

Battelle Memorial Institute

Report on Federal Awards in Accordance with
OMB Circular A-133 for the Year Ended September 30, 2012,
and Independent Auditors' Report on Internal Control over
Financial Reporting and on Compliance and Other Matters
Based on an Audit of the Financial Statements Performed in
Accordance with *Government Auditing Standards* and
Independent Auditors' Report on Compliance with Requirements
Applicable to Each Major Federal Award Program and on
Internal Control over Compliance in Accordance
with OMB Circular A-133

BATTELLE MEMORIAL INSTITUTE

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED SEPTEMBER 30, 2012

Agency Code	CFDA	R&D	Federal Agency	Major Subagency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
1. Direct Funding							
11	417	Yes	Department of Commerce	Sea Grant Support	\$ 6,953	\$ 5,185	
11	431	Yes	Department of Commerce	Climate and Atmospheric Research	67,282		
11	RD	Yes	Department of Commerce	National Oceanic & Atmospheric Administration	562,961		
					<u>637,196</u>	<u>5,185</u>	
12	300	Yes	Department of Defense	Basic and Applied Scientific Research	58,705		
12	420	Yes	Department of Defense	Military Medical Research and Development	25,896		
12	RD	Yes	Department of Defense	ARRA-Air Force	158,914		
12	RD	Yes	Department of Defense	ARRA-Army	98,564	62,300	
12	RD	Yes	Department of Defense	Advanced Research Projects Agency	2,193,459	56,342	
12	RD	Yes	Department of Defense	Air Force	23,247,132	5,191,029	
12	RD	Yes	Department of Defense	Army	123,953,258	72,285,678	
12	RD	Yes	Department of Defense	Classified	78,319,374	15,973,313	
12	RD	Yes	Department of Defense	Information Systems Agency	(2,169)		
12	RD	Yes	Department of Defense	Joint IED Defeat Organization	(18,046)		
12	RD	Yes	Department of Defense	Joint Nonlethal Weapons Program Director	14,965		
12	RD	Yes	Department of Defense	Joint Program Manager Information Systems	3,847,707	1,218,965	
12	RD	Yes	Department of Defense	Joint Program Manager NBC	1,419,301		
12	RD	Yes	Department of Defense	Joint Program Office	18,006,614	3,143,035	
12	RD	Yes	Department of Defense	Joint Requirements Office	13,264,601	2,166,439	
12	RD	Yes	Department of Defense	Logistics Information Services	130,221		
12	RD	Yes	Department of Defense	Missile Defense Agency	162,010		
12	RD	Yes	Department of Defense	National Guard Bureau	2,303,067	411,395	
12	RD	Yes	Department of Defense	Navy	49,328,478	10,768,767	
12	RD	Yes	Department of Defense	Office of the Deputy Assistant Secretary	7,828	282	
12	RD	Yes	Department of Defense	Office of the Secretary	1,633,834	24,442	
12	RD	Yes	Department of Defense	Office of the Under Secretary	20,814		
12	RD	Yes	Department of Defense	Pentagon Force Protection Agency	3,733,625	425,404	
12	RD	Yes	Department of Defense	Special Operations Command	4,997,739	844,281	
12	RD	Yes	Department of Defense	Technical Information Center	1,125,458		
12	RD	Yes	Department of Defense	Threat Reduction Agency	6,409,187	374,394	
12	RD	Yes	Department of Defense	US Northern Command	983,721		
12	RD	Yes	Department of Defense	US STRATCOM	546,263	259,070	
12	RD	Yes	Department of Defense	Washington Headquarters Services	2,306,103		
					<u>338,276,623</u>	<u>113,205,136</u>	
15	RD	Yes	Department of Interior	Minerals Management Service	82		
16	RD	Yes	Department of Justice	Federal Bureau of Investigation	3,342,283	1,270,791	
20	RD	Yes	Department of Transportation	ARRA-Federal Highway Administration	310,960	39,307	
20	RD	Yes	Department of Transportation	Evaluation of the Use of Electromic Shipping Papers for Hazardous Materials Shipments	7,582	717	
20	RD	Yes	Department of Transportation	Federal Aviation Administration	520,049		
20	RD	Yes	Department of Transportation	Federal Highway Administration	10,514,676	4,032,735	
20	RD	Yes	Department of Transportation	Federal Motor Carrier Safety Administration	253,719	93,401	
20	RD	Yes	Department of Transportation	GUIDEBOOK FOR ESTIMATING THE ECONOMIC IMPACT OF AIR CARGO AT AIRPORTS	54,452	40,499	
20	RD	Yes	Department of Transportation	IN-VEHICLE DRIVING BEHAVIOR FIELD STUDY	1,865,073	703,174	
20	RD	Yes	Department of Transportation	JA Volpe Transit Systems Center	351,539		
20	RD	Yes	Department of Transportation	National Cooperative Highway Research Program	(877)		
20	RD	Yes	Department of Transportation	National Highway Traffic & Safety Administration	943,946	171,807	
20	RD	Yes	Department of Transportation	Pipeline & Hazardous Material Safety Administration	65,992		
					<u>14,887,111</u>	<u>5,081,640</u>	

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED SEPTEMBER 30, 2012

Agency Code	CFDA	R&D	Federal Agency	Major Subagency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
43	009	Yes	National Aeronautics & Space Administration	Cross Agency Support	\$ 92	\$ -	
43	RD	Yes	National Aeronautics & Space Administration	Ames Research Center	5,476		
43	RD	Yes	National Aeronautics & Space Administration	Earth Science Division	84,052		
43	RD	Yes	National Aeronautics & Space Administration	Earth-Sun System Division	14,020		
43	RD	Yes	National Aeronautics & Space Administration	In Situ Propellant Production			
43	RD	Yes	National Aeronautics & Space Administration	Jet Propulsion Lab	252,936	391	
43	RD	Yes	National Aeronautics & Space Administration	Multiscale Modeling of Aerosol Impact on Clouds and Precipit	13,149		
43	RD	Yes	National Aeronautics & Space Administration	Quantifying Impacts of Cloud Inhomogeneity on Satellite	26,596		
					<u>396,321</u>	<u>391</u>	
47	074	Yes	National Science Foundation	Biological Sciences	321,775	85,103	
47	RD	Yes	National Science Foundation	Development of New Statistical Learning Theory and Technique	124,579		
47	RD	Yes	National Science Foundation	OPP MEDICAL REVIEW PANEL	5,747		
					<u>452,101</u>	<u>85,103</u>	
66	511	Yes	Environmental Protection Agency	Office of Research and Development Consolidated Research/Training/Fellowships	75,025		
66	931	Yes	Environmental Protection Agency	International Financial Assistance Projects	292,866	48,335	
66	RD	Yes	Environmental Protection Agency	Air Quality Planning & Standards Office	53,355		
66	RD	Yes	Environmental Protection Agency	EDSP - TO 38	117,093	56,750	
66	RD	Yes	Environmental Protection Agency	High throughput screening of endocrine disruptors	2,464		
66	RD	Yes	Environmental Protection Agency	Homeland Security Research Center	2,691,203	374,660	
66	RD	Yes	Environmental Protection Agency	National Center for Environmental Assessment	363,995	55,593	
66	RD	Yes	Environmental Protection Agency	National Risk Management Research Lab	2,260,219	825,296	
66	RD	Yes	Environmental Protection Agency	NUTRIENT ASSESSMENT AND MANAGEMENT IN SHALLOW COASTAL EMBAYMENTS IN NY & N	55,472	23,003	
66	RD	Yes	Environmental Protection Agency	Office of International Affairs	16,478		
66	RD	Yes	Environmental Protection Agency	Office of Pollution Prevention	3,609,994		
66	RD	Yes	Environmental Protection Agency	Office of R&D	840,255	72,345	
66	RD	Yes	Environmental Protection Agency	Office of Science & Technology	(33)		
66	RD	Yes	Environmental Protection Agency	Office of Science Coordination & Policy	269,340	41	
66	RD	Yes	Environmental Protection Agency	Office of Water	317,746	278,397	
					<u>10,965,472</u>	<u>1,734,420</u>	
77	RD	Yes	Nuclear Regulatory Commission	DISSIMILAR METAL WELD PIPE TESTING	292,210		
77	RD	Yes	Nuclear Regulatory Commission	NATIONAL LEVEL EXERCISE 2011 DEVELOPMENT SUPPORT	1,079		
77	RD	Yes	Nuclear Regulatory Commission	NRC Portion of the PARTRIDGE P	44,131		
77	RD	Yes	Nuclear Regulatory Commission	Piping Integrity Analyses	505,027	79,343	
					<u>842,447</u>	<u>79,343</u>	
81	087	Yes	Department of Energy	Renewable Energy Research and Development	363,832		
81	089	Yes	Department of Energy	Fossil Energy Research and Development	2,821,184	999,656	
81	122	Yes	Department of Energy	ARRA-Electricity Delivery and Energy Reliability, Research, Development and Analysis	29,858,639	25,146,572	
81	135	Yes	Department of Energy	ARRA-Advanced Research and Projects Agency - Energy Financial Assistance Program	67,904	31,330	
81	RD	Yes	Department of Energy	CO2BOLs post combustion	993,501	17,691	
81	RD	Yes	Department of Energy	Golden Field Office	811,432		
81	RD	Yes	Department of Energy	National Nuclear Security Administration	593,119	269,330	
81	RD	Yes	Department of Energy	Sandia National Laboratory	137,363		
81	RD	Yes	Department of Energy	Weapons Activities	658,788		
					<u>36,305,762</u>	<u>26,464,579</u>	
93	242	Yes	Department of Health and Human Services	Mental Health Research Grants	850,518	283,260	
93	262	Yes	Department of Health and Human Services	Occupational Safety and Health Program	173,483		
93	279	Yes	Department of Health and Human Services	Drug Abuse and Addiction Research Programs	276,837	28,034	
93	361	Yes	Department of Health and Human Services	National Institute of Nursing Research	11,289	11,893	
93	393	Yes	Department of Health and Human Services	Cancer Cause and Prevention Research	1,036,260	73,064	
93	RD	Yes	Department of Health and Human Services	ARRA-National Cancer Institute	755,302	38,523	

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**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2012**

