032
12	RD	Yes	Department of Defense	Lakeshore Engineering Services Inc	(12,972)		Subcontract Agreement LES-OH-RVAAP/001
12	RD	Yes	Department of Defense	Leonard Wood Institute	(1,259)		Subaward Agreement No. LW161017

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Agency Code	CFDA	R&D	Federal Agency	Prime/Upper Tier Contractor	Total Expenditures	Subcontracted Dollars	Contract Ref.
12	RD	Yes	Department of Defense	Leonard Wood Institute	$ 130,589	$ -	Subaward Agreement No. LW16011
12	RD	Yes	Department of Defense	Leonard Wood Institute	400,666	105,101	Subcontracts Agreement LWI 28-1080
12	RD	Yes	Department of Defense	Leonard Wood Institute	306,304	64,177	Subaward Agreement LWI-28-1086
12	RD	Yes	Department of Defense	Lockheed Martin Corp	(39,679)		Subcontract No. JB02G78
12	RD	Yes	Department of Defense	Lockheed Martin Corp	(299)		Purchase Order 1711061
12	RD	Yes	Department of Defense	Lockheed Martin Corp	206,813		PO 7147235
12	RD	Yes	Department of Defense	Lockheed Martin Corp	1,095,707		Definitive Subcontract 8100001228
12	RD	Yes	Department of Defense	Lockheed Martin Corp	499,195	(22,372)	Definitive Contract No. 8100001361
12	RD	Yes	Department of Defense	Lockheed Martin Corp	49,273		PO 7164949
12	RD	Yes	Department of Defense	Lockheed Martin Corp	34,156		RRMHS1622
12	RD	Yes	Department of Defense	Lockheed Martin Corp	223,464		TO SUBCONTK AGR NO RRMJS6304/UMB Master AGR #RRMGS3651
12	RD	Yes	Department of Defense	Lockheed Martin Maritime Systems & Sensors	(495)		297564
12	RD	Yes	Department of Defense	Logistics Management Institute	401		Subcontract No 0502/TO 01
12	RD	Yes	Department of Defense	Lord Corp	8,148		PO 281643
12	RD	Yes	Department of Defense	Mantech Environ Tech Inc	2,769,314		MIST-07-Battelle-C-077-23666
12	RD	Yes	Department of Defense	Material Sciences Corp	25		Purchase Order 2376-CC02-30
12	RD	Yes	Department of Defense	Mc Dean Inc	300,131		085049 ATG
12	RD	Yes	Department of Defense	Mcdermott Intl Inc	147,838		Services Agreement
12	RD	Yes	Department of Defense	Metss Corp	125		Subcontract Agreement No Metts-Battelle-6739-DO3-01-FY2007
12	RD	Yes	Department of Defense	Metss Corp	125,222		Subcontract Agreement Metss-Battelle-6739-Do-0401-Fy2008
12	RD	Yes	Department of Defense	Metters Industry	(107,390)		Task Order W31P4Q-05-A-0022/0001
12	RD	Yes	Department of Defense	Montgomery Watson Harza	2,783		Agreement No. F426-A0011-89758-Of
12	RD	Yes	Department of Defense	Multimax Inc	1,062,905		Subcontract No. S06-009-007
12	RD	Yes	Department of Defense	Nanoscale Corp	32		Purchase Order 4174
12	RD	Yes	Department of Defense	National Institute for Building Sciences	351		47832 / 48061 / N62470-03-D-1129
12	RD	Yes	Department of Defense	Nonlinear Ion Dynamics, LLC	(838)		49584
12	RD	Yes	Department of Defense	Northrop Grumman Systems Corporation	665		8140000443
12	RD	Yes	Department of Defense	Oak Ridge National Laboratory	59,948		Subcont No 4000071304/Solicitation No 6400007295
12	RD	Yes	Department of Defense	Ocean Designs Inc	(910)		PO 55293; Supplier No 3941
12	RD	Yes	Department of Defense	Ocean Designs Inc	(248)		PO 55293: Supplier No 3941
12	RD	Yes	Department of Defense	Ocean Designs Inc	35,743		PO 57458/Supplier No 3941
12	RD	Yes	Department of Defense	Oregon Nanoscience & Microtechnologies Institute	119,499	30	235271C-Task No 1 2, 1 5, K9584A-A
12	RD	Yes	Department of Defense	Oregon State University	13,376		K9583A-A
12	RD	Yes	Department of Defense	Patricio Enterprises Inc	40,739		Subcontract No 09-BATT-001
12	RD	Yes	Department of Defense	Perot Systems Government Services	11,936		7010-0011-028
12	RD	Yes	Department of Defense	Physical Optics Corporation	2,449		55897
12	RD	Yes	Department of Defense	Polu Kai Services LLC	88,911		Subcontract
12	RD	Yes	Department of Defense	Prologic Inc	(494)	154,130	Prologic-CMT08-Battelle
12	RD	Yes	Department of Defense	Quantum Technology Services, Inc	74		55512
12	RD	Yes	Department of Defense	Raytheon — Integrated Defense Systems	184,608	45,702	4400277422
12	RD	Yes	Department of Defense	Raytheon Co	471		PO 4200031221
12	RD	Yes	Department of Defense	Real Time Innovations Inc	(167)		H9222-07-P-0064
12	RD	Yes	Department of Defense	Renewable Alternatives, LLC	26,976		53152
12	RD	Yes	Department of Defense	Rutgers University	65,834		00003185
12	RD	Yes	Department of Defense	S4 Inc	(3,748)		Subcontract No. ICBM-DEC-01/DO 0002
12	RD	Yes	Department of Defense	SAIC	1,067		4400145826
12	RD	Yes	Department of Defense	Savannah River Nuclear Solutions LLC	14,774		Subcontract No AC65320T/SOW NO 6Q3811 REV 0
12	RD	Yes	Department of Defense	Sci Applications Intl Corp	6,331		Subcontract No 4400119050
12	RD	Yes	Department of Defense	Science & Engineering Services Inc	231,716		Subcontract No. 1069-010-001
12	RD	Yes	Department of Defense	Science Applications International Corporation — SAIC	173,550		4400160963
12	RD	Yes	Department of Defense	Science Applications International Corporation (SAIC)	19,619	(1,434)	4500829423
12	RD	Yes	Department of Defense	Scitor Corp	253,349		Subcontract G1008-001/Task Order 001-G1008
12	RD	Yes	Department of Defense	Scitor Corp	1,728,667		Subcontract G1008-001/Task Order 001-G1008
12	RD	Yes	Department of Defense	Scitor Corp	334,786		Subcontract G1008-001/Task Order 016-G1008
12	RD	Yes	Department of Defense	Scitor Corp	623,315		Subcontract G1008-001/Task Order 017-G1008
12	RD	Yes	Department of Defense	Scripps Institution of Oceanography, UCSD	2,068		10275474
12	RD	Yes	Department of Defense	Shaw Environmental	2,164,522	69,209	PO 471580
12	RD	Yes	Department of Defense	Shaw Environmental	(9,295)		PO 337254 and Letter Subcontract Modification 2

