

Prepared for the U.S. Department of Energy under Contract DE-AC05-76RL01830

PNNL- 22972

# National Cost-effectiveness of ASHRAE Standard 90.1-2010 Compared to ASHRAE Standard 90.1-2007

BA Thornton MA Halverson M Myer SA Loper EE Richman DB Elliott V Mendon MI Rosenberg

November 2013



Proudly Operated by Battelle Since 1965

#### DISCLAIMER

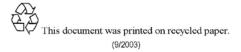
This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor Battelle Memorial Institute, nor any of their employees, makes **any warranty**, **express or implied**, **or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights**. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof, or Battelle Memorial Institute. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

#### PACIFIC NORTHWEST NATIONAL LABORATORY operated by BATTELLE for the UNITED STATES DEPARTMENT OF ENERGY under Contract DE-AC05-76RL01830

#### Printed in the United States of America

Available to DOE and DOE contractors from the Office of Scientific and Technical Information, P.O. Box 62, Oak Ridge, TN 37831-0062; ph: (865) 576-5401 fax: (865) 576-5728 email: reports@adonis.osti.gov

Available to the public from the National Technical Information Service, U.S. Department of Commerce, 5285 Port Royal Rd., Springfield, VA 22161 ph: (800) 553-6847 fax: (703) 605-6900 email: orders@ntis.fedworld.gov online ordering: http://www.ntis.gov/ordering.htm



# National Cost-effectiveness of ASHRAE Standard 90.1-2010 Compared to ASHRAE Standard 90.1-2007

BA Thornton MA Halverson M Myer SA Loper EE Richman DB Elliott V Mendon MI Rosenberg

November 2013

Prepared for The U.S. Department of Energy under Contract DE-AC05-76RL01830

Pacific Northwest National Laboratory Richland, Washington 99352

# **Executive Summary**

Pacific Northwest National Laboratory (PNNL) prepared this analysis for the U.S. Department of Energy (DOE) Building Energy Codes Program. DOE supports the upgrade and implementation of building energy codes and standards, which set minimum requirements for energy-efficient design and construction for new and renovated buildings, and impact energy use and greenhouse gas emissions for the life of buildings. Continuous improvement of building energy efficiency is achieved by periodically updating model energy codes. Through consensus-based code development processes, DOE recommends revisions and amendments, supporting technologically feasible and economically justified energy efficiency measures. Ensuring that code changes impacting the cost of building construction, maintenance, and energy services are cost-effective encourages their adoption and implementation.

The purpose of this analysis is to examine the cost-effectiveness of the 2010 edition of ANSI/ASHRAE/IES<sup>1</sup> Standard 90.1. Standard 90.1 is the model energy standard for commercial and multi-family residential buildings over three floors (ECPA, Public Law 94-385). PNNL analyzed the cost-effectiveness of changes in Standard 90.1 from 90.1-2007 to 90.1-2010, as applied in the United States. During the development of new editions of Standard 90.1, the cost-effectiveness of individual changes (addenda) is often calculated to support the deliberations of Standard Standing Project Committee (SSPC) 90.1. The process does not include analysis of the cost-effectiveness of the entire package of addenda from one version of the standard to the next. Providing States with an analysis of cost-effectiveness may encourage more rapid adoption of newer editions of energy codes based on Standard 90.1. This information may also inform the development of future editions of Standard 90.1.

To establish the cost-effectiveness of 90.1-2007, three main tasks were addressed:

- Identification of building elements impacted by the updated standard
- Allocation of associated costs
- Cost-effectiveness analysis of required changes

In addition, energy cost differences were needed to determine cost-effectiveness, which were determined previously under the development of 90.1-2010, as described below.

The current analysis builds on the previous PNNL analysis of the energy use and energy cost saving impacts of 90.1-2010 compared to 2004 and 2007 editions, which was documented in the PNNL technical report titled *Achieving the 30% Goal: Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010* (Thornton et al. 2011). Analysis done in support of the previous report indicates that 90.1-2010 can achieve 24.5% site energy savings and 23.4% energy cost savings relative to 90.1-2007, with plug and process load energy excluded (these energy uses are nearly unregulated by Standard 90.1). Energy savings of 18.9% and energy cost savings of 18.9% were demonstrated for the whole building energy use,

<sup>&</sup>lt;sup>1</sup> ANSI – American National Standards Institute; ASHRAE – American Society of Heating, Refrigerating, and Air-Conditioning Engineers; IES – Illuminating Engineering Society; IESNA Illuminating Engineering Society of North America (IESNA rather than IES was identified with Standard 90.1 prior to 90.1-2010)

including plug and process loads. Chapter 5 and Appendix C of this report present these energy simulation results as used in the cost-effectiveness analysis.

The energy saving analysis of Standard 90.1 in the report described above utilized a suite of 16 prototype EnergyPlus building models. Prototypes were simulated in 17 climate locations representing all eight U.S. climate zones. The cost-effectiveness analysis in this report used a subset of prototypes and climate locations, providing coverage of nearly all of the changes in Standard 90.1 from the 2007 to 2010 edition that affect energy savings, equipment and construction costs, and maintenance, including conventional HVAC systems used in commercial buildings. Each prototype building was analyzed in each climate location for a total of 30 cost-effectiveness assessments. The following prototype buildings and climate locations were included in the analysis:

Prototypes	Climate Locations
Small Office	2A Houston, Texas (hot, humid)
Large Office	4A Baltimore, Maryland (mixed, humid)
Standalone Retail	3A Memphis, Tennessee (warm, humid)
Primary School	5A Chicago, Illinois (cool, humid)
Small Hotel	3B Albuquerque, New Mexico (hot, dry)
Mid-rise Apartment	

A primary input to the cost-effectiveness analysis was the incremental costs for the addenda to 90.1-2007 that were included in 90.1-2010. Of the 109 total addenda to 90.1-2007, 41 had quantified energy savings that were modeled in the 90.1-2010 energy savings analysis. The remaining addenda were not considered to have quantifiable savings, or do not affect the sections of 90.1 that directly impact building energy usage. Of the 41 addenda with quantified energy savings, 38 were modeled in the six prototypes and were included with the cost estimate. The remaining three addenda affect building systems that were not included in the prototypes.

Incremental costs were developed for building systems or equipment that changed due to addenda. In some cases, the prototype models do not include sufficient design details to provide the basis for cost estimates, and additional design detail was needed to complete this analysis. PNNL relied on the help of professional cost estimators and engineering consultants for development of these design details, and for cost estimating.

Three cost-effectiveness metrics are used in this report:

- Life-cycle cost analysis (LCCA)
- SSPC 90.1 scalar ratio method
- Simple payback

The LCCA is a present value life-cycle cost analysis based on the Federal Energy Management Program (FEMP) LCC method (NIST 1995). The present value of the incremental costs for new construction, replacement, maintenance, and energy of 90.1-2010 compared to 90.1-2007 were analyzed. If the present value of the 90.1-2010 costs is less than the present value of the 90.1-2007 costs, then 90.1-2010 is cost-effective. The scalar method is a modified life-cycle cost approach used by the ASHRAE SSPC 90.1 to evaluate individual addenda (McBride 1995). This method creates a threshold, or scalar ratio limit, for cost-effectiveness that can be compared to simple payback when evaluating specific addenda. The scalar ratio limit is calculated using the same present value analysis factors that a conventional LCCA uses. The calculation includes first cost, annual energy cost savings, annual maintenance, inflation, fuel escalation and simplified assumptions about taxes, and borrowing including the mortgage deduction. This method does not account for replacement costs, since the analysis ends with the useful life of the individual item. The scalar ratio is the incremental first cost divided by the difference of the annual energy cost savings and maintenance costs, the same as simple payback. An addendum is considered cost-effective if the scalar ratio of a specific addendum is less than the scalar ratio limit.

PNNL modified the SSPC 90.1 scalar method to evaluate the combination of all of the changes in Standard 90.1, including replacement costs. The modified scalar method calculates the scalar ratio as the first costs plus the present value of the replacement costs for all measures divided by the difference of annual energy cost savings and annual incremental maintenance costs.

Simple payback is the incremental first cost divided by the difference of annual energy cost savings and annual incremental maintenance costs. This method ignores replacement costs and the time value of money. This is a rough approximation, particularly when there are multiple components with different replacement lives combined together. Simple payback is provided for comparison; there is no defined parameter to compare to for determining cost-effectiveness with simple payback.

Table ES-1 summarizes the cost-effectiveness results. Findings demonstrate that 90.1-2010 is costeffective overall relative to 90.1-2007 under the LCCA and modified SSPC 90.1 scalar method for the representative prototypes and climate locations.

Duratationa			lilliary of Cost-	Climate Zone		
Prototype		2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago
		Life C	ycle Cost Net Sa	avings		
Small Office	Total	\$9,500	\$12,700	\$10,400	\$6,100	\$14,300
	$ft^2$	\$1.73	\$2.31	\$1.89	\$1.11	\$2.60
Large Office	Total	\$1,810,000	\$1,560,000	\$990,000	\$1,500,000	\$1,730,000
	$ft^2$	\$3.63	\$3.13	\$1.99	\$3.01	\$3.47
Standalone Retail	Total	\$110,000	\$95,600	\$99,200	\$74,000	\$121,000
	$ft^2$	\$4.46	\$3.87	\$4.02	\$3.00	\$4.90
Primary School	Total	\$205,000	\$195,000	\$354,000	\$197,000	\$307,000
	$ft^2$	\$2.77	\$2.64	\$4.79	\$2.66	\$4.15
Small Hotel	Total	\$304,450	\$328,000	\$316,000	\$284,700	\$325,000
	$ft^2$	\$7.05	\$7.59	\$7.31	\$6.59	\$7.52
Mid-rise Apartment	Total	\$20,400	\$25,500	\$18,300	\$30,800	\$41,800
	$ft^2$	\$0.60	\$0.76	\$0.54	\$0.91	\$1.24
		Sim	ple Payback (ye	ars)		
Small Office		11.6	9.5	10.7	15.5	8.7
Large Office		3.3	4.1	4.7	4.1	2.2
Standalone Retail		5.8	7.0	5.4	8.8	5.7
Primary School		6.1	6.7	0.8	6.7	4.5
Small Hotel		0.9	immediate	immediate	1.4	immediate
Mid-rise Apartment		13.0	11.3	13.9	10.1	8.0
		Scala	ar Ratio (Limit 2	20.2)		
Small Office		9.7	6.5	8.7	14.1	5.9
Large Office		4.8	5.8	7.2	5.9	3.1
Standalone Retail		6.6	8.2	5.2	10.1	6.0
Primary School		8.9	9.6	0.7	9.8	6.4
Small Hotel		-23.4	-24.8	-24.8	-27.3	-27.9
Mid-rise Apartment		9.0	7.8	9.6	7.0	5.6

Table ES-1 Summary of Cost-effectiveness Analysis

# Acknowledgments

This report was prepared by Pacific Northwest National Laboratory (PNNL) for the U.S. Department of Energy (DOE) Building Energy Codes Program. The authors would like to thank Jeremy Williams at DOE for providing oversight.

The authors would like to thank the members of the ASHRAE Standing Standards Project Committee (SSPC) for 90.1 for their review of the cost estimates.

The authors also recognize Bing Liu, Manager of the Building Energy Codes Program at PNNL, and Michael Rosenberg, the task manager, for their strong support of this task.

This work was truly a team effort, and the authors would like to express their deep appreciation to everyone who contributed to its completion.

Brian Thornton Pacific Northwest National Laboratory

# Acronyms and Abbreviations

AHU	air handling unit
ANSI	American National Standards Institute
ASHRAE	American Society of Heating, Refrigerating and Air-Conditioning Engineers
BECP	Building Energy Codes Program
Btu	British thermal units
Btu/h	British thermal units per hour
CAV	constant air volume
CBECS	Commercial Buildings Energy Consumption Survey
CDD	cooling degree days
CFL	compact fluorescent lamp
CFM	cubic feet per minute
CHW	chilled water
CU	coefficient of utilization
DDC	direct digital control
DOE	U.S. Department of Energy
DX	direct expansion
EER	energy efficiency ratio
EIA	Energy Information Administration
EPAct	Energy Policy Act
ERV	energy recovery ventilator
Et	thermal efficiency
FEMP	Federal Energy Management Program
FLR	floor
ft	feet or foot
$ft^2$	square feet or square foot
gpm	gallons per minute
HDD	heating degree day
hp	horsepower
HVAC	heating, ventilating, and air conditioning
IECC	International Energy Conservation Code
IEER	integrated energy efficiency ratio
IES	Illuminating Engineering Society
IESNA	Illuminating Engineering Society of North America
IPLV	integrated part load value
kVA	kilo volt amperes
LBNL	Lawrence Berkeley National Laboratory

LCCA	life evale cost analysis
_	life-cycle cost analysis lumens
lm LDD	
LPD	lighting power density
LSC	Lighting Subcommittee (SSPC 90.1)
MHC	McGraw-Hill Construction
mph	miles per hour
MSC	Mechanical Subcommittee (SSPC 90.1)
$NC^{3}$	National Commercial Construction Characteristics
NFRC	National Fenestration Rating Council
NR	not required
NREL	National Renewable Energy Laboratory
OH&P	overhead and profit
PI	Progress Indicator
PNNL	Pacific Northwest National Laboratory
PSZ-AC	packaged single zone air conditioner
PTAC	packaged terminal air conditioner
PTHP	packaged terminal heat pump
RTU	roof top unit
SEER	seasonal energy efficiency ratio
SHGC	solar heat gain coefficient
SMACNA	Sheet Metal and Air Conditioning Contractors Association
SSPC	Standing Standard Project Committee
SRI	solar reflectance index
SWG	Simulation Working Group (SSPC 90.1)
U-factor	thermal transmittance
UPV	uniform present value
VAV	variable air volume
VFD	variable frequency drive
VRP	ventilation rate procedure
W	watt
wb	wet bulb (temperature)
w.c.	water column
WWR	window-to-wall ratio

# Contents

Exec	cutive	e Summary	. iii
Ack	nowle	edgments	.vii
Acro	onym	s and Abbreviations	ix
1.0	Intro	oduction	1.1
	1.1	Overview of the 90.1-2010 Energy Savings	1.2
	1.2	State Adoption of Energy Codes	1.3
	1.3	Contents of the Report	1.4
2.0	Buil	ding Prototypes and Climate Locations	2.1
	2.1	Selection of Prototype Buildings	2.1
	2.2	Selection of Climate Locations	2.2
	2.1	Description of Selected Prototypes	2.4
3.0	Cost	Estimate Items from 90.1-2007 Addenda	3.1
4.0	Incr	emental Cost Estimates	4.4
	4.1	Incremental Cost Estimate Approach	4.4
		4.1.1 Source of Cost Estimates	4.4
		4.1.2 Cost Parameters	4.5
		4.1.3 Cost Estimate Spreadsheet Workbook	4.6
	4.2	Cost Estimate Descriptions	4.7
		4.2.1 Heating, Ventilating and Air Conditioning	4.7
		4.2.2 Lighting	.20
		4.2.3 Building Envelope, Power and Other Equipment	
	4.3	Cost Estimate Results4	.35
5.0	Cost	effectiveness Analysis	5.1
	5.1	Cost-effectiveness Analysis Methodology	5.1
		5.1.1 Life-Cycle Cost Analysis	5.1
		5.1.2 Simple Payback	5.3
		5.1.3 SSPC 90.1 Scalar Method	5.4
	5.2	Energy Costs	5.5
	5.3	Cost-effectiveness Analysis Results	5.5
6.0	Refe	erences	4.1
App	endix	A Energy Modeling Prototype Building Descriptions	4.4
App	endix	B Incremental Cost Estimate Summary I	<b>B</b> .1
App	endix	C Energy Results 90.1-2007 and 90.1-2010	C.1

# Figures

Figure 1.1. Current Commercial Building Energy Code Adoption Status	1.4
Figure 2.1. Climate Zone Map	2.3
Figure 3.1. Quantity of Addenda Included in the Cost Estimate by Standard 90.1 Chapter	3.1
Figure 4.1. Small Office Air Distribution System	4.9

# Tables

Table 2.1. Prototype Buildings	2.2
Table 2.2. HVAC Systems in Selected Prototypes	2.2
Table 2.3 Climate Locations by Climate Subzones	2.4
Table 2.4. Overview of Six Selected Prototypes	2.5
Table 3.1. 90.1-2007 Addenda Cost Items	3.2
Table 4.1. Sources of Cost Estimates by Cost Category	4.5
Table 4.2. Cost Estimate Adjustment Parameters	4.6
Table 4.3. Small Office Duct Details for One HVAC System	4.10
Table 4.4. Energy Recovery Requirements by Climate Zone and Outdoor Air Fraction	4.16
Table 4.5. 90.1-2007 and 90.1-2010 Chiller Efficiencies	4.20
Table 4.6. Occupancy Sensor Control Types	4.23
Table 4.7. Application of Daylighting Controls by Prototype and Space	4.24
Table 4.8. Incremental Costs	4.36
Table 4.9. Comparison of Total Building Cost and Incremental Cost (per Ft <sup>2</sup> and percentag	e)4.37
Table 5.1. Life Cycle Cost Analysis Parameters	5.3
Table 5.2. Scalar Method Economic Parameters and Scalar Ratio Limit	5.4
Table 5.3. Annual Energy Cost Savings, 90.1-2010 Compared to 90.1-2007	5.5
Table 5.4. Cost-effectiveness Analysis Results	5.6

# 1.0 Introduction

This study was conducted by Pacific Northwest National Laboratory (PNNL) in support of the U.S. Department of Energy (DOE) Building Energy Codes Program (BECP). BECP was founded in 1993 in response to the *Energy Policy Act of 1992*, which mandated that DOE participate in the development process for national model codes and that DOE help states adopt and implement progressive energy codes. DOE has supported the development and implementation of more stringent building energy codes since the 1970s, but the BECP was the first DOE program assigned specific mandates with regard to energy codes.

Building energy codes set baseline minimum requirements for energy efficient design and construction for new and renovated buildings, and impact energy use and emissions for the life of the buildings. Energy codes are part of the greater collection of documents which govern the design and construction of buildings for the health and life safety of occupants. Improving these documents generates consistent and long-lasting energy savings.

ASHRAE Standard 90.1 is the national model energy standard for commercial and multi-family residential buildings higher than three floors, which is the subject of this report. The 2007 and 2010 editions of Standard 90.1 are the primary focus of this report. These standards are referred to as 90.1-2007 and 90.1-2010 respectively, or as Standard 90.1 when referring to multiple editions of the Standard.

DOE supports the incremental upgrading of the model energy codes, and states' adoption, implementation, and enforcement of those documents as they are upgraded. When the model building energy codes are being updated, DOE takes an active leadership role, including:

- Recommending amendments to the model energy codes during cyclical updates,
- Seeking adoption of all technologically feasible and economically justified energy efficiency measures in these documents,
- Participating in the processes that update and maintain these documents.

PNNL has played a major role in supporting DOE code efforts, and is closely involved in the upgrading of the model codes. Specifically, PNNL provides significant assistance to the ASHRAE Standing Standard Project Committee for 90.1 (SSPC 90.1), which is responsible for developing Standard 90.1. This assistance ranges from providing leadership and voting members to development committees, to developing change proposals (called addenda) for codes. PNNL also conducts requested analyses and supports DOE determinations published in the *Federal Register*. Determinations confirm whether or not each new edition of the model codes will improve the energy efficiency of buildings.<sup>1</sup>

The process for adopting new editions of Standard 90.1 does not include analysis of the costeffectiveness of the combined changes from one edition to the next. The cost-effectiveness of individual changes, known as addenda, is often evaluated to inform SSPC 90.1 decisions. DOE asked PNNL to analyze the cost-effectiveness of 90.1-2010 as a whole compared to 90.1-2007, using a life-cycle cost analysis (LCCA). DOE seeks to provide states with cost-effectiveness information to encourage more

<sup>&</sup>lt;sup>1</sup> For more information on the DOE Determination of energy savings, see http://www.energycodes.gov/regulations/determinations

rapid adoption of newer editions of commercial energy codes based on Standard 90.1, as well as to be used in the development of future editions of the Standard. The cost-effectiveness analysis is the subject of this report.

## 1.1 Overview of the 90.1-2010 Energy Savings

In 2007 DOE and ASHRAE agreed to develop advanced commercial building codes, targeting 30% energy savings compared to 90.1-2004. This agreement initiated the efforts by DOE and ASHRAE to upgrade Standard 90.1 (through the SSPC 90.1) which culminated with the release of 90.1-2010.

The 30% energy savings goal led to an increase in the level of activity and enhancement of Standard 90.1. For the first time, a percentage goal was set for developing the new edition of the Standard. Prior to the development of 90.1-2010, the previous three updates (Standard 90.1-2001, -2004, and -2007 editions) generated 34, 32 and 44 approved addenda, respectively. By the time 90.1-2010 was published in October 2010, 109 addenda to 90.1-2007 were approved and incorporated in the new edition.

PNNL was directed by DOE to provide both leadership and technical analysis support for developing 90.1-2010 to reach the 30% energy savings goal. To closely measure progress towards the goal, PNNL developed a new metric and process named the "Progress Indicator" (PI). The PI was a process to measure progress toward the 30% improvement goal relative to the baseline 90.1-2004. Using the PI, PNNL periodically reported energy and energy cost saving impacts for approved addenda to both DOE and the SSPC 90.1 during the three-year development cycle. PNNL conducted this analysis with inputs from many other contributors and sources of information. In particular, guidance and direction were provided by an advisory group under the auspices of the SSPC 90.1. The technical analysis process, results and changes to 90.1-2004 and 90.1-2007 that led to 90.1-2010, were presented in *Achieving the 30% Goal: Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010* (Thornton et al. 2011).

The simulation utilized a suite of 16 prototype EnergyPlus building models. The prototype buildings were simulated in 17 cities which represent the climate zones and subzones referenced by the requirements in Standard 90.1. Climate zones are defined by temperature profile, with subzones within the climate zones defined by humidity. The United States includes 15 of these climate subzones. These prototype models and climate locations were described in detail in *Energy and Cost Savings Analysis of ASHRAE Standard* 90.1-2010 and briefly in Section 2 of this report.

The goal of 30% savings for 90.1-2010 compared to 90.1-2004 was achieved. Simulations demonstrated a national average of 32.7% site energy savings and 29.5% energy cost savings, if plug and process load energy, which are nearly unregulated, are excluded in the percentage saving calculation and 25.6% and 23.2% respectively with all simulated energy included (Thornton et al. 2011).

A separate goal for energy savings for 90.1-2010 compared to 90.1-2007 was never established and the separate results for this comparison were not presented in *Energy and Cost Savings Analysis of ASHRAE Standard* 90.1-2010. However, these simulations were completed by PNNL during the same analysis and the results are 24.5% site energy savings and 23.4% energy cost savings, if plug and process load energy are excluded and 18.9% site energy savings and 18.1% energy cost savings with all modeled energy uses included. The energy cost savings for 90.1-2010 versus 90.1-2007 with all energy uses included are used for this cost-effectiveness analysis.

## 1.2 State Adoption of Energy Codes

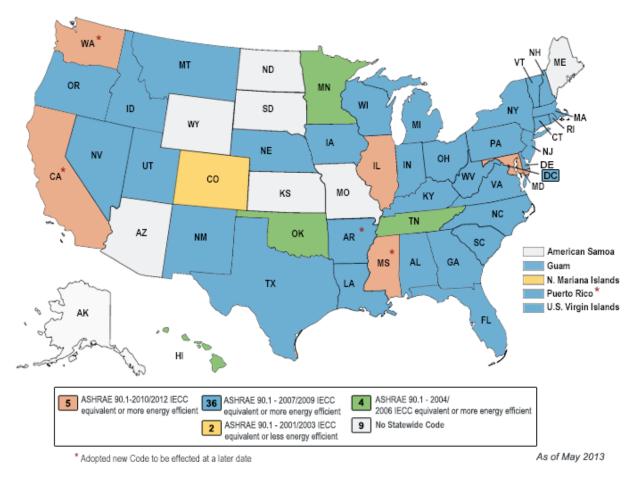
Standard 90.1 is not implemented or enforced by ASHRAE or DOE for individual buildings. States and local jurisdictions adopt and enforce building energy codes. For example, some states adopt the commercial provisions of the International Energy Conservation Code (IECC), which incorporates Standard 90.1 by reference. Some states choose to adopt Standard 90.1 directly as their code, while other states and local jurisdictions develop their own building energy code, or add local amendments (DOE 2012A).

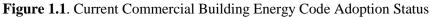
For each new edition of Standard 90.1, DOE issues a determination whether the new edition will improve energy efficiency in commercial buildings relative to the previous edition of Standard 90.1. The determination is required by Section 304 of the Energy Conservation and Production Act (ECPA, Public Law 94-385), as modified by the Energy Policy Act of 1992. DOE is required to publish the determination within one year after the newest edition of Standard 90.1 is published. On October 19, 2011, the *Federal Register* published the determination that 90.1-2010 will improve energy efficiency relative to 90.1-2007.

Once an affirmative determination is made, states are required by EPAct 1992 to certify that their commercial building code meets or exceeds the requirements of the new standard within two years.

(B)(i) If the [DOE] Secretary makes an affirmative determination under subparagraph (A), each State shall, not later than 2 years after the date of the publication of such determination, certify that it has reviewed and updated the provisions of its commercial building code regarding energy efficiency in accordance with the revised standard for which such determination was made. Such certification shall include a demonstration that the provisions of such State's commercial building code regarding energy efficiency meet or exceed such revised standard (DOE 2012B from ECPA, Public Law 94-385, Section 304, as amended by Section 101 of EPAct 1992).

DOE is required to provide technical assistance and funding to states to help them review and update state energy codes, as well as to implement, enforce, and evaluate compliance with state codes. DOE also is required to permit certification extensions if the state demonstrates a good faith effort to comply with its requirement and has made significant progress toward compliance. The cost-effectiveness analysis covered in this report is considered part of DOE's technical assistance effort to encourage states to adopt the newest edition of Standard 90.1 or its equivalent. States are at various stages of incorporating the latest edition of Standard 90.1 or its equivalent into their building codes. Figure 1.1 shows the current applicable energy standard or code that most closely matches the state's regulation (DOE2012A).





# 1.3 Contents of the Report

This report documents the approach and results for PNNL's analysis of the cost-effectiveness of 90.1-2010 compared to 90.1-2007. The cost-effectiveness analysis began with the energy savings analysis for development of 90.1-2010 which included energy model simulation using 16 prototype models in 17 climate locations. Six of the prototypes and five of the climate locations used for the savings analysis were selected to represent the building cost and energy and maintenance impacts of the changes in Standard 90.1 from 90.1-2007 to 90.1-2010. Chapter 2 provides an overview of the selected prototypes and climate locations utilized for this analysis.

The cost estimate for the cost-effectiveness analysis was started by describing each cost item sufficiently to provide the cost estimates. The cost items are developed based on addenda to 90.1-2007 incorporated into 90.1-2010 that were modeled for energy savings. Chapter 3 describes these addenda.

The cost estimate methodology and cost items are described in chapter 4, with a summary of the incremental costs is provided. An expanded summary of the incremental costs is also included in Appendix B of this report. The complete cost estimates are available in a spreadsheet *Cost-effectiveness of ASHRAE Standard 90.1-2010-Cost Estimate* (PNNL 2013). The cost-effectiveness analysis methodology and results are presented in Chapter 5.

The report has three appendixes. Appendix A includes prototype building descriptions for the six prototypes considered, reprinted from *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*. Appendix B includes a summary of incremental cost estimate data. Appendix C includes the energy analysis results for 90.1-2010 compared to 90.1-2007.