Agency Code	CFDA	R&D	Federal Agency	Major Subagency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
93	RD	Yes	Department of Health and Human Services	Agency for Health Care Research & Quality	\$ 3,456,188	\$ 804,051	
93	RD	Yes	Department of Health and Human Services	Biomedical Advanced Research & Development Authority	2,002,193	529,728	
93	RD	Yes	Department of Health and Human Services	Centers for Disease Control	24,817,217	1,692,860	
93	RD	Yes	Department of Health and Human Services	Food & Drug Administration	653,463	177,280	
93	RD	Yes	Department of Health and Human Services	National Cancer Institute	1,373,122		
93	RD	Yes	Department of Health and Human Services	National Heart Lung & Blood Institute	5,289,379	794,200	
93	RD	Yes	Department of Health and Human Services	National Institute Alcohol Abuse	52,502		
93	RD	Yes	Department of Health and Human Services	National Institute Allergy & Infectious Disease	24,163,154	10,015,160	
93	RD	Yes	Department of Health and Human Services	National Institute Environmental Health Science	22,753,406	301,784	
93	RD	Yes	Department of Health and Human Services	National Institutes of Health	61,529	23,000	
93	RD	Yes	Department of Health and Human Services	Office of Assistant Secretary Preparedness Response	23,358		
93	RD	Yes	Department of Health and Human Services	Office of the Secretary	65,635		
93	RD	Yes	Department of Health and Human Services	STATISTICAL META-ANALYSIS OF ANTHRAX THERAPEUTIC STUDIES	1,049		
					<u>87,815,884</u>	<u>14,772,837</u>	
97	RD	Yes	Department of Homeland Security	ARRA-Transportation Security Administration			
97	RD	Yes	Department of Homeland Security	Advanced Research Projects Agency	37		
97	RD	Yes	Department of Homeland Security	Chemical Security Analysis Center	2,359,815		
97	RD	Yes	Department of Homeland Security	Coast Guard	4,456,667	452,285	
97	RD	Yes	Department of Homeland Security	Directorate for Science & Technology	8,373,720	1,058,591	
97	RD	Yes	Department of Homeland Security	Federal Emergency Management Agency	29,239		
97	RD	Yes	Department of Homeland Security	National Protection and Programs Directorate	165,815	14,209	
97	RD	Yes	Department of Homeland Security	Office of Health Affairs	1,127,441	1,376	
97	RD	Yes	Department of Homeland Security	Transportation Security Administration	29,159,113	9,028,435	
97	RD	Yes	Department of Homeland Security	Transportation Security Laboratory	1,201,678	1,609	
97	RD	Yes	Department of Homeland Security	US Secret Service	(2,487)		
					<u>46,871,038</u>	<u>10,556,505</u>	
99	RD	Yes	Miscellaneous	US House of Representatives	227,151		
			Total Direct Funding		<u>541,019,471</u>	<u>173,255,930</u>	
2. Indirect Funding (Battelle is Subrecipient/Subcontractor)							
10	RD	Yes	Department of Agriculture	Kansas State University	32		S03081
11	428	Yes	Department of Commerce	UNIVERSITY OF MD BALTIMORE COUNTY	11,131		Subaward No. 0000009100
11	431	Yes	Department of Commerce	TUFTS UNIVERSITY	544		NA07OAR43110373
11	440	Yes	Department of Commerce	UNIVERSITY OF MD BALTIMORE COUNTY	18,475		10374
11	RD	Yes	Department of Commerce	Lower Columbia River Estuary Partnership	(218)		02-2010, 12-2010, 13-2010, 25-2010
11	RD	Yes	Department of Commerce	UNIVERSITY OF MASSACHUSETTS	1,019	1,000	GRANT #S2009000007227
					<u>30,951</u>	<u>1,000</u>	
12	000	Yes	Department of Defense	UNIVERSITY OF NEW HAMPSHIRE	74,842	18,393	Subcontract Agreement No. 12-015
12	300	Yes	Department of Defense	Penn State University Applied Research	21,991		Subaward No. SA12-15
12	800	Yes	Department of Defense	UNIVERSITY OF DAYTON RESEARCH INSTITUTE	3,920		AGREEMENT NO. RSC07022/CLINS 001, 002, 003 & 004
12	800	Yes	Department of Defense	UNIVERSITY OF DAYTON RESEARCH INSTITUTE	985,588		SUBKTR #RSC10037
12	RD	Yes	Department of Defense	AAI Corp	15,137		SUBCONTRACT 1002391
12	RD	Yes	Department of Defense	AAI Corp	1,104		W15QKN-08-C-0475
12	RD	Yes	Department of Defense	Achaogen Inc.	1,171,251		Agreement No. OPP102945 / Achaogen PO #7464
12	RD	Yes	Department of Defense	ADMA Products, Inc	25,565		60853

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**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2012**

Agency Code	CFDA	R&D	Federal Agency	Major Subagency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
12	RD	Yes	Department of Defense	ADVANCED LIFE SCIENCES	\$ (331)	\$ -	SUBK OP51395
12	RD	Yes	Department of Defense	Advanced Technology International	244,138	7,642	INIT205
12	RD	Yes	Department of Defense	AEgis Technologies	25,481		60298
12	RD	Yes	Department of Defense	ALION SCIENCE AND TECHNOLOGY CORP	784,887	428,032	SUB1118207TP-001 - DO 190 - TO 001 PO Number SUB1118207TP-001
12	RD	Yes	Department of Defense	Analytic Services Inc - ANSER	425,455		S-12-033-BATT; Task Order 001; ANSER Project #(10775-001)DATSD (CBD)
12	RD	Yes	Department of Defense	Analytic Services Inc - ANSER	3,913		(10753-2.1) DSIAC BCO; Task Order No. 002
12	RD	Yes	Department of Defense	ANALYTICAL SERVICES INC	28		SUBCONTRACT ASI-2007-C-0007/BP0 07-007-021 MOD 35
12	RD	Yes	Department of Defense	ANALYTICAL SERVICES INC	82		SUBCONTRACT ASI-2007-C-0007/BP0 07-007-021 T.O. 5
12	RD	Yes	Department of Defense	APPLIED RESEARCH ASSOCIATES INC	21,771		Subcontract #: S-000500.00000.03600.BMI
12	RD	Yes	Department of Defense	APPLIED RESEARCH ASSOCIATES INC	46,512		Sub# S-000500.00000.03400.BMI ; PO # BPO11-00060; FA4819-09-C-0044
12	RD	Yes	Department of Defense	APPLIED RESEARCH ASSOCIATES INC	94,509		S-000500.00000.03080.BMI
12	RD	Yes	Department of Defense	APPLIED RESEARCH ASSOCIATES INC	(10)		SUBKTR NO S-000500.00001
12	RD	Yes	Department of Defense	Aptima, Inc.	23,179		0461-1479
12	RD	Yes	Department of Defense	Arinc Inc	454,939		260164
12	RD	Yes	Department of Defense	AVI BIOPHARMA	2,048		SUBCONTRACT OP58978
12	RD	Yes	Department of Defense	AVI BIOPHARMA			SUBCONTRACT NO. OP61258
12	RD	Yes	Department of Defense	BAE SYSTEMS TECHN SOLUTIONS + SERV INC	2,249,224	35	RADIAC W15P7T-06-P-0005/GS-23F-0041K 871-6 BAE Systems NO 31-5077599
12	RD	Yes	Department of Defense	BECHTEL GROUP INC	164,561		BNI BMI-034 Subcontract #24915-300-FC4-HXYT-00002 Change Notice 00003
12	RD	Yes	Department of Defense	BECHTEL GROUP INC	9,237,445	24,143	SUBCONTRACT NO. 24852-FC7-T000-00002/Change order #00004
12	RD	Yes	Department of Defense	BECHTEL GROUP INC	934,012		SUBCONTRACT NO 24852-TO-002
12	RD	Yes	Department of Defense	BECHTEL GROUP INC	121,888		SUBCONTRACT 24852-TO-002 TO 006
12	RD	Yes	Department of Defense	BECHTEL GROUP INC	207,605		SUBCONTRACT 24852-TO-002 TO 003TS - TRADE STUDIES
12	RD	Yes	Department of Defense	BECHTEL GROUP INC	203,742		SUBK 24915-300/TO 001
12	RD	Yes	Department of Defense	BECHTEL GROUP INC	1,556		24915-300-FC4-HXYT-0002 Modification CO 00009 (Job No. 24915 dated 8/4/2011)
12	RD	Yes	Department of Defense	BECHTEL GROUP INC	3,448,080		BNI-BMI-003
12	RD	Yes	Department of Defense	BLUEFIN ROBOTICS	45,880		Purchase Order 12-140
12	RD	Yes	Department of Defense	BLUEFIN ROBOTICS	84,929		PO 12-188
12	RD	Yes	Department of Defense	BLUEFIN ROBOTICS CORP	1,683		PO 11-941
12	RD	Yes	Department of Defense	BOOZ-ALLEN + HAMILTON INC	668,071		SUBCONTRACT NO. 97544XSB21/TASK ORDER 006
12	RD	Yes	Department of Defense	Booz-Allen-HAMILTON	118,149		SUBCONTRACT NO. 97544XSB21/TASK ORDER 002
12	RD	Yes	Department of Defense	Booz-Allen-HAMILTON	29,410		Subcontract No. 100174SB28
12	RD	Yes	Department of Defense	Booz-Allen-HAMILTON	821,681		Subcontract number 97544XSB21 Task Order # 005
12	RD	Yes	Department of Defense	Booz-Allen-HAMILTON	268,191		Subcontract No. 97544XSB21; Task Order No. 008
12	RD	Yes	Department of Defense	Burns & MacDonnell Engineering Company	105,844		Subcontract Agreement No. 56022 / Task No. 61503-3.20.05
12	RD	Yes	Department of Defense	BUSINESS TECHNOLOGY & SOLUTIONS	134,302		FA8721-07-D-0009 DO 11/PASS 0011-02/0011-BATTELLE
12	RD	Yes	Department of Defense	CACI Inc Federal	83,266	22,255	UNDEFINITEZED SUBCONTRACT NO. S11-117078
12	RD	Yes	Department of Defense	CACI INTERNATIONAL INC	533,824		W15P7T-0-D-P013/ TESS Task Order 0018/S12-119386
12	RD	Yes	Department of Defense	CACI INTERNATIONAL INC	552,292		FA8770-10-C-0038 JOCAS II/ SUBCONTRACT NO. S11-113640
12	RD	Yes	Department of Defense	CACI TECHNOLOGIES INC	2,558,671		Subcontract #S11-117224 / Prime Contract #W15P7T-06-D-E402/DO 0107
12	RD	Yes	Department of Defense	Cellular Materials International, Inc.	702,704		Proposal #OPP100320
12	RD	Yes	Department of Defense	CH2M Hill	8,676,880	584,345	Purchase Order No. 1308031-1306010
12	RD	Yes	Department of Defense	Charles River Analytics, Inc.	1,745		SC0818701
12	RD	Yes	Department of Defense	Chemring Detection Systems, Inc.	1,308,352	276,835	PO 137359
12	RD	Yes	Department of Defense	Chemring Detection Systems, Inc.	1,060,961		PO144445
12	RD	Yes	Department of Defense	Chemring Detection Systems, Inc.	552		Opportunity ID No. OPP108450
12	RD	Yes	Department of Defense	CHENEGA MANAGEMENT SERVICES	12,230		PRIME CONTRACT NO. GST0407DB0305, CFS-160043 WO 50
12	RD	Yes	Department of Defense	Classified	1,996,871		Phoenix 6
12	RD	Yes	Department of Defense	Classified	73,950		Opportunity ID OPP102668
12	RD	Yes	Department of Defense	Classified	3,802,450		Purchase Contract 31106
12	RD	Yes	Department of Defense	Classified	5,205,751		OPP103550 Client Ref# TY10102011
12	RD	Yes	Department of Defense	Classified	150,304		CONT NO PO TY 7210-6
12	RD	Yes	Department of Defense	Classified	(10,486)		PO NO CMOMOO4
12	RD	Yes	Department of Defense	Classified	(1,575)		39011
12	RD	Yes	Department of Defense	CONCURRENT TECHNOLOGIES CORP	159,411	15,033	FA8650-09-D-5601/ TASK 006
12	RD	Yes	Department of Defense	CONCURRENT TECHNOLOGIES CORP	168,035		Subcontract BOA-BATTELLE-001/110500121
12	RD	Yes	Department of Defense	CONCURRENT TECHNOLOGIES CORP	948,801		Subcontract No. 110600291
12	RD	Yes	Department of Defense	CONCURRENT TECHNOLOGIES CORP	2,872		T.O. 070200332
12	RD	Yes	Department of Defense	CONCURRENT TECHNOLOGIES CORP	4,576		SUBKTR NO 090900059
12	RD	Yes	Department of Defense	CONCURRENT TECHNOLOGIES CORP	44,249		Purchase Order No. 111000031 / Line 1
12	RD	Yes	Department of Defense	CONCURRENT TECHNOLOGIES CORP	3,133		BOA-NDCEE-BATTELLE/TASK ORDER 060600271
12	RD	Yes	Department of Defense	CONCURRENT TECHNOLOGIES CORP	889		BOA# BOA-NDCEE-BATTELLE/TO# 070300066
12	RD	Yes	Department of Defense	CUBIC APPLICATIONS INC	(225)	(225)	DTRA01-03-D-0015 Mod 14
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	21,240		S100821825 C.12.1.2.9.19.8.3 - Antigen A/C.12.1.2.10.19.8.3 - Antigen B
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	300,587		S100821826/C.12.1.2.12.2.3.12/C.12.1.2.12.2.4.12
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	997,692		S100821828