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Agency Code	CFDA	R&D	Federal Agency	Prime/Upper Tier Contractor	Total Expenditures	Subcontracted Dollars	Contract Ref.
12	RD	Yes	Department of Defense	Shaw Environmental	$ 338,946	$ 3,053	Purchase Order 338360
12	RD	Yes	Department of Defense	Smart Transitions LLC	43		Subcontract No St-Battelle-3244-002
12	RD	Yes	Department of Defense	Soar Technologies	12,525		Subcontract Agreement No. 10117-1
12	RD	Yes	Department of Defense	Soar Technologies	1,889		Subcontract No. 10130
12	RD	Yes	Department of Defense	Southwest Res Inst	2,006		Subc No. 799177KC
12	RD	Yes	Department of Defense	Southwest Res Inst	55,236		At-Risk;Subcont No 899070X Ltr of Intent
12	RD	Yes	Department of Defense	Sparta Inc	287,334		Subcontract No. 07-1168
12	RD	Yes	Department of Defense	Spearhead Innovations	20		51577
12	RD	Yes	Department of Defense	Spectral Sciences, Inc.	18,732		PO#9142, PO #8519
12	RD	Yes	Department of Defense	Sra Confidential Client	149,117		SUBK S440000055 / PROJECT # 11970161
12	RD	Yes	Department of Defense	Srico Inc	22		Purchase Order 1117
12	RD	Yes	Department of Defense	Srico Inc	351		PO 1116
12	RD	Yes	Department of Defense	Support Systems Associates Inc	62,408		Battelle 0211-01-73/DO H002
12	RD	Yes	Department of Defense	Service Engineering Company	89,631		P.O No 09-30/SURVICE PRJ #8800-001
12	RD	Yes	Department of Defense	Sverdrup Inc	15,415		Subcontract Battelle-2/Task Order 333
12	RD	Yes	Department of Defense	Sverdrup Inc	88,101		Subcontract Battelle-2/Task Order 0408
12	RD	Yes	Department of Defense	Sverdrup Inc	446,827		Subcontract Battelle-2/Task Order 436
12	RD	Yes	Department of Defense	Sverdrup Inc	108,452		Subcontract Battelle-2/Task Order 444
12	RD	Yes	Department of Defense	Sverdrup Inc	30,848		Subcontract Battelle-2/Task Order 0468
12	RD	Yes	Department of Defense	Sverdrup Inc	26,882		Subcontract Battelle-2/Task Order 0370
12	RD	Yes	Department of Defense	Sverdrup Inc	39,696		Subcontract Battelle-2/Task Order 0382
12	RD	Yes	Department of Defense	Sy Coleman Corp	12,196		SYTECH99-D-0021/TO 0691
12	RD	Yes	Department of Defense	Sy Coleman Corp	44,818		SYTECH99-D-0021/TO 0693
12	RD	Yes	Department of Defense	Sy Coleman Corp	7,804		SYTECH99-D-0021/Task Order 0703
12	RD	Yes	Department of Defense	SYS Technologies			SYS-1520-05-01
12	RD	Yes	Department of Defense	Systems Planning + Analysis Inc	127,547		Subcontract No SPA-SC-3754-07-0011/TO 001
12	RD	Yes	Department of Defense	Systems Planning + Analysis Inc	126,315		Subcontract No SPA-SC-3754-07-0011/TO 002
12	RD	Yes	Department of Defense	Systems Planning + Analysis Inc Navy Marine Corps	(335)		SPA-SC-4592-07-11-LA
12	RD	Yes	Department of Defense	Systems Research And Application Corp	660,617		Subcontract S690000104
12	RD	Yes	Department of Defense	Sytex			PO 11922 IS NOW PO GG11922J75-P
12	RD	Yes	Department of Defense	Sytex	(358)		Subcontract SYTEX-OMNI-03-01/Purchase Order 10391
12	RD	Yes	Department of Defense	Technical Systems Integration Inc	2,358,929		Subcontract No #0199-007/D.O 0003
12	RD	Yes	Department of Defense	Technical Systems Integration Inc	2,560,292		Subcontract No #0199-007/D.O. HR05
12	RD	Yes	Department of Defense	Technical Systems Integration Inc	253,713		0199-034
12	RD	Yes	Department of Defense	Tetra Tech Inc	(323)		Subcontract No 06SS-S001
12	RD	Yes	Department of Defense	Tiax LLC	46,715		800031
12	RD	Yes	Department of Defense	Titan	(1,404)		Subcontract No 99-SC-045/TASK NO 0092
12	RD	Yes	Department of Defense	Titan Systems	21		Subcontract No. 05-OAT-0003
12	RD	Yes	Department of Defense	Trace Photonics, Inc	4,487		50072
12	RD	Yes	Department of Defense	Trace Photonics, Inc	(140,506)		51002
12	RD	Yes	Department of Defense	Unconventional Concepts Inc	(8,889)		Subcontract Agreement
12	RD	Yes	Department of Defense	United Industrial Corp	(16,993)		Subcontract 1002391
12	RD	Yes	Department of Defense	United Industrial Corp	37,785		AAI Order Number 1038822
12	RD	Yes	Department of Defense	United Technologies Research Center	3,103		PO No 2600675
12	RD	Yes	Department of Defense	Univ of Dayton Research Institute	63,368		Subcontract RSC06023
12	RD	Yes	Department of Defense	Universal Technology Corp	3,423		Subcontract No 05-S508-016-C1
12	RD	Yes	Department of Defense	Universal Technology Corp	2,302		Subcontract 05-S530-0037-11-C1
12	RD	Yes	Department of Defense	Universal Technology Corp	395,655		Subcontract 07-S508-033-01C1
12	RD	Yes	Department of Defense	Universal Technology Corp	19,566		Subcontract 07-S508-033-01C1
12	RD	Yes	Department of Defense	University Of Alabama	2,034		Subaward No 2007-035
12	RD	Yes	Department of Defense	University of California — Davis	146,913	19,866	W912EF-08-D-0007
12	RD	Yes	Department of Defense	University of Oregon	259,697		235271C-TaskNo 5.3.2, 7, 8 1 3, 280710C - 1 3, 2.3, 49507, K9585AA
12	RD	Yes	Department of Defense	University of Utah	19,312	(10,938)	Subcontract Agreement No 2406019, 2503107
12	RD	Yes	Department of Defense	Usfalcon Inc	1,922,382		Letter Subcontract E406-Battelle
12	RD	Yes	Department of Defense	Utah State University	(297)		FA8750-07-C-0163
12	RD	Yes	Department of Defense	Ventana Research Corp	21,088		Purchase Order #06290901
12	RD	Yes	Department of Defense	Vision Point Systems Inc	89,343		PO #2009-NSWCCD-003
12	RD	Yes	Department of Defense	Vista Engineering Technologies LLC	27,762		54560