# 2.0 Building Prototypes and Climate Locations

PNNL provided technical support during the development of 90.1-2010 including building energy simulation to determine the energy savings between 90.1-2004 and 90.1-2010. PNNL developed 16 prototype building models which were simulated in 17 climate locations. This simulation process, referred to as the Progress Indicator (PI), resulted in periodic updates and a final assessment of the energy savings potential of changes in Standard 90.1 throughout the 90.1-2010 development cycle. These prototype models, their development, and the climate locations were described in detail in *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*. PNNL selected six of these prototype buildings simulated in five of the climate locations for the cost-effectiveness analysis to represent most of the energy and cost impacts of the changes in Standard 90.1. A subset of prototypes and climate locations was used to control the resources needed to complete the study.

# 2.1 Selection of Prototype Buildings

The six prototype models selected for the cost-effectiveness analysis are shown highlighted with all 16 prototypes in Table 2.1. These six prototypes were chosen for this analysis based on the following:

- They capture 38 of the 41 addenda to 90.1-2007 that were included in PNNL's simulation of energy savings for 90.1-2010. The remaining three addenda affect building systems that were not included in the prototypes.
- The prototypes include nearly all of the HVAC systems that were simulated in the 16 prototype models.
- The six prototypes chosen represent principal building activities that account for 81% of the new construction by floor area accounted for in the full suite of 16 prototypes.

Table 2.2 shows the six prototypes and their corresponding HVAC systems.

Principal Building Activity	Building Prototype	Included in Current Analysis
Office	Small Office	Yes
	Medium Office	No
	Large Office	Yes
Mercantile	Standalone Retail	Yes
	Strip Mall	No
Education	Primary School	Yes
	Secondary School	No
Healthcare	Outpatient Healthcare	No
	Hospital	No
Lodging	Small Hotel	Yes
	Large Hotel	No
Warehouse	Warehouse (non-refrigerated)	No
Food Service	Quick-service Restaurant	No
	Full-service Restaurant	No
Apartment	Mid-rise Apartment	Yes
	High-rise Apartment	No

Table 2.1. Prototype Buildings

 Table 2.2. HVAC Systems in Selected Prototypes

Building Prototype	Heating	Cooling	Primary System
Small Office	Heat Pump	Unitary direct expansion (DX)	Packaged constant air volume (CAV)
Large Office	Boiler	Chiller, cooling tower	Variable air volume (VAV) with reheat
Stand-alone Retail	Gas furnace	Unitary DX	Packaged CAV
Primary School	Boiler/Gas furnace	Unitary DX	Packaged VAV
Small Hotel	Electricity	DX	Packaged terminal air conditioner (PTAC)
Mid-rise Apartment	Gas	DX	Split DX system

## 2.2 Selection of Climate Locations

As energy usage varies with climate, there are 8 climate zones used by ASHRAE for residential and commercial standards. These eight climate zones cover the entire United States, as shown in Figure 2.1 (Briggs et al. 2003). Climate zones are numbered from 1 to 8, from hottest to coldest categorized by cooling and heating degree days. The climate zones are further divided into climate subzones by moisture characteristics into moist, dry, and marine regions; for example, climate subzone 3A, a warm humid

region, is part of the southern states. In total, there are 17 climate subzones. These climate zones and subzones may be mapped to locations outside the United States.

For the Standard 90.1 energy savings analysis, a specific climate location (city) is selected as a representative of each climate subzone. A set of 17 cities is used to represent the 17 climate conditions identified in Standard 90.1. Two of these cities are outside the United States, because the climate subzones they represent do not exist in the United States. Riyadh, Saudi Arabia, represents climate subzone 1B (very hot, dry) and Vancouver B.C., Canada, represents climate subzone 5C (cool, marine). The 17 cities representing the climate subzones are listed below with the five selected for the cost-effectiveness analysis shown in italics. These five selected climate subzones cover most of the high population regions of the U.S and include 79% of new construction by floor area (Thornton et al. 2011).

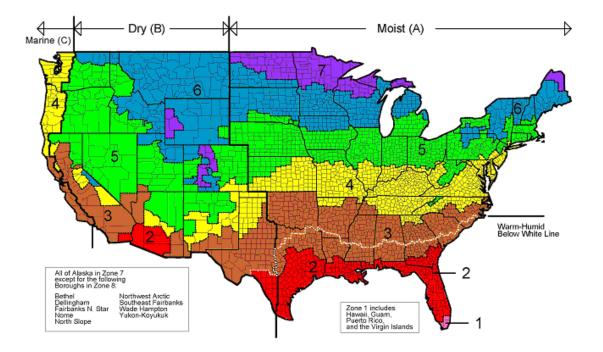


Figure 2.1. Climate Zone Map

Climate Zone	Climate Zone Type	Representative City	Included in Current Analysis
1A	Very Hot, Humid	Miami, FL	No
1B	Very Hot, Dry	Riyadh, Saudi Arabia	No
2A	Hot, Humid	Houston, TX	Yes
2B	Hot, Dry	Phoenix, AR	No
3A	Warm, Humid	Memphis, TN	Yes
3B	Warm, Dry	El Paso, TX	Yes
3C	Warm, Marine	San Francisco, CA	No
<b>4</b> A	Mixed, Humid	Baltimore, MD	Yes
4B	Mixed, Dry	Albuquerque, NM	No
4C	Mixed, Marine	Salem, OR	No
5A	Cool, Humid	Chicago, IL	Yes
5B	Cool, Dry	Boise, ID	No
5C	Cool, Marine	Vancouver, B.C., Canada	No
6A	Cool, Humid	Burlington, VT	No
6B	Cold, Dry	Helena, MT	No
7	Very Cold	Duluth, MN	No
8	Subarctic	Fairbanks, AK	No

Table 2.3 Climate Locations by Climate Subzones

## 2.1 Description of Selected Prototypes

Table 2.3 provides a brief overview of the six selected prototypes. *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010* provides further information. Included in Appendix A are profiles of each of the selected prototypes reprinted from *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*. These six profiles and the similar profiles for the other ten prototypes were included with *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*. These six profiles and the similar profiles for the other ten prototypes were included with *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010Goal*. The prototype Energy Plus models are available for download (DOE 2012c).

Building Prototype	Floor area (ft <sup>2</sup> )	Number of Floors	Window to Wall Ratio (WWR)	Floor- to-Floor Height (ft)	Roof	Exterior Wall	Occupancy (people/ 1000 ft <sup>2</sup> )	Plug Loads (W/ft <sup>2</sup> )	Interior 2 2007 (W/ft <sup>2</sup> )	Lighting 2010 (W/ft <sup>2</sup> )	Exterior 2007 (kW)	Lighting 2010 (kW)
Small Office	5,500	1	(WWK) 15%	10	Attic and Other	Wood Framed	5.6	0.63	1.00	0.92	1.54	0.93
Large Office	498,6 40	12 <sup>1</sup>	40%	13	Insulation above deck	Mass	5.0	0.73	1.00	0.93	60.2	53.7
Standalone Retail	24,69 0	1	7%	20	Insulation above deck	Mass	15.0	0.50	1.55	1.54	4.33	2.80
Primary School	73,97 0	1	35%	13	Insulation above deck	Steel Framed	20.0	1.00 <sup>3</sup>	1.19	1.05	5.22	3.40
Small Hotel	43,21 0	4	11%	$9 \\ 11^2$	Insulation above deck	Steel Framed	6.0	0.95 <sup>3</sup>	0.97	0.96	12.6	11.0
Mid-rise Apartment	33,74 0	4	15%	10	Insulation above deck	Steel Framed	2.3	0.56	0.40	0.39	4.42	2.39

 Table 2.4. Overview of Six Selected Prototypes

<sup>1</sup> These buildings also include a basement which is not included in the number of floors

<sup>2</sup> First floor only

<sup>3</sup> Excludes any kitchen and or laundry electrical equipment

# 3.0 Cost Estimate Items from 90.1-2007 Addenda

The number of addenda to 90.1-2007 approved during the three-year Standard 90.1 development cycle was unprecedented compared with previous updates to Standard 90.1. 90.1-2010 incorporated 109 approved addenda to 90.1-2007. In contrast, the last three updates of Standard 90.1 to 2001, 2004 and 2007 editions generated 34, 32 and 44 approved addenda, respectively.

Of the 109 addenda included in 90.1-2010, 41 were considered to have quantifiable energy savings, and were modeled in the 90.1-2010 energy savings analysis. The other addenda do not have quantifiable savings, had no savings, or do not affect the sections of 90.1 that directly impact building energy usage. The addenda were described in more detail in *Energy and Cost Savings Analysis of ASHRAE Standard* 90.1-2010.

Of the 41 addenda with quantified savings, 38 were modeled in the six prototypes being used for the cost estimate. The remaining three addenda affect building systems that were not included in the prototypes. Most of the addenda that were included in the cost estimate affect elements of the building HVAC and lighting systems. There were no addenda to 90.1-2007 included in 90.1-2010 for Chapter 7 Service Water Heating. Figure 3.1 shows the breakdown of addenda captured in the cost estimate by chapter of the standard.

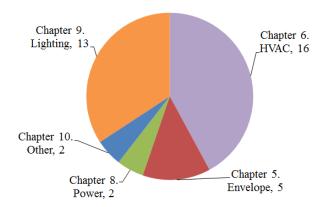


Figure 3.1. Quantity of Addenda Included in the Cost Estimate by Standard 90.1 Chapter

Table 3.1 provides a listing and a brief description of all he addenda included in the cost estimates, and the prototypes to which they apply. The changes due to these addenda are described in Chapter 4 of this report. Costs for HVAC were separated out for HVAC systems which deliver cooling and heating to the building spaces and for the central plant equipment which provides chilled and hot water to HVAC systems. Plant equipment is only included in the large office and primary school prototypes. Costs estimates include adjustments in HVAC system capacities due to the other changes in the models, particularly reduced heat gains from lighting power reductions.

Throughout this report, each addendum to Standard 90.1 is named according to a convention that begins with 90.1-07, followed by the letter identifier of the addendum (e.g., 90.1-07cb).

		Small Office	arge Office	Stand-alone Retail	Primary School	Small Hotel	Mid-rise Apartment
90.1 Addenda and Other Cost Items	Description	Smal	arg	Stane	Prim	Smal	Mid-
Standard 90.1	Chapter 6 Heating Ventilating and Air Conditioning						
HVAC System Capacity Changes	Changes in system equipment and ductwork capacity due to HVAC load differences	X	X	X	X	X	
90.1-07bw	PTAC and PTHP efficiency					Х	
90.1-07n and ca	Single zone system fan speed control			Х	Х		
90.1-07h	VAV dual minimum damper control		Х		Х		
90.1-07bh	VAV supply air temperature reset		Х		Х		
90.1-07ck	VAV system ventilation optimization		Х		Х		
90.1-07cb	Automatic dampers	Х		Х	Х		
90.1-07cy	Economizers	Х	Х	Х	Х	Х	
90.1-07e and dj	Energy recovery		Х	Х	Х		
90.1-07ax	Kitchen hood systems				Х		
HVAC Plant Capacity Changes	Changes in plant equipment and piping capacity due to load differences		Х		X		
90.1-07m	Chiller efficiency		Х				
90.1-07u	Cooling tower efficiency		Х				
90.1-07af and cc	Chilled water and condenser water pipe sizing relative to flow		Х				
90.1-07ak	Pump speed and pressure differential control		Х				
90.1-07aj	Motor efficiency	Х	Х	Х	Х	Х	
	Standard 90.1 Chapter 9 Lighting						
90.1-07by	General interior lighting power density (LPD)	Х	Х	Х	Х	Х	Х
90.1-07de	Lobby LPD	Х	Х	Х	Х	Х	
90.1-07x	Automatic lighting shutoff required, occupancy sensors option selected for prototypes	Х	X	Х	X	Х	x
90.1-07aa	Automatic lighting shutoff, type of occupancy sensor control required to be manual on/off rather than automatic on/off for some applications	X	X	X	x	X	X
90.1-07cf	Stairwell lighting control		Х	Х	Х	Х	Х
90.1-07aw	Hotel bathroom lighting control					Х	
90.1-07d and ab	Daylighting control, toplit areas		Х	Х	Х		
90.1-07ab and ct	Daylighting control, sidelit areas	Х	X		Х	X	-
90.1-07i	External lighting power	Х	Х	Х	Х	Х	Х

### Table 3.1. 90.1-2007 Addenda Cost Items

90.1 Addenda and Othe Cost Items	er Description	Small Office	Large Office	Stand-alone Retail	Primary School	Small Hotel	Mid-rise Apartment
	Standard 90.1 Chapter 5 Envelope						
90.1-07f	Roof reflectance	Х	Х	Х	Х	Х	Х
90.1-07q	Vestibules	Х					
90.1-07am	Window and door air leakage	Х	Х	Х	Х	Х	Х
90.1-07bf	Air barrier, air leakage	Х	Х	Х	Х	Х	Х
90.1-07bn	Fenestration orientation					Х	
90.1-07al and dd	Skylights required				Х		
Standard 90.1 Chapter 8 Power and Chapter 10 Other							
90.1-07o	Transformer efficiency		Х		Х		
90.1-07bs	Receptacle on/off control	Х	Х	Х	Х	Х	Х
90.1-07df	Elevator lighting and ventilation		Х			Х	Х

# 4.0 Incremental Cost Estimates

This Chapter describes the approach used for developing the incremental cost estimates, the description of the individual cost estimates, and a summary of the total incremental cost estimate results. The incremental cost estimates were developed for the purpose of evaluating the cost-effectiveness of the changes between 90.1-2007 and 90.1-2010. Costs for actual building projects should be developed separately and DOE and PNNL do not provide any support or responsibility for their use for any other purpose.

### 4.1 Incremental Cost Estimate Approach

The first step in developing the incremental cost estimates was to define the items to be estimated, such as specific pieces of equipment and their installation. Part of the cost item information was extracted from the prototype building energy model inputs and outputs, and from *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*. In some cases, the prototype models do not include sufficient design details to provide the basis for cost estimates and additional details were developed to support the cost estimating effort. These are described in Section 4.2 of this report along with the costs. A summary of the incremental costs is included in Appendix B of this report. The cost estimates are available in the spreadsheet *Cost-effectiveness of ASHRAE Standard 90.1-2010-Cost Estimate* (PNNL 2013). The second step in the cost estimate began by defining the types of costs to be collected. The cost estimates covered incremental costs for material, labor, construction equipment, commissioning, maintenance, and overhead and profit (OH&P). These costs were estimated for both for initial construction as well as, for replacing equipment at the end of its useful life.

The third step was to produce the cost estimates. PNNL worked with a cost estimating consulting firm, a mechanical, electrical and plumbing (MEP) consulting engineering firm, and a daylighting consultant, as well as utilizing its own expertise to develop cost information. RS Means cost handbooks were used extensively and provide nearly all of the labor costs (RS Means 2012A, 2012B, 2012c. Members of the 90.1 SSPC mechanical, lighting, and envelope subcommittees also provided cost information. New and replacement cost estimates were intended to approximate what a general contractor typically submits to the developer or owner and includes subcontractor and contractor costs and markups. Maintenance costs were intended to reflect what a maintenance firm would charge. Once initial costs were developed, a technical review was conducted by members of the 90.1 lighting and mechanical subcommittees, and PNNL internal sources.

#### 4.1.1 Source of Cost Estimates

Developing the costs required the expertise of professional cost estimators. Table 4.1 includes a description of all sources of cost estimates by category of costs (e.g. HVAC). HVAC cost items were developed primarily by two consulting firms. The cost estimating firm provided the cost for HVAC systems including packaged DX and chilled and hot water systems as well as central plant equipment. The engineering consulting firm provided most of the ductwork and piping costs, and most of the controls items. Costs were requested for several controls items such as supply air temperature reset from both sources for comparison. SSPC 90.1 members were also consulted for several items including cooling

tower efficiency, and VAV system controls. RS Means cost data was used for estimating labor costs by both consultants (RS Means 2012A).

For lighting and some HVAC items, PNNL developed costs. Resources used included the chairman of the 90.1 LSC, online sources and an outside consultant for daylighting costs. In addition to these summary tables, specific sources such as the name of product suppliers are included in the cost estimate spreadsheet (PNNL 2013).

Cost Category	Source					
HVAC	Cost estimator used quotes from suppliers and manufacturers, online					
Motors included in this category	sources, and their own experience.					
HVAC	MEP consulting engineers provided ductwork and plumbing costs based					
Ductwork, piping, selected controls	on one-line diagrams they created, and the model outputs, including					
items	system airflows, capacity and other factors, and provided detailed costs					
	by duct and piping components using RS Means 2012 Mechanical Cost					
	Data. The MEP consulting engineers also provided costs for several					
	control items.					
HVAC	PNNL utilized staff expertise and experience supplemented with online					
Selected items	sources.					
Lighting	PNNL staff with oversight from chairman of 90.1 LSC. Product catalogs					
Interior lighting power allowance	were used for consistency with some other online sources where needed.					
and occupancy sensors						
Lighting	PNNL staff and daylighting consulting firm.					
Daylighting						
Lighting	PNNL staff lighting designer involved with multiple exterior lighting					
Exterior lighting	initiatives particularly for parking lot lighting.					
Maintenance	From the originator of the other costs from the affected items, or PNNL					
	staff expertise.					
Commissioning	Cost estimator, MEP consulting engineers, or PNNL staff expertise.					
Labor	RS Means 2012 Mechanical, Electrical and Construction Cost Data and					
	Electrical Cost Data, the MEP consulting engineers for commissioning					
	rate.					
Replacement life	Lighting equipment including lamps, and ballasts from product catalogs.					
	Mechanical from 90.1 Mechanical Subcommittee protocol for cost					
	analysis.					

Table 4.1. Sources of Cost Estimates by Cost Category

#### 4.1.2 Cost Parameters

Several general parameters applied to all of the cost estimates. These items included new construction material and labor cost adjustments, a replacement labor hour adjustment, replacement material and labor cost adjustments, and a project cost adjustment. These parameters are described in Table 4.2.

Costs were not adjusted for climate locations. The climate location results were intended to represent an entire climate subzone even though climate data for a particular city is used for modeling purposes. Costs will vary significantly between a range of urban, suburban and rural areas within the five selected climate locations which cross multiple states. Costs can be adjusted for specific cities based on city cost index adjustments from RS Means or other sources.

Cost Items	Value <sup>1</sup>	Description <sup>2</sup>
New construction labor cost adjustment	52.6%	Labor costs used are base wages with fringe benefits. Added to this is 19%, 16% for payroll, taxes and insurance including worker's comp, FICA, unemployment compensation and contractor's liability and 3% for small tools. The labor cost plus 19% is multiplied by 25%, 15% for home office overhead, and 10% for profit. A contingency of 2.56% is added as an allowance to cover wage increases resulting from new labor agreements.
New construction material cost adjustment	15.0% to 26.5%	Material costs are adjusted for a waste allowance set at 10% in most cases for building envelope materials. For other materials such as HVAC equipment, 0% waste is assumed. The material costs plus any waste allowance are multiplied by the sum of 10% profit on materials, and sales taxes. An average value for sales taxes of 5% is applied.
adjustment	20.3%	Added labor hours for replacement to cover demolition,
Replacement - additional labor allowance	65.0%	protection, logistics, clean-up and lost productivity relative to new construction. Added prior to calculating replacement labor cost adjustment.
Replacement labor cost adjustment	62.3%	The replacement labor cost adjustment is used instead of the new construction labor cost adjustment for replacement costs. The adjustment is the same except for sub-contractor (home office) overhead, which is 23% instead of 15% to support small repair and replacement jobs.
Replacement material cost adjustment	26.5% to 38.0%	The replacement material cost adjustment is used instead of the new construction material cost adjustment for replacement costs. The adjustment is for purchase of smaller lots and replacement parts. 10% is added and then is adjusted for profit and sales taxes.
Project cost adjustment	28.8%	The combined labor, material and any incremental commissioning or construction costs are added together and adjusted for sub-contractor general conditions and for general contractor overhead and profit. Sub-contractor general conditions add 12% and include project management, job-site expenses, equipment rental and other items. A general contractor markup of 10% and a 5% contingency are added to the sub-contractor sub-total as an alternative to calculating detailed general contractor costs (RS Means 2012a).

Table 4.2. Cost Estimate A	Adjustment Parameters
----------------------------	-----------------------

1 Values shown and used are rounded to first decimal place.

2 Values provided by the cost estimator except where noted.

### 4.1.3 Cost Estimate Spreadsheet Workbook

The cost spreadsheet (PNNL 2013) is organized in the following sections, some with multiple worksheets, each highlighted with a different colored tab described in the introduction:

- 1) Introduction
- 2) HVAC cost estimates

- 3) Lighting cost estimates
  - a. Interior lighting power density
  - b. Interior lighting occupancy related controls
  - c. Daylighting controls
  - d. Exterior lighting
- 4) Envelope Power and Other cost estimates
- 5) Cost Estimate Summaries

Within the three cost estimate sections, there are several types of cost worksheets. Component costs (labeled with "comp" in the worksheet name) are the individual cost items not assigned to the particular prototype, such as the cost for a 400 W metal halide floodlight lamp. Prototype costs (labeled with "proto" in the worksheet name) are the assignment of component costs to the applicable prototypes and climate locations. For *HVAC costs*, which may vary significantly by climate locations, there is one cost sheet for each prototype. The *Interior Lighting LPD* section includes an additional worksheet which assigns costs to lighting space types which are then assigned to the prototypes. *Envelope Power and Other* combines component and prototype costs in one sheet. Results totals for new construction, maintenance and replacement over 40 years are shown for each prototype and climate location in the prototype cost worksheets.

There are two Cost Estimate Summary sheets. The first summary worksheet has costs with replacements for 29 years, with the residual value of items with useful lives that do not fit evenly in 30 years or that have a longer than 30 year life year included in year 30. The second summary worksheet extends the replacements for 39 years, and includes residual values in year 40. Residual values are discussed in Section 5.1.1.

### 4.2 Cost Estimate Descriptions

Cost estimate items are tied to each specific 90.1-2007 addendum as identified in the descriptions of the cost items in this section and as listed in Table 3.1. The remaining portion of this section provides more detailed descriptions of the additional information developed to establish the basis for estimating costs, as well as information about the cost estimates themselves. These are organized by major sections for HVAC, lighting, and power, envelope and other equipment.

#### 4.2.1 Heating, Ventilating and Air Conditioning

A substantial part of the HVAC system cost estimates were tied to changes in system and plant equipment capacity between the 90.1-2007 and 90.1-2010 for corresponding prototype and climate location models. Costs for capacity changes for HVAC system and plant equipment are described together in Section 4.2.1.1 of this report.

The other cost estimates were tied to specific 90.1-2007 addenda. There were a cluster of addenda that were targeted at variable air volume (VAV) systems to address the energy usage of these systems related to ventilation effectiveness and reheat. Changes in requirements for outdoor air damper control and economizers had a broad impact on HVAC systems in most prototypes. Plant equipment addenda primarily affected the Large Office, with heating related impacts on the primary school which includes VAV systems with hot water reheat. There was a net decrease in HVAC costs in some cases due to the decrease in capacity, airflow, and water flow offsetting increased costs from addenda that added costs.

#### 4.2.1.1 HVAC System and Plant Equipment Capacity Changes

Location in 90.1-2010:	Not covered by a specific section in 90.1-2010
Addenda:	None, but affected by all addenda that affect space HVAC loads such as lighting power density, 90.1-07by
Prototypes Affected:	A11

Costs were estimated to address changes in HVAC system and plant capacity between the 90.1-2007 and 90.1-2010 prototype models. The primary sources of capacity changes were from reductions in heating and cooling loads due to changes in lighting power and controls, energy recovery, infiltration, automatic outdoor damper control during morning warm-up, and roof reflectance.

The HVAC capacity changes are a substantial part of the HVAC cost differences. The costs are developed for a range of equipment sizes corresponding to the models. The HVAC capacity related equipment costs are the same for the same capacity equipment for 90.1-2007 and 90.1-2010 in the component cost worksheet. The costs differ in the prototype specific cost worksheets based on the capacity of the equipment extracted from the simulation models. Ductwork and piping cost results were calculated separately as a total cost for each combination of prototype and climate location, and values for 90.1-2007 and 90.1-2010 are different based on system airflow, or water flow.

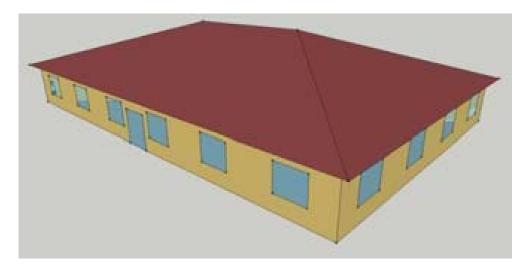
In most cases, the cost estimator provided equipment costs from two manufacturers and the average was used. Equipment costs were compared with RS Means 2012 when possible and were usually similar to the costs provided by the cost estimator. An exception was the RS Means AHU equipment costs which were substantially higher than the cost estimator values. Review of the air handler unit costs by the cost estimator with the suppliers who provided these costs determined that the costs were accurate. Therefore the lower costs from the consultant and suppliers were used rather than the RS Means values for this one item.

The component costs were assigned to the prototypes based on the capacity (e.g. tons of cooling, outdoor airflow, or other measure appropriate to the equipment) of the equipment in the model. A range of equipment costs for different sizes of a type of equipment were collected.

The costs associated with changes to 90.1 Chapter 10 requirements for motor efficiency were also included with the HVAC load change costs because motor size varied with the fan and pump sizes. Piping and ductwork costs (and some controls costs) were developed by the MEP consulting engineers. This effort included developing schematic level single line representative layouts of the ductwork and piping for each prototype. Detailed costs for these at the level of duct and pipe size and length, and all fittings

were developed based on the component by component costs from RS Means 2012. For some prototypes and climates, the differences in capacity were so close that there were very limited cost differences and separate costs were not developed. This is why some of these costs are identical to each other between climate locations for a given prototype.

For example, Figure 4.1 provides an exterior view of the Small Office prototype and an image of the air distribution layout provided by the MEP consulting engineers. Table 4.3 shows an example of the level of duct work detail developed. Costs for each air distribution element were estimated (primarily from RS Means 2012) and then summed up. For example, for the Baltimore climate location the 90.1-2007 material cost is \$5,692 and the 90.1-2010 cost is \$5,479.