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED SEPTEMBER 30, 2012

Agency Code	CFDA	R&D	Federal Agency	Major Subagency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	\$ 98,368	\$ -	S1008218; Task Order No. 27
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	28,512		S100821831 Task Order 31
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	5,656		C.12.1.4.3.4.18
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	102,315		SubK S1008218/TO 0010
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	51,329		S100821812 CWBS: C.12.1.2.1.4.5
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	214		S100821813 C.12.1.4.1.3.4
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	58,345		S100821815
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	108		S1008218016 CWBS C.12.1.4.3.3.15
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	994		S100821819 CWBS C.13.1.1.11
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	381,575		S100821817 CWBS C.12.1.4.1.3.11.3
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	1,169,850		S100821820 CWBS C.13.1.4.1.6.10
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	279,963		S100821820 CWBS C.13.1.4.1.6.10
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	21,470		S100821818 CWBS C.12.1.2.9.19.2 CWBS C.12.1.2.10.19.2
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	5,220		S100821822 CWBS C.12.1.4.3.3.16
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	268,595		S100821823 CWBS C.12.1.4.1.3.11.2
12	RD	Yes	Department of Defense	DYNPORT VACCINE CO LLC	238,826		S100821824 CWBS C.12.1.2.11.1.3
12	RD	Yes	Department of Defense	E.W. Wells Group	6,916		Agreement No. OPP105250
12	RD	Yes	Department of Defense	EG&G	1,010		SUBKTR NO EGG0031053/REL 001
12	RD	Yes	Department of Defense	EG&G	54,032,322	3,860,134	DACA87-89-C-0076
12	RD	Yes	Department of Defense	ELUSYS THERAPEUTICS INC	17,050		STUDY NO. OP54952 TO LSA OP45836
12	RD	Yes	Department of Defense	EMC ENGINEERS INC	6,054		Agreement to Provide Consultant Services - 1C3060070012-A
12	RD	Yes	Department of Defense	General Dynamics Advanced Information Systems	120,415		1277716-NGA-AEC08, 27ESM442863
12	RD	Yes	Department of Defense	GENERAL DYNAMICS CORP	254,758		Letter Subcontract LS-12-SC-0089
12	RD	Yes	Department of Defense	GENERAL DYNAMICS CORP	181,467		Letter Subcontract LS-G12W1022
12	RD	Yes	Department of Defense	GENERAL DYNAMICS CORP	1,026,265		LSC-G10W5710
12	RD	Yes	Department of Defense	GENERAL DYNAMICS CORP	(390)		P.O. 135160
12	RD	Yes	Department of Defense	GENERAL DYNAMICS CORP	(3,398)		10-SC-026-14126
12	RD	Yes	Department of Defense	GENERAL DYNAMICS CORP	500,886	230,400	Purchase Order 137231
12	RD	Yes	Department of Defense	GENERAL DYNAMICS CORP	980,131	124,298	PO # 149390
12	RD	Yes	Department of Defense	GENERAL DYNAMICS CORP	51,234		PO136981
12	RD	Yes	Department of Defense	General Dynamics Land Systems	39,041	7,978	OPP100260
12	RD	Yes	Department of Defense	GENERAL ELECTRIC CO	(958)		200-18-14G32206
12	RD	Yes	Department of Defense	Genova Foundation	250,135		Sub Cont # S-1195-01
12	RD	Yes	Department of Defense	GKN AEROSPACE	8,163		PO 84258 SUBCONTRACT GKN-09-014
12	RD	Yes	Department of Defense	GOODRICH CORP	5,552		SUBCONTRACT 160411
12	RD	Yes	Department of Defense	GOODRICH CORP	514,590		SUBTK NO 401039
12	RD	Yes	Department of Defense	Guide Star Engineering, LLC	130,627		Contract #: G-020711-BMI/Subcontract No. GSE-B020711-1
12	RD	Yes	Department of Defense	Gupton & Associates Inc	(850)		PO BMI-11-090110
12	RD	Yes	Department of Defense	HARRIS CORP	52,986		SUBCONTRACT NO. S06-009-007
12	RD	Yes	Department of Defense	HARRIS CORP	59,034		PO A000158426
12	RD	Yes	Department of Defense	HARRIS CORP	1,968		OPP108597
12	RD	Yes	Department of Defense	Infoscitex	28,681		1482-S001
12	RD	Yes	Department of Defense	Institute for Systems Biology	162,880		60306
12	RD	Yes	Department of Defense	INTECON LLC	404,448		FA2517-06-D-9002-0010
12	RD	Yes	Department of Defense	INTECON LLC	322,965		N2A2S Task Order Agreement; Task Order #0014/JFHQ/JTF
12	RD	Yes	Department of Defense	INTECON LLC	8,604		FA2517-12-D-9000/0008
12	RD	Yes	Department of Defense	INTECON LLC	2,377		SUBCONTRACT NO. N2A2S-BATTELLE-080706
12	RD	Yes	Department of Defense	INTECON LLC	(184)		PO 30-0010-04
12	RD	Yes	Department of Defense	INTECON LLC			PO 30-0014-04
12	RD	Yes	Department of Defense	Johns Hopkins School of Medicine	(7,525)		2001214649
12	RD	Yes	Department of Defense	JOINT RESEARCH & DEVELOPMENT INC	240,665		W911QY-10-F-0170
12	RD	Yes	Department of Defense	JOINT RESEARCH + DEVELOPMENT INC	637,881		PRIME CO# W911QY-10-F0170 - SUB CO# 0093-BMI - TO# 0093 BMI 002
12	RD	Yes	Department of Defense	Joint Venture Yulista-Science&Engineering	114,885		Subcontract No. DAH001-10-S-0255; Prime No. W31P4Q-10-C-R190
12	RD	Yes	Department of Defense	Joint Venture Yulista-Science&Engineering	28,972		W31P4Q-10-D-R190/PURCHASE ORDER A12S000056
12	RD	Yes	Department of Defense	L3 COMMUNICATIONS	275,225		HDTRA1-09-D-0009/PO 11KP0018
12	RD	Yes	Department of Defense	L-3 SERVICES INC	309		W91QUZ-09-D0039/DO 0001 PO SVA-000166
12	RD	Yes	Department of Defense	Lawrence Livermore National Laboratory	52,676		Subcontract No. B599297; Prime Contract No. DE-AC52-07NA27344
12	RD	Yes	Department of Defense	LEONARD WOOD INSTITUTE			SUBAWARD AGREEMENT LWI-191-085