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12	RD	Yes	Department of Defense	VRC Corp	$ 11,259	$ -	Subconract Agreement CRB-06-D-0016-P/DO 0028
12	RD	Yes	Department of Defense	Washington Government Environmental Services Company LLC	17,868,647	6,698	BMI-96-0018-009
12	RD	Yes	Department of Defense	Washington Group International	26,629		Agreement No. 22556-7028
12	RD	Yes	Department of Defense	Washington Group International	1,817		Subcontract No. Posc 222693
12	RD	Yes	Department of Defense	Washington Group International	121,231		GS-23F-0011L/Subcontract No. POSC 222788/SIN 871-1
12	RD	Yes	Department of Defense	West Virginia High Technology Consortium Foundation	41,698		WVHTC-F-NSP-07-1389
12	RD	Yes	Department of Defense	Windber Research Institute	160,162		169479
12	RD	Yes	Department of Defense	Wyle Labs Inc	1		Subcontract Agreement A10064-S005, P.O. APSC00652
12	RD	Yes	Department of Defense	Wyle Labs Inc	(3,048)		Subcontract Agreement A10064-S005, P.O. APSC00663
					111,088,282	4,879,111	
15	RD	Yes	Department of the Interior	Ducks Unlimited, Inc — Pacific NW Field office	19,409	-	WA-0039-001
16	RD	Yes	Department of Justice	Science Applications International Corporation — SAIC	68,550		4400156080
				NYPD — office of Management Analysis & Planning	48,440		2003-90302
					116,990	-	
17	RD	Yes	Department of Labor	Decision Information Resources	(1,166)		AF-125280000230/TASK 14
17	RD	Yes	Department of Labor	Decision Information Resources	(692)		AF-125280000230/TASK 16
17	RD	Yes	Department of Labor	Impaq International LLC	627,277		Subcontract to DOLJ061A20349
					625,419	-	
20	200	Yes	Department of Transportation	University of Iowa	16,367		PO 1000704101
20	200	Yes	Department of Transportation	Virginia Polytechnic Institute	63,631		SA 196150415586
20	200	Yes	Department of Transportation	Virginia Polytechnic Institute	37,397		SA 425919-19615
20	RD	Yes	Department of Transportation	Alaska Railroad Corp	506,033	133,536	Contract No 31463
20	RD	Yes	Department of Transportation	Computer Sciences Corp	2,231,246		Agreement No S5000304
20	RD	Yes	Department of Transportation	STV Construction Services	3,568		STV 32-11866
20	RD	Yes	Department of Transportation	Transit Resource Center	1,712		Project C-15
20	RD	Yes	Department of Transportation	VII Consortium	14,643		CA DTFH61-05-H-00003/PO 06-1030
20	RD	Yes	Department of Transportation	VII Consortium	58,429		CA DTFH61-05-H-00003/PO 07-1078
20	RD	Yes	Department of Transportation	VII Consortium	12,698		PO 09-1101
20	RD	Yes	Department of Transportation	VII Consortium	25,977		PO 09-1104
20	RD	Yes	Department of Transportation	Wilbur-Smith Assoc	(1,644)		WSA INVOICE #523112/T.O. 1
20	RD	Yes	Department of Transportation	Commercial Vehicle Safety Alliance	61,968		Agreement No. OP46818
20	RD	Yes	Department of Transportation	Commercial Vehicle Safety Alliance	82,115		Agreement No. OP55388/OAB2009
20	RD	Yes	Department of Transportation	Commercial Vehicle Safety Alliance	68,287		Agreement No. OP55339/PBBT2009
20	RD	Yes	Department of Transportation	Hope College	(23)		PO 57472
20	RD	Yes	Department of Transportation	Mitre Corp	176		Subcontract Agreement No. 71949
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc	(61)		Subcontract DTRT-06-G-0043-U01-01-01/T. O. 001
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc	67,498		Subcontract DTRT-06-G-0043-01-U14-01-01 T.O. 003
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc	202,247		Subcontract DTRT-06-G-0043-U01-01-01/T. O 004
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc	30,773		Subcontract DTRT-06-G-0043-01-U11-01-01 T.O 005
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc	370,310		Subcontract DTRT-06-G-0043-01-U14-01-01 T.O 006
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc	42,833		Subcontract DTRT-06-G-0043-02--U19-01- 01 TASK 007
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc	174,458	729	Subcontract DTRT-06-G-0043-U01-01-01/ T.O 008
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc	29,248		AT RISK
20	RD	Yes	Department of Transportation	Transportation Research Board	94,378	39,430	HM-04
20	RD	Yes	Department of Transportation	Transportation Research Board	95,385	44,230	HM-02
20	RD	Yes	Department of Transportation	Transportation Research Board	33,589		Contract No HM-03
20	RD	Yes	Department of Transportation	Transportation Research Board	413,022	222,596	Contract NCFRP-04
20	RD	Yes	Department of Transportation	Transportation Research Board	193,253	99,218	Contract HR 17-41
20	RD	Yes	Department of Transportation	Transportation Research Board	2,445		HR 17-31

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BATTELLE MEMORIAL INSTITUTE

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Agency Code	CFDA	R&D	Federal Agency	Prime/Upper Tier Contractor	Total Expenditures	Subcontracted Dollars	Contract Ref.
20	RD	Yes	Department of Transportation	Transportation Research Board	$ 71,886	$ 18,837	A-31
20	RD	Yes	Department of Transportation	Transportation Research Board	95,511	11,509	NAS 132 Contract HM-05, TO 01
20	RD	Yes	Department of Transportation	Transportation Research Board	47,858	4,945	NAS 132, T.O.N. 01, Subcontract HR 19-08 TO DOT 6508-001
20	RD	Yes	Department of Transportation	Transportation Research Board	74,716	11,621	ACRP A03-16
20	RD	Yes	Department of Transportation	University of Michigan	27,901		Subcontract F015555
20	RD	Yes	Department of Transportation	Virginia Technical Transportation Institute	30,543		SA CR-19615-425886
20	RD	Yes	Department of Transportation	Worcester Polytechnic Inst	39,129		OP42787 TO HR 22-24
					5,319,502	586,651	
21	RD	Yes	Department of the Treasury	Unisys Corporation	1,199,674	-	862068A, 51733
43	RD	Yes	National Aeronautics & Space Administration	American Geophysical Union	35,156		53527
43	RD	Yes	National Aeronautics & Space Administration	Fisheries Research Institute — University of Washington	60		577524
43	RD	Yes	National Aeronautics & Space Administration	L-3 Services Inc	52,976		PO PN8434
43	RD	Yes	National Aeronautics & Space Administration	National Institute Of Aerospace	81,895		Subcontk No T08-6200-BAT/TO NO 6222
43	RD	Yes	National Aeronautics & Space Administration	North Olympic Peninsula Resource Conservation & Dev	271,972		50216
43	RD	Yes	National Aeronautics & Space Administration	Raytheon Systems Company	(2,971)		3008856
43	RD	Yes	National Aeronautics & Space Administration	University of California, San Diego	39,941		10233662
43	RD	Yes	National Aeronautics & Space Administration	University of Michigan	34,564		F015599 \ 3000763586
43	RD	Yes	National Aeronautics & Space Administration	University Of Maryland	15,445		Subaward CG0616
43	RD	Yes	National Aeronautics & Space Administration	University Of Maryland	110,538		Subaward No /PO 0000003276/Acct No 00002389
					639,576	-	
47	049	Yes	National Science Foundation	Ohio State University	50,664		60005440
47	RD	Yes	National Science Foundation	Agentase LLC	(3)		Agreement No CP061048
47	RD	Yes	National Science Foundation	Mathematica Policy Research	3,270		Subcontract No 6072-04-007
47	RD	Yes	National Science Foundation	Univ of Chicago	7,395		6533 Battelle 01
47	RD	Yes	National Science Foundation	Washington University at St. Louis	79,486		WU-HT-09-02
					140,812	-	
66	439	Yes	Environmental Protection Agency	Jamestown S'Klallam Tribe	188,331		NA
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	328		DATS Subcontract No. 093408/ TO-01-07-02-0003
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	49,768		Subcontract No 093408/TO-01-07-04-0007
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	17,159		DATS Subcontract No 093408/WA NO. TO-01-07-04-0008
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	7,588		DATS Subcontract No 093408/WA NO TO-02-07-06-0007
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	(113)		DATS Subcontract No. 093408/WA NO TO-02 TDD-0018
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	40,868		DATS Subcontract No. 093408/WA NO TO-02 TDD-0021
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	13,861		DATS Subcontract No. 093408/WA NO. TO-01 TDD-0024
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	23,918		DATS Subcontract No. 093408/WA NO. TO-01 TDD-0023
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	7,074		DATS Subcontract No 093408/WA NO TO-01-09-02-0027
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	28,090		DATS Subcontract No. 093408/WA NO TO-01-09-02-0028
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	20,224		DATS Subcontract No. 093408/WA NO. TO-01-09-02-0031
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	3,216		DATS Subcontract No. 093408/WA NO TO-01-09-05-0036
66	RD	Yes	Environmental Protection Agency	General Dynamics Corp	173		Subcontract Agreement No SEA-PTE-SC-0014/P.O. SDK1114BA
66	RD	Yes	Environmental Protection Agency	Great Lakes Environ Center	(129)		68-C-02-021/0008 Work Assignment 3-01
66	RD	Yes	Environmental Protection Agency	Great Lakes Environ Center	550		68-C-04-006/Subcontract No 57-BAT-00 WA NO. 1-16
66	RD	Yes	Environmental Protection Agency	ICF International	80		BOA 27LL00368
66	RD	Yes	Environmental Protection Agency	ICF International	2,564		Purchase Order No 28OR00914
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	346		Subcontract: PEGSUB00003, WA NO. 1-35
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	91		Subcontract: PEGSUB00003, WA NO. 1-39
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	695		Subcontract: PEGSUB00003, WA NO 1-42
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	53		Subcontract PEGSUB00003, WA NO. 1-48
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	716		Subcontract PEGSUB00003, WA NO 2-35