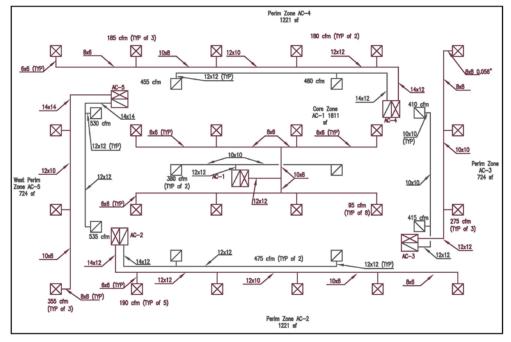


Figure 4.1. Small Office Air Distribution System

Description	Multiplier	Depth (in.)	Width (in.)	Area (ft <sup>2)</sup>	Duct Length (ft.)	Depth + Width	Duct Weight (lb.)	Item Qty.
Supply Side								
12x12 Duct	1	12	12	1.00	6	24	34.8	
SR5-14 Dovetail WYE	1	12	10	0.83		22		32.9
ER4-2, Transition, Pyramidal	1	10	8	0.56		18		17.3
10x8 Duct	2	10	8	0.56	4	18	34.7	
SR5-14 Dovetail WYE	1	8	6	0.33		14		20.9
8x6 Duct	4	8	6	0.33	7	14	85.5	
SR5-13 Tee, 45 degrees (Qs)	4	6	6	0.25		12		15.2
SR5-13 Tee, 45 degrees (Qb)	1	6	6	0.25		12		
6x6 Duct CR3-14 Elbow (1.5" Vane	4	6	6	0.25	20	12	182.4	
Spc)	4	6	6	0.25		12		4.0
6x6 Duct	8	6	6	0.25	2	12	36.5	
Damper $\Theta = 0^{\circ}$ , 6x6	8							8.0
Diffuser, 6x6	8							8.0
Return Side								
12x12 Duct	8	12	12	1.00	2	24	92.8	
SR5-14 Dovetail WYE	1	12	10	0.83		22		32.9
ER4-2, Transition, Pyramidal	2	10	10	0.69		20		38.7
10x10 Duct CR3-14 Elbow (1.5" Vane	2	10	10	0.69	15	20	145.2	
Spc)	2	10	10	0.69		20		2.0
10x10 Duct	2	10	10	0.69	2	20	19.4	
Damper $\Theta = 0^{\circ}$ , 10x10	2							2.0
Grille, NC 30 10"x10"	2							2.0
						Duct Weight	631.26	

Table 4.3. Small Office Duct Details for One HVAC System

#### 4.2.1.2 PTAC and PTHP Equipment Efficiency

Location in 90.1-2010: Table 6.8.1D

Addendum: 90.1-07bw

Prototype Affected: Small Hotel only

90.1-2007 Table 6.8.1D requires minimum efficiency levels for packaged terminal air conditioners (PTACs) and packaged terminal heat pumps (PTHPs). In 2008, DOE issued a Final Rule, which amends the existing energy efficiency levels for PTACs and PTHPs (DOE 2008). This amended federal energy conservation rule applies for both standard size and nonstandard size PTACs and PTHPs. 90.1-2010 includes more stringent efficiency requirements in Table 6.8.1D which adopts the federal mandatory efficiency standard.

Only the Small Hotel is affected. All PTACs modeled have a capacity of 9,000 Btuh. The 90.1-2007 efficiency is 10.6 EER; the 90.1-2010 efficiency is 11.1 EER. PTACs are commodity items, so PNNL

searched online for prices of this equipment. Finding units that matched these exact minimum efficiency values and any that were as low as the 90.1-2007 minimum efficiency requirement was difficult as such units are no longer readily available. In many cases there was little consistency in the price differences between different efficiency units. Costs from two different manufacturers were used from the same website (www.applianceconnection.com) resulting in a \$12 higher material cost for the 90.1-2010 case. The 90.1-2007 units had an efficiency of 10.5 EER, and the 90.1-2010 case was based on two units with corresponding EER 11.3 and 11.4.

#### 4.2.1.3 Single-Zone VAV

Location in 90.1-2010:	Section 6.4.3.10
Addenda:	90.1-07n and 90.1-07ca
Prototypes Affected:	Standalone Retail and Primary School

90.1-2007 does not require HVAC systems serving a single zone to have fan speed control; a constant speed fan is allowed in a HVAC system that serves a single zone.

90.1-2010 Section 6.4.3.10 requires that VAV fan control (either a two-speed motor or a variable speed drive) be used for single zone units above certain size thresholds (single-zone VAV). These systems must comply with the constant volume fan power limitation in 90.1 Section 6.5.3. Depending on the cooling coil type, the size thresholds are as follows:

- for air-handling units with chilled-water cooling coils, if the supply fan motor power is 5 hp or larger, and
- for air-handling units with DX cooling coils, if the DX cooling capacity at ARI rated conditions is 110,000 Btu/h or greater.

For the cost estimate, achievement of the requirement was assumed to be through the addition of a VFD. With the declining costs of VFDs, use of two-speed motors is becoming less common. Costs for a range of VFD sizes were estimated. The affected systems in the prototypes are all packaged constant volume DX units, so the VFD sizes and costs were assigned based on total cooling capacity, to equipment with capacity above the 110,000 Btu/h threshold. Packaged DX cooling equipment in the size range impacted by this addendum typically include cooling capacity modulation, so no added cost was assumed for that control.

### 4.2.1.4 VAV Dual Minimum Damper Position Control

Location in 90.1-2010:	Section 6.5.2.1 Exception 1.b.
Addendum:	90.1-07h
Prototypes Affected:	Large Office and Primary School

90.1-2007 requires zone thermostatic controls that prevent simultaneous operation of heating and cooling systems to the same zone. Exceptions are available for VAV systems that reheat cooled air to

prevent overcooling provided that airflow is minimized before reheat occurs. One exception, 1.A. limits the zone reheat airflow to 30% of the design peak airflow.

90.1-2010 introduces another alternative, exception 1.b. known as dual minimum damper position control. This exception requires that airflow not exceed 20% during dead band operation when there is no cooling or heating, and up to 50% during peak heating demand. Modulation of the damper position between 20% and 50% is required during partial cooling and heating. Both of these exceptions include similar provisions that are based on outdoor air requirements, or energy usage, which are not considered relevant to the cost estimate.

Based on input from members of the 90.1 MSC, cost estimator, and the MEP consulting engineers, no added costs were estimated for this control option. The prototype VAV systems were assumed to have direct digital control (DDC) systems with control of the VAV terminal units in each zone in order to comply with other VAV control requirements in Standard 90.1-2007 and Standard 62.1. This control can readily achieve the dual minimum damper control by activating a control sequence that is normally available without addition of any sensors, actuators, or other equipment.

## 4.2.1.5 VAV Supply Air Temperature Reset

Location in 90.1-2010:	Section 6.5.3.4
Addendum:	90.1-07bh
Prototypes Affected:	Large Office and Primary School

90.1-2007 does not require multi-zone HVAC systems to include supply air temperature reset. Supply air system temperature would typically be maintained at a constant supply air temperature, commonly around 55°F.

90.1-2010 requires multi-zone HVAC systems to reset supply air temperature based on changes in building loads or outdoor air temperature. This provision requires that systems be capable of resetting supply air temperature by at least 25% of the difference between full load supply air temperature and space temperature setpoint when cooling required is less than full load. This is typically a reset of 5°F from 55°F to 60°F based on a full load supply air temperature of 55°F, and a space temperature of 75°F. The provision also requires that zones which experience relatively constant loads, such as electronic equipment rooms and some interior zones be designed for the fully reset supply air temperature, potentially requiring an increase in air distribution equipment including ductwork, terminal units, and diffusers. Humid climate subzones 1A, 2A, and 3A are exempt from the requirements because humidity control issues can result from higher supply air temperatures.

There are two methods allowed by 90.1-2010 to control supply air temperature reset. The first method of implementing the control strategy is to reset the temperature in response to outdoor air temperatures based on an outdoor temperature reset schedule. The other method is based on providing cooling at the minimum airflow in the warmest zone at the highest supply air temperature possible. The outdoor reset temperature method is modeled for the 90.1 energy savings analysis (see *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*).

The prototype VAV systems were assumed to include DDC systems including control of the VAV terminal units in each zone in order to comply with other VAV control requirements in Standard 90.1-2007 and Standard 62.1. This type of control can readily achieve the supply air temperature outdoor air reset control by activating a control sequence that is normally available without addition of any sensors, actuators, or other equipment.

The additional requirement applies to the VAV systems in the selected climate subzones 3B, 4A and 5A for the large office buildings and the primary school. The MEP consulting engineers and the cost estimator concluded that this strategy could be implemented without adding equipment or installation costs. The MEP consulting engineers provided an added cost for commissioning based on 4 man-hours of programming and 4 man-hours of commissioning for each affected VAV system.

As discussed above, zones which experience relatively constant loads may need to be designed for increased airflow in order to meet load at the fully reset supply air temperature. When analyzing the cost-effectiveness of this particular addendum, the 90.1 MSC used an estimate of \$0.19 per square foot of interior building area served by HVAC systems affected by the control requirement to account for increased air distribution equipment. This cost was added to a portion of the interior zones of the large office with relatively constant loads.

For the primary school, all of the spaces served by the VAV systems are exposed to exterior solar and outdoor air temperature loads through the roof and in most cases through the exterior walls and windows so the loads are not constant. It was assumed that ductwork is already designed for larger loads at peak conditions and is capable of handling interior loads from lighting, equipment and occupants in lower external load conditions when the supply air temperature is being reset.

## 4.2.1.6 VAV System Ventilation Optimization Control

Location in 90.1-2010:	Section 6.5.3.3
Addendum:	90.1-07ck
Prototypes Affected:	Large Office and Primary School

90.1-2007 does not include requirements for reset of outside air volume to account for changing ventilation efficiency as VAV system airflow changes. 90.1 -2007 just references Standard 62.1-2004 as the reference standard for ventilation which governs multi-zone ventilation, and results in a fixed volume of outside air being provided whenever the system is operating (outdoor airflow will vary if demand controlled ventilation is required). Furthermore, the volume of air is generally greater than the sum of the minimum prescriptive outdoor air required at each zone under the multi-zone provisions in Standard 62.1.

90.1-2010 includes a new Section, Section 6.5.3.3, Multiple-zone VAV System Ventilation Optimization Control. This includes a requirement that multiple-zone VAV systems automatically reduce outdoor air intake flow in response to changes in system ventilation efficiency, as defined by Standard 62.1-2007. The requirement applies to systems with DDC control of individual terminal units. Essentially, this control requires continuously calculating outside air requirements based on zonal damper positions using the multi-zone system method in Standard 62.1 Appendix A. A detailed description of this control strategy and the multi-zone calculations is included in *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*.

VAV systems typically require DDC control of zone dampers and outdoor airflow sensors in the AHU or roof top unit (RTU) in order to meet other provisions of Standard 90.1.2007 and requirements under Standard 62.1. Added costs for ventilation optimization control were limited to programming and checkout/commissioning. Costs include 30 minutes programming for each perimeter zone, and 1 hour (2 people, 30 minutes each) for commissioning per perimeter zone. Costs were applied based on the number of perimeter zones for each affected prototype system. The specific values used were from the MEP consulting engineers with similar information provided by the cost estimator and the 90.1 MSC.

## 4.2.1.7 Automatic Outdoor Air Dampers

Location in 90.1-2010:	Section 6.4.3.4.2
Addendum:	90.1-07cb
Prototypes Affected:	Small Office, Standalone Retail and Primary School

90.1-2007 Sections 6.4.3.4.2 and 6.3.4.3.3 allow non-motorized rather than motorized dampers with automatic shut-off control for outdoor air intakes, ventilation inlets, and exhaust and relief systems for buildings under three stories in height above grade, and any height in climate zones 1, 2 and 3, and for systems with outdoor airflow under 300 CFM. Section 6.4.3.4.4 excludes non-motorized dampers in climate zones 1, 2, 6, 7 and 8. Systems that qualify for the simplified approach to compliance under 90.1-2007 Section 6.3 are not subject to the damper control requirements at all.

90.1-2010 combines the damper requirements into one Section 6.4.3.2, removes the building height and climate exceptions for outdoor air intakes separate from other damper applications, and adds compliance with the damper control requirements to Section 6.3. There are other elements to these requirements, but these preceding sentences covers those relevant to the cost estimate.

As a result of these changes, some outdoor air dampers in the 90.1-2007 prototype models that were non-motorized are required to be motorized in the 90.1-2010 models. For the cost estimate, the Standalone Retail, Primary School, Small Office and Mid-rise Apartment (common area HVAC systems) which are less than three stories change to motorized outdoor air intake dampers.

Cost estimates for non-motorized and motorized dampers for a range of outdoor airflows were provided by the cost estimator and applied to the prototypes in sizes according to the modeled outdoor airflows. Incremental annual maintenance costs for motorized compared to non-motorized dampers were estimated by PNNL from several sources including prior experience, *RS Means Facilities, Maintenance and Repair Guidebook* for selected labor hours (RS Means 2004) and an online product catalog (Nextag 2012). Maintenance costs include an annual check including verifying that motors are rotating smoothly and set screws are adjusted properly. Actuators need to be replaced approximately every three to five years.

## 4.2.1.8 Economizer

Location in 90.1-2010:	Section 6.5.1
Addendum:	90.1-07cy
Prototypes Affected:	Large Office, Standalone Retail, Primary School, and Small Hotel

Standard 90.1-2007 requires most HVAC systems in most climate zones to include air-side or waterside economizers. 90.1-2010 includes many changes from 90.1-2007 that increase the prevalence of the economizer requirements. The primary changes that result in significant energy savings and increased equipment costs include increasing the number of climate subzones in which systems are required to have economizers and lowering the cooling capacity size limit above which an economizer is required.

- Economizer requirements were added for climate subzones 2A, 3A, and 4A, which were formerly exempt. Climate zones 1A and 1B remain exempt.
- The cooling capacity above which an economizer is required is reduced to 54,000 Btu/h for all climate subzones except 1A and 1B. This change reduces the threshold down from 135,000 Btu/h for climate zones 2B, 5A, 6A, 7 and 8 and down from 65,000 Btu/h for climate zones 3B, 3C, 4B, 4C, 5B, 5C, and 6B.

Eliminating the exceptions for nonintegrated economizers also affected energy savings. However the change only requires modification to the equipment set-up and installation, and the professional cost estimator determined that this change does not add equipment costs.

Economizers were added to HVAC systems in the selected prototypes as follows:

- Small Office and Mid-rise Apartments none, all systems are smaller than the old and new cooling capacity thresholds
- Large Office and Primary School added to climate subzones 2A, 3A, and 4A.
- Standalone Retail and Small Hotel added to climate subzones 2A, 3A, and 4A, and for selected systems in 3B and 5A with cooling capacity that falls above the new size threshold

Economizer cost estimates for a range of outdoor airflows were provided by the cost estimator and applied to the prototypes as modeled according to the requirements in the respective editions of Standard 90.1.

Annual maintenance costs for the HVAC systems with added economizers were estimated by PNNL from several sources including staff experience, *RS Means Facilities, Maintenance and Repair Guidebook* for selected labor hours (RS Means 2004) and an online product source (Nextag 2012). Maintenance includes verification that the economizer opens, closes, and appears to function properly and replacement of actuators every three to five years.

## 4.2.1.9 Exhaust Air Energy Recovery

Location in 90.1-2010: Section 6.5.6

Addenda:	90.1-07e and 90.1-07dj
Prototypes Affected:	Large Office, Standalone Retail, and Primary School

90.1-2007 requires that exhaust air energy recovery ventilators (ERVs) be used if a fan system supply air capacity is 5,000 CFM or larger, and the design minimum outdoor air supply is 70% or more of the design supply air.

90.1-2010 greatly expands the application of energy recovery by establishing a range of values for systems, in some cases lowering the thresholds for design supply air capacity with lower ratios of outdoor air varying by climate subzone, as shown in Table 4.4 in this report.

	Outdoor Air Fraction at Design Air Flow Rate					
	30-40%	40-50%	50-60%	60-70%	70-80%	≥80%
Climate zone		Design	Supply Fan A	irflow Rate (O	CFM)	
3B, 3C, 4B, 4C, 5B	$NR^1$	NR	NR	NR	≥5,000	≥5,000
1B, 2B, 5C	NR	NR	≥26,000	≥12,000	≥5,000	≥4,000
6B	≥11,000	≥5,500	≥4,500	≥3,500	≥2,500	≥1,500
1A, 2A, 3A, 4A, 5A, 6A	≥5,500	≥4,500	≥3,500	≥2,000	≥1,000	$\geq 0$
7, 8	≥2,500	≥1,000	$\geq 0$	$\geq 0$	$\geq 0$	$\geq 0$

#### Table 4.4. Energy Recovery Requirements by Climate Zone and Outdoor Air Fraction

<sup>1</sup> NR not required

Energy recovery ventilators were added to HVAC systems in the selected prototypes as follows:

- Small Office, Small Hotel, and Mid-rise Apartment none, no systems meet the thresholds established in Table 4.4
- Large Office, Standalone Retail and Primary School added to selected systems in climate subzones 2A, 3A, and 4A and 5A

The cost estimate was based on energy recovery wheel type systems with similar equipment added to AHU or RTU equipment. The added cost was estimated as \$3.75 per CFM of outside air (Witte and Henninger 2006).

Maintenance for an ERV is similar to that for a packaged DX unit and includes lubrication, checking dampers, adjusting belts, replacing filters, checking door seals and cleaning coils. PNNL estimated annual maintenance costs from two sources. *RS Means Mechanical Cost Data 2012* provided a rough estimate for a set of routine packaged DX maintenance activities that total about 2.5 man-hours. Cleaning of the energy recovery media is also included with maintenance, and can take about 15 minutes with frequency from every six months to 10 years depending on conditions, so the estimate included 15 minutes each year (AirXchange 2012).

## 4.2.1.10 Kitchen Exhaust Hoods

Location in 90.1-2010:	Section 6.5.7
Addendum:	90.1-07ax
Prototype Affected:	Primary School

90.1-2007 has make-up air requirements for individual kitchen exhaust hoods with exhaust airflow greater than 5,000 CFM. The 90.1-2007 Primary School kitchen exhaust is modeled as 5,000 CFM based on input from ASHRAE Technical Committee TC5.10 members (Kitchen Ventilation) and is not affected by the requirements.

90.1-2010 includes multiple provisions that affect the design and exhaust volume and the costs of kitchen exhaust systems hoods.

- The exhaust maximum airflow values in 90.1-2010 Table 6.5.7.1.3 are 30% below the values allowed in ASHRAE Standard 154-2003. For the energy savings analysis, it was assumed that many kitchen exhaust systems already meet these lower exhaust airflow requirements, and the modeled 90.1-2010 exhaust airflow was set 10% below the value included for the 90.1-2007 case. The 90.1-2010 kitchen exhaust air volume was set as 4,500 CFM.
- Transfer air from surrounding spaces on the same floor as the kitchens are required to be used as the first source of exhaust makeup air. No costs are identified for this, and the assumption is that this air can be transferred through doorways and other openings between spaces. Differences in the makeup air volume for the case with the use of transfer air compared to 100% make-up air were accounted for under the general HVAC capacity costs (see Section 4.2.1.1 in this report).

The difference in costs specifically identified for this provision was for the two different sized exhaust fans. Equipment cost was obtained from an online restaurant equipment catalog (Restaurant Max Inc. 2013), and labor costs from *RS Means Mechanical Cost Data 2012* for a similar exhaust fan.

## 4.2.1.11 Chilled Water and Condenser Water Pipe Sizing

Location in 90.1-2010:	Section 6.5.4.5
Addenda:	90.1-07af and 90.1-07cc
Prototype Affected:	Large Office

90.1-2007 does not include requirements for chilled and condenser water pipe sizing and pipe sizing in the cost estimate was based on standard design practice as recommended by the SSPC 90.1 Mechanical Subcommittee. Section 6.5.4.5 of 90.1-2010 adds Table 6.5.4.5 which sets minimum pipe sizes for a given flow resulting in large piping being required in the 2010 office prototype. *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010* includes a table which compares these flow requirements.

Piping costs were estimated as part of the HVAC capacity change costs described in Section 4.2.1.1 in this report, and these costs included the differences in pipe sizing under 90.1-2010 compared to previous design practice.

## 4.2.1.12 Hydronic Variable Flow Control

Location in 90.1-2010:	Section 6.5.4.1
Addendum:	90.1-07ak
Prototype Affected:	Large Office

The cost increases from changes from 90.1-2007 to 90.1-2010 in Section 6.5.4.1 for hydronic system pumping were due primarily to two changes. The threshold for variable flow control for individual chilled water pumps was lowered from 50 motor horsepower (hp) to 5 hp. Variable flow pumping is required to be controlled through differential pressure setpoint reset.

The Large Office is the only prototype affected by the hydronic flow control requirement. The Large Office includes chilled water pumps with motor sizes between 5 hp and 50 hp that have added costs for VFDs, and differential pressure setpoint control in the 2010 prototypes.

Costs for VFDs for a range of sizes were estimated with the HVAC equipment capacity change costs described in Section 4.2.1.1 in this report, and were assigned to the appropriate pump costs for the Large Office. The Large Office prototype was assumed to include a DDC system for both editions of Standard 90.1, typical for buildings of this size, which includes control of pumping. Adding pump differential pressure setpoint reset control is estimated by the MEP consulting engineers to not include any additional equipment, only two man-hours of programming and implementation and two man-hours of commissioning.

## 4.2.1.13 Open-Circuit Cooling Tower Performance

Location in 90.1-2010:	Section 6.4.1.1 and Table 6.8.1G
Addendum:	90.1-07u
Prototype Affected:	Large Office

90.1-2007 Section 6.5.5 requires cooling towers to meet the following performance criteria at standard rating conditions (95°F entering water, 85°F leaving water, and 75°F wb outdoor air).

- For propeller or axial fan cooling towers, the maximum flow rating of the tower divided by the fan nameplate rated motor power must be at least 38.2 gpm/hp.
- For centrifugal fan cooling towers, the maximum flow rating of the tower divided by the fan nameplate rated motor power must be at least 20.0 gpm/hp.

90.1-2010 requires that centrifugal fan open-circuit cooling towers with rated capacity of 1,100 gpm or greater meet the more stringent performance requirement of axial fan open-circuit cooling towers (at least 38.2 gpm/hp).

The Large Office prototype cooling towers meet the corresponding cooling tower efficiency requirements. However, based on information from the 90.1 MSC, the cost estimator, and cooling tower manufacturers, no cost was added for more efficient cooling towers for the 90.1-2010 case. Axial fan cooling towers require about half the fan power for a given cooling capacity, and cost about the same or less than an equivalent capacity centrifugal fan cooling tower. Due to lower fan power with no increase in cost, axial fan cooling towers will generally be applied to meet the 90.1-2010 requirements, rather than trying to find centrifugal fan cooling towers that can meet the higher efficiency requirement. Centrifugal cooling towers will continue to be used when needed for noise control and space limitations as allowed by exceptions in the 90.1-2010 requirements.

## 4.2.1.14 Chiller Efficiency Improvements

Location in 90.1-2010:	Section 6.4.1.1 and Table 6.8.1C
Addendum:	90.1-07m
Prototype Affected:	Large Office

The minimum efficiency requirements for air-cooled and water-cooled chillers are specified in 90.1-2007 Section 6.4.1.1 under Table 6.8.1C. Standard 90.1-2010 increases the efficiency required, and expands the options for meeting the minimum efficiency requirements into two paths for water-cooled chillers, path A which raises the minimum full and part load efficiency, and path B which raises minimum part load efficiency even further, and raises or in some cases reduces somewhat full load efficiency. The 90.1-2010 Large Office prototype chillers meet the requirements under path B. Path B chillers achieve their part load efficiency improvement by incorporating a variable frequency drive (VFD).

The cost estimator developed costs for the 90.1-2007 efficiency equipment under the HVAC general load capacity costs in Section 4.2.1.1 in this report. Incremental equipment cost for chillers that meet 90.1-2010 path B efficiency were found by subtracting the 90.1-2007 efficiency chiller cost from the higher 90.1-2010 efficiency chiller costs at the range of chiller capacities that cost estimates were provided for. Labor costs were assumed to be the same for same sized chillers of different efficiency. Table 4.5 in this report shows the minimum efficiency requirements for water-cooled centrifugal chillers.

Equipment Type	Size Category	90.1-2007 Minimum Efficiency	90.1-2010 Path A Minimum Efficiency	90.1-2010 Path B Minimum Efficiency
Water Cooled, Centrifugal	< 150 tons	0.703 kW/ton and 0.669 IPLV <sup>1</sup>	0.634 kW/ton and 0.596 IPLV	0.639 kW/ton and 0.450 IPLV
	$\geq$ 150 tons and < 300 tons	0.634 kW/ton and 0.596 IPLV		
	$\geq$ 300 tons and < 600 tons	0.576 kW/ton and 0.549 IPLV	0.576 kW/ton and 0.549 IPLV	0.600 kW/ton and 0.400 IPLV
	$\geq$ 600 tons		0.570 kW/ton and 0.539 IPLV	0.590 kW/ton and 0.400 IPLV

Table 4.5. 90.1-2007 and 90.1-2010 Chiller Efficiencies

<sup>1</sup> IPLV – integrated part load value

## 4.2.2 Lighting

90.1-2010 incorporates major changes that reduce lighting energy usage. Basic lighting power density (LPD) requirements were changed for both interior and exterior lighting. For the first time, addenda introduce rules that require access to daylight and daylighting controls. Significant controls requirements were added or changed for both interior and exterior lighting.

### 4.2.2.1 Addendum 90.1-07by and 90.1-07de: Interior LPD Allowance

Location in 90.1-2010:	Section 9.2.2.3 and Table 9.6.1
Addenda:	90.1-07by and 90.1-07de
Prototypes Affected:	All six

90.1-2007, Chapter 9, includes requirements for maximum LPD in watts per square foot (W/ft<sup>2</sup>). Two prescriptive methods are allowed and tables of maximum LPD values are provided. The primary compliance path uses Table 9.5.1 which includes LPDs that are applied to an entire building area. An alternative path uses Table 9.6.1, which allows assignment of maximum LPDs to specific space types. 90.1-2010 includes a full update of both sets of LPD values. The maximum allowed LPD values decrease generally with some exceptions.

Tables 9.5.1 and 9.6.1 in 90.1-2007, and 90.1-2010 are not included in this report and can be viewed in those different editions of Standard 90.1.

Part of the basis for the interior lighting power cost development was a set of lighting building space models that were used by the 90.1 LSC to develop the maximum allowed LPD values.

The models incorporate interior lighting design elements including:

- Illuminating Engineering Society (IES) recommended light levels in footcandles (FC),
- Light source efficacy, lumens/watt (lm/W),
- Lamp, fixture, and room surface light loss factors,

• Fixture coefficient of utilization (CU) related to expected room geometry.

In developing the LPD limits, 90.1 LSC design experts determined an appropriate application mix of fixture types and lighting sources and what portion of the recommended light level(s) is provided by each combination. The mix of lighting technology for each space type was defined for both 90.1-2007, and 90.1-2010. Finally, the combined lamp efficacy, loss factors, and CU values for the various fixtures and sources were used to calculate the wattage needed to provide the recommended level of lighting.

Each space type or building area type was assigned up to four lighting systems each of which provided an assigned percentage of the overall total illumination for that space. These percentages determined the quantity per square foot of each fixture and luminaire type and the respective lighting power in watts.

Material and labor costs were estimated for each fixture type and lamp type. These costs were applied to the lighting design information to calculate a cost/ft<sup>2</sup> for each space type or building area type. In cases where the LSC incorporated a significant shift in lighting design philosophy from 2007 to 2010 resulting in a change to lighting technology unrelated to a change in LPD, one of the designs was selected, and adjustments were made in the quantity of fixtures installed while maintaining similar fixture types. For example, enclosed offices had higher-priced direct/indirect fixtures in the 2007 design compared to direct linear fixtures in the 2010 design. To maintain cost equity, the 2010 design was used for both, with an adjustment in total fixtures included to match the LPD change.

Fixture (including ballast and lamp) costs were determined using Grainger's online catalog (Grainger 2012). Other online catalogs were used for fixture/lamp costs when Grainger did not carry the product (Amazon 2012; BuyLightFixtures 2012; Goodmart 2012). *RS Means Electrical Cost Data 2012* was used for labor costs and for a few lighting equipment items not available in the other sources (RS Means 2012B). Besides cost, lamp life and complete connected luminaire wattage per fixture were recorded. Fixture cost per Watt (\$/W) was calculated by dividing the total cost by the fixture wattage.

The formula used to calculate the cost per fixture types is:

Cost per ft<sup>2</sup> per fixture type = (total illumination, lumens  $\times$  percentage of lumens provided by fixture type  $\times$  fixture %/W) / efficacy of the lighting system in lm/W.

The total cost per space type,  $ft^2$  was determined by combining the costs per fixture per ft<sup>2</sup> in proportion to the percentage of total illumination provided by each fixture described above. The cost per space type,  $ft^2$  was multiplied by the area of each space type represented in each prototype to determine the total interior lighting power cost for each prototype.