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Agency Code	CFDA	R&D	Federal Agency	Major Subagency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
12	RD	Yes	Department of Defense	LOCKHEED MARTIN	\$ 2,695,784	\$ 94,297	7200007875 / TO-0049
12	RD	Yes	Department of Defense	LOCKHEED MARTIN CORP	126,974	(66)	DEFINITIVE CONTRACT NO. 8100001361
12	RD	Yes	Department of Defense	LOCKHEED MARTIN CORP			PURCHASE ORDER 4100059285
12	RD	Yes	Department of Defense	LOCKHEED MARTIN INFORMATION SYSTEMS CO	412,416		SUBCONTRACT NO. BBMLS2314
12	RD	Yes	Department of Defense	LOCKHEED MARTIN INFORMATION SYSTEMS CO	1,343,839		4100333317
12	RD	Yes	Department of Defense	LOGISTICS MANAGEMENT INSTITUTE	52,958		Prime Contract SP4701-09-D-0045; Subcontract No. 1125; LMI Task No. DL118.20
12	RD	Yes	Department of Defense	Management Sciences, Inc.	(48)		58864
12	RD	Yes	Department of Defense	MANTECH ENVIRON TECH INC	2,044,170		N41756-07-C-4049 Opt Period III Opt Period IV
12	RD	Yes	Department of Defense	MANTECH NEXOLVE CORP	4,173		Prime # HR0011-10-C-0155/Sub # NXOLV-SUB-11-0002
12	RD	Yes	Department of Defense	Materials Modification	10,222		60610
12	RD	Yes	Department of Defense	METSS CORP	66,100		METSS-BATTELLE-6739-0007-FY2011
12	RD	Yes	Department of Defense	NANOSCALE CORP	(16)		PO 6254
12	RD	Yes	Department of Defense	NANOSCALE CORP	149,704		PO 7435
12	RD	Yes	Department of Defense	Oklahoma State University	36,974		2012-BATT-00190-001
12	RD	Yes	Department of Defense	Oklahoma State University	24,787		Task Order 2012-BATT-00208-001
12	RD	Yes	Department of Defense	ORBITAL SCIENCES CORP	94,325		Subcontract 1021200094
12	RD	Yes	Department of Defense	Oregon Nanoscience & Microtechnologies Institute	221,172		235271C-Task No. 1.5, 280710C - 1.5, 59573
12	RD	Yes	Department of Defense	Oregon State University	20,429		K9698A-A, W909MY-09-C-0067
12	RD	Yes	Department of Defense	Phase Sensitive Innovations, Inc.	127,717		Subcontract agreement no.S-875-110-009
12	RD	Yes	Department of Defense	Physical Optics Corporation	(107)		55897
12	RD	Yes	Department of Defense	POLU KAI SERVICES LLC	706		SUBCONTRACT
12	RD	Yes	Department of Defense	POLYMERright, Inc	76,591		AGREEMENT NUMBER OPP106055
12	RD	Yes	Department of Defense	QUALIS CORPORATION	56,766		FA7046-12-D-0100 / Q4181-002-001
12	RD	Yes	Department of Defense	Raytheon - Integrated Defense Systems	55,647		4400458595
12	RD	Yes	Department of Defense	Raytheon Intelligence & Information Systems	2,077		112933
12	RD	Yes	Department of Defense	Real-Time Innovations, Inc.	51,266		60028, 61713
12	RD	Yes	Department of Defense	Renewable Alternatives, LLC	(256)		53152
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP			PO10058389-1
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP	71,738		SubCtr. P010076843
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP	7,893		Subcontract No.: P010075671
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP	1,153		Subcontract No.: P010126183
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP	44,657		Subcontract No. P010095449
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP	129,417		P010058389-2
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP	99,045		Subcontract No: P010102264
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP			P.O. # P010057164
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP	42,066		Subcontract No. P010106955 ; CPC Oversight
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP	57,933		Subcontract No. P010106955 ; Tasks A & B
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP	71,865		Subcontract No. P010106955 ; Task F-16 (Hill AFB)
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP	6,167		P010058389-3
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP	9,255		SUBCONTRACT NO. 4400119050
12	RD	Yes	Department of Defense	SCI APPLICATIONS INTL CORP	37,598		SUBCONTRACT NO. P010077680
12	RD	Yes	Department of Defense	SCIENCE & ENGINEERING SERVICES INC	615,436	23,000	Subcontract No: DAH001-10-S-0255
12	RD	Yes	Department of Defense	SCITOR CORP	119,868		G1098-BMI
12	RD	Yes	Department of Defense	SCITOR CORP	107,994		SUBCONTRACT NO G1099-BMI
12	RD	Yes	Department of Defense	SCITOR CORP	74,346		SUBCONTRACT NO G1092-BMI
12	RD	Yes	Department of Defense	SCITOR CORP	923,384		G1096-BMI
12	RD	Yes	Department of Defense	SCITOR CORP	67,649		SUBCONTRACT G1008-001/TO 013-G1008
12	RD	Yes	Department of Defense	Scripps Institution of Oceanography, UCSD	(132)		10275474
12	RD	Yes	Department of Defense	SEACOAST SCIENCES	66,851		11-0502S
12	RD	Yes	Department of Defense	SHAW ENVIRONMENTAL	249		PO 471580
12	RD	Yes	Department of Defense	SHAW ENVIRONMENTAL	614,779		PO# 667257OP / CO #501
12	RD	Yes	Department of Defense	SHAW ENVIRONMENTAL	781,363		PO758489-000
12	RD	Yes	Department of Defense	SHAW ENVIRONMENTAL	80		PO 569560 / CO #501
12	RD	Yes	Department of Defense	Sierra Nevada Corporation	(6,239)		S10CNH057-58362, S11CNH029
12	RD	Yes	Department of Defense	SIMULATION GROUP SERVICES INC	3,075		Subcontract # FMS_RSAF_011
12	RD	Yes	Department of Defense	Southwest Research Institute	317,238		Subcontract No. E99035MEC; Prime No. N00178-11-C-1005
12	RD	Yes	Department of Defense	SRI International	458,493		Subcontract No. 26-001177
12	RD	Yes	Department of Defense	SRI International	(3,195)		SUBCONTRACT NO. 61-000765
12	RD	Yes	Department of Defense	SY COLEMAN CORP	(25)		SYTECH99-D-0021/TASK ORDER 0703

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Agency Code	CFDA	R&D	Federal Agency	Major Subagency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
12	RD	Yes	Department of Defense	SYSTEMS PLANNING & ANALYSIS INC	\$ 263,025	\$ -	Subcontract SPA-SC-3754-07-0011/ TO 005; Prime N000178-05-D-4592
12	RD	Yes	Department of Defense	SYSTEMS PLANNING + ANALYSIS INC	(2,682)		SPA-SC-3754-07-0011/TO 004 Mod 02
12	RD	Yes	Department of Defense	TECHNICAL SYSTEMS INTEGRATION INC	282,471		SUB #0199-034
12	RD	Yes	Department of Defense	TIAX LLC	62,826		SUBCONTRACT NO 527840-00S
12	RD	Yes	Department of Defense	Tidewater Inc	5,252		C1023-001
12	RD	Yes	Department of Defense	TITAN SYSTEMS	7,769		SUBCONTRACT NO. 05-OAT-0003
12	RD	Yes	Department of Defense	TrellisWare Technologies, Inc.	135,266		S12C001LC
12	RD	Yes	Department of Defense	UES Inc	99,832		Prime Contract Number S-875-120-008
12	RD	Yes	Department of Defense	UNITED TECHNOLOGIES CORP			PO 4400110398
12	RD	Yes	Department of Defense	United Technologies Research Center	1		FA8650-06-C-2680
12	RD	Yes	Department of Defense	Universal Technical Resource Services, I	8,261		Purchase Order # 11-321
12	RD	Yes	Department of Defense	Universal Technical Resource Services, I	14,889		BAH-BS98-013
12	RD	Yes	Department of Defense	Universal Technical Resource Services, I	40,056		12-169
12	RD	Yes	Department of Defense	University of California, San Diego	145,205		N00014-07-G-0342/001
12	RD	Yes	Department of Defense	UNIVERSITY OF DAYTON RESEARCH INSTITUTE	2,451		R1101050
12	RD	Yes	Department of Defense	UNIVERSITY OF GUAM	(27)		JOB ORDER/ACCOUNT NO. 53 R 200471R53233
12	RD	Yes	Department of Defense	University of Oregon	252,838	670	235271C - Task No. 7, 235271C - 5.3.2, 280710C - 1.3
12	RD	Yes	Department of Defense	VISIONARY INTEGRATION PROFESSIONALS LLC	15,209		Severn River - Subcontract Agreement 061410
12	RD	Yes	Department of Defense	WESTINGHOUSE ELECTRIC CORP	24,489,208		BMI-96-0018-009
12	RD	Yes	Department of Defense	Windber Research Institute	(372)		169479
					<u>154,067,310</u>	<u>5,717,199</u>	
15	RD	Yes	Department of the Interior	Ducks Unlimited, Inc. - Pacific NW Field office	65		WA-0039-001
16	RD	Yes	Department of Justice	Intuidex, Inc.	24,826		61611
17	RD	Yes	Department of Labor	IMPAQ INTERNATIONAL LLC	(97,222)		SUBCONTRACT TO DOLJ061A20349
17	RD	Yes	Department of Labor	IMPAQ INTERNATIONAL LLC	16,899		MASTER AGREEMENT NO S-11 TO DOL-6000/SIN 874-1
					<u>(80,323)</u>		
20	RD	Yes	Department of Transportation	Clark Construction Group, LLC	12,083		31680
20	RD	Yes	Department of Transportation	COMMERCIAL VEHICLE SAFETY ALLIANCE	1,234		OP58724
20	RD	Yes	Department of Transportation	COMMERCIAL VEHICLE SAFETY ALLIANCE	182,474		Agreement No. CON00011689 / OPP100185 / Grant # MH11992AIRBRAK
20	RD	Yes	Department of Transportation	COMMERCIAL VEHICLE SAFETY ALLIANCE	6,914		Agreement No. OPP108563
20	RD	Yes	Department of Transportation	COMMERCIAL VEHICLE SAFETY ALLIANCE	17		AGREEMENT NO. OP46818
20	RD	Yes	Department of Transportation	COMPUTER SCIENCES CORP	350,649		DTRT57-10-R-30023/Subcontract No. S5000232 / TO 004 Mod 001
20	RD	Yes	Department of Transportation	COMPUTER SCIENCES CORP	752,897		DTRT57-10-R-30023 / subk S5000232 / Task Order 002
20	RD	Yes	Department of Transportation	COMPUTER SCIENCES CORP	17,258		AGREEMENT NO. S5000304
20	RD	Yes	Department of Transportation	HARVARD UNIVERSITY	196		SUBAGREEMENT #07-C-RITE-HU-HSPH-112386
20	RD	Yes	Department of Transportation	Kiewit Infrastructure	18,333		Professional Services Agreement
20	RD	Yes	Department of Transportation	Metropolitan Washington Airport Authority	179,213		Purchase Order 8-10-B017
20	RD	Yes	Department of Transportation	MN Department of Transportation	1,640,957	377,266	Mn/DOT CONTRACT NO. 95757
20	RD	Yes	Department of Transportation	NATL TRANSPORTATION RESEARCH CTR INC	3,138		DTRT-06-G-0043-04-U32-01-012 T.O. 012
20	RD	Yes	Department of Transportation	NATL TRANSPORTATION RESEARCH CTR INC	(1,111)		SUBCONTRACT DTRT-06-G-0043-01-U11-01-01 T.O. 005
20	RD	Yes	Department of Transportation	NATL TRANSPORTATION RESEARCH CTR INC	1,880		SUBCONTRACT DTRT-06-G-0043-01-U11-01-01 T.O. 006
20	RD	Yes	Department of Transportation	OH DEPT OF TRANSPORTATION	964		Agreement No. 2734-01
20	RD	Yes	Department of Transportation	SGT, Inc.	603,610		Subcontract # 11-43 / TO # 1
20	RD	Yes	Department of Transportation	TRANSPORTATION RESEARCH BOARD	191,028	13,656	SHRP 2 Project R03, ACRP 03-16
20	RD	Yes	Department of Transportation	UNIVERSITY OF MD BALTIMORE COUNTY	33,490		Subcontract No. Q072101
20	RD	Yes	Department of Transportation	VA TECH TRANSPORTATION INSTITUTE	217,038		Subaward Agreement No. 451127-19615
20	RD	Yes	Department of Transportation	VA TECH TRANSPORTATION INSTITUTE	72,835		Subaward Agreement No. 451128-19615
					<u>4,285,097</u>	<u>390,922</u>	