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Agency Code	CFDA	R&D	Federal Agency	Prime/Upper Tier Contractor	Total Expenditures	Subcontracted Dollars	Contract Ref.
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	$ 350	$ -	Subcontract. PEGSUB00003, WA NO. 2-39
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	3,388		Subcontract PEGSUB00003, WA NO. 2-42
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	(233)		Subcontract. PEGSUB00003, WA NO. 2-48
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	(775)		Subcontract: PEGSUB00003, WA NO. 2-54
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	33,366		Subcontract: PEGSUB00003, WA 3-35
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	1,156		Subcontract: PEGSUB00003, WA 3-37
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	30,237		Subcontract PEGSUB00003, WA 3-42
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	35,327		Subcontract: PEGSUB00003, WA 3-48
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	96,175		Subcontract: PEGSUB00003, WA 3-54
66	RD	Yes	Environmental Protection Agency	Quick-Med Technologies Inc	28,131		Subcontract No OP54384
66	RD	Yes	Environmental Protection Agency	Roy F Weston Inc	2,020		Risk Memo -- Do Not Bill
66	RD	Yes	Environmental Protection Agency	Sonoma Technology Inc	(56)		STI 905401 07/WA No 4-01
66	RD	Yes	Environmental Protection Agency	Sonoma Technology Inc	(3,079)		STI 905407/WA NO. 4-07
66	RD	Yes	Environmental Protection Agency	Sonoma Technology Inc			STI 905315/WA NO. 13-15
66	RD	Yes	Environmental Protection Agency	Sonoma Technology Inc	730		STI 905419/WA NO. 4-19
66	RD	Yes	Environmental Protection Agency	Sonoma Technology Inc	5,220		STI 905501 04/WA NO. 5-01
66	RD	Yes	Environmental Protection Agency	Health Effects Institute	10,551		HEI Research Agreement #01-5A-2
66	RD	Yes	Environmental Protection Agency	Mickey Leland Natl Air Toxics Res Ctr	13,048		Research Agreement No Op49998
66	RD	Yes	Environmental Protection Agency	NE Interstate Water Poll Control Comm	24,508		J982974080
66	RD	Yes	Environmental Protection Agency	University of Cincinnati			68-C-00-159/TO 52
66	RD	Yes	Environmental Protection Agency	University of Cincinnati			68-C-00-159/TO 93
66	RD	Yes	Environmental Protection Agency	Western Washington University	49,854		RD83301401
					735,369	-	
77	RD	Yes	Nuclear Regulatory Commission	Link Technologies	(953)	-	LINK-NRC-ACRS-2006
81	049	Yes	Department of Energy	Leonardo Technologies, Inc.	7	(2)	LTI-FE68423-BMI
81	RD	Yes	Department of Energy	Aegis Technology	5,266		52584
81	RD	Yes	Department of Energy	Aerodyne Research, Inc	26,223		ARI-10420-5, ARI-10421-1, 10420-3
81	RD	Yes	Department of Energy	Air Products and Chemicals, Inc.	300,417	79	DE-FC36-05GO15015
81	RD	Yes	Department of Energy	Alliance for Sustainable Energy LLC	2,083		YCI-6-16608-01 FU512053 (5450)
81	RD	Yes	Department of Energy	Alliance for Sustainable Energy LLC	376,749	11,260	SUBCTRK NO KAF-9-29764-32
81	RD	Yes	Department of Energy	Areva NC In	175,356		Subaward GNEP-DS-SA030
81	RD	Yes	Department of Energy	ARRA — Stoller-Navarro Joint Venture	38,365		S-N-021004-A
81	RD	Yes	Department of Energy	Babcock & Wilcox Company Research Center	2,104		G00566003PNNL
81	RD	Yes	Department of Energy	Bechtel National, Inc.	11,087		-101-TSA-W000-0004
81	RD	Yes	Department of Energy	BNFL, Inc	(77)		W375-SC-98-4168
81	RD	Yes	Department of Energy	Booz-Allen - Hamilton Inc			91047NBS06 - TASK ORDER NO 4
81	RD	Yes	Department of Energy	Brown University	46,275		1544-21655/P986934
81	RD	Yes	Department of Energy	Burge Environmental	260,690		52680, 53354, 55288, 54526, 57009
81	RD	Yes	Department of Energy	Commercial Vehicle Safety Alliance	26,668		DE-FC01-94RW00295 / 48108
81	RD	Yes	Department of Energy	Confederated Tribes of the Umatilla Indian Reservation	1,397		50204
81	RD	Yes	Department of Energy	Creative Realities	(1,325)		Subcontract TA BAT-5003-001-01
81	RD	Yes	Department of Energy	Creative Realities	89,709		Letter Subcontract BAT-5003-001-03A – 03C
81	RD	Yes	Department of Energy	Creative Realities	784,535		Subcontract TA BAT-5003-001-02 – 02A
81	RD	Yes	Department of Energy	Creative Realities	170,998		Subcontract BAT-5003-001-04 & 04A
81	RD	Yes	Department of Energy	Creative Realities	302,975		Subcontract TA BAT-5003-001-05 & 05A
81	RD	Yes	Department of Energy	Creative Realities	108,076		Subcontract BAT-5003-001-00 MOD No. 1
81	RD	Yes	Department of Energy	Delphi	1,487,789	5,190	54159
81	RD	Yes	Department of Energy	Delphi Corporation	502,092	8,771	49359
81	RD	Yes	Department of Energy	Dune Sciences	29,635		54557
81	RD	Yes	Department of Energy	Electric Power Research Institute — EPRI	6		PID#056576
81	RD	Yes	Department of Energy	Fuel Cell Energy, Inc.	(783)		PO 35525
81	RD	Yes	Department of Energy	FutureGen Industrial Alliance, Inc.	979,718		50050
81	RD	Yes	Department of Energy	Gas Research Institute	20		PF21871
81	RD	Yes	Department of Energy	Geomatrix Consultants Inc	18,122		200-PO-1