Replacement life for each lamp and ballast was determined by dividing the lamp or ballast life by the annual full load equivalent hours from the corresponding energy model schedule for the assigned space type (modeling schedules were described in *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*). Replacement costs were separated into the different replacement lives, for example, a space type may have included lamp replacement costs every three years and every five years for two different types of lamps.

## 4.2.2.2 Occupancy Sensor Control of Interior Lighting

Location in 90.1-2010:	Sections 9.4.1.2 Exception b. and 9.4.1.6 c. and g, and 9.4.4.
Addenda:	90.1-07x, aa, cf, and aw
Prototypes Affected:	At least one space type in all six prototypes

Both 90.1-2007 and 90.1-2010 include requirements for automatic controls that turn off lights when spaces are unoccupied for a period of time after occupants leave the space. 90.1-2010 adds space types required to meet the requirements, and when occupancy sensors are used, these must be a newer type of sensor, manual on/auto off, which only turns off automatically and must be switched on, for some space types, rather than conventional automatic on/off control. For the cost estimate, the automatic controls were assumed to be occupancy sensors, the most common method used. 90.1-2010 Section 9.4.4 introduces functional testing requirements (part of the commissioning effort for lighting controls.

In 90.1-2010 automatic control requirements were added for:

- Lecture halls and non-shop or laboratory classrooms, and all other classrooms in preschool through 12th grade were added to classrooms
- Training rooms were added to conference and meeting rooms
- Storage and supply rooms between 50 ft<sup>2</sup> and 1,000 ft<sup>2</sup>, copy and printing rooms, offices up to 250 ft<sup>2</sup>, restrooms, and dressing, locker, and fitting rooms
- Interior stairwells
- Hotel guest room bathrooms

Manual on/auto off occupancy sensors are required when occupancy sensors are applied except in public corridors and stairwells, restrooms, primary building entrances, and areas where manual on control would endanger safety or security.

The cost estimate began by determining how many and what type of sensors are required in the affected space types. Because spaces in the models vary in size and there are many spaces, developing a specific design for each modeled space was not practical. Instead, representative spaces and occupancy control types (such as for small bathrooms) were developed. These spaces were based on the range of space sizes and space types in the models, and the typical space dimensions in the NC3 database developed by PNNL to characterize commercial construction (Thornton et al. 2011, Richman et al. 2008). For each representative space, types of sensor were determined. Each type of sensor was estimated to serve up to a defined area. were The quantity of sensors applied to the space types in the model was found by dividing the area of the space types by the area served per sensor. Table 4.6 shows the types of occupancy sensors considered.

Cost estimates for each type of occupancy sensor including equipment costs were found in the Grainger catalog online, and labor costs in the *RS Means Electrical Cost Data* (Grainger 2012, RS Means 2012B).

Control Type	Sensor Equipment Type
Auto on/off	Wall mount infrared
Auto on/off	Wall mount ultrasonic
Auto on/off	Wall mount infrared and ultrasonic
Auto on/off	Ceiling mount infrared
Auto on/off	Ceiling mount ultrasonic
Auto on/off	Ceiling mount infrared and ultrasonic
Auto on/off	Ceiling mount infrared and ultrasonic
Manual on/off	Wall mount infrared
Manual on/off	Wall mount infrared
Manual on/off	Wall mount ultrasonic
Manual on/off	Wall mount infrared and ultrasonic
Manual on/off	Ceiling mount infrared
Manual on/off	Ceiling mount infrared

Table 4.6. Occupancy Sensor Control Types

These costs were applied to the prototypes according to the space type areas in the prototypes. For each space type, the area was divided by the appropriate representative controlled space from the occupancy sensor details. This resulted in the number of controlled spaces. Costs per controlled space types were multiplied by the number of controlled spaces. Costs were applied to both 90.1-2007 and 90.1-2010 prototypes for space types required to include automatic controls.

90.1-2010 requires functional testing to verify that occupancy sensors operate effectively and within the time limits required by 90.1-2010. Commissioning costs focused on 90.1-2010 required functional testing were estimated based on review of three documents. Energy Efficiency Factsheet (WSU 2005) estimates that building commissioning is between two to four percent of the construction cost of the system. Fimek states that lighting control start-up and commissioning is 6-7% but it does not specify what the percentage is applied to (Fimek 2011). This is assumed to be 7% of the cost of lighting controls including labor. Peterson provides a variety of estimates (Peterson and Haas11994):

- Northeast utility uses \$0.20-0.67/ft<sup>2</sup>
- Northwest utility uses 6% of total measure cost
- Commissioning agents use 1-4% of total measure cost or \$0.01-0.10/ft<sup>2</sup>

Based on these documents, the range of commissioning costs for lighting controls is 1% to 7% of the total lighting controls costs including labor with an average of 4%. Applying the 4% value to the lighting controls costs for the prototypes results in an added cost of  $0.01/\text{ft}^2$ . This falls within the range of potential costs identified for commissioning in the review and 4% of the total control costs were used for incremental commissioning cost of the controls.

## 4.2.2.3 Daylighting Controls

Location in 90.1-2010:	Sections 9.4.1.4, 9.4.1.5 and 9.4.4
Addenda:	90.1-2007 d, ab, al, dd and ct
Prototypes Affected:	All selected prototypes except Mid-rise Apartment

90.1-2007 Chapter 9 Lighting does not require automatic dimming control of light fixtures in daylit areas. 90.1-2010 Sections 9.4.1.3 and 9.4.1.4 requires automatic dimming controls in response to daylight for toplit and sidelit areas. 90.1-2010 Section 9.4.4 introduces functional testing requirements (part of commissioning) for lighting controls. Related to the daylighting controls, 90.1-2010 adds requirements in Chapter 5 Building Envelope for minimum skylight fenestration area and minimum daylit area; additional skylight costs are included in Section 4.2.3 in this report with the other building envelope cost items.

Toplighting Controls: Addendum 90.1-07ab adds 9.4.1.5 which requires lamps for general lighting over the daylit area to be separately controlled by multi-level photocontrol devices when the total daylit area under skylights exceeds 900 ft<sup>2</sup>.

Sidelighting Controls: Addendum 90.1-07ct adds 9.4.1.4 and requires lamps for general lighting to be separately controlled by automatic daylighting controls when the primary sidelit area in a space is  $250 \text{ ft}^2$  or larger.

For both types of daylit areas, the minimum control is two step controls, one between 50% and 70%, and one at no greater than 35% of lighting design power and operation at full power.

Toplighting and sidelighting are applied as shown in Table 4.7.

Prototype/Type of Control	Spaces affected	Daylit area (ft <sup>2</sup> )	Quantity of fixtures	Quantity of sensors and controlled light banks
Toplighting Contro	ls			
Standalone Retail	Core Retail	8,614	238	1
Primary School	Multipurpose Room	3,843	44	1
Sidelighting Control	bls			
Small Office	Perimeter open and enclosed offices and conference rooms	1,220	28	4
Large Office	Perimeter open and enclosed offices and conference rooms	65,220	1,500	150
Primary School	Multiple classrooms, lobby, offices gym, cafeteria and library	15,411	171	40
Small Hotel	Front Lounge	423	4	1

Table 4.7. Application of Daylighting Controls by Prototype and Space

Costs for material, labor and commissioning were developed by PNNL from cost data produced by a daylighting consultant. The daylighting consultant provided cost data to PNNL in support of PNNL's work to evaluate further changes to daylighting for 90.1-2013. The costs used for this cost estimate were for a two-step switchable wired photo-sensor control system. Other options are wireless systems, and dimmable rather than step control. Dimmable systems are not required in order to meet the minimum step control required in 90.1-2010, although dimming is often applied to improve the visual comfort of occupants.

In the Small Office and Large Office one photo sensor was assumed per perimeter zone to represent the open office areas and one photosensor was provided in each perimeter private office space and conference room. For the other prototypes, each affected space was assumed to have one photosensor and one bank of lights.

One power pack is required per controlled bank of lights. The number of banks of lights was assumed to be one per daylit zone. The two toplit areas in the Standalone Retail and the Primary School prototypes are open spaces which are each one daylit zone.

Costs are also incurred for connecting control wiring to each fixture. Five minutes installation per fixture was estimated. The number of fixtures in the daylit areas was determined. The starting point for these calculations was the fixtures per  $ft^2$  values developed for the 90.1 analysis as described in Section 5.2.2.1 in this report. The sidelit and toplit daylit areas were multiplied by the quantity of fixtures per  $ft^2$  as determined for the interior lighting power allowance cost calculations.

Replacement and commissioning costs focused on the 90.1-2010. Required functional testing costs were estimated based on information provided by the daylighting consultant.

- Replacement costs involve only the cost of photosensor and power pack; the lighting was assumed to stay in place;
- For new construction ten minutes of commissioning were estimated for each fixture. The control wiring was not replaced during the study period of the 90.1 cost-effectiveness study.
- Functional testing, including calibration of photo sensors was assumed to be a small cost that is included with two hours of installation per photo sensor.

## 4.2.2.4 Exterior Lighting Power and Controls

Location in 90.1-2010:	Sections 9.4.3 and 9.4.1.7
Addenda:	90.1-07i and 90.1-07cd
Prototypes Affected:	All six selected prototypes

90.1-2007 Section 9.4.3 and Table 9.4.5 require exterior lighting power to fall below a maximum power allowance which is the sum of various allowances by space area, length or quantity plus 5% additional power. 90.1-2007 Section 9.4.1.3 requires controls to turn lights off when sufficient daylight is present or when the lighting is not required during nighttime hours.

90.1-2010 provides similar exterior lighting requirements in Section 9.4.3 and renumbered Table 9.4.3B. However, the allowances are defined for five exterior lighting zones related to the level of development of the surrounding areas of the building. The power allowance values vary in some cases between the zone types. 90.1-2010 requires the same type of lighting controls as 90.1-2007 but also adds additional lighting control requirements. Exterior facade and landscape lighting are shall be automatically turned off between business closing or midnight, whichever is later, until 6:00 am or business opening, whichever is earlier. Exterior lighting not specified as façade or landscape lighting, including advertising signage, is to be automatically reduced to 30% of its peak power between midnight or within 1 hour of

business closing, whichever is later, and until 6:00 am or business opening, whichever is earlier, or during any period activity is not detected for a time longer than 15 minutes.

The exterior lighting power allowances were calculated for each prototype for 90.1-2007 and 90.1-2010. For 90.1-2010, each prototype was identified with one or two of the new exterior lighting zones. Those with two lighting zones were weighted 50% for each zone type. Most of the exterior lighting power for the prototype buildings is used for parking, entrances, and façades. The area and quantities of these was defined to calculate the lighting power allowances.

Comprehensive lighting models used to develop the exterior lighting power allowances were not available for 90.1-2010 in a form compatible with defining the specific prototype exterior lighting for the cost estimate. Instead, a PNNL lighting expert utilized the lighting power allowance and the parking area, quantity of entrances and façade areas to develop sufficient equipment and installation detail for the cost estimate. The quantity of equipment was adjusted assuming standard lighting equipment provided to just meet the maximum power allowance values from the two editions of Standard 90.1.

Costs for fixtures, lamps, ballasts and occupancy sensors were developed by PNNL from the current Grainger catalog and other online sources. Costs for parking lighting poles and electrical conduit in poured concrete were extracted from the *RS Means Electrical Cost Data* (RS Means 2012B). Replacement costs were estimated for lamps and ballasts and replacement lives were calculated from useful life of the products divided by the exterior lighting hours of operation extracted from the prototype model output reports. Costs for individual components were determined and total costs per prototype were calculated by multiplying the quantity of the components. Fractional quantities were used because the prototypes were considered representative of a class of buildings, and because the energy savings were based on the exact lighting power allowance, not a whole quantity of lighting equipment.

#### Parking

For each prototype, an LPD is provided by Standard 90.1 (both editions) for parking lots in W/ft<sup>2</sup>. The allowed LPD value was multiplied by the area of the site parking to determine the total allowed power for that application at the site. A typical fixture type was selected for the parking lot, with an appropriate type of lamp and ballast. The input power to the ballast was used to calculate the number of fixtures that could be installed within the allowed power values.

The average initial illuminance of the lighting system was calculated by taking the initial lumens of the lamp, multiplying by the fixture efficiency (the amount of light that actually leaves the fixture compared to that generated by the lamp) divided by the area of the parking. This was done to verify the reasonableness of the schematic design, although this is constrained to meet the maximum power allowance.

A mounting height was selected for the fixtures. The mounting height affects the spacing of the fixtures. Because the cost of the pole is usually more expensive than the fixture, the goal in lighting design is to limit the number of poles on a site.

90.1-2010 includes a requirement to turn off 30% of parking lot lights during non-business hours, or as an alternative, any time after 15 minutes of inactivity as described above. . For this analysis, the 30% reduction during non-business hours was assumed to be the compliance path.

Final costs were determined to circuit (provide power) the fixtures. 90.1-2007 designs assumed that all fixtures turn on and off at the same time. 90.1-2010 designs assumed a lighting curfew and therefore have two circuits so that some lights can be extinguished at the designated times. Sample layouts were created to approximate the amount of conduit needed in the parking lot. *RS Means Electrical Cost Data 2012* costs for conduit in slab were used.

#### Entrances

The number of doors and the lighting power allowances were developed for the prototypes in were described in *Energy and Cost Savings Analysis of ASHRAE Standard* 90.1-2010 and as described above.

Door lighting cannot be generalized the same way that parking lot lighting can be. The metrics are different (W/linear foot (lf) and W/ft<sup>2</sup>, respectively). The height of the door also plays a role. Wide doors (e.g. 12 ft) have a higher header than regular doors (3 ft). Higher door headers require higher illuminance light sources. As lumen output increases (e.g., going from CFL to metal halide) the cost of both the fixture and the lamp increases.

Compact fluorescent lamps (CFLs) were selected as the light source over the doors. It was assumed that the fixtures over the doors were wallpacks/over door lights (a standard fixture for lighting over doors). It was assumed one fixture per door and two lamps per fixture. The total power of the light fixture was calculated and then compared to the code allowed power.

The light source for the roll-up doors was assumed to be a metal halide (probe-start for 90.1-2007 and pulse-start for 90.1-2010). These are common lamps used in lighting loading areas.

All fixtures were assumed to be mounted in roughly the same location in the 90.1-2007 and 90.1-2010 models and to be controlled with an astronomical time clock. Therefore, there is no difference in wiring for door lighting between the 90.1-2007 and 90.1-2010 designs in terms of wiring labor. Astronomical time clocks typically allow manual settings as well and were assumed to be adequate to meet the 90.1-2010 added controls requirements.

#### Façades

Façade lighting can be used to light an architectural feature, signage, or other elements. The light source, type of light fixture, and controls are going to be affected by the elements being lighted. The costs were developed based on a generic façade area and maximum allowed lighting power as determined for the prototypes as described above. Costs were determined similarly with the same cost information sources used with the other exterior lighting.

### 4.2.3 Building Envelope, Power and Other Equipment

This section combines the cost items from the Standard 90.1 envelope, power and other equipment chapters.

Most building envelope requirements in Standard 90.1 Chapter 5 did not change between 90.1-2007 and 90.1-2010. Addenda to 90.1-2007 include adjustments to a limited set of envelope performance

values for metal buildings (not included in the six selected prototypes) as well as provisions that impact infiltration, roof solar heat gain, and window area by wall orientation.

90.1-2007 Chapter 8, "Power," applies to all building power distribution systems. Two addenda were added that expand the coverage of power in 90.1-2010 beyond the limited mandatory design voltage drop requirements for feeder and branch circuits which were the only requirements in earlier editions of Standard 90.1. These addenda extend the regulation of power equipment and controls to address step-down voltage transformers in buildings and to begin the regulation of receptacle loads by adding automatic controls for receptacles. Regulation of plug loads will likely grow in future editions of Standard 90.1.

Standard 90.1, Chapter 10, "Other Equipment," regulates equipment not covered in other parts of Standard 90.1. The only "Other" equipment covered in 90.1-2007 is electric motors subject to the *Energy Policy Act of 1992*. Addendum 90.1-07aj expands the scope of motors covered by Standard 90.1 and increases the minimum motor efficiency values consistent with federal law and rulemaking. Addendum 90.1-07df sets requirements on elevator lights and ventilation fans. This section of this report discusses the modeling strategies for addenda 90.1-07aj and 90.1-07df. Addendum 90.1-07cv adds energy efficiency requirements for service water heating booster pumps, however, service water heating booster pumps were not specifically modeled in any of the prototypes, and no savings were quantified for addendum 90.1cv.

## 4.2.3.1 Addendum 90.1-07f: Cool Roofs

Location in 90.1-2010:	Section 5.5.3.1.1
Addenda:	90.1-07f
Prototypes Affected:	All selected prototypes except small office.

90.1-2007 does not specify minimum reflectance or emittance requirements for roofs. 90.1-2010 introduces Section 5.5.3.1.1 with minimum requirements for solar reflectance and thermal emittance for certain types of roofs in climate zones 1 through 3. The provision requires a minimum three-year-aged solar reflectance of 0.55 and a minimum three-year-aged thermal emittance of 0.75 for roofs in climate zones 1 through 3.

Average incremental material costs were estimated for a typical ethylene propylene diene monomer (EPDM) and thermoplastic polyolefin (TPO) that does not meet the 90.1-2010 requirements compared to the same corresponding materials that do meet the requirements. Labor was assumed to be the same for both 90.1-2007 and 90.1-2010 cases. The TPO membrane with the higher reflectance requirements added no cost. The EPDM requires a special finish to meet the requirements which added \$0.15/ft<sup>2</sup>. The source for these incremental costs is DOE Building Technologies *Guidelines for Selecting Cool Roofs* (DOE 2010).

Costs were applied for selected climate subzones 2A, 3A, and 3B for all selected prototypes except the small office. The small office includes a roof over a ventilated attic and is exempt from the requirement.

#### 4.2.3.2 Vestibules

Location in 90.1-2010:	Section 5.4.3.4
Addendum:	90.1-07q
Prototypes Affected:	Small Office, climate subzone 4A only

90.1-2007 includes requirements for building entrances to include vestibules. Multiple exceptions under 5.4.3.4 are provided including exception e. for buildings located in climate zone 3 or 4, less than four stories above grade, and under 10,000 ft<sup>2</sup>. Standard 90.1-2010 modifies the exception so that climate zone 4 is no longer excluded.

90.1-2007 and 90.1-2010 do not define the dimensions of the outer entry and the inner entry of the vestibule. They do require that the minimum distance from the front entrance to the inner entrance be seven feet. The outer entry for both the 90.1-2007 and 90.1-2010 models was assumed to be two swinging glazed doors each 3 ft by 7 ft, with surrounding glazing resulting in a total entry 9 ft high by 12 ft wide. The inner entry of the vestibule was assumed to have the same dimensions. The side walls of the vestibule were assumed to be the same in both the 90.1-2007 and 90.1-2010. The minimum size vestibule was assumed to be accommodated by reducing the dimensions of a lobby assumed to be included in the 90.1-2007 case without reducing usable floor space.

The outer entry was assumed to be the same for both the 90.1-2007 and 90.1-2010 cases. The vestibule was assumed to be unheated in climate subzone 4A. Under 90.1-2010, with an unheated vestibule the inner entry of the vestibule only needs to meet the glazing performance requirements for a semi-heated space, a u-factor of 1.2 Btu/h·ft<sup>2</sup> for climate subzone 4A, which can be met by single glazing in a metal frame without thermal break. The framing system in both cases was assumed to be storefront.

The incremental cost estimate included the cost of aluminum-framed store-front entrance with 6' X 7' entry. Costs were based on *RS Means Building Construction Cost Data 2012* (RS Means 2012c).

#### 4.2.3.3 Air Barrier

Location in 90.1-2010:	Section 5.4.3.1
Addendum:	90.107bf
Prototypes Affected:	All six selected prototypes

90.1- 2007, Section 5.4.3.1, requires building envelope sealing at all joints and gaps around windows, doors, junctions between wall, roofs, and floors, around penetrations and any other openings to have continuous air barrier design, installation, materials, and assemblies. 90.1-2007 Section 5.4.3.2 of 90.1-2007 requires that air leakage of fenestrations and doors shall be determined according to National Fenestration Rating Council (NFRC) 400 (NFRC 2004) and shall not exceed 1.0 CFM/ft<sup>2</sup> for glazed swinging and revolving doors, and 0.4 ft<sup>2</sup> for all other fenestration and doors.

90.1-2010 increases the stringency of these requirements. 90.1-2010 Section 5.4.3.1 requires the building envelope to be designed and constructed with a continuous air barrier. The definition added to

90.1-2010 for a continuous air barrier is "the combination of interconnected materials, assemblies, and sealed joints and components of the building envelope that minimize air leakage into or out of the building envelope." No specific leakage rate or testing is required. There are some additional air barrier design requirements, and a section on materials such as plywood that are required to meet a maximum air leakage rate under a pressure differential of 0.3 in. w.g. consistent with currently available materials. Members of the 90.1 Envelope Subcommittee supported the interpretation that a continuous membrane air sealing system is not required and that the requirement primarily increases quality assurance and quality control (QA/QC) of the building air sealing.

The additional activity required to increase QA/QC relative to that needed to meet 90.1-2007 is not defined by Standard 90.1-2010. However, it is clear that compliance with this provision requires improved design and construction details. This can best be assured by review and oversight of those processes. As an approximation, the cost of this activity was estimated as a portion of the cost of building envelope commissioning. Since the 90.1-2010 requirement does not require testing the infiltration level, blower door and other testing costs that are often part of building envelope commissioning are excluded. This estimate does not mean that building envelope commissioning is required; it is a proxy for estimating the additional QA/QC effort.

Two commissioning firms shared views about the cost and scope of envelope commissioning which includes involvement throughout design such as development of owner requirements, and design reviews, and throughout construction including review of submittals, and frequent site inspections during the core air barrier installation period. Both commissioning firms emphasized that air sealing is only a portion of building envelope commissioning, and that moisture control is an equal or larger focus of the effort. The air sealing and water and moisture control are integrated and any separation is approximate.

One commissioning firm provided a total building envelope commissioning cost of 0.25 ft<sup>2</sup> to 0.45/ft<sup>2</sup> of building floor area (without physical testing) for a range of building sizes with about 25% of that focused on air sealing, with the rest focused primarily on water and moisture control (Draper et al. 2012). The other commissioning agent emphasized that the costs do not vary substantially with building size and provided an estimate on the order of 0.000 for mid-range size and moderate complexity buildings and an estimate that about 50% of that is associated with air sealing (Aldous 2012). Costs per square foot are higher for smaller buildings as there are fixed costs to provide the basic level of service including reporting, meetings, and site visits. Costs are higher for more complex buildings such as laboratories and hospitals with tighter requirements for pressurization and air changes.

A base cost of 10,000 plus  $0.40/\text{ft}^2$  for envelope commissioning was defined as the starting point to determine the added envelope sealing QA/QC costs. This was adjusted to approximate the proportion of envelope commissioning associated with air sealing, and the incremental quality assurance to meet 90.1-2010 rather than 90.1-2007 which is a fraction of the cost of envelope commissioning. A cost of 1,000 plus  $0.04/\text{ft}^2$  was estimated. The costs were included under labor, not commissioning, since the envelope commissioning was simply used as an approximation in the estimate, and the QA/QC effort was assumed to be part of the construction effort, not a separate effort.

#### 4.2.3.4 Air Leakage for Fenestration and Doors

Location in 90.1-2010: Section 5.4.3.2

Addendum: 90.1-07am

Prototypes Affected: All six selected prototypes

90.1-2007 Section 5.4.3.2 requires that air leakage of fenestrations and doors shall be determined according to National Fenestration Rating Council (NFRC) 400 (NFRC 2004) and shall not exceeded 1.0  $CFM/ft^2$  for glazed swinging and revolving doors, and 0.4  $ft^2$  for all other fenestration and doors, with an exception for site-built windows which have no leakage requirements.

90.1-2010 increases the stringency of these requirements. Air leakage requirements for doors and windows in 90.1-2010 vary by type of window. Listed here are the leakage values for types with cost estimates in this analysis. See 90.1-2010 Section 5.4.3.2 for testing methods.

- Curtain wall and storefront: 0.06 CFM/ft<sup>2</sup>
- Skylights: 0.3 CFM/ft<sup>2</sup> or 0.5 CFM/ft<sup>2</sup> depending on testing method with 0.3 CFM/ft<sup>2</sup> assumed to provide a reduction in leakage from the 0.4 CFM/ft<sup>2</sup> required in 90.1-2007
- All other products:  $0.2 \text{ CFM/ft}^2$  or  $0.3 \text{ CFM/ft}^2$  depending on testing method

For all of the prototypes, door area is a small fraction of window area, and the door area is treated as part of the window area for simplicity.

90.1 Envelope Subcommittee members indicated that the air leakage requirements in 2010 reflect common practice that has been in place for many years before 90.1-2007 for most products. In practice, building projects in states adopting the newer Standard 90.1 will not see an increase in project costs for this provision. However, the modeled energy savings reflects this change being incorporated in Standard 90.1, therefore a cost estimate was developed. Determining the cost difference was difficult with no window products available applicable to the prototype models that do not meet the current air leakage requirements.

A curtain wall window manufacturer and supplier's technical expert said that air leakage has been tightened over time for two reasons unrelated to specific air leakage standards, 1) reduced u-factors in energy codes have required reduced air leakage as u-factor testing is affected by leakage rates, 2) moisture infiltration and concerns about mold and associated liability (Best 2012). The changes have been achieved primarily in design, not manufacturing. The technical expert estimated that this engineering effort translates into an average of around  $0.05/\text{ft}^2$  to  $0.10/\text{ft}^2$  of window area. This cost is related to the volume of project sales, which is considerably higher for manufactured windows than for curtain wall systems, and the change in leakage rates for manufactured windows is smaller since curtain wall systems are exempt in 90.1-2007. This cost is estimated for all of the windows as an incremental material cost of  $0.05/\text{ft}^2$  of window area.

## 4.2.3.5 Daylighting and Skylights

Location in 90.1-2010:	Section 5.4.3.2
Addenda:	90.1-07al and 90.1-2007dd
Prototypes Affected:	Standalone Retail

90.1-2007, Chapter 9, "Lighting," and Chapter 5, "Building Envelope," do not specify requirements for minimum skylight fenestration area or minimum daylight area. 90.1-2010 Sections 5.5.4.2.3 introduces a requirement for minimum toplighting daylit area which has the effect of requiring a certain area of skylights in some space types. The minimum daylit area required is half of the floor area that is subject to the requirement. The requirement applies to enclosed spaces 5,000 ft<sup>2</sup> or larger, with ceiling heights greater than 15 ft directly under a roof with a variety of specific space types. These provisions are related to the daylighting control requirements in 90.1-2010 Sections 9.4.1.3, and 9.4.1.4 as described in Section 4.2.2.3 in this report.

The Standalone Retail prototype is the only one of the selected prototype that is required to add skylights under this requirement. The Primary School multipurpose room is subject to this requirement, but the baseline includes full coverage of skylights already for the 90.1-2007 with no change for 90.1-2010.

The area of skylights required for the Standalone Retail prototype is 184 ft<sup>2</sup>. The 90.1-2007 models already include 72 ft<sup>2</sup> of skylights. The development of this area is detailed in *Energy and Cost Savings Analysis of ASHRAE Standard* 90.1-2010. The 90.1-2010 required skylight u-factor is either 1.98 or 1.17 Btu/h·F° for the selected climate locations. Double glazed skylights with no thermal break in the frame meet the range of minimum u-factors. Single glazed and plastic dome skylights were not included although these are possible for climate subzone 2A only. The cost estimator determined that double glazed skylights with no thermal break are not available. Double glazed skylights with thermally broken frame and integral curb were used for the cost estimate with costs provided by the cost estimator.