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Agency Code	CFDA	R&D	Federal Agency	Major Subagency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
21	RD	Yes	Department of the Treasury	UNISYS Corporation	\$ 253,831	\$ -	TIRNO-11-D-00035
21	RD	Yes	Department of the Treasury	UNISYS Corporation	432,308	361,834	51733
21	RD	Yes	Department of the Treasury	UNISYS Corporation	34,705		1000076630PNL
					<u>720,844</u>	<u>361,834</u>	
39	RD	Yes	General Services Administration	Noblis	50,671		33140
43	001	Yes	National Aeronautics & Space Administration	American Geophysical Union	11,090		53527
43	RD	Yes	National Aeronautics & Space Administration	Carnegie Institution of Washington	6,224		9-10307-05
43	RD	Yes	National Aeronautics & Space Administration	COLUMBIA UNIVERSITY	5,281		SUBAWARD NO. 1(Acct #5-26107)
43	RD	Yes	National Aeronautics & Space Administration	COLUMBIA UNIVERSITY			G02797 Subaward No. 1 (Acct #5-26042)
43	RD	Yes	National Aeronautics & Space Administration	Fisheries Research Institute - University of Washington	(707)		577524
43	RD	Yes	National Aeronautics & Space Administration	NANOSCALE CORP	61,368		PO Number 7141
43	RD	Yes	National Aeronautics & Space Administration	National Center of Atmospheric Research	104,087		S10-76500
43	RD	Yes	National Aeronautics & Space Administration	Oregon State University	3,988		NNX08AH57G
43	RD	Yes	National Aeronautics & Space Administration	Universities Space Research Association	11,535		03460-01
43	RD	Yes	National Aeronautics & Space Administration	University of MARYLAND	1,104		SUBAWARD NO. PO 000003276/ACCT NO. 00002389
43	RD	Yes	National Aeronautics & Space Administration	University of New Hampshire	156,689		NNX10AP03G, 2658002
43	RD	Yes	National Aeronautics & Space Administration	Wyle Labs	27,279		Battelle Contract No. CON00012686
					<u>387,938</u>		
47	049	Yes	National Science Foundation	Ohio State University	(1,452)		DMS-0540693
47	074	Yes	National Science Foundation	Washington State University	59,705		115430 G002887
47	075	Yes	National Science Foundation	University of Maryland at College Park	12,693		Z321102
47	082	Yes	National Science Foundation	Duke University, Office of Sponsored Programs	(6)		SES-0922154
47	RD	Yes	National Science Foundation	Oregon State University	(231)		2011-1896
47	RD	Yes	National Science Foundation	University Corporation for Atmospheric Research	(1,174)		P1191736
47	RD	Yes	National Science Foundation	University of Delaware	1,010		28836
47	RD	Yes	National Science Foundation	University of Illinois at Urbana - Champaign	87,275		A4179
47	RD	Yes	National Science Foundation	University of Missouri	4,777		C00029407-1
47	RD	Yes	National Science Foundation	University of North Carolina	75,987		20090328-01-BMI
47	RD	Yes	National Science Foundation	University of Notre Dame	2,822		PD 10-1271
47	RD	Yes	National Science Foundation	Washington State University (Tri Cities)	10,628		58693, 115305 G002922
47	RD	Yes	National Science Foundation	Washington University at St. Louis	64,309		2904842N
					<u>316,343</u>		
66	202	Yes	Environmental Protection Agency	Arizona State University	10,665		Subcontract No 12-903
66	439	Yes	Environmental Protection Agency	Jamestown S'Klallam Tribe	(4,382)		48351
66	511	Yes	Environmental Protection Agency	Water Research Foundation	7,739		RFP #4297
66	RD	Yes	Environmental Protection Agency	California State Polytechnic University	24,836		200832983
66	RD	Yes	Environmental Protection Agency	COMPUTER SCIENCES CORP	2,021		EP-C-10-060/WA1-13, Subcontract No. S5000824
66	RD	Yes	Environmental Protection Agency	Computer Sciences Corporation	44,033		S4001104, 60997
66	RD	Yes	Environmental Protection Agency	DYNAMAC CORP	100,011		SUBCONTRACT NO. 093408 / TO-02-10-03-0033
66	RD	Yes	Environmental Protection Agency	DYNAMAC CORP	17,114		DATS SUBCONTRACT NO. 093408 / TO-01-09-02-0031
66	RD	Yes	Environmental Protection Agency	Fish & Wildlife Department - Colville Confederated Tribes	260,750		C10-293
66	RD	Yes	Environmental Protection Agency	Kitsap County	5,919		56575
66	RD	Yes	Environmental Protection Agency	Morro Bay National Estuary Program	49,748		61684
66	RD	Yes	Environmental Protection Agency	National Science Foundation International	29,299		62452
66	RD	Yes	Environmental Protection Agency	Scientific Consulting Group Inc	541		SCG 08251.06
66	RD	Yes	Environmental Protection Agency	SONOMA TECHNOLOGY INC	1,071		Work Assignment 2-07
66	RD	Yes	Environmental Protection Agency	SONOMA TECHNOLOGY INC	12,808		STI Reference # 910302 / Work Assignment # 3-02
66	RD	Yes	Environmental Protection Agency	SONOMA TECHNOLOGY INC	(863)		STI REFERENCE NO. 910114/WA NO. 1-14
66	RD	Yes	Environmental Protection Agency	University of Illinois	4,448		sa # 2009-01988-01

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Agency Code	CFDA	R&D	Federal Agency	Major Subagency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
66	RD	Yes	Environmental Protection Agency	WATER ENVIRONMENT RESEARCH FOUNDATION	\$ 29,914	\$ 12,390	Project #INFR4R11 / Innovation and Research for Water Infrastructure
66	RD	Yes	Environmental Protection Agency	Western Washington University	1,369		RD83301401
					<u>597,041</u>	<u>12,390</u>	
81	135	Yes	Department of Energy	ARRA-OHIO STATE UNIVERSITY	525,976		SUBAWRD # 60026346
81	RD	Yes	Department of Energy	ARRA-AMERICAN ELECTRIC POWER CO	412,875		AGREEMENT FOR CONTR 345081X215
81	RD	Yes	Department of Energy	ARRA-AMERICAN ELECTRIC POWER CO	268,467		DE-OE0000193-BAT 3638550001X103 R1 Project Management
81	RD	Yes	Department of Energy	ARRA-AMERICAN ELECTRIC POWER CO	1,322,794	5,833	DE-OE0000193-BAT 3638550002X103 R2 RTPda Demand Response
81	RD	Yes	Department of Energy	ARRA-AMERICAN ELECTRIC POWER CO	527,059		DE-OE0000193-BAT 3638550004X103 R4 Modeling & Simulation
81	RD	Yes	Department of Energy	ARRA-AMERICAN ELECTRIC POWER CO	282,409		DE-OE0000193-BAT 3638550003X103 R5 Data Metrics & Benefits
81	RD	Yes	Department of Energy	ARRA-FutureGen Industrial Alliance, Inc.	8,331,025	2,804,836	50050, DE-FE0001882
81	RD	Yes	Department of Energy	ARRA-Guam Energy Baseline & Reposit	170,036	35,378	P27-10
81	RD	Yes	Department of Energy	Aegis Technology	2,593		52584
81	RD	Yes	Department of Energy	Air Products and Chemicals, Inc.	(717)		DE-FC36-05G015015
81	RD	Yes	Department of Energy	AMERICAN ELECTRIC POWER CO	268,467		DE-OE0000193-BAT 3638550001X103 R1 Project Management
81	RD	Yes	Department of Energy	AMERICAN ELECTRIC POWER CO	1,322,794	5,833	DE-OE0000193-BAT 3638550002X103 R2 RTPda Demand Response
81	RD	Yes	Department of Energy	AMERICAN ELECTRIC POWER CO	527,059		DE-OE0000193-BAT 3638550004X103 R4 Modeling & Simulation
81	RD	Yes	Department of Energy	AMERICAN ELECTRIC POWER CO	282,409		DE-OE0000193-BAT 3638550003X103 R5 Data Metrics & Benefits
81	RD	Yes	Department of Energy	American Samoa Energy Office	168,971		C57108
81	RD	Yes	Department of Energy	Burge Environmental	116,238		55288, 57009, 60656
81	RD	Yes	Department of Energy	Columbia Land Trust	10,381		57393, 59522
81	RD	Yes	Department of Energy	Commercial Vehicle Safety Alliance	27,687		DE-FC01-94RW00295
81	RD	Yes	Department of Energy	Coville Confederated Tribes-Fish & Wildlife Department	13,709		C11-034
81	RD	Yes	Department of Energy	Delphi	455,593	58	54159
81	RD	Yes	Department of Energy	EIC Laboratories, Inc.	299,462		54,452, 59674
81	RD	Yes	Department of Energy	Fuel Cell Energy, Inc.	6		PO 35525
81	RD	Yes	Department of Energy	FUTUREGEN INDUSTRIAL ALLIANCE INC	54,897	(38)	50050, DE-FE0001882
81	RD	Yes	Department of Energy	GE Global Research	30		400077374
81	RD	Yes	Department of Energy	Idaho Treatment Group	13,114		12-283
81	RD	Yes	Department of Energy	Lawrence Berkeley National Laboratory	30,801		Subcontract No. 7005596
81	RD	Yes	Department of Energy	Lockheed Martin Hanford Corp.	953		7100009107
81	RD	Yes	Department of Energy	Los Alamos Technical Associates (LATA)	2,321		S11019
81	RD	Yes	Department of Energy	Los Gatos Research	47,578	4,821	61593
81	RD	Yes	Department of Energy	Lower Columbia River Estuary Partnership	(2,418)		02-2010, 12-2010, 13-2010, 25-2010
81	RD	Yes	Department of Energy	Massachusetts Institute of Technology (MIT)	(731)		56711
81	RD	Yes	Department of Energy	Montana State University	185,733	11,583	G141-06-W0831
81	RD	Yes	Department of Energy	Northwest Energy Efficiency Alliance	76,938		NEEA CONTRACT 40323
81	RD	Yes	Department of Energy	Sky Research	39,205		60648
81	RD	Yes	Department of Energy	STOLLER CORP	16,013		PO 0000003836;REQ NO 0000020880;3110/1-707-1-09-905-1-01/7530
81	RD	Yes	Department of Energy	SYSTEMATIC MANAGEMNT SERVICES INC	966,072		54140
81	RD	Yes	Department of Energy	SYSTEMATIC MANAGEMNT SERVICES INC	(73,898)		TO NO. DE-AT52-007NA28423 001-016 DOE/NNSA-BPA:0004
81	RD	Yes	Department of Energy	Theseus Professional Services, LLC	2,002		53651
81	RD	Yes	Department of Energy	W.R. Grace & Co.	41,899		OPP101621
81	RD	Yes	Department of Energy	WASHINGTON GROUP INTERNATIONAL	6,141,836	901,744	DE-AC02-99CH10903, 79065.001-7001SC, 61460
81	RD	Yes	Department of Energy	XIA, LLC	5,745		61846
					<u>22,883,383</u>	<u>3,770,048</u>	
84	395	Yes	Department of Education	ARRA-TENNESSEE DEPARTMENT OF EDUCATON	3,210,641	1,651,640	Grant GR1134299
84	395	Yes	Department of Education	ARRA-OHIO DEPARTMENT OF EDUCATION	777,656	657,754	EDU01-0000007842
					<u>3,988,297</u>	<u>2,309,394</u>	
93	000	Yes	Department of Health and Human Services	UNIVERSITY OF FLORIDA	485,366		SUBCONTRACT NO. UF JAX 09 215
93	113	Yes	Department of Health and Human Services	UNIVERSITY OF WASHINGTON	74,039		NIH R21-ES019632-01CFDA 93.113/subaward 717691 dated 05/25/2011
93	121	Yes	Department of Health and Human Services	UNIVERSITY OF NORTH CAROLINA CHAPEL HILL	33		SUBAWARD NO. 5-51220 YEAR 5