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Agency Code	CFDA	R&D	Federal Agency	Prime/Upper Tier Contractor	Total Expenditures	Subcontracted Dollars	Contract Ref.
81	RD	Yes	Department of Energy	Idaho Fish & Game	$ 27	$ -	43857
81	RD	Yes	Department of Energy	fEA Bioenergy	6,702		56852
81	RD	Yes	Department of Energy	Jason Assoc Corp	44,386		Subcontract No. 1102S-0301
81	RD	Yes	Department of Energy	Jason Assoc Corp	86,189		Subcontract No. 1104S-0401
81	RD	Yes	Department of Energy	Kona Carbon Llc	1,826		Agreement No. OP41429
81	RD	Yes	Department of Energy	Lockheed Martin Hanford Corp.	2,804		7100009107, 54673
81	RD	Yes	Department of Energy	Lower Columbia River Estuary Partnership	303,254	11,375	03-2010, 102009, 02-2010
81	RD	Yes	Department of Energy	Mcdermott Intl Inc	13,009		Subcontract No. RCD 1464
81	RD	Yes	Department of Energy	Midwest Res Inst	150,000		To KAF-9-29764-28/Tier 3 Technical Services
81	RD	Yes	Department of Energy	Midwest Res Inst	205,538		To KAF-9-29764-29/Tier 4 Professional Support Services
81	RD	Yes	Department of Energy	MKM Engineers, Inc.	1,161		P-000233
81	RD	Yes	Department of Energy	Montana State University	7,400,158	4,947,099	G141-06-W0831
81	RD	Yes	Department of Energy	North Wind Environmental, Inc.	(699)		1408S 06
81	RD	Yes	Department of Energy	Paradigm Optics, Inc	(121)		49481
81	RD	Yes	Department of Energy	Protection Strategies, Inc.	10,403		55696
81	RD	Yes	Department of Energy	Radiation Monitoring Devices, Inc.	28,775	71	53394, 55759
81	RD	Yes	Department of Energy	Reeves & Sons	10		49204
81	RD	Yes	Department of Energy	Stoller Corp	32,438		PO 0000003836,REQ NO 0000020880,3110/1-707-1-09-905-1-01/7530
81	RD	Yes	Department of Energy	Stoller Corp	344		PO NO 0000004605
81	RD	Yes	Department of Energy	Stoller-Navarro Joint Venture	2,395,730	(807)	S-N-021004-A
81	RD	Yes	Department of Energy	Stoller-Navarro Joint Venture	28,224		S-N-021004-A
81	RD	Yes	Department of Energy	Stoller-Navarro Joint Venture	(3,871)		Letter Contract With Stoller-Navarro Joint Venture - Transition
81	RD	Yes	Department of Energy	Systematic Management Services Inc	951,237		Task Order No DE-AT52-07NA28423/Subcontract No. DOE/NNSA-BPA:0004
81	RD	Yes	Department of Energy	Theseus Professional Services, LLC	38,046		53651
81	RD	Yes	Department of Energy	University of Missouri	(27)		CG001075-1
81	RD	Yes	Department of Energy	UT-Battelle	192,532		4000049417
81	RD	Yes	Department of Energy	Velocys	8		47849
81	RD	Yes	Department of Energy	Vista Clara, Inc.	107,350		52713
81	RD	Yes	Department of Energy	Wackenhut Services	(6,157)		47659
81	RD	Yes	Department of Energy	WASHINGTON GROUP INTERNATIONAL	793,035		Agreement No 2009-SC-YMP-29784-026
81	RD	Yes	Department of Energy	Washington Group International Inc.	5,470,447	755,457	79065.001-7001SC
81	RD	Yes	Department of Energy	Washington State University	8,377		G000098
81	RD	Yes	Department of Energy	West Virginia University	8,829		Subcontract No. 03-410-B
81	RD	Yes	Department of Energy	Yakima Indian Nation	163		53227
81	RD	Yes	Department of Energy	Z Inc	6		06EI-40512 000 and 07EI-40512 001 AND 07EI-40512 002 and 07EI-40512 003
					24,014,310	5,738,493	
84	RD	Yes	Department of Education	Westat	272,416	-	Westat Subcontract No S8622
93	000	Yes	Department of Health and Human Services	University of Florida	6,522		Subcontract Number. UF JAX 09 215
93	113	Yes	Department of Health and Human Services	Trustees of Columbia University	110,403		1PA0ES015905-01
93	113	Yes	Department of Health and Human Services	Lamont-Doherty Earth Observatory	220,720		1U01ES016110-01
93	121	Yes	Department of Health and Human Services	Univ of North Carolina Chapel Hill	2,180		UNC-CH 5-38849
93	121	Yes	Department of Health and Human Services	Univ of North Carolina Chapel Hill	242,125		UNC-CH 5-51220
93	121	Yes	Department of Health and Human Services	Univ of North Carolina Chapel Hill	63,012		Subaward No 5-51220
93	143	Yes	Department of Health and Human Services	Bowling Green State University	36,622		1R01ES017070
93	143	Yes	Department of Health and Human Services	Oregon State University	62,280		P0282A-A
93	143	Yes	Department of Health and Human Services	University of Washington	12,978		UW Contract No 378270/SUBC NO. 521214
93	226	Yes	Department of Health and Human Services	St Louis Univ	(2,985)		1 R01 HS014007-01A1, 5 R01 HS014007-02
93	242	Yes	Department of Health and Human Services	Johns Hopkins Univ	96,901		P.O. #2000580380
93	262	Yes	Department of Health and Human Services	Case Western Reserve Univ	6,597		RES502733
93	262	Yes	Department of Health and Human Services	State University of New York	31,671		Subaward No 240-1074439-48038
93	279	Yes	Department of Health and Human Services	University of Medicine & Dentistry of New Jersey	104,477	450	PO341348
93	283	Yes	Department of Health and Human Services	Univ of North Carolina Chapel Hill	155		UNC-CH 5-38502
93	283	Yes	Department of Health and Human Services	Univ of North Carolina Chapel Hill	29,481		UNC-CH 5-38901
93	283	Yes	Department of Health and Human Services	Univ of North Carolina Chapel Hill	255,850		UNC-CH 5-53795

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Agency Code	CFDA	R&D	Federal Agency	Prime/Upper Tier Contractor	Total Expenditures	Subcontracted Dollars	Contract Ref.
93	389	Yes	Department of Health and Human Services	University of Washington	$ 202,104	$ -	565961/ 473183/ 399778/ 2P30DA015625-06/ 577577/ 562850/ 576666
93	393	Yes	Department of Health and Human Services	Harvard School of Public Health	36,719		1 P01 CA134294-01
93	393	Yes	Department of Health and Human Services	Missouri Dept of Health + Senior Service	99,564		Subaward No. WU-HT-09-36/PO #2905835N
93	393	Yes	Department of Health and Human Services	University of Maryland	18,520		Subaward #Z013801
93	393	Yes	Department of Health and Human Services	Univ of North Carolina Chapel Hill	(469)		5-31983
93	394	Yes	Department of Health and Human Services	Syracuse Univ	4,599		Subaward No. 22073-01655-S01
93	837	Yes	Department of Health and Human Services	Caritas St Elizabeths Med Ctr of Boston	36,900		Grant No. 7R01HI086652-03
93	838	Yes	Department of Health and Human Services	Levitronix Gmbh	104,842		LSA NO. OP38483
93	838	Yes	Department of Health and Human Services	Univ of North Carolina at Chapel Hill	8,901		Agreement UNC-CH 5-50229
93	839	Yes	Department of Health and Human Services	University of Rochester School of Medicine & Dentistry	(1,798)		53054
93	853	Yes	Department of Health and Human Services	University of Michigan	25,862		PO #3000698227
93	853	Yes	Department of Health and Human Services	University of California, Los Angeles	186,226		1490 G GE528
93	855	Yes	Department of Health and Human Services	Oregon Health & Science University	6,477		1U54A1081680, GVGT10084A, GMMBIO157A
93	856	Yes	Department of Health and Human Services	University of Michigan	5,866		Purchase Order No 3001230718
93	856	Yes	Department of Health and Human Services	Princeton Univ	258,548	42,319	Subaward No. 00001544
93	859	Yes	Department of Health and Human Services	Massachusetts General Hospital	879,294	130,305	44301
93	864	Yes	Department of Health and Human Services	Childrens Hospital of Philadelphia	3,042		Chop Ref No 320743-03-01, 320743-04-01
93	865	Yes	Department of Health and Human Services	Princeton Univ	289,313	27,128	Subaward No 00001543
93	865	Yes	Department of Health and Human Services	Seattle Biomedical Research Institute	27,731		53677
93	865	Yes	Department of Health and Human Services	University of South Florida	6,351		6403-1053-00-A (Orange County)/Po 0000149144
93	865	Yes	Department of Health and Human Services	Vanderbilt Univ Dept of Chem Engineering	32,178		VUMC33448-R
93	865	Yes	Department of Health and Human Services	Vanderbilt Univ Dept of Chem Engineering	25,129		VUMC33795-R
93	865	Yes	Department of Health and Human Services	Johns Hopkins Univ	71,112		Subaward No. 8408-51079-X
93	866	Yes	Department of Health and Human Services	Univ of Southern California	8,039		Subaward No. H39324
93	866	Yes	Department of Health and Human Services	Johns Hopkins Univ	(2,227)		Coop Agreement #U10 EY14483/P O. #54792
93	867	Yes	Department of Health and Human Services	Univ of Southern California			Agreement No H36118
93	867	Yes	Department of Health and Human Services	Univ of Southern California	404,148		Subaward No. H39301
93	867	Yes	Department of Health and Human Services	Univ of Southern California	834,831		Subaward No. H41044
93	867	Yes	Department of Health and Human Services	Univ of Southern California	29,387		Subaward No. H43398
93	989	Yes	Department of Health and Human Services	Univ of Ca-Berkeley	70		Subaward No. Sa5162-32119
93	RD	Yes	Department of Health and Human Services	Academyhealth	29,668		Contract #290-04-0001
93	RD	Yes	Department of Health and Human Services	Acambis	6,922		MVA 2005-00028-BTL
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	339		BOA-03-2005-001/Task 1
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	1,670		BOA-03-2005-001/Task 3
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	12,003		BOA-03-2005-001/Task 5
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	249,030		BOA-03-2005-001/Task 7
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	10,852		BOA-03-2005-001/Task 8
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	(333)		BOA-03-2005-001/Task 9
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	13,781		BOA-03-2005-001/Task 10
93	RD	Yes	Department of Health and Human Services	American Water Works Association Research Foundation	(397)		2985
93	RD	Yes	Department of Health and Human Services	Arkansas Childrens Hospital	19,804		Risk Memo – Do Not Bill Benton AR
93	RD	Yes	Department of Health and Human Services	Arkansas Dept of Health + Human Services	392,334		Vendor #100080230
93	RD	Yes	Department of Health and Human Services	Baylor College of Medicine	11,826		NICHD REF NO N01-HD-80020/SCN 100904316
93	RD	Yes	Department of Health and Human Services	Case Western Reserve Univ	15,411		Battelle NCS N01-HD-80009 - LORAIN OH
93	RD	Yes	Department of Health and Human Services	Dade Moeller & Associates, Inc.	198,630		S4-1142
93	RD	Yes	Department of Health and Human Services	Duke University	1,714		Risk Memo – Do Not Bill
93	RD	Yes	Department of Health and Human Services	DVC LLC	123,877		Subcontract No. S1008288
93	RD	Yes	Department of Health and Human Services	DVC LLC	2,135,039		S1008551
93	RD	Yes	Department of Health and Human Services	DVC LLC	507,337		Subcontract Agreement No S1008570
93	RD	Yes	Department of Health and Human Services	Elusys Therapeutics Inc	(2)		Agreement No. Op43601
93	RD	Yes	Department of Health and Human Services	Elusys Therapeutics Inc	38,956		Study No. OP47184 TO LSA NO. OP45836
93	RD	Yes	Department of Health and Human Services	Elusys Therapeutics Inc	1,049,487		Study No. Op44005R3 To Lsa Op45836
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc	151,566		Order No 0001
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc	617,246		Order No. 0005
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc	1,384,060		Order No. 0004
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc	133,759		Order No. 0003
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc	460,625		Order No. 0006
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc	438,347		Order No 0007