## 4.2.3.6 Addendum 90.1-07o: Transformers

Location in 90.1-2010:	Section 8.1.2
Addendum:	90.1-070
Prototypes Affected:	Large Office and Primary School

90.1-2007 does not include any requirements for low voltage dry-type transformers which are used in many commercial buildings to lower the primary voltage of the electrical service provided by the utility company from 277 volts (single phase) or 480 volts (three phase) to 120 volts (single phase) or 208 volts (three phase). 90.1-2010 introduces minimum efficiencies for this type of transformer as required under the *Energy Policy Act of 2005 (EPAct)* and described in *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*.

The quantity and size of the transformers for each prototype was developed for the cost estimate. The 90.1-2010 energy savings analysis calculated electricity savings based on the incremental efficiency of transformers with efficiency prior to implementation of the EPAct efficiency requirements applied to a calculated wattage of transformer connected loads. The total transformer load is described in *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*.

The specific size transformers needed for the cost estimate were not determined. The assumed transformer sizes are:

• Large Office two 112.5kVa and two 150 kVa not assigned to any specific area

• Primary School three 75 kVa, each serving one classroom wing, and one 150 kVa for the rest of the building.

For the cost estimate, transformer costs for today's efficiency transformers (that meet EPAct 2005) for two manufacturers were collected by the cost estimator. The manufacturers provided costs for 3-phase aluminum wound transformers. The efficiency of older transformers was assumed to be consistent with the analysis presented in *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*. For reference, analysis for 75 kVA transformer used a base 96.6% efficiency versus 98.2% for 90.1-2010.

Based on information from the two transformer manufacturers, the cost estimate for the 90.1-2007 case was 5% less for material costs compared to the 90.1-2010 efficiency transformers. Only material costs are shown, labor is the same for 90.1-2007 and 90.1-2010. Note that in reality, projects will not see an increase in transformer costs due to implementing 90.1-2010 as the code for a jurisdiction, as the price difference was absorbed years ago and the lower efficiency units are not available.

## 4.2.3.7 Plug Receptacle Control

Location in 90.1-2010:	Section 8.4.2
Addendum:	90.1-07bs
Prototypes Affected:	Small Office, Large Office, Standalone Retail and Primary School

90.1-2007 does not require controls for plug receptacle loads. 90.1-2010 Section 8.4.2 requires 50% of 120 volt 15 and 20 amp receptacles including those in modular partitions in private and open offices, and computer classrooms to have automatic controls to turn off power to these receptacles when spaces served are unoccupied based on the operating schedule, occupancy sensors or interconnection with a security system. The cost estimate was based on occupancy sensor control.

All receptacle loads in the affected space types were included. The number of receptacles affected is based on 50% of the power serving the affected areas assuming 50% of the power is used by equipment connected to the controlled receptacles. The number of receptacles is determined by assuming 33 W/receptacle in all office areas, and 120 W/receptacle for the primary school computer classroom. Each control zone is assumed to include 16 receptacles, 8 controlled and 8 uncontrolled.

Costs for 90.1-2007 include wiring and installation of conventional receptacles without controls. Costs for 90.1-2010 include additional wiring for controlled receptacles to power pack controller, power pack controllers and occupancy sensors. Smaller zones already include occupancy sensor control for lighting; for these, a bare contact is provided instead of occupancy sensor.

## 4.2.3.8 Motor Efficiency

Location in 90.1-2010:	Section 10.4.1
Addendum:	90.1-07aj
Prototypes Affected:	Large Office, Standalone Retail, Primary School, Small Hotel

90.1-2007 Section 10.4.1 provides minimum motor efficiency requirements. The requirements apply to motors covered in the *Energy Policy Act of 1992* and include motors from 1 to 200 hp including motors typically used for HVAC equipment.

90.1-2010 Section 10.4.1 requires higher efficiency for these types of motors manufactured based on the requirements of the *Energy Independence and Security Act of 2007*. Other provisions do not affect this cost estimate.

The cost estimate was for the full range of regulated motor sizes from 1 to 100 hp. The 90.1-2010 costs were estimated from current motors. The cost estimator's discussion with a motor manufacturer's representative indicated that the impact on motor cost when the federal change went into effect was about a 5% increase. This was due to increase in materials, including copper, and to ramping up production of the premium efficiency motors they were already making. The cost estimate for the 90.1-2007 case reflects a 5% discount from the current prices.

Motors with the older efficiency are not available and have not been for years as manufacturer's complied with the federal requirements. This provision will not increase projects costs as it is adapted into state and local codes, but the 90.1 energy savings analysis, focused on the changes incorporated into Standard 90.1, included energy savings for this change.

The cost estimate was included with the HVAC equipment costs rather than with the envelope, power and other costs because the motor costs were assigned to the prototype HVAC fans, pumps, and cooling towers according to their capacity by prototype and climate with the other prototype HVAC costs. The small office and the mid-rise apartment do not have any motors of 1 hp or larger.

## 4.2.3.9 Elevator Lighting and Ventilation

Location in 90.1-2010:	Section 10.4.3
Addendum:	90.1-07df
Prototypes Affected:	Large Office, Standalone Retail, Primary School, Small Hotel

90.1-2007 does not regulate elevator lighting or exhaust. 90.1-2010 requires that elevator lighting have a minimum lighting system efficacy of 35 lm/W, and that ventilation fans without air conditioning are limited to a maximum of 0.33W/CFM and shall include a standby mode with lights and fans off when the elevator is stopped and unoccupied for 15 minutes. The ventilation power requirement was determined to not require a change as essentially all elevator fans currently reach this target.

The cost estimate required defining the 90.1-2007 and the 90.1-2010 elevator lighting systems. *Energy and Cost Savings Analysis of ASHRAE Standard* 90.1-2010 includes elevator lighting information for a 28 ft<sup>2</sup> elevator. This assumes that, prior to 90.1-2010, 70% of elevator lighting power was provided by incandescent lighting systems with an efficacy of 10 lm/W, with 30% of power from more efficient lighting systems with efficacy of 35 lm/W. For the 90.1-2010 case, the higher efficacy lighting system above is used for all elevator lighting. This lighting results in a 90.1-2007 LPD weighted average of 3.14 W/ft<sup>2</sup>, and a 90.1-2010 LPD of 1.14 W/ft<sup>2</sup>. Elevators were included in the Large Office (qty. 12), Small

Hotel (qty. 2), and Mid-rise Apartment (qty. 1), as described in *Energy and Cost Savings Analysis of ASHRAE Standard* 90.1-2010.

Actual lighting equipment had to be selected to estimate costs. A PNNL lighting expert developed possible design concepts which were used for pricing. The lighting power values assumed for the 90.1 savings analysis could not be matched exactly.

90.1-2007 only, low efficacy option:

- Fixture Recessed 45 W R20, 1 lamp/fixture, 4 fixtures required
- Lamp 45W Halogen R20
  - o 385 lumens, life 2,500 hours
- System performance
  - System efficacy 8.5 lm/W
  - $\circ$  LPD 6.32 W/ft<sup>2</sup>
  - o Illumination, 41.3 FC (90% fixture efficiency, coefficient of utilization (CU) 0.85)

90.1-2007 and 90.1-2010 high efficacy option:

- Fixture Recessed 7W R20 LED, 1 lamp/fixture
  - o 6 fixtures required
- Lamp 7W R20 45W Halogen R20
  - o 310 lumens
  - o Life 45,000 hours
- System performance
  - System efficacy 44 lm/W
  - o LPD 1.47 W/ft<sup>2</sup>
  - o Illumination, 50 FC (90% fixture efficiency, CU 0.85)

To meet the target wattage mix of 30% low efficacy wattage, and 70% higher efficacy wattage for the 90.1-2007 case, 35% of the quantity of fixtures were low efficacy, and 65% were high efficacy. Total cost for the 90.1-2007 case was calculated as the weighted average of the cost of the two systems, weighted by the quantity percentages above.

Replacement lives for the lamps was determined by the lamp life divided by the operating hours. For the 90.1-2007 case, the elevator lighting was assumed to be uncontrolled and be on continuously (8,760 hours annually). For the 90.1-2010 case lights were off when the elevator is not in use after a brief interval consistent with the requirement resulting in 2,154 operating hours, the same as the elevator motor operating hours as described in *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*.

## 4.3 Cost Estimate Results

The cost estimates result in incremental costs for new construction and replacement material, labor, construction equipment plus overhead and profit, as well as maintenance and commissioning.

Appendix B includes incremental cost summaries for first cost, maintenance cost, replacement costs for year 1 to 29, and residual value of items with useful lives extending beyond the 30 year analysis

period. Residual values are discussed in Section 5.1.1. in this report, and are used in the Life-Cycle Cost Analysis in Section 5.1.1.

The cost spreadsheet (PNNL 2013) includes a worksheet with details of the summaries in Appendix B, and a similar worksheet extending the analysis period to 40 years. The cost in a given year in these tables is a negative value if there was a replacement cost in 90.1-2007 that was greater than the replacement cost in 90.1-2010. The useful lives of corresponding items such as lamps and ballasts may not be the same for the 90.1-2007 and 90.1-2010 cases, so replacement cost values can be positive or negative throughout the 30 year period.

Table 4.8 includes total incremental first costs for each prototype and climate combination in units of total cost and cost per ft<sup>2</sup>. Table 4.9 includes estimated total building costs per ft<sup>2</sup> from *RS Means Building Construction Cost Data 2012* for each prototype, and a rough indicator of the % increase due to the incremental costs, (assuming the RS Means costs represent buildings that meet 90.1-2007). As described in Section 4.1 these costs were not adjusted for climate location.

In some cases, there is an incremental reduction in first cost in moving to 90.1-2010. This is due to reductions in HVAC equipment capacity, as well as for the interior and exterior lighting costs in some cases.

The Mid-rise Apartment incremental costs were the same for all five climate locations. The HVAC systems fall outside of any of the new requirements, and are too small to show cost impacts from changes in system capacity. The other cost categories do not vary by climate location for the Mid-rise Apartment (and in nearly all the other prototypes).

Table 4.8. Incremental Costs							
Prototype	Value	2A	3A	3B	4A	5A	
		Houston	Memphis	El Paso	Baltimore	Chicago	
Small Office	First Cost	\$10,624	\$8,749	\$9,923	\$15,112	\$8,622	
	$ft^2$	\$1.93	\$1.59	\$1.80	\$2.75	\$1.57	
Large Office	First Cost	\$446,971	\$517,591	\$451,173	\$491,567	\$248,074	
Large Office	$ft^2$	\$0.90	\$1.04	\$0.90	\$0.99	\$0.50	
Standalone Retail	First Cost	\$52,140	\$62,041	\$38,255	\$69,601	\$49,333	
Standalone Retail	$ft^2$	\$2.11	\$2.51	\$1.55	\$2.82	\$2.00	
Primary School	First Cost	\$134,160	\$149,396	\$15,611	\$149,768	\$106,113	
Timary School	$ft^2$	\$1.81	\$2.02	\$0.21	\$2.02	\$1.43	
Small Hotel	First Cost	\$4,922	-\$5,113	-\$681	\$6,571	-\$8,766	
Sillali Hotel	$ft^2$	\$0.11	-\$0.12	-\$0.02	\$0.15	-\$0.20	
Mid-rise Apartment	First Cost	\$20,858	\$20,858	\$20,858	\$20,858	\$20,858	
inite ince i sparonene	\$/ft2	\$0.62	\$0.62	\$0.62	\$0.62	\$0.62	

Table 4.8. Incremental Costs

		Incremental Cost for 90.1-2010				
] Prototype	Building First	2A	3A	3B	4A	5A
	Cost	Houston	Memphis	El Paso	Baltimore	Chicago
	$ft^2$	$ft^2$	$ft^2$	$ft^2$	$ft^2$	$ft^2$
Small Office	\$125	\$1.93	\$1.59	\$1.80	\$2.75	\$1.57
Sman Office	$\psi 125$	1.55%	1.27%	1.44%	2.20%	1.25%
Large Office	\$158	\$0.90	\$1.04	\$0.90	\$0.99	\$0.50
Large Office	ψ150	0.57%	0.66%	0.57%	0.62%	0.31%
Standalone Retail	\$87	\$2.11	\$2.51	\$1.55	\$2.82	\$2.00
Standarone Retain	φ07	2.43%	2.89%	1.78%	3.24%	2.30%
Primary School	\$132	\$1.81	\$2.02	\$0.21	\$2.02	\$1.43
T military Senioor	ψ152	1.37%	1.53%	0.16%	1.53%	1.09%
Small Hotel	\$106	\$0.11	-\$0.12	-\$0.02	\$0.15	-\$0.20
Sinan Hoter	ψ100	0.11%	-0.11%	-0.01%	0.14%	-0.19%
Mid-rise	\$111	\$0.62	\$0.62	\$0.62	\$0.62	\$0.62
Apartment	¥ • • •	0.56%	0.56%	0.56%	0.56%	0.56%

**Table 4.9**. Comparison of Total Building Cost and Incremental Cost (per Ft<sup>2</sup> and percentage)

# 5.0 Cost-effectiveness Analysis

The purpose of this analysis is to determine the cost-effectiveness of the changes in Standard 90.1 from 90.1-2007 to 90.1-2010. Cost-effectiveness was analyzed using the incremental cost information presented in Chapter 4 and the energy cost information presented in this Chapter. Three cost-effectiveness measures are presented:

- Net present value life-cycle cost
- The SSPC 90.1 scalar method
- Simple payback

Annual energy costs, a necessary part of the cost-effectiveness analysis, are presented in Section 5.2, and with additional detail in Appendix C. These methods demonstrate that 90.1-2010 is cost-effective within the parameters of this analysis as shown in Section 5.3.

## 5.1 Cost-effectiveness Analysis Methodology

This report presents a cost-effectiveness assessment using a life-cycle cost analysis (LCCA) and the SSPC 90.1 scalar method for the combined changes in Standard 90.1 -2007 to 2010 for each of the 30 combinations of prototype and climate evaluated. The commonly used metric of simple payback is also included.

## 5.1.1 Life-Cycle Cost Analysis

The LCCA perspective compared the present value of incremental costs, maintenance and energy savings for each prototype building and climate location. The degree of borrowing and the impact of taxes vary considerably for different building projects, creating many possible cost scenarios. These varying costs were not included in the LCCA (but were included with the 90.1 scalar method in section 5.1.3).

The LCCA approach is based on the LCC method used by the Federal Energy Management Program (FEMP), a method required for federal projects and used by other organizations in both the public and private sectors (NIST 1995). The LCCA method consists of identifying costs (and revenues, if any) and the year in which they occur, and determining their value in present dollars (known as the net present value). This method uses fundamental engineering economics relationships about the time value of money (money today is normally worth more than money tomorrow, which is why we pay interest on a loan, and earn interest on savings). Future costs were discounted to the present based on a discount rate. The discount rate may reflect what interest rate can be earned on other conventional investments with similar risk, or in some cases, on the interest rate at which money can be borrowed for projects with the same level of risk.

The following calculation method can be used to account for the present value of costs or revenues:

Present Value = Future Value /  $(1+i)^n$ 

"i" is the discount rate (or interest rate in some analyses)

"n" is the number of years in the future the cost occurs

The present value of any cost that occurs at the beginning of year one of an analysis period is equal to that initial cost. For this analysis, initial construction costs were assumed to occur at the beginning of year one, and all subsequent costs occur at the end of the future year identified.

LCCA is used to compare different spending or investment alternatives to decide the most economical choice. Often a base case is defined against which other alternatives are compared. For this analysis, 90.1-2007 is the base case, and 90.1-2010 is the alternative. As incremental costs were used, the net present value of 90.1-2007 is zero, and the net present value of 90.1-2010 is the net present value of all of the incremental costs. If the net present value of the incremental costs is less than zero, then 90.1-2010 is cost-effective.

The LCCA depends on the number of years into the future that costs and revenues are considered, known as the *study period*. The FEMP method uses 25 years; this analysis uses 30 years. This is the same study period used for the cost-effectiveness analysis of the residential energy code, conducted by DOE and PNNL (DOE 2012d). The 30 year study period was used for this analysis for consistency with the residential code cost-effectiveness analysis, and is also widely used for life-cycle cost analysis in government and industry. The study period is also a balance between capturing the impact of future replacement costs, inflation, and energy escalation; with the increasing uncertainty of these costs the further into the future they are considered.

Several factors go into choosing the length of the study period and the residual value of equipment beyond the period of analysis is accounted for. Sometimes the useful life of equipment or materials extends beyond the study period. In this case, the longest useful life defined is 40 years for all envelope cost items, such as reflective roof membranes, as recommended by the 90.1 SSPC Envelope Subcommittee. Forty years is longer than the typical 25 or 30 year study period for life-cycle cost analysis. A residual value of the unused life of a cost item is calculated at the last year of the study period for components with longer lives than the study period, or for items whose replacement life does not fit neatly into the study period, (e.g. a chiller with a 23 year useful life). The residual value is not a salvage value, but rather a measure of the available additional years of service not yet used. The FEMP LCCA method includes a simplified approach for determining the residual value. The residual value is the proportion of the initial cost equal to the remaining years of service divided by the initial cost. For example, the residual value of the roof membrane in year 30 is (40-30)/40 or 25% of the initial cost. The present value of the residual values applied in year 30 is included in the total present value.

The LCCA requires assumptions about what the value of money today relative to money in the future, and about how values of the cost items will change over time, such as the cost of energy and HVAC equipment. These values are determined by the analyst depending on the purpose of the analysis. In the case of the FEMP LCC method, the National Institute of Standards and Technology (NIST) periodically publishes an update of economic factors. The values published in September 2011 (NIST 2011) were used in this analysis.

The DOE nominal discount rate is based on long-term Treasury bond rates averaged over the 12 months prior to publication of the NIST report. The nominal rate is converted to a real rate to correspond with the constant-dollar analysis approach for this analysis. The method for calculating the real discount rate from the nominal discount rate uses the projected rate of general inflation published in the most recent *Report of the President's Economic Advisors, Analytical Perspectives* (referenced in the NIST 2011 report without citation). The procedure would result in a discount rate for 2011 lower than the 3.0 % floor prescribed in 10 CFR 436. Thus the 3.0 % floor is used as the real discount rate for FEMP analyses in 2011. The implied long-term average rate of inflation was calculated as 0.9 % (NIST 2011). Table 5.1 summarizes the analysis assumptions used.

	Commercial State Cost-Effectiveness Scenario 1 without Loans or Taxes		
Value	Source		
3.9%	<i>Energy Price Indices and Discount Factors for Life-Cycle</i> <i>Cost Analysis - 2011</i> , NIST annual update – (2011, Rushing et al.).		
3.0%	Calculated from nominal discount rate and inflation.		
0.9%	<i>Energy Price Indices and Discount Factors for Life-Cycle</i> <i>Cost Analysis - 2011</i> , NIST annual update (2011, Rushing et al.)		
\$0.0939/kWh, \$1.22/therm	SSPC-90.1		
Uniform present value factors	Energy Price Indices and Discount Factors for Life-Cycle Cost Analysis - 2011, NIST annual update – (2011, Rushing et al.).		
Electricity 18.88 Natural Gas 20.90	The NIST uniform present value factors are multiplied by the first year annual energy cost to determine the present value of 30 years of energy costs and are based on a series of different annual escalation rates for 30 years.		
	3.9%         3.0%         0.9%         \$0.0939/kWh, \$1.22/therm         Uniform present value factors         Electricity       18.88		

#### **Table 5.1**. Life Cycle Cost Analysis Parameters

<sup>1</sup> Nominal discount rate is like a quoted interest rate and takes into account expectations about the impact of inflation on future values. Higher nominal rates imply higher expectations of inflation.

 $^{2}$  Real discount rate excludes inflation so that future amounts can be defined in today's dollars in the calculations. This is not a quoted interest rate. If inflation is zero, real and nominal discount rates are the same. Inflation is captured in the process of using constant dollar costs and the modified discount rate.

<sup>3</sup> General inflation is the background level of price increases for all costs other than energy. This is applied to replacement and maintenance costs through the real discount rate.

## 5.1.2 Simple Payback

Simple payback is a more basic and commonly used measure of cost-effectiveness, and is based on the number of years required for the sum of the annual return on an investment to equal the original investment. In the case, simple payback it is the total incremental first cost (described in Section 4) divided by the difference of the annual energy cost savings and the incremental annual maintenance cost. This method does not take into account any costs or savings after the year in which payback is reached, does not consider the time value of money, and does not take into account any replacement costs even those prior to the year simple payback is reached. The method also does not have a defined threshold for determining whether an alternative's payback is cost-effective. Decision makers generally set their own threshold for a maximum allowed payback. The simple payback perspective is reported for information purposes only in this analysis, not a basis for concluding that 90.1-2010 is cost-effective.

## 5.1.3 SSPC 90.1 Scalar Method

The SSPC 90.1 does not consider cost-effectiveness when evaluating the entire set of changes for an update to the whole Standard 90.1. However, cost-effectiveness is often considered when evaluating a specific addendum to Standard 90.1. The scalar method was developed by SSPC90.1 to evaluate the cost-effectiveness of proposed changes (McBride 1995). The scalar method is an alternative life-cycle cost approach for individual energy efficiency changes with a defined useful life, taking into account first costs, annual energy cost savings, annual maintenance, taxes, inflation, fuel escalation, and financing impacts. The scalar method allows a discounted payback threshold (scalar ratio limit) to be calculated based on the measure life. As this method is designed to be used with a single measure with one value for useful life, it does not account for replacement costs. A measure is considered cost-effective if the simple payback (scalar ratio) is less than the scalar limit.

Table 5.2 shows the economic parameters used for 90.1-2010 analysis by the SSPC 90.1 for the scalar method within this report

Input Economic Variables – Linked	Heating	Cooling
Economic Life - Years	40	40
Down Payment - \$	0.00	0.00
Fuel Escalation Rate - %	3.7	3.7
Discount Rate - %	7.0	7.0
Loan Interest Rate - %	7.0	7.0
Federal Tax Rate - %	34.0	34.0
State Tax Rate - %	5.0	5.0
Heating – Natural Gas Price, \$/therm	1.22	
Cooling - Electricity Price \$/kWh		0.1032
Scalar Ratio Limit	20.2	20.2

Table 5.2. Scalar Method Economic Parameters and Scalar Ratio Limit

PNNL extended the scalar method to allow for the evaluation of multiple measures with different useful lives. This extended method takes into account the replacement of different components in the total package of 90.1-2010 changes, allowing the net present value of the replacement costs to be calculated over 40 years. The SSPC 90.1 Envelope Subcommittee uses a 40 year replacement life for envelope components and all other cost component useful lives in the cost estimate are less than that. For example, an item with a 20 year life would be replaced once during the study period. The residual value of any items with useful lives that do not fit evenly within the 40 year period is calculated using the method described in Section 5.1.1. Using this approach, the simple payback is calculated as the sum of the first costs and present value of the replacement costs, divided by the difference of the energy cost savings and incremental maintenance cost. The result is compared to the scalar ratio limit for the 40 year period, 20.2,

as shown in Table 5.2. The packages of changes for each combination of prototype and climate location were considered cost-effective if the corresponding simple payback (scalar ratio) is less than the scalar ratio limit. The parameters shown in Table 5.2 were based on consensus of SSPC 90.1.

## 5.2 Energy Costs

Annual energy costs are a necessary part of the cost-effectiveness analysis. Annual energy costs were lower for all of the selected 90.1-2010 models compared to the corresponding 90.1-2007 models. Table 5.3 shows the annual energy cost savings, (total and  $cost/ft^2$ ). Appendix C includes additional details of these costs.

Energy rates used to calculate the energy costs from the modeled energy usage were \$1.22/therm and \$0.0939/kWh. These rates were used for 90.1-2010 energy analysis, and derive from the US DOE Energy Information Administration (EIA 2006), as reported in *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010 the 30% Goal*. These were the values approved by the SSPC 90.1 for cost-effectiveness for the evaluation of individual addenda during the development of 90.1-2010.

## 5.3 Cost-effectiveness Analysis Results

Table 5.4 shows the results of the cost-effectiveness analysis from all three methods, LCCA, simple payback, and scalar method. This analysis demonstrates that 90.1-2010 is cost-effective for all prototypes in each climate location relative to 90.1-2007 under the LCCA and SSPC 90.1 scalar method. As described previously, simple payback is a simpler and less robust method than the other two, is provided for information purposes only and is not truly a measure of cost-effectiveness. DOE's assessment of cost effectiveness is based on LCCA.

		Climate Location				
Prototype		2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago
Small Office	Savings	\$914	\$919	\$929	\$973	\$993
	Savings/ft <sup>2</sup>	\$0.17	\$0.17	\$0.17	\$0.18	\$0.18
Large Office	Savings	\$140,209	\$129,662	\$99,546	\$124,939	\$110,379
	Savings/ft <sup>2</sup>	\$0.28	\$0.26	\$0.20	\$0.25	\$0.22
Standalone Retail	Savings	\$9,674	\$9,605	\$7,193	\$8,671	\$9,176
	Savings/ft <sup>2</sup>	\$0.39	\$0.39	\$0.29	\$0.35	\$0.37
Primary School	Savings	\$24,431	\$24,754	\$20,485	\$24,580	\$24,810
	Savings/ft <sup>2</sup>	\$0.33	\$0.33	\$0.28	\$0.33	\$0.34
Small Hotel	Savings	\$6,075	\$5,773	\$5,514	\$5,209	\$5,320
	Savings/ft <sup>2</sup>	\$0.14	\$0.13	\$0.13	\$0.12	\$0.12
Mid-rise Apartment	Savings	\$1,608	\$1,845	\$1,498	\$2,069	\$2,593
	Savings/ft <sup>2</sup>	\$0.05	\$0.05	\$0.04	\$0.06	\$0.08

Table 5.3. Annual Energy Cost Savings, 90.1-2010 Compared to 90.1-2007

Prototype				Climate Zone	;			
		2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago		
Life Cycle Cost Net Savings								
Small Office	Total	\$9,500	\$12,700	\$10,400	\$6,100	\$14,300		
	$ft^2$	\$1.73	\$2.31	\$1.89	\$1.11	\$2.60		
Large Office	Total	\$1,810,000	\$1,560,000	\$990,000	\$1,500,000	\$1,730,000		
	$ft^2$	\$3.63	\$3.13	\$1.99	\$3.01	\$3.47		
Standalone Retail	Total	\$110,000	\$95,600	\$99,200	\$74,000	\$121,000		
	$ft^2$	\$4.46	\$3.87	\$4.02	\$3.00	\$4.90		
Primary School	Total	\$205,000	\$195,000	\$354,000	\$197,000	\$307,000		
	$ft^2$	\$2.77	\$2.64	\$4.79	\$2.66	\$4.15		
Small Hotel	Total	\$304,450	\$328,000	\$316,000	\$284,700	\$325,000		
	$ft^2$	\$7.05	\$7.59	\$7.31	\$6.59	\$7.52		
Mid-rise Apartment	Total	\$20,400	\$25,500	\$18,300	\$30,800	\$41,800		
	$ft^2$	\$0.60	\$0.76	\$0.54	\$0.91	\$1.24		
		Sim	ple Payback (ye	ars)				
Small Office		11.6	9.5	10.7	15.5	8.7		
Large Office		3.3	4.1	4.7	4.1	2.2		
Standalone Retail		5.8	7.0	5.4	8.8	5.7		
Primary School		6.1	6.7	0.8	6.7	4.5		
Small Hotel		0.9	immediate	immediate	1.4	immediate		
Mid-rise Apartment		13.0	11.3	13.9	10.1	8.0		
Scalar Ratio (Limit 20.2) <sup>1</sup>								
Small Office		9.7	6.5	8.7	14.1	5.9		
Large Office		4.8	5.8	7.2	5.9	3.1		
Standalone Retail		6.6	8.2	5.2	10.1	6.0		
Primary School		8.9	9.6	0.7	9.8	6.4		
Small Hotel		-23.4	-24.8	-24.8	-27.3	-27.9		
Mid-rise Apartment		9.0	7.8	9.6	7.0	5.6		

<sup>1</sup> Scalar ratio limit for an analysis period of 40 years.