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Agency Code	CFDA	R&D	Federal Agency	Major Subagency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
93	143	Yes	Department of Health and Human Services	Bowling Green State University	\$ 87,479	\$ 4,500	1R01ES017070
93	143	Yes	Department of Health and Human Services	Oregon State University	506,006	3,781	5P42ES01645-02
93	310	Yes	Department of Health and Human Services	Fred Hutchinson Cancer Research Center	35		5U19AI06777-05
93	389	Yes	Department of Health and Human Services	University of California, San Diego	31,701		PO #10310877 - SUB
93	389	Yes	Department of Health and Human Services	University of Washington	4,354		695546
93	393	Yes	Department of Health and Human Services	HARVARD SCHOOL OF PUBLIC HEALTH	67,173		1 P01 CA134294-01
93	393	Yes	Department of Health and Human Services	UNIVERSITY OF MARYLAND	401,695		SUBAWARD #Z013801
93	393	Yes	Department of Health and Human Services	WASHINGTON UNIVERSITY	180,199		SUBAWARD NO. WU-HT-09-36/PO #2905835N
93	701	Yes	Department of Health and Human Services	ARRA-Washington Univ, School of Medicine	29,592		OPP107502 WU-13-39
93	701	Yes	Department of Health and Human Services	ARRA-University of Illinois	1,395		3R01 GM086382-01S1
93	837	Yes	Department of Health and Human Services	University of Maine	168,762		5R01HL092926-02
93	846	Yes	Department of Health and Human Services	UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL	113,096		1R01AR060852-01A1
93	855	Yes	Department of Health and Human Services	Oregon Health & Science University	51,820	3,781	AVGTI0103
93	859	Yes	Department of Health and Human Services	Massachusetts General Hospital	(6,307)		U54GM062119-10
93	859	Yes	Department of Health and Human Services	Michigan State University	121,241		1R01GM090208-01
93	859	Yes	Department of Health and Human Services	Oregon Health & Science University	(3,360)		1R01GM089918-01
93	859	Yes	Department of Health and Human Services	Virginia Polytechnic Institute and State University	3,686		5R01GM076121
93	864	Yes	Department of Health and Human Services	PRINCETON UNIVERSITY	20,997	258	SUBAWARD NO. 00001544
93	865	Yes	Department of Health and Human Services	Seattle Biomedical Research Institute	58,683		53677
93	866	Yes	Department of Health and Human Services	University of Miami	158,440		6-6628K-3712
93	867	Yes	Department of Health and Human Services	UNIVERSITY OF SOUTHERN CALIFORNIA	498,664		FG006498 5 U10 EY017337 03
93	867	Yes	Department of Health and Human Services	UNIVERSITY OF SOUTHERN CALIFORNIA	827,441		SUBAWARD NO. H44627
93	867	Yes	Department of Health and Human Services	UNIVERSITY OF SOUTHERN CALIFORNIA	(7,997)		SUBAWARD NO. H44552
93	RD	Yes	Department of Health and Human Services	ARRA-IMPAQ INTERNATIONAL LLC	285,391		HHS290200710071T/TO #8
93	RD	Yes	Department of Health and Human Services	ARRA-Washington University School of Medicine	(1,187)		2911709P, 2911351P, 26760510-38205-A
93	RD	Yes	Department of Health and Human Services	AMERICAN TYPE CULTURE COLLECTION	57,174		2010-0516-0003
93	RD	Yes	Department of Health and Human Services	BAVARIAN NORDIC GMBH	58,827		HHSN266200400072C / COA # 52
93	RD	Yes	Department of Health and Human Services	BAVARIAN NORDIC GMBH	335,674		HHSN266200400072C / COA # 54
93	RD	Yes	Department of Health and Human Services	BAVARIAN NORDIC GMBH	495,966		HHSO100201000011C / FD COA # 06
93	RD	Yes	Department of Health and Human Services	BAVARIAN NORDIC GMBH	459,433		HHSO100201000011C / FD COA# 12
93	RD	Yes	Department of Health and Human Services	BAVARIAN NORDIC GMBH	459,035		HHSO100201000011C / FD COA# 11
93	RD	Yes	Department of Health and Human Services	BAYLOR COLLEGE OF MEDICINE	43,890		P.O. #5600388772 TO GRANT NO. N01-HD-80020
93	RD	Yes	Department of Health and Human Services	Brookhaven Natl Lab	5,103		221998
93	RD	Yes	Department of Health and Human Services	CASE WESTERN RESERVE UNIVERSITY	641,869		HHSN275200800009C / N01-HD-80009 Mod 05
93	RD	Yes	Department of Health and Human Services	CHIMERIX INC	424,335		Work Order No. 1; Study No. OP58356 // HHSO100201100013C
93	RD	Yes	Department of Health and Human Services	CHIMERIX INC	210,353		Work Order No. 2; Study No. OPP102051 // HHSO100201100013C
93	RD	Yes	Department of Health and Human Services	CHIMERIX INC	580,426		HHSO100201100013C / Study No. OPP104264
93	RD	Yes	Department of Health and Human Services	CNA CORP	1,413,138		09-BMI-5-5701 PROJECT #1160.0001.5701
93	RD	Yes	Department of Health and Human Services	DREXEL UNIV SCHOOL OF PUBLIC HEALTH	98,956		HHSN267200700048C
93	RD	Yes	Department of Health and Human Services	DUKE UNIVERSITY	101,290		Contract 1R01-AA-017913-01 Funded by NIH/NIAAA Option Year 3
93	RD	Yes	Department of Health and Human Services	Dynavax Technologies	623,380		OPP104396R/LSA OPP103219/CONT #C11-202
93	RD	Yes	Department of Health and Human Services	DYNPORT VACCINE CO LLC	(115,647)		S1008551 HHSN266200500041C
93	RD	Yes	Department of Health and Human Services	DYNPORT VACCINE CO LLC			S1008570 HHSN266200500041C
93	RD	Yes	Department of Health and Human Services	ELUSYS THERAPEUTICS INC	922,646		Proposal No. OPP101925 (Study AR028)
93	RD	Yes	Department of Health and Human Services	ELUSYS THERAPEUTICS INC	665,209		PROPOSAL OP55500R / LSA OP45836
93	RD	Yes	Department of Health and Human Services	ELUSYS THERAPEUTICS INC	300,070		LSA OP45836
93	RD	Yes	Department of Health and Human Services	ELUSYS THERAPEUTICS INC	26,476		OPP107070/ LSA NO. OP45836
93	RD	Yes	Department of Health and Human Services	ELUSYS THERAPEUTICS INC	44,429		LSA No. OPP107073
93	RD	Yes	Department of Health and Human Services	ELUSYS THERAPEUTICS INC	26,648		OPP108030/ 834 REPEAT TESTING OF SAMPLES (AP201)
93	RD	Yes	Department of Health and Human Services	ELUSYS THERAPEUTICS INC	3,369		STUDY NO. OP44005R3 TO LSA OP45836
93	RD	Yes	Department of Health and Human Services	ELUSYS THERAPEUTICS INC	2,170,423		LSA OP45836
93	RD	Yes	Department of Health and Human Services	EMERGENT PRODUCT DEVEL GAITHERSBURG	113,666		HHSN272200800051C
93	RD	Yes	Department of Health and Human Services	EMERGENT PRODUCT DEVEL GAITHERSBURG	2,157,865		Sub Contract Agreement No. EPDG-11-B55-0005
93	RD	Yes	Department of Health and Human Services	EMERGENT PRODUCT DEVEL GAITHERSBURG	2,023,253		Subcontract Agreement # EPDG-11-B55-0005
93	RD	Yes	Department of Health and Human Services	EMERGENT PRODUCT DEVEL GAITHERSBURG	38,661		650085 200021-0060 rBOT Order No. 17 Cost Center 30181-0030
93	RD	Yes	Department of Health and Human Services	EMERGENT PRODUCT DEVEL GAITHERSBURG	236,146		NIAID Contract # HHSN272200800051C Order No. 18
93	RD	Yes	Department of Health and Human Services	EMERGENT PRODUCT DEVEL GAITHERSBURG INC	233,310		HHSN272200800040C