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93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc	$ 3,988	$ -	Order No. 8
93	RD	Yes	Department of Health and Human Services	Emory Univ	13,799		Subcontract No. 5-41690-S1 - Dekalb County
93	RD	Yes	Department of Health and Human Services	Emory Univ	7,589		5-42540-S1
93	RD	Yes	Department of Health and Human Services	Emory Univ	8,251		Risk Memo -- Do Not Bill Baldwin Ga
93	RD	Yes	Department of Health and Human Services	Emory Univ	102,051		Subcontract No 5-41690-S1 - Fayette County
93	RD	Yes	Department of Health and Human Services	Fisher Bioservices	30,202		FBS-05413-04
93	RD	Yes	Department of Health and Human Services	Human Genome Sciences Inc	4,863		CMA HGSI NO. CP053709/N104500
93	RD	Yes	Department of Health and Human Services	Human Genome Sciences Inc	25,483		Study No. OP43228R
93	RD	Yes	Department of Health and Human Services	Human Genome Sciences Inc	56,694		Study No. OP45445/P.O. 3030313
93	RD	Yes	Department of Health and Human Services	Human Genome Sciences Inc	11,342		Study No OP45049R/P.O 3030190
93	RD	Yes	Department of Health and Human Services	Human Genome Sciences Inc	85,001		Study No. OP46019R TO LAB SVCS Agreement No CP053709
93	RD	Yes	Department of Health and Human Services	Human Genome Sciences Inc	53,041		Study No. OP48064 TO LAB SVCS Agreement No CP053709
93	RD	Yes	Department of Health and Human Services	Human Genome Sciences Inc	48,575		Study No. OP51808R2 TO LAB SVCS Agreement No CP053709
93	RD	Yes	Department of Health and Human Services	Impaq International Llc	29,840		Subcontract No. AHRQ-1 Risk Memo Do Not Bill
93	RD	Yes	Department of Health and Human Services	Impaq International Llc	773		Master Agreement No. S-10/T O. No AHRQ-3450
93	RD	Yes	Department of Health and Human Services	Jbs International	262,849		NAICS Number 541690
93	RD	Yes	Department of Health and Human Services	Johns Hopkins Univ	109,586		P O #8606-88167
93	RD	Yes	Department of Health and Human Services	Johns Hopkins Univ	29,208		P.O. #2000289069 - Baltimore County
93	RD	Yes	Department of Health and Human Services	Johns Hopkins Univ	4,572		PO #2000735585 - Montgomery MD
93	RD	Yes	Department of Health and Human Services	Joint Commission on Accreditation of Healthcare Org.	(4)		1P20HS017113-01
93	RD	Yes	Department of Health and Human Services	Levitronix, LLC	8,321		L08-0111
93	RD	Yes	Department of Health and Human Services	Ligocyte Pharmaceuticals Inc	673,213		Study No. OP50140R2 TO LSA NO OP50964
93	RD	Yes	Department of Health and Human Services	Macrogenics	490,039		ADB Contract No N01-AI-60013
93	RD	Yes	Department of Health and Human Services	Manila Consulting Group	933,459		280-05-0122
93	RD	Yes	Department of Health and Human Services	Medarex Inc	118,485		LSA NO. OP49504
93	RD	Yes	Department of Health and Human Services	Michigan State University	11,242		TSA NO. OP48535R2
93	RD	Yes	Department of Health and Human Services	Mount Sinai School of Medicine	943		HHSN275200800031C, Monroe NY
93	RD	Yes	Department of Health and Human Services	Mount Sinai School of Medicine	31,096		NICHD NO. N01-HD-70047C - Nassau County
93	RD	Yes	Department of Health and Human Services	Mount Sinai School of Medicine	5,522		NICHD NO. N01-HD-70047C - NY County/ Manhattan
93	RD	Yes	Department of Health and Human Services	Mount Sinai School of Medicine	932		Risk Memo -- Do Not Bill Passaic County
93	RD	Yes	Department of Health and Human Services	Northwestern University	14,031		1 P20 HS017114-01
93	RD	Yes	Department of Health and Human Services	Oak Ridge Associated Universities	33,997		20739
93	RD	Yes	Department of Health and Human Services	Oregon Health & Science University	227,952		1U54A1081680, GVGT10084A, GMMBIO157A
93	RD	Yes	Department of Health and Human Services	Oregon State University	47,212		P0282A-A
93	RD	Yes	Department of Health and Human Services	Pharmathene US Corporation	680,488		STUDY NO. OP40763R3
93	RD	Yes	Department of Health and Human Services	Philips Medical Systems	5,750		TOA NO OP40671
93	RD	Yes	Department of Health and Human Services	Purdue University	(918)		4201-22901
93	RD	Yes	Department of Health and Human Services	Seattle Biomolecular Research Institute	179,836		HHSN266200700057C
93	RD	Yes	Department of Health and Human Services	Spina Bifida Association	45,240		Service Agreement To OP48205
93	RD	Yes	Department of Health and Human Services	St Louis University	2		Subcontract OP43358 - St Louis (City) YR 1
93	RD	Yes	Department of Health and Human Services	St Louis University	(161)		Subcontract OP48780 - Macoupin County YR 1
93	RD	Yes	Department of Health and Human Services	St Louis University	16,694		Risk Memo -- Do Not Bill Johnson/Union/Williamson, Il
93	RD	Yes	Department of Health and Human Services	St Louis University	10,498		Risk Memo -- Do Not Bill Jefferson MO
93	RD	Yes	Department of Health and Human Services	St Louis University	28,231		Subcontract OP43358 - St Louis (City) YR 2
93	RD	Yes	Department of Health and Human Services	St Louis University	29,207		Subcontract Op48780 - Macoupin County YR 2
93	RD	Yes	Department of Health and Human Services	Tanglewood Research Inc	22,707		1R43DA026219-01A1
93	RD	Yes	Department of Health and Human Services	The Molecular Sciences Institute	(571)		400-30-5
93	RD	Yes	Department of Health and Human Services	Thompson Medstat	(589)		HCLP5-2007-Battelle
93	RD	Yes	Department of Health and Human Services	Trustees of Columbia University	(156)		5 R01 ES11379/539916
93	RD	Yes	Department of Health and Human Services	Tulane Univ	628		Risk Memo -- Do Not Bill
93	RD	Yes	Department of Health and Human Services	Univ of North Carolina at Chapel Hill	51,728		5-35046 Study Center
93	RD	Yes	Department of Health and Human Services	Univ of North Carolina at Chapel Hill	14,832		5-35053 Rockingham County
93	RD	Yes	Department of Health and Human Services	Univ of North Carolina at Chapel Hill	2,430		5-35017 Burke County
93	RD	Yes	Department of Health and Human Services	Univ of North Carolina at Chapel Hill	1,505		Risk Memo -- Do Not Bill Durham County
93	RD	Yes	Department of Health and Human Services	Univ of North Carolina at Chapel Hill	458		UNC-CH 5-35073
93	RD	Yes	Department of Health and Human Services	Univ of North Carolina Chapel Hill	156,859		1R01AR056328-01A1
93	RD	Yes	Department of Health and Human Services	University of Alabama at Birmingham	126,439		5 P01 ES011617-03
93	RD	Yes	Department of Health and Human Services	University of Arizona	13,393		P.O. #502672 - Maricopa County AZ