# 6.0 References

AirXchange. 2012. Energy Recovery Ventilation FAQs. AirXchange. Accessed April 25, 2013 at <u>http://www.airxchange.com/faqs.htm</u>

ANSI/ASHRAE/IESNA. 2007. ANSI/ASHRAE/IESNA 90.1-2007, Energy Standard for Buildings Except Low-Rise Residential Buildings. American Society of Heating, Refrigerating and Air-Conditioning Engineers, Atlanta, Georgia.

ANSI/ASHRAE/IES. 2010. ANSI/ASHRAE/IES 90.1-2010, Energy Standard for Buildings Except Low-Rise Residential Buildings. American Society of Heating, Refrigerating and Air-Conditioning Engineers, Atlanta, Georgia.

Aldous, F. 2012. "Envelope Commissioning at WJE." Interview with B. Thornton, PNNL on October 15, 2012.

Amazon.com. 2012. Amazon On-Line Catalog. Accessed April 25, 2013 at http://www.amazon.com/

Best, K. 2012. "Window Air Leakage." Interview with B. Thornton, PNNL on October 10, 2012.

Briggs, R.L., R.G. Lucas, and Z.T. Taylor. 2003. "Climate Classification for Building Energy Codes and Standards: Part 1—Development Process." *ASHRAE Transactions*, (1):4610-4611.

BuyLightFixtures.Com 2012. BuyLightFixtures.com On-Line Catalog. Accessed April 25, 2013 at <a href="http://www.buylightfixtures.com/">http://www.buylightfixtures.com/</a>

CBECS. 2003. *Commercial Buildings Energy Consumption Survey 2003*. Energy Information Administration, U.S. Department of Energy, Washington, D.C. Accessed April 25, 2013 at <a href="http://www.eia.doe.gov/emeu/cbecs/contents.html">http://www.eia.doe.gov/emeu/cbecs/contents.html</a>

Draper, D., K. Dehganian, and F. Aldous. 2012. "Envelope Commissioning at Epsten Group." Interview with B. Thornton, PNNL on October 15, 2012.

Deru, M., K. Field, D. Studer, K. Benne, B. Griffith, P. Torcellini, B. Liu, M. Halverson, D. Winiarski, MI Rosenberg, M. Yazdazian, J. Huang, D. Crawley. 2011. U.S. Department of Energy Commercial *Reference Building Models of the National Building Stock*. NREL/TP-5500-46861, National Renewable Energy Laboratory, Golden, Colorado.

DOE. 2008. "Energy Conservation Program for Commercial and Industrial Equipment: Packaged Terminal Air Conditioner and Packaged Terminal Heat Pump Energy Conservation Standards; Final Rule". 10 CFR Part 431. Federal Register Vol. 73, No. 195 Tuesday, October 7, 2008. Rules and Regulations pg. 58772

DOE. 2010. "Guidelines for Selecting Cool Roofs", V1.2. U.S. Department of Energy, Washington, D.C. Accessed April 25, 2013 at <u>http://www1.eere.energy.gov/femp/pdfs/coolroofguide.pdf</u>

DOE. 2012A. "Building Energy Codes Program, Status of State Energy Code Adoption". U.S. Department of Energy, Washington, D.C. Accessed May 30, 2013 at <a href="http://www.energycodes.gov/adoption/states">http://www.energycodes.gov/adoption/states</a>

DOE. 2012B. "Building Energy Codes Program: Determinations". U.S. Department of Energy, Washington, D.C. April 25, 2013 at <u>http://www.energycodes.gov/regulations/determinations</u>

DOE. 2012c. "Commercial Prototype Building Models". U.S. Department of Energy, Washington D.C. Accessed April 25, 2013 at <u>http://www.energycodes.gov/development/commercial/90.1\_models</u>

DOE. 2012d. "Building Energy Codes Program, Residential IECC Cost-effectiveness Analysis and Results". U.S. Department of Energy, Washington, D.C. Accessed April 25, 2013 at <a href="http://www.energycodes.gov/development/residential/iecc\_analysis">http://www.energycodes.gov/development/residential/iecc\_analysis</a>

Fimek, L. 2011. "Don't Question Commissioning When It comes to Lighting Controls." Accessed April 25, 2013 at <u>http://www.controleng.com/single-article/dont-question-commissioning-when-it-comes-to-lighting-controls/049f2095d0.html</u>

GoodMart. 2012. GoodMart On-Line Catalog. Accessed April 25, 2013. http://www.goodmart.com/

Grainger. 2012. Grainger On-line catalog. Accessed April 25, 2013 at. http://www.grainger.com/Grainger/wwg/start.shtml

Jarnagin, R.E., and G.K. Bandyopadhyay. 2010. Weighting Factors for the Commercial Building Prototypes Used in the Development of ANSI/ASHRAE/IESNA 90.1-2010. PNNL-19116, Pacific Northwest National Laboratory, Richland, Washington.

NFRC. 2004. *NFRC 400-2004 Procedure for Determining Fenestration Product Air Leakage*. National Fenestration Ratting Council, Silver Springs, Maryland.

Nextag. 2012. Nextag On-Line Catalog. Accessed April 25, 2013 at <u>http://www.nextag.com/replacement-damper-motor/products-html</u>

NIST. 1995 *Life-Cycle Costing Manual for the Federal Energy Management Program*, NIST Handbook 135, U.S. Department of Commerce, Technology Administration and NIST.

Peterson, JC, and T Haasl. 1994. A Commissioning Cost-Effectiveness Case Study. Accessed April 25, 2013 at <u>http://cgec.ucdavis.edu/ACEEE/1994-96/1994/VOL05/201.PDF</u>.

PNNL. 2013. Cost-effectiveness of ASHRAE Standard 90.1-2010-Cost Estimate.xls at http://www.energycodes.gov/sites/default/files/documents/Costeffectiveness\_of\_ASHRAE\_Standard\_90-1-2010-Cost\_Estimate.zip

Restaurant Max Inc. 2013. Online Institutional Sales of Commercial Restaurant Equipment, Supplies, and Furniture. Accessed April 25, 2013 at <u>http://www.restaurant-services.com/hoods.htm</u>

Richman, E.E., E. Rauch, J. Knappek, J. Phillips, K. Petty, and P. Lopez-Rangel. 2008. *National Commercial Construction Characteristics and Compliance with Building Energy Codes: 1999-2007.* 2008 ACEEE Summer Study on Energy Efficiency in Buildings. ACEEE Publications, Washington D.C.

RS Means. 2012A. *RS Means Mechanical Cost Data*, 35th Ed. Construction Publishers & Consultants. Norwell, MA.

RS Means. 2012B. *RS Means Electrical Cost Data*, 35th Ed. Construction Publishers & Consultants. Norwell, MA.

RS Means. 2012c. *RS Means Construction Cost Data*, 70th Ed. Construction Publishers & Consultants. Norwell, MA.

RS Means. 2004. *RS Means Facilities, Maintenance and Repair Cost Data*. Construction Publishers & Consultants. Norwell, MA.

SMACNA. 2005. *HVAC Duct Construction Standards: Metal and Flexible*, 3<sup>rd</sup>. Ed. SMACNA. Chantilly, Virginia.

Thornton, BA, MI Rosenberg, EE Richman, W Wang, Y Xie, J Zhang, H Cho, VV Mendon, RA Athalye (2011). *Achieving the 30% Goal: Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*. PNNL-20405. Pacific Northwest National Laboratory, Richland, Washington.

WSU 2005. Washington State University Extension Energy Program.. Energy Efficiency Factsheet. SUEEEP98\_018, revised October 2005. Accessed April, 25, 2013 at http://www.energy.wsu.edu/Documents/BuildingCommissioning.pdf

Witte, M.J. and R.H. Henninger. 2006. "Evaluating the ability of Unitary Equipment to maintain adequate space humidity levels, Phase II" ASHRAE 1254-RP. Gard Analytics, Park Ridge, Illinois

# Appendix A

# **Energy Modeling Prototype Building Descriptions**

This appendix is reprinted from *Energy and Cost Savings Analysis of ASHRAE Standard 90.1-2010*. References to report section or appendices can be found in that document.

# A.1 Small Office Modeling Description

	ltem		Descriptions		Data Source
Prog	ram				
	Vintage		NEW CONSTRUCTION		
	Location (Representing 8 Climate Zones)	Zone 1A: Miami (very hot, humid) Zone 1B: Riyadh, Saudi Arabia (very hot, dry) Zone 2A: Houston (hot, humid) Zone 2B: Phoenix (hot, dry) Zone 3A: Memphis (warm, humid) Zone 3B: El Paso (warm, dry) Zone 3C: San Francisco (warm, marine)	Zone 4A: Baltimore (mild, humid) Zone 4B: Albuquerque (mild, dry) Zone 4C: Salem (mild, marine) Zone 5A: Chicago (cold, humid) Zone 5B: Boise (cold, dry) Zone 5C: Vancouver, BC (cold, marine)	Zone 6A: Burlington (cold, humid) Zone 6B: Helena (cold, dry) Zone 7: Duluth (very cold) Zone 8: Fairbanks (subarctic)	Selection of representative climates based on Briggs' paper. See Reference.
	Available fuel types		gas, electricity		
	Building Type (Principal Building Function)		OFFICE		
	Building Prototype		Small Office		
Form					
	Total Floor Area (sq. feet)		5500 (90.8 ft x 60.5ft)		
	Building shape				
	Aspect Ratio		1.5		
	Number of Floors		1		

	Item	Descriptions	Data Source
	Window Fraction (Window-to-Wall Ratio)	24.4% for South and 19.8% for the other three orientations (Window Dimensions: 6.0 ft $\times$ 5.0 ft punch windows for all façades)	2003 CBECS Data and
	Window Locations	evenly distributed along four façades	PNNL's CBECS Study 2007.
	Shading Geometry	none	
	Azimuth	non-directional	
	Thermal Zoning	Perimeter zone depth: 16.4 ft. Four perimeter zones, one core zone and an attic zone. Percentages of floor area: Perimeter 70%, Core 30%	
	Floor to floor height (feet)	10	
	Floor to ceiling height (feet)	10	
	Glazing sill height (feet)	3 (top of the window is 8 ft high with 5 ft high glass)	
Arch	itecture		
	Exterior walls		
	Construction	Wood-Frame Walls (2X4 16in OC) 1in. Stucco + 5/8 in. gypsum board + wall Insulation+ 5/8 in. gypsum board	Construction type: 2003 CBECS Data and PNNL's CBECS Study 2007. Exterior wall layers: default 90.1 layering
	U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Nonresidential; Walls, Above-Grade, Wood-Framed	ASHRAE 90.1
	Dimensions	based on floor area and aspect ratio	
	Tilts and orientations	vertical	
	Roof		

Item	Descriptions	Data Source
Construction Attic Roof with Wood Joist: Roof insulation + 5/8 in. gypsum board		Construction type: 2003 CBECS Data and PNNL's CBECS Study 2007. Roof layers: default 90.1 layering
U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Nonresidential; Roofs, Attic	ASHRAE 90.1
Dimensions	based on floor area and aspect ratio	
Tilts and orientations	Hipped roof: 10.76 ft attic ridge height, 2 ft overhang-soffit	
Window		
Dimensions	punch window, each 5 ft high by 6 ft wide	
Glass-Type and frame	Hypothetical window with the exact U-factor and SHGC shown below	
U-factor (Btu / h * ft <sup>2</sup> * °F) SHGC (all)	ASHRAE 90.1 Requirements Nonresidential; Vertical Glazing, 20-30%, U_fixed	ASHRAE 90.1
Visible transmittance	Hypothetical window with the exact U-factor and SHGC shown above	
Operable area	0	Ducker Fenestration Market Data provided by the 90.1 envelope subcommittee
Skylight		
Dimensions	Not Modeled	
Glass-Type and frame U-factor (Btu / h * ft <sup>2</sup> * °F)	ΝΑ	
SHGC (all) Visible transmittance		
Foundation		
Foundation Type	Slab-on-grade floors (unheated)	
Construction	8" concrete slab poured directly on to the earth	
Thermal properties for ground level floor: U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Nonresidential; Slab-on-Grade Floors, unheated	ASHRAE 90.1
Thermal properties for basement walls	Thermal properties for NA basement walls	
Dimensions	based on floor area and aspect ratio	
Interior Partitions		
Construction	2 x 4 uninsulated stud wall	
Dimensions	based on floor plan and floor-to-floor height	

Item	Descriptions	Data Source
Internal Mass	6 inches standard wood (16.6 lb/ft <sup>2</sup> )	
Air Barrier System		
Infiltration	Peak: 0.2016 CFM/sf of above grade exterior wall surface area (when fans turn off) Off Peak: 25% of peak infiltration rate (when fans turn on)	Reference: PNNL-18898: Infiltration Modeling Guidelines for Commercial Building Energy Analysis.
AC		
System Type		
Heating type	Air-source heat pump with gas furnace as back up	2003 CBECS Data,
Cooling type	Air-source heat pump	PNNL's CBECS Study 2006, and 90.1 Mechanical
Distribution and terminal units	Single zone, constant air volume air distribution, one unit per occupied thermal zone	Subcommittee input.
HVAC Sizing		
Air Conditioning	autosized to design day	
Heating	autosized to design day	
HVAC Efficiency		
Air Conditioning	Various by climate location and design cooling capacity ASHRAE 90.1 Requirements Minimum equipment efficiency for Packaged Heat Pumps	ASHRAE 90.1
Heating	Various by climate location and design heating capacity ASHRAE 90.1 Requirements Minimum equipment efficiency for Packaged Heat Pumps and Warm Air Furnaces	ASHRAE 90.1
HVAC Control		
Thermostat Setpoint	75°F Cooling/70°F Heating	
Thermostat Setback	85°F Cooling/60°F Heating	
Supply air temperature	Maximum 104F, Minimum 55F	
Chilled water supply temperatures	NA	
Hot water supply temperatures	NA	
Economizers	Various by climate location and cooling capacity Control type: differential dry bulb	ASHRAE 90.1
Ventilation	ASHRAE Ventilation Standard 62.1	ASHRAE Ventilation Standard 62.1

Item	Descriptions	Data Source
Energy Recovery	ASHRAE 90.1 Requirements	ASHRAE 90.1
Supply Fan		
Fan Hourly Operation Schedules	See Appendix C	
Supply Fan Total Efficiency (%)	Depending on the fan motor size	ASHRAE 90.1 requirements for motor
Supply Fan Pressure Drop	Various depending on the fan supply air CFM	efficiency and fan powe limitation
Pump		
Pump Type	NA	
Rated Pump Head	NA	
Pump Power	autosized	
Cooling Tower		
Cooling Tower Type	NA	
Cooling Tower Efficiency	NA	
Service Water Heating		
SWH type	Storage Tank	
Fuel type	Natural Gas	
Thermal efficiency (%)	ASHRAE 90.1 Requirements Water Heating Equipment, Gas storage water heaters, >75,000 Btu/h input	ASHRAE 90.1
Tank Volume (gal)	40	
Water temperature setpoint	120F	
Water consumption	See Appendix C	
ternal Loads & Schedules		
Lighting		
Average power density (W/ft <sup>2</sup> )	ASHRAE 90.1 Lighting Power Densities Using the Building Area Method	ASHRAE 90.1
Schedule	See Appendix C	
Daylighting Controls	ASHRAE 90.1 Requirements	
Occupancy Sensors	ASHRAE 90.1 Requirements	
Plug load		· · · · · · · · · · · · · · · · · · ·
Average power density (W/ft <sup>2</sup> )	See Appendix B	User's Manual for ASHRAE Standard 90. 2004 (Appendix G)
Schedule	See Appendix C	
Occupancy		•

	Item	Descriptions	Data Source
	Average people	See Appendix B	User's Manual for ASHRAE Standard 90.1- 2004 (Appendix G)
	Schedule	See Appendix C	
Misc			
	Elevator		
	Peak Power	NA	
	Schedule	NA	
	Exterior Lighting		
	Peak Power (W) 1,634		ASHRAE 90.1
	Schedule	See Appendix C	ASTIKAE 90.1

Briggs, R.S., R.G. Lucas, and Z.T. Taylor. 2003. Climate Classification for Building Energy Codes and Standards: Part 2—Zone Definitions, Maps, and Comparisons. ASHRAE Transactions 109(2).

PNNL's CBECS Study. 2007. Analysis of Building Envelope Construction in 2003 CBECS Buildings. Dave Winiarski, Mark Halverson, and Wei Jiang. Pacific Northwest National Laboratory. March 2007.

PNNL's CBECS Study. 2006. *Review of Pre- and Post-1980 Buildings in CBECS – HVAC Equipment.* Dave Winiarski, Wei Jiang and Mark Halverson. Pacific Northwest National Laboratory. December 2006.

Gowri K, DW Winiarski, and RE Jarnagin. 2009. Infiltration modeling guidelines for commercial building energy analysis. PNNL-18898, Pacific Northwest National Laboratory, Richland, WA. http://www.pnl.gov/main/publications/external/technical\_reports/PNNL-18898.pdf

# A.2 Large Office Modeling Description

	Item		Descriptions		Data Source	
Prog	ogram					
	Vintage		NEW CONSTRUCTION			
	Location (Representing 8 Climate Zones)	Zone 1A: Miami (very hot, humid) Zone 1B: Riyadh, Saudi Arabia (very hot, dry) Zone 2A: Houston (hot, humid) Zone 3B: Phoenix (hot, dry) Zone 3A: Memphis (warm, humid) Zone 3B: El Paso (warm, dry) Zone 3C: San Francisco (warm, marine)	Zone 4A: Baltimore (mild, humid) Zone 4B: Albuquerque (mild, dry) Zone 4C: Salem (mild, marine) Zone 5A: Chicago (cold, humid) Zone 5B: Boise (cold, dry) Zone 5C: Vancouver, BC (cold, marine)	Zone 6A: Burlington (cold, humid) Zone 6B: Helena (cold, dry) Zone 7: Duluth (very cold) Zone 8: Fairbanks (subarctic)	Selection of representative climates based on Briggs' paper	
	Available fuel types		gas, electricity			
	Building Type (Principal Building Function)		OFFICE			
	Building Prototype		LARGE OFFICE			
Forn	n	-				
	Total Floor Area (sq. feet)		498,600 (240 ft x 160 ft)			
	Building shape	N			<i>Time Saver Standards;</i> Large Office studies (ConEd, EPRI, MEOS, NEU1(1-4), NEU2, PNL) cited in Huang et al. 1991	

Item	Descriptions	Data Source
Number of Floors	12 (plus basement)	
Window Fraction (Window-to-Wall Ratio)	40% of above-grade gross walls 37.5% of gross walls (including the below-grade walls)	
Window Locations	even distribution among all four sides	PNNL's CBECS Study
Shading Geometry		
Azimuth	non-directional	
Thermal Zoning Perimeter zone depth: 15 ft.		<i>Time Saver Standards;</i> Large Office studies (ConEd, EPRI, MEOS, NEU1(1-4), NEU2, PNL) cited in Huang et al. 1992
Floor to floor height (feet)	Each floor has four perimeter zones and one core zone. Percentages of floor area: Perimeter 33%, Core 67% 13	
Floor to ceiling height (feet)	9	
Glazing sill height (feet)	3 ft	
Architecture	511	
Exterior walls		
Construction	Mass (pre-cast concrete panel): 8 in. Heavy-Weight Concrete + Wall Insulation + 0.5 in. gypsum board	Construction type: PNNL's CBECS Study
U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Nonresidential; Walls, Above-Grade, Steel-Framed	ASHRAE 90.1
Dimensions	based on floor area and aspect ratio	
Tilts and orientations	vertical	
Roof		
Construction	Built-up Roof: Roof membrane+Roof insulation+metal decking	Construction type: PNNL's CBECS Study Roof layers: default 90.1 layering
U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Nonresidential; Roofs, Insulation entirely above deck	ASHRAE 90.1

Item	Descriptions	Data Source
Dimensions	based on floor area and aspect ratio	
Tilts and orientations	horizontal	
Window		
Dimensions	based on window fraction, location, glazing sill height, floor area and aspect ratio	
Glass-Type and frame	Hypothetical window with the U-factor and SHGC shown below	
U-factor (Btu / h * ft <sup>2</sup> * °F) ASHRAE 90.1 Requirements		
SHGC (all)	Nonresidential	ASHRAE 90.1
Visible transmittance	Hypothetical window with the exact U-factor and SHGC shown above	
Operable area	0%	Ducker Fenestration Market Data provided b the envelope subcommittee
Skylight		
Dimensions	Not Modeled	
Glass-Type and frame		
U-factor (Btu / h * ft <sup>2</sup> * °F)	ΝΑ	
SHGC (all)		
Visible transmittance		
Foundation		
Foundation Type	Basement (unconditioned)	
Construction	8" concrete wall; 6" concrete slab, 140 lbs. heavy-weight aggregate	
Thermal properties for ground level floor: U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Nonresidential; Floors, Mass	ASHRAE 90.1
Thermal properties for basement walls	No insulation	
Dimensions	based on floor area and aspect ratio	
Interior Partitions		
Construction 2 x 4 uninsulated stud wall		
Dimensions based on floor plan and floor-to-floor height		
Internal Mass	6 inches standard wood (16.6 lb/ft <sup>2</sup> )	

Item	Descriptions	Data Source	
Infiltration	Peak: 0.2016 CFM/sf of above grade exterior wall surface area (when fans turn off) Off Peak: 25% of peak infiltration rate (when fans turn on)	PNNL's Infiltration Study	
HVAC			
System Type			
Heating type	Gas boiler		
Cooling type	Two water-cooled centrifugal chillers	PNNL's CBECS Study	
Distribution and terminal units	VAV terminal box with damper and hot-water reheating coil Zone control type: minimum supply air at 30% of the zone design peak supply air.	FINES OBLOS Study	
HVAC Sizing			
Air Conditioning	autosized to design day		
Heating	autosized to design day		
HVAC Efficiency			
Air Conditioning	Varies by climate locations based on cooling capacity	ASHRAE 90.1	
Heating	Varies by climate locations based on heating capacity	ASHRAE 90.1	
HVAC Control			
Thermostat Setpoint	75°F Cooling/70°F Heating	90.1 Simulation Working	
Thermostat Setback	85°F Cooling/60°F Heating	Group	
Supply air temperature	Maximum 110F, Minimum 52F		
Chilled water supply temperatures	44 F		
Hot water supply temperatures	180 F		
Economizers	Air-side economizer only in all the zones except: 1A, 1B, 2A, 3A, and 4A.	ASHRAE 90.1	
Ventilation	ASHRAE Ventilation Standard 62.1	ASHRAE Ventilation Standard 62.1	
Demand Control Ventilation	No	ASHRAE 90.1	
Energy Recovery	No	ASHRAE 90.1	
Supply Fan			
Fan schedules	See Appendix C		
Supply Fan Total Efficiency (%)	60% to 62% depending on the fan motor size	ASHRAE 90.1	
Supply Fan Pressure Drop	Supply Fan Pressure Drop Various depending on the fan supply air CFM		
Pump			
Pump Type	CHW and HW: variable speed; CW: constant speed		
Rated Pump Head	CHW: 56 ft HW and CW: 60 ft	ASHRAE 90.1	

Item	Descriptions	Data Source
Pump Power	autosized	
Cooling Tower		
Cooling Tower Type	open cooling tower with two-speed fans	ASHRAE 90.1
Cooling Tower Power	autosized	
Service Water Heating		
SWH type	Storage Tank	
Fuel type	Natural Gas	
Thermal efficiency (%)	80%	
Tank Volume (gal)	260	
Water temperature setpoint	180 F	
Water consumption	See Appendix C	
ternal Loads & Schedules		
Lighting		
Average power density (W/ft <sup>2</sup> )	ASHRAE 90.1 Lighting Power Densities Using the Building-Area Method	ASHRAE 90.1
Schedule	See Appendix C	
Daylighting Controls	No	
Occupancy Sensors	No	
Plug load		•
Average power density (W/ft <sup>2</sup> )	See Appendix B	ASHRAE 90.1
Schedule	See Appendix C	
Occupancy		
Average people	See Appendix B	ASHRAE Ventilation Standard 62.1
Schedule	See Appendix C	
isc.		
Elevator		
Quantity	12	
Motor type	traction	DOE Commercial Reference Building TSI
Peak Motor Power (W/elevator)	20370	(Deru et al. 2011) and models (V1.3_5.0).
Heat Gain to Building	Exterior	
Peak Fan/lights Power (W/elevator)	161.9	90.1 Mechanical Subcommittee, Elevato Working Group

Item	Descriptions	Data Source
Motor and fan/lights Schedules	See Appendix C	DOE Commercial Reference Building TSD (Deru et al. 2011) and models (V1.3_5.0) and Appendix DF 2007
Exterior Lighting		
Peak Power (W)	60,216	ASHRAE 90.1-2004; PNNL study; 90.1 Lighting Subcommittee inputs
Schedule	Astronomical Clock	ASHRAE 90.1-2004

Briggs, R.S., R.G. Lucas, and Z.T. Taylor. 2003. Climate Classification for Building Energy Codes and Standards: Part 2—Zone Definitions, Maps, and Comparisons. ASHRAE Transactions 109(2).

McGraw-Hill Companies, Inc. (2001). Time-Saver Standards for Building Types. New York, NY.

LBNL (1991). Huang, Joe, Akbari, H., Rainer, L. and Ritschard, R. 481 Prototypical Commercial Buildings for 20 Urban Market Areas, prepared for the Gas Research Institute, Chicago IL, also LBL-29798, Berkeley CA.

PNNL's CBECS Study. 2007. Analysis of Building Envelope Construction in 2003 CBECS Buildings. Dave Winiarski, Mark Halverson, and Wei Jiang. Pacific Northwest National Laboratory. March 2007.

PNNL's CBECS Study. 2006. Review of Pre- and Post-1980 Buildings in CBECS – HVAC Equipment. Dave Winiarski, Wei Jiang and Mark Halverson. Pacific Northwest National Laboratory. December 2006.