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Agency Code	CFDA	R&D	Federal Agency	Major Subagency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
93	RD	Yes	Department of Health and Human Services	EMERGENT PRODUCT DEVEL GAITHERSBURG INC	\$ 1,150	\$ -	ORDER NO 13// U01 AI077910
93	RD	Yes	Department of Health and Human Services	EMERGENT PRODUCT DEVEL GAITHERSBURG INC	298		ORDER # 5 in support of AIG Grant
93	RD	Yes	Department of Health and Human Services	EMERGENT PRODUCT DEVEL GAITHERSBURG INC	12,653		ORDER NO. 0004
93	RD	Yes	Department of Health and Human Services	EMERGENT PRODUCT DEVEL GAITHERSBURG INC	40,806		ORDER NO. 0003
93	RD	Yes	Department of Health and Human Services	EMERGENT PRODUCT DEVEL GAITHERSBURG INC	9,743		ORDER NO. 0006
93	RD	Yes	Department of Health and Human Services	EMERGENT PRODUCT DEVEL GAITHERSBURG INC	395,291		ORDER NO. 0007
93	RD	Yes	Department of Health and Human Services	EMERGENT PRODUCT DEVEL GAITHERSBURG INC	847		ORDER # 8 in support of AIG Grant
93	RD	Yes	Department of Health and Human Services	Emergent Product Development	808,030		G/L No.: 650085 Cost Center: 30159 0030 WBS No. 200020-0080
93	RD	Yes	Department of Health and Human Services	EMORY UNIVERSITY	(22)		SUBCONTRACT NO. 5-41690-S1 DEKALB CNTY
93	RD	Yes	Department of Health and Human Services	EMORY UNIVERSITY			HHSN27520080024C
93	RD	Yes	Department of Health and Human Services	EMORY UNIVERSITY	1,112,427		5-42540-S1 - PO# S457882
93	RD	Yes	Department of Health and Human Services	ENANTA PHARMACEUTICALS	33,033		Prime:HHSN272201100029C/ REF # NIHAI2010097
93	RD	Yes	Department of Health and Human Services	GEORGETOWN UNIVERSITY MEDICAL CENTER	555,596		Laboratory Services Agreement No. CON00009433
93	RD	Yes	Department of Health and Human Services	GLAXOSMITHKLINE	88,341		Proposal # OPP100233R2
93	RD	Yes	Department of Health and Human Services	HARVARD SCHOOL OF PUBLIC HEALTH	79		PO #A26167 / Urinary Metabolite Analyses
93	RD	Yes	Department of Health and Human Services	HUMAN GENOME SCIENCES INC	21,947		PO252
93	RD	Yes	Department of Health and Human Services	HUMAN GENOME SCIENCES INC	7,213		STUDY NO. OP45445/P.O. 3030313
93	RD	Yes	Department of Health and Human Services	HUMAN GENOME SCIENCES INC	104,654		STUDY NO. OP59034
93	RD	Yes	Department of Health and Human Services	IMPAQ INTERNATIONAL LLC	84,814		SUBCONTRACT NO. AHRQ-1/AGREEMENT NO. S-10/T.O. NO. DOL-6010
93	RD	Yes	Department of Health and Human Services	Inviragen, Inc	111,820		CON00010212 OPP102536 Work Order #1
93	RD	Yes	Department of Health and Human Services	J. Craig Venter Institute	53,743		IDP3DK094343-01
93	RD	Yes	Department of Health and Human Services	JBS INTERNATIONAL	227,671		NAICS NUMBER 541690
93	RD	Yes	Department of Health and Human Services	JOHNS HOPKINS UNIVERSITY	69,559		HHSN267200700048C
93	RD	Yes	Department of Health and Human Services	JOHNS HOPKINS UNIVERSITY	513,636		HHSN2752008000033C
93	RD	Yes	Department of Health and Human Services	Levitronix, LLC	594		L08-0111
93	RD	Yes	Department of Health and Human Services	MACROGENICS	4,169		ADB CONTRACT NO. N01-AI-60013
93	RD	Yes	Department of Health and Human Services	MANILA CONSULTING GROUP	1,134,269		283-07-4007 / Option Year 1
93	RD	Yes	Department of Health and Human Services	MANILA CONSULTING GROUP	(925)		280-05-0122
93	RD	Yes	Department of Health and Human Services	Massachusetts Institute of Technology (MIT)	425		1-R01-EB013231-01A1
93	RD	Yes	Department of Health and Human Services	Menssana Research, Inc.	37,993	33,094	60987
93	RD	Yes	Department of Health and Human Services	MOUNT SINAI SCHOOL OF MEDICINE	14,120		SC6318092
93	RD	Yes	Department of Health and Human Services	MOUNT SINAI SCHOOL OF MEDICINE	(17,190)		HHSN275200503411C - QUEENS COUNTY
93	RD	Yes	Department of Health and Human Services	MOUNT SINAI SCHOOL OF MEDICINE	207,790		HHSN275201100002C 0258-3621-4609 Option Period
93	RD	Yes	Department of Health and Human Services	MOUNT SINAI SCHOOL OF MEDICINE	(21)		HHSN267200700047C
93	RD	Yes	Department of Health and Human Services	NATIONAL CTR FOR FARMWORKER HEALTH	9,051		OP57807
93	RD	Yes	Department of Health and Human Services	Oregon Health & Science University	190,825	900	GVGT10084A, GMMBIO157B, 1U54A1081680-01, GMMBIO157A, R01NS050567
93	RD	Yes	Department of Health and Human Services	Oregon State University	448,250	31	5P42ES01645-02
93	RD	Yes	Department of Health and Human Services	SCI APPLICATIONS INTL CORP	1,623		AGREEMENT NO. 11XS035
93	RD	Yes	Department of Health and Human Services	Seattle Biomolecular Research Institute	180,717		25115
93	RD	Yes	Department of Health and Human Services	Seattle Children's Research Institute	2,182		5R01HL060666-12
93	RD	Yes	Department of Health and Human Services	Society for Public Health Education	6,696		OPP107552
93	RD	Yes	Department of Health and Human Services	Southwest Research Institute	383,223		Subcontract No. D99078X under BARDA HHSO100201100038C)
93	RD	Yes	Department of Health and Human Services	ST LOUIS UNIV SCHOOL OF PUBLIC HEALTH	(1)		HHSN275200800003C - JOHNSON/UNION/ WILLIAMSON, IL
93	RD	Yes	Department of Health and Human Services	ST LOUIS UNIV SCHOOL OF PUBLIC HEALTH	(1)		HHSN275200800023C - JEFFERSON MO
93	RD	Yes	Department of Health and Human Services	ST LOUIS UNIV SCHOOL OF PUBLIC HEALTH	1,128,174		SUBC OP43358 - ST LOUIS (CITY) YR 2
93	RD	Yes	Department of Health and Human Services	ST LOUIS UNIVERSITY	95		SUBC OP48780 - MACOUPIN COUNTY YR 2
93	RD	Yes	Department of Health and Human Services	Stanford University	42,562		1R01DK083447-01A2, 26748110-33465-P
93	RD	Yes	Department of Health and Human Services	TANGLEWOOD RESEARCH INC	100,874		Agreement No. OP61143 / R44DA026219
93	RD	Yes	Department of Health and Human Services	THOMPSON MEDSTAT	(52)		HCUP5-2007-BATTELLE
93	RD	Yes	Department of Health and Human Services	TULANE UNIVERSITY CNT APPLIED ENV PUB HEALTH	593		SUBCONTRACT TUL-HSC-239-10/11
93	RD	Yes	Department of Health and Human Services	UNIV + COMMUNITY COLLEGE SYS OF NEVADA	126,216		12WB182660 / ITEM 001
93	RD	Yes	Department of Health and Human Services	University of Alabama at Birmingham	31,861	5,886	ES011617-08
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF ARIZONA	30,841		HHSN275200800034C / P.O. #Y502672 - PINAL COUNTY AZ
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF CALIFORNIA - DAVIS	1,051		HHSN267200700035C/Research Agreement No. Sub07001731-01