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BATTELLE MEMORIAL INSTITUTE

SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2009

Agency Code	CFDA	R&D	Federal Agency	Prime/Upper Tier Contractor	Total Expenditures	Subcontracted Dollars	Contract Ref.
93	RD	Yes	Department of Health and Human Services	University of California — Davis	$ (39)	$ -	Risk Memo – Do Not Bill Sacramento County
93	RD	Yes	Department of Health and Human Services	University of California — Davis	2,440		Risk Memo – Do Not Bill Sacramento County
93	RD	Yes	Department of Health and Human Services	University of Colorado	20,013		#FY09.253.002/UCD PRJ #2-5-15313
93	RD	Yes	Department of Health and Human Services	University of Illinois	(3,416)		UIC NIH GRANT R21 A1052933
93	RD	Yes	Department of Health and Human Services	University of North Carolina	2,445,868		UNC-CH 5-55842
93	RD	Yes	Department of Health and Human Services	University of South Florida	8,848		6403-1053-00-A (Hillsborough County)
93	RD	Yes	Department of Health and Human Services	University of Texas	24,266		Encumbrance No GMO-901014
93	RD	Yes	Department of Health and Human Services	University of Washington	1,331,719		565961/ 473183/ 399778/ 2P30DA015625-06/ 577577/ 562850/ 576666
93	RD	Yes	Department of Health and Human Services	Valvexchange, Inc	21,182		53403
93	RD	Yes	Department of Health and Human Services	Vaxin Inc	651		TSA #OP40577
93	RD	Yes	Department of Health and Human Services	Vaxin Inc	298,257		Subcontract No OP51688
93	RD	Yes	Department of Health and Human Services	Washington State University	130,240		100725_G002318
93	RD	Yes	Department of Health and Human Services	Washington Univ St Louis	73		P O #24283A
93	RD	Yes	Department of Health and Human Services	Westat	41,907		Westat Contract No. 8494-S02
					22,074,485	200,202	
97	029	Yes	Department of Homeland Security	Virginia Polytechnic Institute	(970)		CR 19615-425443
97	RD	Yes	Department of Homeland Security	Absg Consulting	827		Independent Contractor Agreement
97	RD	Yes	Department of Homeland Security	ATK Mission Research	136,784	2,250	SC-00032-07-0001
97	RD	Yes	Department of Homeland Security	Chenega Technical Services Corporation	2,782,065		Battelle-003-002, 54294, 54298, 54126, 56328, 56329
97	RD	Yes	Department of Homeland Security	Dynamic Security Concepts Inc	(156)		Subcontract 06-0005, TO 01
97	RD	Yes	Department of Homeland Security	Dynamic Security Concepts Inc	(347)		Subcontract 06-0005, TO 02
97	RD	Yes	Department of Homeland Security	Dynamic Security Concepts Inc			Subcontract 06-0005, TO 04
97	RD	Yes	Department of Homeland Security	General Electric Global Research	596,125		700170791
97	RD	Yes	Department of Homeland Security	Greenhorne + Omara	25,169		HSTEHQ-07-J-0075
97	RD	Yes	Department of Homeland Security	Intl Business Machines Corp	422,684		LTR SUBC NO 4908032700
97	RD	Yes	Department of Homeland Security	Invitrogen Corp	54,939		Subcontract No 2008-201
97	RD	Yes	Department of Homeland Security	Isotron Corp	(103,142)		Agreement No OP34804
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp	4,428		HSHQDC-07-J-00493/Task Order 001/PO # for Invoice 7500025408
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp			8G5-NEP-Battelle-08/Task Order 005
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp			8GNEP-Battelle-08/R04-OBE-01/7500030369
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp	270,184		8GNEP-Battelle-08/Task Order 007
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp	15,504		8G5-NEP-Battelle-08/Po #7500042729/Work Order R04-TEPW-02
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp	420,914	7,864	8GNEP-Battelle-08/Task Order 002
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp	25,290		8G5-NEP-Battelle-08/PO #7500044669/Work Order R05-Obe-06
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp	8,104		8G5-NEP-Battelle-08/PO #7500046261/Work Order R04-OBE-02
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp	10,830		8G5-NEP-Battelle-08/PO #7500046254/Work Order R04-OBE-03
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp	22,109		8G5-NEP-Battelle-08/PO #7500046253/Work Order R04-OBE-04
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp	9,286		8G5-NEP-Battelle-08/PO #7500046252/Work Order R04-OBE-05
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp	26,075		8G5-NEP-Battelle-08/PO #7500046251/Work Order R04-DBE-06
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp	305,510		8G5-NEP-Battelle-08/PO #7500052217/Work Plan 4
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp	105,100		8G5-NEP-Battelle-08/PO #7500052217/Work Plan 5
97	RD	Yes	Department of Homeland Security	Northrop Grumman Mission Systs	10,760		8GNEP-Battelle-08/Task Order 006
97	RD	Yes	Department of Homeland Security	Northrop Grumman Mission Systs	524,765	1,260	8GNEP-Battelle-08/PO #7500047006/Work Plan 3
97	RD	Yes	Department of Homeland Security	Perkins And Will	(1,274)		OP40150
97	RD	Yes	Department of Homeland Security	SCI Applications Intl Corp	429		Subcontract No. 4400117645
97	RD	Yes	Department of Homeland Security	SCI Applications Intl Corp	(11,767)		4400143530
97	RD	Yes	Department of Homeland Security	SCI Applications Intl Corp	25,625		4400150910
97	RD	Yes	Department of Homeland Security	SCI Applications Intl Corp	(3,892)		Subcontract #4400155888
97	RD	Yes	Department of Homeland Security	South Carolina Research Authority	629		AGR # 48569
97	RD	Yes	Department of Homeland Security	Synergy Enterprises Inc	196,968	1,835	SEI/Battelle 2015-001
97	RD	Yes	Department of Homeland Security	Systems Planning + Analysis Inc	(134,500)		GS-23F-8167H/LA-SPA-SC-1774-08-0005
97	RD	Yes	Department of Homeland Security	Systems Planning + Analysis Inc	1,108,103		GS-23F-8167H/SPA-SC-1774-08-0005 (Companion to TG006087)
					6,853,158	13,209	

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BATTELLE MEMORIAL INSTITUTE

SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2009

Agency Code	CFDA	R&D	Federal Agency	Prime/Upper Tier Contractor	Total Expenditures	Subcontracted Dollars	Contract Ref.
98	RD	Yes	Agency for International Development	International Resources Group Ltd	$ 4,986	$ -	Subcontract No. 4000-IQC-Battelle, Task Order 02
99	RD	Yes	Miscellaneous	International Systems Marketing Inc	56,293		SOW 031408
99	RD	Yes	Miscellaneous	Louis Berger + Assoc			P.O. NO. JG 101B6-BAT-01
					56,293	-	
			Total Indirect Funding		173,443,038	11,432,675	
			Total Research and Development Cluster		$ 791,289,458	$ 194,652,243	

(Concluded)

BATTELLE MEMORIAL INSTITUTE

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS FOR THE YEAR ENDED SEPTEMBER 30, 2009

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation — The accompanying schedule of expenditures of federal and non-federal awards (the "Schedule") summarizes the expenditures of Battelle Memorial Institute and subsidiaries (the "Company") under programs funded by federal and state governments for the year ended September 30, 2009. The Schedule has been prepared on the accrual basis in accordance with accounting principles generally accepted in the United States of America and includes all grants and contracts entered into directly between the Company and agencies and departments of the federal and state governments, as well as funds passed through to the Company by other recipients. In accordance with OMB Circular A-133, the Schedule does not include amounts received to operate government-owned, contractor-operated facilities.