	Item		Descriptions		Data Source	
Prog	gram					
	Vintage		NEW CONSTRUCTION			
	Location (Representing 8 Climate Zones)	Zone 1A: Miami (very hot, humid) Zone 1B: Riyadh, Saudi Arabia (very hot, dry) Zone 2A: Houston (hot, humid) Zone 2B: Phoenix (hot, dry) Zone 3A: Memphis (warm, humid) Zone 3B: El Paso (warm, dry) Zone 3C: San Francisco (warm, marine)	Zone 4A: Baltimore (mild, humid) Zone 4B: Albuquerque (mild, dry) Zone 4C: Salem (mild, marine) Zone 5A: Chicago (cold, humid) Zone 5B: Boise (cold, dry) Zone 5C: Vancouver, BC (cold, marine)	Zone 6A: Burlington (cold, humid) Zone 6B: Helena (cold, dry) Zone 7: Duluth (very cold) Zone 8: Fairbanks (subarctic)	Selection of representative climates based on Briggs' paper. See Reference.	
	Available fuel types		gas, electricity			
	Building Type (Principal Building Function)		RETAIL			
	Building Prototype		Standalone Retail			
Form	1	• •				
	Total Floor Area (sq. feet)		24695 (178 ft x 139 ft)			
	Building shape					
	Aspect Ratio		1.28			

### A.3 Stand-alone Retail Modeling Description

	Item	Descriptions		Data Source	
	Number of Floors	1			
	Window Fraction (Window-to-Wall Ratio)	7.1% (Window Dimensions: 82.136 ft x 5 ft, 9.843 ft x 8.563 ft and 82.136 ft x 5 on the street facing facade)		2003 CBECS Data and PNNL's CBECS Study	
	Window Locations	Windows	only on the street facing façade (25.4% WWR)	2007.	
	Shading Geometry		none		
	Azimuth		non-directional		
	Thermal Zoning	Five thermal zones (See Appendix B)	Back_Space         Core_Retail         Point_of_Sale       Front_Retail         Front_Entry		
	Floor to floor height (feet)		N/A		
	Floor to ceiling height (feet)		20		
	Glazing sill height (feet)	5 ft (top of	the window is 8.73 ft high with 3.74 ft high glass)		
Arch	itecture				
	Exterior walls				
	Construction	8 in.	Concrete Block Wall: CMU+Wall Insulation+0.5 in. gypsum board	Construction type: 2003 CBECS Data and PNNL's CBECS Study 2007. Exterior wall layers: default 90.1 layering	
	U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	N	ASHRAE 90.1 Requirements onresidential; Walls, Above-Grade, Mass	ASHRAE 90.1	

Item	Descriptions	Data Source			
Dimensions	based on floor area and aspect ratio				
Tilts and orientations	Vertical				
Roof	Roof				
Construction	Built-up Roof: Roof membrane+Roof insulation+metal decking	Construction type: 2003 CBECS Data and PNNL CBECS Study 2007. Roof layers: default 90.1 layering			
U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Nonresidential; Roofs, Insulation entirely above deck	ASHRAE 90.1			
Dimensions	based on floor area and aspect ratio				
Tilts and orientations	horizontal				
Window		•			
Dimensions	based on window fraction, location, glazing sill height, floor area and aspect ratio				
Glass-Type and frame	Hypothetical window with the exact U-factor and SHGC shown below				
U-factor (Btu / h * ft <sup>2</sup> * °F)	ASHRAE 90.1 Requirements				
SHGC (all)	Nonresidential; Vertical Glazing, 20.1-30.0%	ASHRAE 90.1			
Visible transmittance	Hypothetical window with the exact U-factor and SHGC shown above	Ducker Fenestration Market Data provided by the 90.1 envelope subcommittee			
Operable area	2%	Ducker Fenestration Market Data provided by the envelope subcommittee			
Skylight		•			
Dimensions	Core Retail, Rectangular skylight 4 ft x 4 ft = 16 ft² per skylight Number of skylights and total skylight area vary according to ASHRAE 90.1 Requirements	ASHRAE 90.1			
Glass-Type and frame	Hypothetical glass and frame meeting ASHRAE 90.1 Requirements below				
U-factor (Btu / h * ft <sup>2</sup> * °F)					
SHGC (all)	ASHRAE 90.1 Requirements Nonresidential; Skylight with Curb, Glass	ASHRAE 90.1			
Visible transmittance	Visible transmittance				
Foundation	Foundation				
Foundation Type	Slab-on-grade floors (unheated)				
Construction	6" concrete slab poured directly on to the earth with carpet				

Item	Descriptions	Data Source		
Thermal properties for ground level floor: U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Nonresidential; Slab-on-Grade Floors, unheated	ASHRAE 90.1		
Thermal properties for basement walls				
Dimensions	based on floor area and aspect ratio			
Interior Partitions				
Construction	0.5 in gypsum board + 0.5 in gypsum board			
Dimensions	based on floor plan and floor-to-floor height			
Internal Mass	6 inches standard wood (16.6 lb/ft <sup>2</sup> )			
Air Barrier System				
Infiltration	Peak: 0.2016 CFM/sf of above grade exterior wall surface area (when fans turn off) Off Peak: 25% of peak infiltration rate (when fans turn on)	Reference: PNNL-18898: Infiltration Modeling Guidelines for Commercial Building Energy Analysis.		
HVAC				
System Type	System Type			
Heating type	Gas furnace inside the packaged air conditioning unit for back_space, core_retail, point_of_sale, and front_retail. Standalone gas furnace for front_entry.	2003 CBECS Data.		
Cooling type	Packaged air conditioning unit for back_space, core_retail, point_of_sale, and front_retail; No cooling for front_entry.	PNNL's CBECS Study 2006, and 90.1		
Distribution and terminal units	Constant air volume air distribution 4 single-zone roof top units serving four thermal zones ( back_space, core_retail, point_of_sale, and front_retail)	Mechanical Subcommittee input.		
HVAC Sizing		•		
Air Conditioning	autosized to design day			
Heating	autosized to design day			
HVAC Efficiency		•		
Air Conditioning	Various by climate location and design cooling capacity ASHRAE 90.1 Requirements Minimum equipment efficiency for Air Conditioners and Condensing Units	ASHRAE 90.1		
Heating	Various by climate location and design heating capacity ASHRAE 90.1 Requirements Minimum equipment efficiency for Warm Air Furnaces	ASHRAE 90.1		
HVAC Control				
Thermostat Setpoint	75°F Cooling/70°F Heating			
Thermostat Setback	85°F Cooling/60°F Heating			

Item	Descriptions	Data Source	
Supply air temperature	Maximum 104°F, Minimum 55°F		
Chilled water supply temperatures	ΝΑ		
Hot water supply temperatures	NA		
Economizers	Various by climate location and cooling capacity Control type: differential dry bulb	ASHRAE 90.1	
Ventilation	ASHRAE Ventilation Standard 62.1	ASHRAE Ventilation Standard 62.1	
Demand Control Ventilation	ASHRAE 90.1 Requirements	ASHRAE 90.1	
Energy Recovery	ASHRAE 90.1 Requirements	ASHRAE 90.1	
Supply Fan			
Fan schedules	See Appendix C		
Supply Fan Mechanical Efficiency (%)	Various depending on the fan motor size	ASHRAE 90.1 requirements for motor efficiency and fan power	
Supply Fan Pressure Drop	Various depending on the fan supply air CFM	limitation	
Pump			
Pump Type	Service hot water		
Rated Pump Heat	No		
Pump Power	100% eff. motor. Negligible power consumption		
Cooling Tower			
Cooling Tower Type	NA		
Cooling Tower Efficiency	NA		
Service Water Heating			
SWH type	Storage Tank		
Fuel type	Natural Gas		
Thermal efficiency (%)	ASHRAE 90.1 Requirements Water Heating Equipment, Gas storage water heaters, >75,000 Btu/h input	ASHRAE 90.1	
Tank Volume (gal)	40		
Water temperature setpoint	120 °F		
Water consumption	BLDG_SWH_SCH See Appendix C		
Internal Loads & Schedules			
Lighting	Lighting		
Average power density (W/ft <sup>2</sup> )	ASHRAE 90.1 Lighting Power Densities Using the Building Area Method		
Schedule See Appendix C			
Daylighting Controls	ASHRAE 90.1 Requirements		

	Item	Descriptions	Data Source		
	Occupancy Sensors	ASHRAE 90.1 Requirements			
	Plug load				
	Average power density (W/ft <sup>2</sup> )	See Appendix B	User's Manual for ASHRAE Standard 90.1- 2004 (Appendix G)		
	Schedule	See Appendix C			
	Occupancy				
	Average people	See Appendix B			
	Schedule	See Appendix C			
Misc	).				
	Elevator				
	Peak Power	NA			
	Schedule	NA			
	Exterior Lighting				
	Peak Power 7,322 watts				
	Schedule	See Appendix C	ASHRAE 90.1		

Briggs, R.S., R.G. Lucas, and Z.T. Taylor. 2003. Climate Classification for Building Energy Codes and Standards: Part 2—Zone Definitions, Maps, and Comparisons. ASHRAE Transactions 109(2).

PNNL's CBECS Study. 2007. Analysis of Building Envelope Construction in 2003 CBECS Buildings. Dave Winiarski, Mark Halverson, and Wei Jiang. Pacific Northwest National Laboratory. March 2007.

PNNL's CBECS Study. 2006. Review of Pre- and Post-1980 Buildings in CBECS – HVAC Equipment. Dave Winiarski, Wei Jiang and Mark Halverson. Pacific Northwest National Laboratory. December 2006.

Gowri K, DW Winiarski, and RE Jarnagin. 2009. Infiltration modeling guidelines for commercial building energy analysis. PNNL-18898, Pacific Northwest National Laboratory, Richland, WA. http://www.pnl.gov/main/publications/external/technical\_reports/PNNL-18898.pdf

A.4	Primary School Modeling Description
-----	-------------------------------------

	Item		Descriptions		Data Source
rogram					
Vint	Vintage NEW CONSTRUCTION				
	cation epresenting 8 Climate Zones)	Zone 1A: Miami (very hot, humid) Zone 1B: Riyadh, Saudi Arabia (very hot, dry) Zone 2A: Houston (hot, humid) Zone 2B: Phoenix (hot, dry) Zone 3A: Memphis (warm, humid) Zone 3B: El Paso (warm, dry) Zone 3C: San Francisco (warm, marine)	Zone 4C. Salem (mild, manne) Zone 5A: Chicago (cold, humid)	Zone 6A: Burlington (cold, humid) Zone 6B: Helena (cold, dry) Zone 7: Duluth (very cold) Zone 8: Fairbanks (subarctic)	Selection of representative climates based on Briggs' paper
Ava	ailable fuel types		gas, electricity	l .	
Buil	ilding Type (Principal Building nction)		EDUCATION		
	ilding Prototype		Primary School		
orm	0 11		-		
Tota	tal Floor Area (sq. feet)		73, 960 (340 ft x 270 ft)		
Buil	ilding shape				
Asp	pect Ratio		1.3		
Nur	mber of Floors		1		

Window Fraction		
	35% for all facades	_
(Window-to-Wall Ratio)	Ribbon window across all facades	
Window Locations	Continuous Band	-
Shading Geometry Azimuth	none none	
Azimum		
Thermal Zoning	Classrooms zoned by exposure. Corner classrooms separated out from single exposure classrooms. Double loaded corridors zoned separately. Administrative area, Gymnasium, mechanical, media center, lobby, kitchen, and cafeteria are single zones. See Appendix B.	
Floor to floor height (feet)	13	
Floor to ceiling height (feet)	13	
Glazing sill height (feet)	3.6 (top of the window is 8.1 ft high with 4.5 ft high glass)	
Architecture		
Exterior walls		
Construction	Steel-framed Walls (2x4, 16" OC) 0.75" stucco + 0.625" gypsum board + Cavity insulation + 0.625" gypsum board	Construction type: 2003 CBECS Data and PNNL's CBECS Study 2007. Exterior wall layers: default 90.1 layering
U-factor (Btu / h * ft <sup>2</sup> * °F) ar R-value (h * ft <sup>2</sup> * °F / Btu)	d/or ASHRAE 90.1 Requirements Nonresidential; Walls, Above-Grade, Steel-Framed	ASHRAE 90.1
Dimensions	based on floor area and aspect ratio	

Item	Descriptions	Data Source
Tilts and orientations	vertical	
Roof		
Construction	Built-up Roof Roof membrane + Roof insulation + Metal decking	Construction type: 2003 CBECS Data and PNNI CBECS Study 2007. Roof layers: default 90. layering
U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Nonresidential; Roofs, Insulation entirely above deck	ASHRAE 90.1
Area (ft2)	73,960	
Tilts and orientations	horizontal	
Window		
Dimensions	based on window fraction, location, glazing sill height, floor area and aspect ratio	
Glass-Type and frame	Hypothetical window with no frame and meeting ASHRAE 90.1 Requirements	
U-factor (Btu / h * ft <sup>2</sup> * °F)	ASHRAE 90.1 Requirements	ASHRAE 90.1
SHGC (all)	Nonresidential; Vertical Glazing, 30.1-40%	ASTICAL 90.1
Visible transmittance	Hypothetical window with no frame and meeting ASHRAE 90.1 Requirements	
Operable area	35%	PNNL 's Glazing Marke Data for ASHRAE spreadsheet
Skylight		
Dimensions	Gymnasium/Multipurpose Room (4 ft x 4 ft) x 9 skylights = 144 ft² total Skylight Area 3.75% of gym roof area	AEDG K-12 Guide
Glass-Type and frame	Hypothetical glass and frame meeting ASHRAE 90.1 Requirements below	
U-factor (Btu / h * ft <sup>2</sup> * °F)		
SHGC	ASHRAE 90.1 Requirements Nonresidential; Skylight with curb, Glass, 2.1-5%	ASHRAE 90.1
Visible transmittance		
Foundation		
Foundation Type	Slab-on-grade floors (unheated)	
Construction	6" concrete slab poured directly on to the earth + carpet	
Thermal properties for ground level floor: F-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Nonresidential; Slab-on-Grade Floors, unheated	ASHRAE 90.1
Thermal properties for basement walls:	ΝΑ	
Dimensions	based on floor area and aspect ratio	

Item	Descriptions	Data Source
Interior Partitions		
Construction	2 x 4 uninsulated stud wall	
Dimensions	based on floor plan and floor-to-floor height	
Internal Mass	6 inches standard wood (16.6 lb/ft <sup>2</sup> )	
Air Barrier System		
Infiltration	Peak: 0.2016 CFM/ft <sup>2</sup> of above grade exterior wall surface area (when fans turn off) Off Peak: 25% of peak infiltration rate (when fans turn on)	Reference: PNNL-18898: Infiltration Modeling Guidelines for Commercial Building Energy Analysis.
;		
System Type		1
Heating type	<ol> <li>Gas furnace inside packaged air conditioning unit</li> <li>Hot water from a gas boiler for heating</li> </ol>	
Cooling type	Packaged air conditioning unit	2003 CBECS Data, PNNL CBECS Study 2006, and
	1. CAV systems: direct air from the packaged air conditioning unit	90.1 Mechanical Subcommittee input.
Distribution and terminal units	2. VAV systems: VAV terminal box with damper and hot water reheating coil Zone Control Type: minimum supply air at 30% of the zone design peak supply air	
HVAC Sizing		
Air Conditioning	autosized to design day	
Heating	autosized to design day	
HVAC Efficiency		
Air Conditioning	Varies by climate location and design cooling capacity ASHRAE 90.1 Requirements Minimum equipment efficiency for Air Conditioners and Condensing Units	ASHRAE 90.1
Heating	Varies by climate location and design heating capacity ASHRAE 90.1 Requirements Minimum equipment efficiency for Warm Air Furnaces Minimum equipment efficiency for Gas and Oil-fired Boilers	ASHRAE 90.1
HVAC Control		-
Thermostat Setpoint	75°F Cooling/70°F Heating	
Thermostat Setback	80°F Cooling/60°F Heating	
Supply air temperature	Minimum 50 °F and maximum 122 °F	
Chilled water supply temperatures	NA	
Hot water supply temperatures	180 °F	

Item	Descriptions	Data Source
Economizers	Varies by climate location and cooling capacity Control type: differential dry bulb	ASHRAE 90.1
Outdoor Air Ventilation	ASHRAE Ventilation Standard 62.1	ASHRAE Ventilation Standard 62.1
Demand Control Ventilation	ASHRAE 90.1 Requirements	ASHRAE 90.1
Energy Recovery	ASHRAE 90.1 Requirements	ASHRAE 90.1
Supply Fan		
Fan schedules See Appendix C		
Supply Fan Mechanical Efficiency	Varies depending on the fan motor size and type of fan	ASHRAE 90.1 requirement
Supply Fan Pressure Drop	Various depending on the fan supply air CFM	for motor efficiency and far power limitation
Pump		
Pump Type	Variable speed	
Rated Pump Head	60 ft	
Pump Power	autosized	
Cooling Tower		•
Cooling Tower Type	NA	
Cooling Tower Power	NA	
Service Water Heating		
SWH type	Storage Tank	
Fuel type	Natural Gas	
Thermal efficiency (%)	ASHRAE 90.1 Requirements Water Heating Equipment, Gas storage water heaters, >75,000 Btu/h input	ASHRAE 90.1
Tank Volume (gal)	264	
Water temperature setpoint	120 F	
Water consumption (peak gpm)	See Appendix C	
ernal Loads & Schedules		
Lighting		
Lighting power density (W/ft <sup>2</sup> )	ASHRAE 90.1 Lighting Power Densities Using the Space-By-Space Method See Appendix B	ASHRAE 90.1
Schedule	See Appendix C	
Daylighting Controls	ASHRAE 90.1 Requirements	
Occupancy Sensors	ASHRAE 90.1 Requirements	
Plug load		<u>.</u>
Average power density (W/ft <sup>2</sup> )	See Appendix B	User's Manual for ASHRA Standard 90.1-2004 (Appendix G)
Schedule	See Appendix C	

	Item	Descriptions	Data Source	
	Occupancy	·		
	Average people	See Appendix B		
	Schedule	See Appendix C		
Misc.				
	Elevator			
	Peak Power	NA		
	Schedule	NA		
	Exterior Lighting			
	Peak Power (W)	ASHRAE 90.1 Lighting Power Densities For Building Exteriors	ASHRAE 90.1	
	Schedule	See Appendix C		

Briggs, R.S., R.G. Lucas, and Z.T. Taylor. 2003. Climate Classification for Building Energy Codes and Standards: Part 2—Zone Definitions, Maps, and Comparisons. ASHRAE Transactions 109(2).

PNNL's CBECS Study. 2007. Analysis of Building Envelope Construction in 2003 CBECS Buildings. Dave Winiarski, Mark Halverson, and Wei Jiang. Pacific Northwest National Laboratory. March 2007.

PNNL's CBECS Study. 2006. Review of Pre- and Post-1980 Buildings in CBECS – HVAC Equipment. Dave Winiarski, Wei Jiang and Mark Halverson. Pacific Northwest National Laboratory. December 2006.

"Study of the U.S. Market For Windows, Doors, and Skylights", American Architectural Manufacturers Association, Window & Door Manufacturers Association, 2006.

# A.5 Small Hotel Modeling Description

	Item		Input		Data Source	
Prog	ogram					
	Vintage	NEW CONSTRUCTION				
	Location (Representing 8 Climate Zones)	(very hot, dry) Zone 4B: / Zone 2A: Houston (hot, humid) Zone 4C: 3 Zone 2B: Phoenix (hot, dry) Zone 5A: 0 Zone 3A: Memphis (warm, humid) Zone 5B: 1	Baltimore (mild, humid) Albuquerque (mild, dry) Salem (mild, marine) Chicago (cold, humid) Boise (cold, dry) Vancouver, BC (cold,	Zone 6A: Burlington (cold, humid) Zone 6B: Helena (cold, dry) Zone 7: Duluth (very cold) Zone 8: Fairbanks (subarctic)	Selection of representative climates based on Briggs' paper	
	Available fuel types		gas, electricity			
	Building Type (Principal Building Function)		Lodging			
	Building Prototype		Small Hotel			
Form						
	Total Floor Area (sq. feet)	43200 (180 ft x 60 ft)		Hampton Inn Prototype from Hilton Hotels Corporation, Version 5.1, September 2004, referred as Hilton prototype; F.W.Dodge Database		
	Building shape			Hilton prototype and CBECS 2003		

Item	Input	Data Source
Aspect Ratio	3	Hilton prototype
Number of Floors	4	
Window Fraction (Window-to-Wall Ratio)	South: 3.1%, East: 11.4%, North: 4.0%, West: 15.2% Average Total: 10.9%	Hilton prototype
Window Locations	One per guest room (4' x 5')	
Shading Geometry	none	
Azimuth	non-directional	-
Thermal Zoning	Ground Floor: 19 zones including guest rooms, lobby, office space, meeting room, laundry room, employee lounge, restrooms, exercise room, mechanical room, corridor, stairs, storage; 2nd-4th Floor: 16 zones per floor, including guest rooms, corridor, stairs and storage; Guest rooms accounts for 63% of total floor area.	Hilton prototype
Floor to floor height (feet)	Ground floor: 11 ft Upper floors: 9 ft	
Floor to ceiling height (feet)	same as above	
Glazing sill height (feet)	3 ft in ground floor, 2 ft. in upper floors	
chitecture		
Exterior walls		
Construction	Steel-Frame Walls (2x4 16 in. OC) 1 in. Stucco + 5/8 in. gypsum board + wall Insulation + 5/8 in. gypsum board	Construction type: 2003 CBECS Data and PNNL's CBECS Study 2007. Base Assembly from 90.1 Appendix A.
U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Nonresidential; Walls, Above-Grade, Steel-Framed	ASHRAE 90.1
Dimensions	based on floor area and aspect ratio	

Item	Input	Data Source
Tilts and orientations	vertical	
Roof		
Construction	Built-up Roof: Roof membrane + Roof insulation + metal decking	AEDG Highway Lodgin Committee Recommendation
U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Nonresidential; Roofs, Insulation entirely above deck	ASHRAE 90.1
Dimensions	based on floor area and aspect ratio	
Tilts and orientations	horizontal	
Window		•
Dimensions	based on window fraction, location, glazing sill height, floor area and aspect ratio	
Glass-Type and frame	Hypothetical window with the exact U-factor and SHGC shown below	
U-factor (Btu / h * ft <sup>2</sup> * °F) SHGC (all)	ASHRAE 90.1 Requirements Nonresidential for ground floor and residential for upper floors; Vertical Glazing, 10.1%-20.0%	ASHRAE 90.1
Visible transmittance	Hypothetical window with the exact U-factor and SHGC shown above	
Operable area		
Skylight	0:00%	
Dimensions	Niet Mastelast	
	Not Modeled	
Glass-Type and frame U-factor (Btu / h * ft <sup>2</sup> * °F)		
,	NA	
SHGC (all)		
Visible transmittance		
Foundation		
Foundation Type	Slab-on-grade floors (unheated)	
Construction	6" concrete slab poured directly on to the earth	
Thermal properties for slab-on- grade floor F-factor (Btu / h * ft2 * °F) and/or R-value (h * ft2 * °F / Btu)	ASHRAE 90.1 Requirements	ASHRAE 90.1
Thermal properties for basement walls	ΝΑ	
Dimensions	based on floor area and aspect ratio	
Interior Partitions		
Construction	2 x 4 uninsulated stud wall	
Dimensions	based on floor plan and floor-to-floor height	
Internal Mass	6 inches standard wood (16.6 lb/ft <sup>2</sup> )	

	ltem	Input	Data Source
Infiltration		Peak: 0.2016 CFM/sf of above grade exterior wall surface area, adjusted by wind (when fans turn off) Off Peak: 25% of peak infiltration rate (when fans turn on)	Reference: PNNL-18898. Infiltration Modeling Guidelines for Commercial Building Energy Analysis.
HVAC			
System Typ	be and the second s		
Heating ty	ype	Guest rooms: PTAC with electric resistance heating Public spaces (office, laundry, lobby, and meeting room): gas furnace inside the packaged air conditioning units Storage and stairs: electric cabinet heaters	2003 CBECS, NC3, Ducker
Cooling ty	уре	Guest rooms and corridors: PTAC and make-up air unit for outdoor air ventilation Public space: Split system with DX cooling Storage and stairs: No cooling	report
Distributio	on and terminal units	Constant air volume systems	
HVAC Sizin	Ig		
Air Condit	tioning	PTAC: 9,000 Btu/hr Split system and packaged MAU system: autosized to design day	PTAC: Ducker report
Heating		autosized to design day	
HVAC Effici	iency		
Air Condit	tioning	PTAC: EER = 10.58 Split system and packaged MAU system: varies by climate locations based on cooling capacity	ASHRAE 90.1
Heating		PTAC and electric cabinet heater: Et = 100% Gas furnace: varies by climate locations based on heating capacity	ASHRAE 90.1
HVAC Cont	rol		
Thermost	at Setpoint	70°F Cooling/Heating for rented guest rooms 74°F Cooling/66°F Heating for vacant guest rooms 75°F Cooling/70°F Heating for air conditioned public spaces (lobby, meeting room etc.) 45°F heating for stairs and storage rooms	AEDG Highway Lodging Committee Recommendation
Thermost	at Setback	74°F Cooling/66°F Heating for rented guest rooms	
Supply air	r temperature	No seasonal supply air temperature reset.	
Chilled wa	ater supply temperatures	NA	
Hot water	supply temperatures	NA	
Economiz	zers	no economizer	ASHRAE 90.1
Ventilation		ASHRAE Ventilation Standard 62.1	ASHRAE Ventilation Standard 62.1
	Control Ventilation	No	ASHRAE 90.1
Energy R	ecovery Ventilation	No	ASHRAE 90.1

Item	Input	Data Source
Supply Fan		
Fan schedules	See Appendix C	
Supply Fan Mechanical Efficiency (%)	Varies by fan motor size	AEDG-SR Technical Support Document (Liu 2006)
Supply Fan Pressure Drop	PTAC: 1.33 in. w.c. Cabinet Heater: 0.2 in w.c. Split DX units and MAU: 90.1 fan power limitation (depends on design flow rate)	PTAĆ Manufacture's Catalogs Split System: Wassmer ar Brandemuehl, 2006,
Pump		,
Pump Type	Constant speed (recirculating pump for DHW)	AEDG Highway Lodging
Rated Pump Head	20 ft	Committee
Pump Power	autosized	Recommendation
Cooling Tower		
Cooling Tower Type	NA	
Cooling Tower Power	NA	
Service Water Heating		
SWH type	Two Storage Tanks: one for laundry and the other for guest rooms	
Fuel type	Natural Gas	
Thermal efficiency (%)	80%	ASHRAE 90.1-2004, Tabl 7.8, Gas storage water heaters, >=75,000 Btu/h
Tank Volume (gal)	200 gal for guest rooms and 100 gal for laundry	ASHRAE Handbook Application 2007, Ch. 49 Calculation is documentec at PNNL's TSD for 30% AEDG Highway Lodging (Jiang et al 2008)
Water temperature setpoint	120 F for guest rooms and 140 F for laundry	
Water consumption	See Appendix C	Guest room: ASHRAE Handbook of Applications 2007, Chapter 49, Table 7 Laundry: AEDG Highway Lodging Committee Recommendation
al Loads & Schedules		
Lighting		
Average power density (W/ft <sup>2</sup> )	ASHRAE 90.1 Lighting Power Densities Using the Building Space-by-Space Method	ASHRAE 90.1
Schedule	See Appendix C	
Daylighting Controls	No	
Occupancy Sensors	No	

Item	Input	Data Source
Plug load		
Average power density (W/ft <sup>2</sup> )	See Appendix B	AEDG Highway Lodging Committee Recommendation
Schedule	See Appendix C	
Occupancy		
Average people	See Appendix B	Guest Room: AEDG Highway Lodging Committee Recommendation All other spaces: ASHRAE 62.1-1999
Schedule	See Appendix C	
Misc.		
Elevator		
Quantity	2	DOE Commercial Reference
Motor type	hydraulic	Building TSD (Deru et al.
Peak Motor Power (W/elevator)	16055	2011) and models
Heat Gain to Building	Interior	(V1.3_5.0).
Peak Fan/lights Power (W/elevator)	161.9	90.1 Mechanical Subcommittee, Elevator Working Group
Exterior Lighting	See Appendix C	DOE Commercial Reference Building TSD (Deru et al. 2011) and models (V1.3_5.0) and Appendix DF 2007
Peak Power, kW	13.03	Derived based on ASHRAE
Schedule	Astronomical Clock	90.1-2004 and inputs from 90.1 Lighting Subcommittee

Briggs, R.S., R.G. Lucas, and Z.T. Taylor. 2003. Climate Classification for Building Energy Codes and Standards: Part 2—Zone Definitions, Maps, and Comparisons. ASHRAE Transactions 109(2).

McGraw-Hill Companies, Inc. (2001). Time-Saver Standards for Building Types. New York, NY.