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Agency Code	CFDA	R&D	Federal Agency	Major Subagency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF CALIFORNIA - DAVIS	\$ 10,911	\$ -	PROPOSAL OPP102946
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF CALIFORNIA - IRVINE	54,949		Subcontract 2011-2646
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF CALIFORNIA - IRVINE	39,616		Subcontract No. 2011-2645
93	RD	Yes	Department of Health and Human Services	University of California, Los Angeles	(937)		1R01DE020102-01
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF COLORADO	372,433		HHSN275200800018C PRJ #2-5-15313
93	RD	Yes	Department of Health and Human Services	University of Medicine & Dentistry of New Jersey	(2,865)		59524
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF MIAMI SCHOOL OF MEDICINE	57,966		NICHD Prime Contract HHSN275200800002C/ WA #4.
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF NORTH CAROLINA	1,277,315	19,250	HHSN275201100005C UNC-CH Acct. No. 5-56006
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF NORTH CAROLINA	(20,559)		UNC-CH 5-55842
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF NORTH CAROLINA			UNC-CH 5-35046 STUDY CENTER
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF NORTH CAROLINA	1,237,443		UNC-CH 5-35056 DURHAM COUNTY
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF NORTH CAROLINA	125,563		UNC-CH NO. 5-51456
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF NORTH CAROLINA	191,307		UNC-CH #5-53795
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF NORTH CAROLINA	427,326		Subaward No. 5-51220 Year 7 - Amendment No. 2
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF SOUTH FLORIDA	558		6403-1053-00-A (Hillsborough County) MOD 05 amendment
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF SOUTH FLORIDA	537		6403-1052-00-A (Orange Couty) Amendment 005
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF SOUTHERN CALIFORNIA	203,636		FG006498 5 U10 EY017337 03
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF SOUTHERN CALIFORNIA	(11,045)		Prime Award 5U10EY014472-10/ SUB. H47893
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF SOUTHERN CALIFORNIA	7,714		SUBAWARD NO. H44895
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF TEXAS	1,421,208		HHSN275200800035C, ENCUMBRANCE NO. GMO-901014
93	RD	Yes	Department of Health and Human Services	University of Texas Health Science Center-San Antonio	193,825		151114/131201
93	RD	Yes	Department of Health and Human Services	University of Washington	1,370,630	3,781	473183, 562850, 723997, 724649
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF WASHINGTON	111,107		726415
93	RD	Yes	Department of Health and Human Services	UNIVERSITY OF WASHINGTON	368,521		UW CONTRACT NO. DL 10-002
93	RD	Yes	Department of Health and Human Services	Vaccine & Gene Therapy Institute - Florida	7,461		59501
93	RD	Yes	Department of Health and Human Services	VANDERBILT UNIVERSITY DEPT OF CHEM ENGINEERING	490		VUMC33795-R
93	RD	Yes	Department of Health and Human Services	Washington University School of Medicine	292,265		2911709P, 2911351P, 26760510-38205-A
93	RD	Yes	Department of Health and Human Services	WESTAT	(6)		WESTAT CONTRACT NO. 8494-S02 (MOD 4)
					<u>35,070,512</u>	<u>75,262</u>	
97	RD	Yes	Department of Homeland Security	ATH Solutions	902,847		Subcontract No. ATH-DHS-S-001
97	RD	Yes	Department of Homeland Security	Chenega Technical Services Corporation	(1,711)		54126, 59221
97	RD	Yes	Department of Homeland Security	Gryphon Scientific, LLC	89,675		HSHQDC-12-C-00005, Project Number 74
97	RD	Yes	Department of Homeland Security	ICF CONSULTING	1,872,482		HSHQDC-09-D-00060 Mod 001
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN CORP	(196)		NEPIDIQTO2010-001, TASK ORDER 0003 Mod 2
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN CORP	8		NEPIDIQTO2010-001, TASK ORDER 004
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN SPACE + MISSIONS SYS	16,824		NEPIDIQTO2010-001
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN SPACE + MISSIONS SYS	23,951		Subcontract - NEPIDIQTO2010-001
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN TECHNICAL SERVICES	(144)		Prime #HSHQDC-06-D-00015/Sub #NEPIDIQTO2010-001/TO NEPIDIQTO2010-001-011
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN TECHNICAL SERVICES	16,081		HSHQDC-06-D-00015
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN TECHNICAL SERVICES			NEPIDIQTO2010-001-OY1-003/PO 7500091536
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN TECHNICAL SERVICES	51,941		NEPIDIQTO2010-001-OY1-004
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN TECHNICAL SERVICES	27,387		Subcontract NEPIDIQTO2010-001 - R7-TEPW-424
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN TECHNICAL SERVICES	5,275		NEPIDIQTO2010-001-OY-008/Purchase Order 7500091536
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN TECHNICAL SERVICES	43,815		NEPIDIQTO2010-001
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN TECHNICAL SERVICES	17,236		NEPIDIQTO2010-0001
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN TECHNICAL SERVICES	12,685		Purchase Order #7500091536
97	RD	Yes	Department of Homeland Security	RCM SOLUTIONS	(46)		SUB NO. 09-010-DHS-D00056/TO1
97	RD	Yes	Department of Homeland Security	SYSTEMS PLANNING + ANALYSIS INC	1,187,571		GS-23F-8167H/SPA-SC-1774-08-0005/SIN 874-1
					<u>4,265,681</u>		
98	RD	Yes	Agency for International Development	INTERNATIONAL RESOURCES GROUP LTD	57,141		Subcontract No: 4000-IQC-BATTELLE
99	RD	Yes	Miscellaneous	CRA INC	1,398		2010-014-NYPD
				Total Indirect Funding	<u>226,667,207</u>	<u>12,638,049</u>	
				TOTAL EXPENDITURES OF FEDERAL AWARDS	<u>\$ 767,686,678</u>	<u>\$ 185,893,979</u>	(concluded)

BATTELLE MEMORIAL INSTITUTE

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED SEPTEMBER 30, 2012

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation — The accompanying schedule of expenditures of federal awards (the “Schedule”) summarizes the expenditures of Battelle Memorial Institute and subsidiaries (the “Company”) under programs funded by the federal government for the year ended September 30, 2012. The Schedule has been prepared on the accrual basis in accordance with accounting principles generally accepted in the United States of America and includes all grants and contracts entered into directly between the Company and agencies and departments of the federal government, as well as funds passed through to the Company by other recipients. In accordance with Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations* the Schedule does not include amounts received to operate government-owned, contractor-operated facilities.

Research and Development Cluster — OMB Circular A-133 defines research and development as follows: research is a systematic study directed toward fuller scientific knowledge or understanding of the subject studied; development is the systematic use of knowledge and understanding gained from research directed toward the production of useful materials, devices, systems, or methods, including design and development of prototypes and processes.

Subrecipient — Certain funds are passed through to subgrantee organizations by the Company. Expenditures incurred by the subgrantees and reimbursed by the Company are presented in the Schedule.

The Company is also the subrecipient of funds, which have been reported as expenditures and listed as pass-through funds.

2. NONCASH AWARDS

During the year ended September 30, 2012, the Company did not receive nonmonetary assistance.

3. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES FOR PROGRAM EXPENDITURES

Expenditures consist of direct and indirect costs. Direct costs are those that can be easily identified with an individual sponsored project. The salary cost of a lead scientist or technical specialist and the materials consumed by the project are examples of direct costs.

The Company uses indirect costs rates to charge indirect costs to individual sponsored projects. Indirect costs are the costs of services and resources that benefit both sponsored and nonsponsored projects. The rates are the result of a number of complex cost allocation procedures that the Company uses to allocate its indirect costs to both sponsored and nonsponsored activities. The indirect costs allocated to sponsored projects are divided by the direct costs of sponsored projects to arrive at a rate. Indirect rates are reviewed by the Defense Contract Audit Agency and approved by the cognizant Administrative Contracting Officer.

4. CLASSIFIED INFORMATION

Due to the nature of research and development that the Company performs on behalf of the federal government, various contracts and project information is considered classified, which includes “Top Secret,” “Secret,” or “Confidential.” As a result, the Schedule reflects summarized data by agency in order to properly safeguard various information as required by the federal government.

5. RELATIONSHIP TO CONSOLIDATED FINANCIAL STATEMENTS

Awards in the amount of \$767,686,678 are reported as a portion of total project revenue in the Company’s consolidated financial statements as revenue from operations.

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REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS

To the Board of Directors of
Battelle Memorial Institute and Subsidiaries
Columbus, Ohio

We have audited the consolidated financial statements of Battelle Memorial Institute and subsidiaries (“Battelle”) as of and for the year ended September 30, 2012, and have issued our report thereon dated December 19, 2012. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control over Financial Reporting

Battelle’s management is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered Battelle’s internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Battelle’s internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of Battelle’s internal control over financial reporting.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. *A material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity’s financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined previously.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether Battelle’s consolidated financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance

with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain matters that we reported to management of Battelle in a separate letter dated December 19, 2012.

This report is intended solely for the information and use of management, the Board of Directors, others within the entity, and federal awarding agencies and pass-through entities and is not intended to be, and should not be, used by anyone other than these specified parties.

Deloitte & Touche LLP

December 19, 2012

REPORT ON COMPLIANCE WITH REQUIREMENTS THAT COULD HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133

To the Board of Directors of
Battelle Memorial Institute and Subsidiaries
Columbus, Ohio

Report on Compliance for Each Major Federal Program

We have audited Battelle Memorial Institute and subsidiaries (“Battelle”) compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of Battelle’s major federal programs for the year ended September 30, 2012. Battelle’s major federal program is identified in the summary of auditor’s results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to its major federal program is the responsibility of Battelle’s management. Our responsibility is to express an opinion on Battelle’s compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about Battelle’s compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of Battelle’s compliance with those requirements.

In our opinion, Battelle complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended September 30, 2012.

Internal Control over Compliance

Management of Battelle is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit of compliance, we considered Battelle’s internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures for the purpose of expressing an opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the

effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of Battelle's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

Schedule of Expenditures of Federal Awards

We have audited the financial statements of Battelle as of and for the year ended September 30, 2012, and have issued our report thereon dated December 19, 2012, which contained an unqualified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditure of federal awards is fairly stated in all material respects in relation to the financial statements as a whole.

This report is intended solely for the information and use of the Board of Directors and management of Battelle, federal awarding agencies, state funding agencies, and pass-through entities and is not intended to be, and should not be, used by anyone other than these specified parties.

Deloitte & Touche LLP

June 26, 2013

BATTELLE MEMORIAL INSTITUTE

SCHEDULE OF FINDINGS AND QUESTIONED COSTS FOR THE YEAR ENDED SEPTEMBER 30, 2012

PART I — SUMMARY OF AUDITORS' RESULTS

FINANCIAL STATEMENTS:

- Type of auditors' report issued: Unqualified
- Internal control over financial reporting:
1. Material weakness(es) identified? Yes No
 2. Significant deficiencies identified? Yes None reported
 3. Noncompliance material to the financial statements noted? Yes No

FEDERAL AWARDS:

4. Material weakness(es) identified? Yes No
5. Significant deficiencies identified? Yes None reported

Type of auditors' report issued on compliance for major programs:

Unqualified

6. Any audit findings disclosed that are required to be reported in accordance with OMB Circular A-133, Section 510(a) Yes No
7. The Company's major program was Research and development cluster
8. Dollar threshold used to distinguish between Type A and Type B programs? \$3,000,000
9. Auditee qualify as low risk auditee Yes No

PART II — FINANCIAL STATEMENT FINDINGS SECTION

No matters were reportable.

PART III — FINDINGS AND QUESTIONED COSTS RELATING TO FEDERAL AWARDS:

No matters were reportable.

PART IV — SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS

Number	Finding	Status	Contact
11-1	Internal Controls Surrounding Revenue Recognition and Billing	Corrected	Gwendolyn Von Holten, Vice President, Deputy CFO & Corporate Controller
11-2	Disposal on Fixed Assets	Corrected	Bryon Sohovich, Manager Government Accounting and Property
11-3	Period of Performance	Corrected	Jeff Cashell, Director Strategic Acquisition Services
11-4	Unallowable Cost – Sales Tax	Corrected	Nick Madias, Director Corporate Tax Accounting and Compliance
11-5	Missing Procurement Documentation	In process	Jeff Cashell, Director Strategic Acquisition Services

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