Research and Development Cluster — OMB Circular A-133 defines research and development as follows: research is a systematic study directed toward fuller scientific knowledge or understanding of the subject studied; development is the systematic use of knowledge and understanding gained from research directed toward the production of useful materials, devices, systems, or methods, including design and development of prototypes and processes.

Subrecipient — Certain funds are passed-through to subgrantee organizations by the Company. Expenditures incurred by the subgrantees and reimbursed by the Company are presented in the Schedule.

The Company is also the subrecipient of funds which have been reported as expenditures and listed as pass-through funds.

2. NONCASH AWARDS

During the year ended September 30, 2009, the Company did not receive nonmonetary assistance.

3. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES FOR PROGRAM EXPENDITURES

Expenditures consist of direct and indirect costs. Direct costs are those that can be easily identified with an individual sponsored project. The salary cost of a Lead Scientist or Technical Specialist and the materials consumed by the project are examples of direct costs.

The Company uses indirect costs rates to charge indirect costs to individual sponsored projects. Indirect costs are the costs of services and resources that benefit both sponsored and nonsponsored projects. The rates are the result of a number of complex cost allocation procedures that the Company uses to allocate its indirect costs to both sponsored and nonsponsored activities. The indirect costs allocated to sponsored projects are divided by the direct costs of sponsored projects to arrive at a rate. Indirect rates are reviewed by the Defense Contract Audit Agency and approved by the cognizant Administrative Contracting Officer.

4. CLASSIFIED INFORMATION

Due to the nature of research and development that the Company performs on behalf of the Federal Government, various contracts and project information is considered classified, which includes "Top Secret," "Secret," or "Confidential." As a result, the Schedule reflects summarized data by agency in order to properly safeguard various information as required by the Federal Government.

5. RELATIONSHIP TO CONSOLIDATED FINANCIAL STATEMENTS

Awards in the amount of $791,289,458 are reported as a portion of total project revenue in the Company's consolidated financial statements as revenue from operations.

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INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Directors of
Battelle Memorial Institute and Subsidiaries
Columbus, Ohio

We have audited the consolidated financial statements of Battelle Memorial Institute and subsidiaries (the "Company") as of and for the year ended September 30, 2009, and have issued our report thereon dated December 15, 2009, which includes an emphasis of a matter paragraph relating to the adoption of Accounting Standards Codification 715-20-65 to change the measurement date of the pension and postretirement plans to September 30. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States of America.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the Company's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the consolidated financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Company's internal control over financial reporting.

A control deficiency exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements on a timely basis. A significant deficiency is a control deficiency or combination of control deficiencies, that adversely affects the Company's ability to initiate, authorize, record, process, or report financial data reliably in accordance with generally accepted accounting principles such that there is more than a remote likelihood that a misstatement of the Company's consolidated financial statements that is more than inconsequential will not be prevented or detected by the Company's internal control.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that a material misstatement of the financial statements will not be prevented or detected by the Company's internal control.

Our consideration on the internal control over financial reporting was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in the internal control that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Company's consolidated financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain matters that we reported to management of the Company in a separate letter dated December 15, 2009.

This report is intended solely for the information and use of the Company's management, the Board of Directors, others within the Company, federal awarding agencies, state funding agencies, and pass-through entities, and is not intended to be, and should not be, used by anyone other than these specified parties.

Deloitte + Touche LLP

December 15, 2009

Deloitte

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INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS APPLICABLE TO EACH MAJOR FEDERAL AWARD PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133

To the Board of Directors of
Battelle Memorial Institute and Subsidiaries
Columbus, Ohio

Compliance

We have audited the compliance of Battelle Memorial Institute and subsidiaries (the "Company") with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) Circular A-133 *Compliance Supplement*, that are applicable to its major federal program for the year ended September 30, 2009. The Company's major federal program is identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to its major program is the responsibility of the Company's management. Our responsibility is to express an opinion on the Company's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major program occurred. An audit includes examining, on a test basis, evidence about the Company's compliance with those requirements and performing such other procedures, as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the Company's compliance with those requirements.

In our opinion, the Company complied, in all material respects, with the requirements referred to above that are applicable to its major program for the year ended September 30, 2009.

Internal Control Over Compliance

The management of the Company is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the Company's internal control over compliance with requirements that could have a direct and material effect on a major program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance.

Accordingly, we do not express an opinion on the effectiveness of the Company's internal control over compliance.

A control deficiency in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect noncompliance with a type of compliance requirement of a program on a timely basis. A significant deficiency is a control deficiency or combination of control deficiencies, that adversely affects the entity's ability to administer a program such that there is more than a remote likelihood that noncompliance with a type of compliance requirement of a program that is more than inconsequential will not be prevented or detected by the entity's internal control.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected by the entity's internal control.

Our consideration on internal control over compliance was for the limited purpose described in the preceding paragraph and would not necessarily identify all deficiencies in the Company's internal control that might be significant deficiencies or material weaknesses as defined above. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

Schedule of Expenditures of Federal and Non-Federal Awards

We have audited the consolidated financial statements of the Company as of and for the year ended September 30, 2009, and have issued our report thereon dated December 15, 2009, which includes an emphasis of a matter paragraph relating to the adoption of Accounting Standards Codification 715-20-65 to change the measurement date of the pension and postretirement plans to September 30. Our audit was performed for the purpose of forming an opinion on the consolidated financial statements taken as a whole. The accompanying schedule of expenditures of federal and non-federal awards is presented for the purpose of additional analysis as required by OMB Circular A-133 and is not a required part of the consolidated financial statements. This schedule is the responsibility of the management of the Company. Such information has been subjected to the auditing procedures applied in our audit of the consolidated financial statements and, in our opinion, is fairly stated, in all material respects, when considered in relation to the consolidated financial statements taken as a whole.

This report is intended solely for the information and use of the Board of Directors and management of the Company, federal awarding agencies, state funding agencies, and pass-through entities and is not intended to be, and should not be, used by anyone other than these specified parties.

Deloitte + Touche LLP

December 15, 2009

BATTELLE MEMORIAL INSTITUTE

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED SEPTEMBER 30, 2009

PART I — SUMMARY OF AUDITORS' RESULTS

FINANCIAL STATEMENTS:				
Type of auditors' report issued:		Unqualified		
Internal control over financial reporting:				
1. Material weakness(es) identified?	____	Yes	X	No
2. Significant deficiencies identified not considered to be material weaknesses?	____	Yes	X	None Reported
3. Noncompliance material to the financial statements noted?	____	Yes	X	No
FEDERAL AWARDS:				
4. Material weakness(es) identified?	____	Yes	X	No
5. Significant deficiencies identified not considered to be material weaknesses?	____	Yes	X	None Reported
Type of auditors' report issued on compliance for major programs:		Unqualified		
6. Any audit findings disclosed that are required to be reported in accordance with OMB Circular A-133, Section 510(a)	____	Yes	X	No
7. Battelle's major program was		Research and Development Cluster		
8. Dollar threshold used to distinguish between Type A and Type B programs?		$ 3,000,000		
9. Auditee qualify as low risk auditee	____	Yes	X	No

PART II — FINANCIAL STATEMENT FINDINGS SECTION

No matters were reportable.

PART III — FINDINGS AND QUESTIONED COSTS RELATING TO FEDERAL AND NON-FEDERAL AWARDS:

No matters were reportable.

PART IV — SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS

Number	Finding	Status	Contact
08–1	PNNL Time Reporting	Corrected	Jim Cassens, Manager, Government Accounting and Risk Management

* * * * * *