LBNL (1991). Huang, Joe, Akbari, H., Rainer, L. and Ritschard, R. 481 Prototypical Commercial Buildings for 20 Urban Market Areas, prepared for the Gas Research Institute, Chicago IL, also LBL-29798, Berkeley CA.

PNNL's CBECS Study. 2007. Analysis of Building Envelope Construction in 2003 CBECS Buildings. Dave Winiarski, Mark Halverson, and Wei Jiang. Pacific Northwest National Laboratory. March 2007.

#### References (continued)

PNNL's CBECS Study. 2006. Review of Pre- and Post-1980 Buildings in CBECS – HVAC Equipment. Dave Winiarski, Wei Jiang and Mark Halverson. Pacific Northwest National Laboratory. December 2006.

Ducker International Standard. 2001. 2000 U.S. Market For Residential and Specialty Air Conditioning: PTAC. Sachs, H., 2005. Opportunities for Elevator Energy Efficiency Improvements, ACEEE.

Wassmer and Brandemuehl, 2006, Effect of Data Availability on Modeling of Residential Air Conditioners and Heat Pumps for Energy Calculations

### A.6 Mid-Rise Apartment Modeling Description

	Item	Descriptions	Data Source
rogr	am		
	Vintage	NEW CONSTRUCTION	
	Location (Representing 8 Climate Zones)	Zone 1A: Miami (very hot, humid)Zone 1B: Riyadh, Saudi Arabia (very Zone 4A: Baltimore (mild, humid)hot, dry)Zone 2A: Houston (hot, humid)Zone 2B: Phoenix (hot, dry)Zone 3A: Memphis (warm, humid)Zone 3B: El Paso (warm, dry)Zone 3C: San Francisco (warm, marine)marine)	Selection of representative climates based on Briggs' paper. See Reference.
	Available fuel types	gas, electricity	
	Building Type (Principal Building Function)	Multifamily	
	Building Prototype	Mid-rise Apartment	
orm			
	Total Floor Area (sq. feet)	33,700 (152 ft x 55.5 ft)	
	Building shape		Reference: PNNL-16770: Analysis of Energy Saving Impacts of ASHRAE 90.1-2004 for the State of New York

	Item	Descriptions	Data Source
	Number of Floors	4	90.1 Envelop Subcommittee
	Window Fraction (Window-to-Wall Ratio)	South: 14.7%, East: 16.3%, North: 14.7%, West: 15.1% Average Total: 15.0%	Reference: PNNL-16770: Analysis of Energy Saving Impacts of ASHRAE 90.1-2004 for the State of New York
	Window Locations	See pictures	
	Shading Geometry	none	
	Azimuth	non-directional	
	Thermal Zoning	Each floor has 8 apartments except ground floor (7 apartments and 1 lobby with equivalent apartment area) Total 8 apartments per floor with corridor in center. Zone depth is 25 ft for each apartment from side walls and each apt is 25' x 38' (950 ft <sup>2</sup> ).	Reference: PNNL-16770: Analysis of Energy Saving Impacts of ASHRAE 90.1-2004 for the State of New York
	Floor to floor height (ft)	10	
	Floor to ceiling height (ft)	10 (No drop-in ceiling plenum is modeled)	
	Glazing sill height (ft)	3 ft (14 ft wide x 4 ft high)	
Archi	tecture		•
	Exterior walls		
	Construction	Steel-Frame Walls (2X4 16IN OC) 0.4 in. Stucco+5/8 in. gypsum board + wall Insulation+5/8 in.	Reference: PNNL-16770: Analysis of Energy Saving Impacts of ASHRAE 90.1-2004 for the State of New York. Base Assembly from 90.1 Appendix A.
	U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Residential; Walls, above grade, Steel Frame	ASHRAE 90.1

Item	Descriptions	Data Source
Dimensions	based on floor area and aspect ratio	
Tilts and orientations	vertical	
Roof		
Construction	Built-up Roof: Roof membrane+Roof insulation+metal decking	Reference: PNNL-16770: Analysis of Energy Saving Impacts of ASHRAE 90.1-2004 for th State of New York Base Assembly from 90.1 Appendix A.
U-factor (Btu / h * ft <sup>2</sup> * °F) and/or R-value (h * ft <sup>2</sup> * °F / Btu)	ASHRAE 90.1 Requirements Residential; Roofs, Insulation entirely above deck	ASHRAE 90.1
Dimensions	based on floor area and aspect ratio	
Tilts and orientations	horizontal	
Window		
Dimensions	based on window fraction, location, glazing sill height, floor area and aspect ratio	
Glass-Type and frame	Hypothetical window with the exact U-factor and SHGC shown below	
U-factor (Btu / h * ft <sup>2</sup> * °F)	ASHRAE 90.1 Requirements	
SHGC (all)	Residential; Vertical Glazing, 10.1-20%	ASHRAE 90.1
Visible transmittance	Hypothetical window with the exact U-factor and SHGC shown above	
Operable area	100%	
Skylight		
Dimensions	Not Modeled	
Glass-Type and frame		
U-factor (Btu / h * ft <sup>2</sup> * °F)	ΝΑ	
SHGC (all)		
Visible transmittance		
Foundation		
Foundation Type	Slab-on-grade floors (unheated)	
Construction	8" concrete slab poured directly on to the earth	
Slab-on-grade floor insulation level (F-factor)	ASHRAE 90.1 Requirements	ASHRAE 90.1
Dimensions	based on floor area and aspect ratio	
Interior Partitions		-
Construction	2 x 4 uninsulated stud wall	

Item	Descriptions	Data Source
Dimensions	based on floor plan and floor-to-floor height	
Internal Mass	8 lbs/ft2 of floor area	Reference: Building America Research Benchmark
Air Barrier System		
Infiltration (ACH)	0.2016 CFM/ft <sup>2</sup> of gross exterior wall area at all times (at 10 mph wind speed)	Reference: PNNL-18898. Infiltration Modeling Guidelines for Commercial Building Energy Analysis.
C		
System Type		
Heating type	Gas Furnace	00.4 Mashanias
Cooling type	Split system DX (1 per apt)	90.1 Mechanical Subcommittee
Distribution and terminal units	Constant volume	Cubcommittee
HVAC Sizing		
Air Conditioning	autosized to design day	
Heating	autosized to design day	
HVAC Efficiency		
Air Conditioning	ASHRAE 90.1 Requirements Minimum Equipment Efficiency for Air Conditioners and Condensing Units	ASHRAE 90.1
Heating	ASHRAE 90.1 Requirements Minimum Equipment Efficiency for Warm Air Furnaces	ASHRAE 90.1
HVAC Control		
Thermostat Setpoint	75°F Cooling/70°F Heating	
Thermostat Setback	No setback for apartments	
Supply air temperature	Maximum 110F, Minimum 52F	
Economizers	ASHRAE 90.1 Requirements	ASHRAE 90.1
Ventilation	ASHRAE Ventilation Standard 62.1	ASHRAE Ventilation Standard 62.1
Demand Control Ventilation	ASHRAE 90.1 Requirements	ASHRAE 90.1
Energy Recovery	ASHRAE 90.1 Requirements	ASHRAE 90.1
Supply Fan		
Fan schedules	See Appendix C	
Supply Fan Total Efficiency (%)	Depending on the fan motor size	ASHRAE 90.1 requiremen
Supply Fan Pressure Drop	Depending on the fan supply air CFM	for motor efficiency and fa power limitation
Service Water Heating		
SWH type	Individual Residential Water Heater with Storage Tank	

Item	Descriptions	Data Source
Fuel type	Electricity	Reference: RECS 2005
Thermal efficiency (%)	ASHRAE 90.1 Requirements	ASHRAE 90.1
Tank Volume (gal)	20	Deference
Water temperature setpoint	120 F	Reference: Building America Research
Water consumption	See Appendix C	Benchmark
ternal Loads & Schedules		
Lighting		
Average power density (W/ft <sup>2</sup> )	Apartment units: 0.36 w/ft² (daily peak for hard-wired lighting) Other space types: meet maximum allowed Lighting Power Densities (LPD) by ASHRAE 90.1, using Space-by-Space Method	Apartment: Building America Research Benchmark Corridor: ASHRAE 90.1
Schedule	See Appendix C	Reference: Building America Research Benchmark
Daylighting Controls	ASHRAE 90.1 Requirements	ASHRAE 90.1
Occupancy Sensors	ASHRAE 90.1 Requirements	ASHRAE 90.1
Plug load		
Average power density (W/ft <sup>2</sup> )	0.62 W/ft <sup>2</sup> daily peak per apartment, including all the home appliances	Reference:
Schedule	See Appendix C	Building America Research Benchmark
Occupancy		
Average people	See Appendix B	Reference:
Schedule	See Appendix C	Building America Research Benchmark
sc.		
Elevator		
Quantity	1	Reference:
Motor type	hydraulic	DOE Commercial
Peak Motor Power (watts/elevator)	16,055	Reference Building Models of the National Building
Heat Gain to Building	Interior	Stock
Peak Fan/lights Power (watts/elevator)	161.9	90.1 Mechanical Subcommittee, Elevator Working Group
Motor and fan/lights Schedules	See Appendix C	Reference: DOE Commercial Reference Building Models of the National Building Stock

Item	Descriptions	Data Source
Exterior Lighting		
Peak Power (W)	4,642	ASHRAE 90.1
Schedule	See Appendix C	

#### References

Briggs, R.S., R.G. Lucas, and Z.T. Taylor. 2003. Climate Classification for Building Energy Codes and Standards: Part 2—Zone Definitions, Maps, and Comparisons. ASHRAE Transactions 109(2).

Gowri K, MA Halverson, and EE Richman. 2007. Analysis of Energy Saving Impacts of ASHRAE 90.1-2004 for New York. PNNL-16770, Pacific Northwest National Laboratory, Richland, WA. <u>http://www.pnl.gov/main/publications/external/technical\_reports/PNNL-16770.pdf</u>

Gowri K, DW Winiarski, and RE Jarnagin. 2009. Infiltration modeling guidelines for commercial building energy analysis. PNNL-18898, Pacific Northwest National Laboratory, Richland, WA. http://www.pnl.gov/main/publications/external/technical\_reports/PNNL-18898.pdf

Building America Research Benchmark. http://www1.eere.energy.gov/buildings/building\_america/index.html

DOE Commercial Reference Building Models of the National Building Stock: http://www.nrel.gov/docs/fy11osti/46861.pdf

RECS 2005 EIA's Residential Energy Consumption Survey. http://www.eia.doe.gov/emeu/recs/

Appendix B

Incremental Cost Estimate Summary

#### B.1 Small Office Cost Summary

Small Office			HVAC					Lighting		
	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago
New Construction	-\$1,717	-\$3,592	-\$2,418	-\$2,039	-\$3,719	\$10,054	\$10,054	\$10,054	\$10,054	\$10,054
Maintenance	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Replacement (Year)										
1	\$0	\$0	\$0	\$0	\$0	\$47	\$47	\$47	\$47	\$47
2	\$0	\$0	\$0	\$0	\$0	-\$637	-\$637	-\$637	-\$637	-\$637
3	\$0	\$0	\$0	\$0	\$0	\$44	\$44	\$44	\$44	\$44
4	\$0	\$0	\$0	\$0	\$0	-\$502	-\$502	-\$502	-\$502	-\$502
5	\$0	\$0	\$0	\$0	\$0	\$392	\$392	\$392	\$392	\$392
6	\$0	\$0	\$0	\$0	\$0	-\$640	-\$640	-\$640	-\$640	-\$640
7	\$0	\$0	\$0	\$0	\$0	\$47	\$47	\$47	\$47	\$47
8	\$0	\$0	\$0	\$0	\$0	-\$502	-\$502	-\$502	-\$502	-\$502
9	\$0	\$0	\$0	\$0	\$0	-\$39	-\$39	-\$39	-\$39	-\$39
10	\$0	\$0	\$0	\$0	\$0	-\$384	-\$384	-\$384	-\$384	-\$384
11	\$0	\$0	\$0	\$0	\$0	-\$2,253	-\$2,253	-\$2,253	-\$2,253	-\$2,253
12	\$0	\$0	\$0	\$0	\$0	-\$506	-\$506	-\$506	-\$506	-\$506
13	\$0	\$0	\$0	\$0	\$0	\$47	\$47	\$47	\$47	\$47
14	\$0	\$0	\$0	\$0	\$0	-\$1,538	-\$1,538	-\$1,538	-\$1,538	-\$1,538
15	-\$2,103	-\$4,017	-\$2,103	-\$957	-\$4,017	\$8,025	\$8,025	\$8,025	\$8,025	\$8,025
16	\$0	\$0	\$0	\$0	\$0	-\$502	-\$502	-\$502	-\$502	-\$502
17	\$0	\$0	\$0	\$0	\$0	\$47	\$47	\$47	\$47	\$47
18	\$0	\$0	\$0	\$0	\$0	\$1,185	\$1,185	\$1,185	\$1,185	\$1,185
19	\$0	\$0	\$0	\$0	\$0	\$47	\$47	\$47	\$47	\$47
20	\$0	\$0	\$0	\$0	\$0	-\$249	-\$249	-\$249	-\$249	-\$249
21	\$0	\$0	\$0	\$0	\$0	\$44	\$44	\$44	\$44	\$44
22	\$0	\$0	\$0	\$0	\$0	-\$2,937	-\$2,937	-\$2,937	-\$2,937	-\$2,937
23	\$0	\$0	\$0	\$0	\$0	\$214	\$214	\$214	\$214	\$214
24	\$0	\$0	\$0	\$0	\$0	-\$506	-\$506	-\$506	-\$506	-\$506
25	\$0	\$0	\$0	\$0	\$0	\$392	\$392	\$392	\$392	\$392
26	\$0	\$0	\$0	\$0	\$0	-\$637	-\$637	-\$637	-\$637	-\$637
27	\$0	\$0	\$0	\$0	\$0	-\$39	-\$39	-\$39	-\$39	-\$39
28	\$0	\$0	\$0	\$0	\$0	-\$1,404	-\$1,404	-\$1,404	-\$1,404	-\$1,404
29	\$0	\$0	\$0	\$0	\$0	\$47	\$47	\$47	\$47	\$47
30	\$0	\$0	\$0	\$0	\$0	\$636	\$636	\$636	\$636	\$636

Small Office		Envelo	pe, Power and	Other				Total		
	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago
New Construction	\$2,287	\$2,287	\$2,287	\$7,096	\$2,287	\$10,624.3	\$8,749	\$9,923	\$15,112	\$8,622
Maintenance	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Replacement (Year)						\$0	\$0	\$0	\$0	\$0
1	\$0	\$0	\$0	\$0	\$0	\$47	\$47	\$47	\$47	\$47
2	\$0	\$0	\$0	\$0	\$0	-\$637	-\$637	-\$637	-\$637	-\$637
3	\$0	\$0	\$0	\$0	\$0	\$44	\$44	\$44	\$44	\$44
4	\$0	\$0	\$0	\$0	\$0	-\$502	-\$502	-\$502	-\$502	-\$502
5	\$0	\$0	\$0	\$0	\$0	\$392	\$392	\$392	\$392	\$392
6	\$0	\$0	\$0	\$0	\$0	-\$640	-\$640	-\$640	-\$640	-\$640
7	\$0	\$0	\$0	\$0	\$0	\$47	\$47	\$47	\$47	\$47
8	\$0	\$0	\$0	\$0	\$0	-\$502	-\$502	-\$502	-\$502	-\$502
9	\$0	\$0	\$0	\$0	\$0	-\$39	-\$39	-\$39	-\$39	-\$39
10	\$0	\$0	\$0	\$0	\$0	-\$384	-\$384	-\$384	-\$384	-\$384
11	\$0	\$0	\$0	\$0	\$0	-\$2,253	-\$2,253	-\$2,253	-\$2,253	-\$2,253
12	\$0	\$0	\$0	\$0	\$0	-\$506	-\$506	-\$506	-\$506	-\$506
13	\$0	\$0	\$0	\$0	\$0	\$47	\$47	\$47	\$47	\$47
14	\$0	\$0	\$0	\$0	\$0	-\$1,538	-\$1,538	-\$1,538	-\$1,538	-\$1,538
15	\$0	\$0	\$0	\$0	\$0	\$5,921	\$4,008	\$5,921	\$7,068	\$4,008
16	\$0	\$0	\$0	\$0	\$0	-\$502	-\$502	-\$502	-\$502	-\$502
17	\$0	\$0	\$0	\$0	\$0	\$47	\$47	\$47	\$47	\$47
18	\$0	\$0	\$0	\$0	\$0	\$1,185	\$1,185	\$1,185	\$1,185	\$1,185
19	\$0	\$0	\$0	\$0	\$0	\$47	\$47	\$47	\$47	\$47
20	-\$101	-\$101	-\$101	-\$101	-\$101	-\$350	-\$350	-\$350	-\$350	-\$350
21	\$0	\$0	\$0	\$0	\$0	\$44	\$44	\$44	\$44	\$44
22	\$0	\$0	\$0	\$0	\$0	-\$2,937	-\$2,937	-\$2,937	-\$2,937	-\$2,937
23	\$0	\$0	\$0	\$0	\$0	\$214	\$214	\$214	\$214	\$214
24	\$0	\$0	\$0	\$0	\$0	-\$506	-\$506	-\$506	-\$506	-\$506
25	\$0	\$0	\$0	\$0	\$0	\$392	\$392	\$392	\$392	\$392
26	\$0	\$0	\$0	\$0	\$0	-\$637	-\$637	-\$637	-\$637	-\$637
27	\$0	\$0	\$0	\$0	\$0	-\$39	-\$39	-\$39	-\$39	-\$39
28	\$0	\$0	\$0	\$0	\$0	-\$1,404	-\$1,404	-\$1,404	-\$1,404	-\$1,404
29	\$0	\$0	\$0	\$0	\$0	\$47	\$47	\$47	\$47	\$47
30	\$47	\$47	\$47	-\$1,467	\$47	\$683	\$683	\$683	-\$831	\$683

# B.2 Large Office Cost Summary

Large Office			HVAC					Lighting		
	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago
New Construction	\$89,868	\$160,488	\$94,070	\$134,464	-\$109,029	\$131,785	\$131,785	\$131,785	\$131,785	\$131,785
Maintenance	\$3,751	\$3,649	\$3,754	\$3,628	\$74	\$0	\$0	\$0	\$0	\$0
Replacement (Year)										
1	\$0	\$0	\$0	\$0	\$0	\$1,031	\$1,031	\$1,031	\$1,031	\$1,031
2	\$0	\$0	\$0	\$0	\$0	\$85,449	\$85,449	\$85,449	\$85,449	\$85,449
3	\$0	\$0	\$0	\$0	\$0	-\$76,551	-\$76,551	-\$76,551	-\$76,551	-\$76,551
4	\$0	\$0	\$0	\$0	\$0	\$44,247	\$44,247	\$44,247	\$44,247	\$44,247
5	\$0	\$0	\$0	\$0	\$0	\$16,078	\$16,078	\$16,078	\$16,078	\$16,078
6	\$0	\$0	\$0	\$0	\$0	\$7,868	\$7,868	\$7,868	\$7,868	\$7,868
7	\$0	\$0	\$0	\$0	\$0	-\$14,089	-\$14,089	-\$14,089	-\$14,089	-\$14,089
8	\$0	\$0	\$0	\$0	\$0	\$44,247	\$44,247	\$44,247	\$44,247	\$44,247
9	\$0	\$0	\$0	\$0	\$0	-\$75,066	-\$75,066	-\$75,066	-\$75,066	-\$75,066
10	\$0	\$0	\$0	\$0	\$0	\$528,129	\$528,129	\$528,129	\$528,129	\$528,129
11	\$0	\$0	\$0	\$0	\$0	-\$37,845	-\$37,845	-\$37,845	-\$37,845	-\$37,845
12	\$0	\$0	\$0	\$0	\$0	-\$483,725	-\$483,725	-\$483,725	-\$483,725	-\$483,725
13	\$0	\$0	\$0	\$0	\$0	\$1,031	\$1,031	\$1,031	\$1,031	\$1,031
14	\$0	\$0	\$0	\$0	\$0	-\$144,869	-\$144,869	-\$144,869	-\$144,869	-\$144,869
15	\$247,599	\$229,662	\$299,472	\$228,213	-\$45,961	\$196,865	\$196,865	\$196,865	\$196,865	\$196,865
16	\$0	\$0	\$0	\$0	\$0	\$44,247	\$44,247	\$44,247	\$44,247	\$44,247
17	\$0	\$0	\$0	\$0	\$0	\$1,031	\$1,031	\$1,031	\$1,031	\$1,031
18	-\$12,810	-\$15,098	-\$27,199	-\$12,810	-\$15,320	\$34,731	\$34,731	\$34,731	\$34,731	\$34,731
19	\$0	\$0	\$0	\$0	\$0	\$1,031	\$1,031	\$1,031	\$1,031	\$1,031
20	-\$6,116	-\$6,575	\$7,888	\$15,120	\$16,038	\$486,926	\$486,926	\$486,926	\$486,926	\$486,926
21	\$0	\$0	\$0	\$0	\$0	-\$91,671	-\$91,671	-\$91,671	-\$91,671	-\$91,671
22	\$0	\$0	\$0	\$0	\$0	\$46,573	\$46,573	\$46,573	\$46,573	\$46,573
23	-\$13,395	-\$14,143	\$20,138	-\$13,986	-\$14,276	\$4,583	\$4,583	\$4,583	\$4,583	\$4,583
24	\$0	\$0	\$0	\$0	\$0	-\$483,725	-\$483,725	-\$483,725	-\$483,725	-\$483,725
25	\$0	\$0	\$0	\$0	\$0	\$16,078	\$16,078	\$16,078	\$16,078	\$16,078
26	\$0	\$0	\$0	\$0	\$0	\$85,449	\$85,449	\$85,449	\$85,449	\$85,449
27	\$0	\$0	\$0	\$0	\$0	-\$75,066	-\$75,066	-\$75,066	-\$75,066	-\$75,066
28	\$0	\$0	\$0	\$0	\$0	-\$186,071	-\$186,071	-\$186,071	-\$186,071	-\$186,071
29	\$0	\$0	\$0	\$0	\$0	\$1,031	\$1,031	\$1,031	\$1,031	\$1,031
30	\$22,495	\$24,299	\$3,355	\$12,452	\$13,034	\$439,733	\$439,733	\$439,733	\$439,733	\$439,733

Large Office		Envelo	pe, Power and	Other			Total				
	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	
New Construction	\$225,318	\$225,318	\$225,318	\$225,318	\$225,318	\$446,971	\$517,591	\$451,173	\$491,567	\$248,074	
Maintenance	\$0	\$0	\$0	\$0	\$0	\$3,751	\$3,649	\$3,754	\$3,628	\$74	
Replacement (Year)						\$0	\$0	\$0	\$0	\$0	
1	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$2,911	-\$2,911	-\$2,911	-\$2,911	-\$2,911	
2	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	\$81,507	\$81,507	\$81,507	\$81,507	\$81,507	
3	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$80,492	-\$80,492	-\$80,492	-\$80,492	-\$80,492	
4	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	\$40,305	\$40,305	\$40,305	\$40,305	\$40,305	
5	-\$5,278	-\$5,278	-\$5,278	-\$5,278	-\$5,278	\$10,800	\$10,800	\$10,800	\$10,800	\$10,800	
6	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	\$3,926	\$3,926	\$3,926	\$3,926	\$3,926	
7	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$18,031	-\$18,031	-\$18,031	-\$18,031	-\$18,031	
8	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	\$40,305	\$40,305	\$40,305	\$40,305	\$40,305	
9	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$79,008	-\$79,008	-\$79,008	-\$79,008	-\$79,008	
10	-\$5,278	-\$5,278	-\$5,278	-\$5,278	-\$5,278	\$522,851	\$522,851	\$522,851	\$522,851	\$522,851	
11	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$41,786	-\$41,786	-\$41,786	-\$41,786	-\$41,786	
12	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$487,667	-\$487,667	-\$487,667	-\$487,667	-\$487,667	
13	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$2,911	-\$2,911	-\$2,911	-\$2,911	-\$2,911	
14	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$148,810	-\$148,810	-\$148,810	-\$148,810	-\$148,810	
15	-\$5,278	-\$5,278	-\$5,278	-\$5,278	-\$5,278	\$439,187	\$421,249	\$491,060	\$419,801	\$145,626	
16	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	\$40,305	\$40,305	\$40,305	\$40,305	\$40,305	
17	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$2,911	-\$2,911	-\$2,911	-\$2,911	-\$2,911	
18	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	\$17,980	\$15,692	\$3,590	\$17,980	\$15,469	
19	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$2,911	-\$2,911	-\$2,911	-\$2,911	-\$2,911	
20	-\$22,712	-\$22,712	-\$22,712	-\$22,712	-\$22,712	\$458,099	\$457,640	\$472,103	\$479,335	\$480,253	
21	\$513	\$513	\$513	\$513	\$513	-\$91,158	-\$91,158	-\$91,158	-\$91,158	-\$91,158	
22	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	\$42,632	\$42,632	\$42,632	\$42,632	\$42,632	
23	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$12,753	-\$13,501	\$20,779	-\$13,345	-\$13,635	
24	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$487,667	-\$487,667	-\$487,667	-\$487,667	-\$487,667	
25	-\$5,278	-\$5,278	-\$5,278	-\$5,278	-\$5,278	\$10,800	\$10,800	\$10,800	\$10,800	\$10,800	
26	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	\$81,507	\$81,507	\$81,507	\$81,507	\$81,507	
27	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$79,008	-\$79,008	-\$79,008	-\$79,008	-\$79,008	
28	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$190,012	-\$190,012	-\$190,012	-\$190,012	-\$190,012	
29	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$3,942	-\$2,911	-\$2,911	-\$2,911	-\$2,911	-\$2,911	
30	\$3,004	\$3,004	\$3,004	\$3,004	\$3,004	\$465,232	\$467,037	\$446,092	\$455,189	\$455,772	

#### B.3 Standalone Retail Cost Summary

Standalone Retail			HVAC					Lighting		
	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago
New Construction	\$18,389	\$28,289	\$4,504	\$35,850	\$15,582	\$7,732	\$7,732	\$7,732	\$7,732	\$7,732
Maintenance	\$742	\$767	\$154	\$758	\$465	\$0	\$0	\$0	\$0	\$0
Replacement (Year)										
1	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
3	\$0	\$0	\$0	\$0	\$0	-\$325	-\$325	-\$325	-\$325	-\$325
4	\$0	\$0	\$0	\$0	\$0	-\$1,007	-\$1,007	-\$1,007	-\$1,007	-\$1,007
5	\$0	\$0	\$0	\$0	\$0	-\$941	-\$941	-\$941	-\$941	-\$941
6	\$0	\$0	\$0	\$0	\$0	-\$358	-\$358	-\$358	-\$358	-\$358
7	\$0	\$0	\$0	\$0	\$0	\$551	\$551	\$551	\$551	\$551
8	\$0	\$0	\$0	\$0	\$0	-\$1,007	-\$1,007	-\$1,007	-\$1,007	-\$1,007
9	\$0	\$0	\$0	\$0	\$0	-\$408	-\$408	-\$408	-\$408	-\$408
10	\$0	\$0	\$0	\$0	\$0	-\$1,770	-\$1,770	-\$1,770	-\$1,770	-\$1,770
11	\$0	\$0	\$0	\$0	\$0	-\$3,868	-\$3,868	-\$3,868	-\$3,868	-\$3,868
12	\$0	\$0	\$0	\$0	\$0	-\$1,366	-\$1,366	-\$1,366	-\$1,366	-\$1,366
13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
14	\$0	\$0	\$0	\$0	\$0	-\$4,385	-\$4,385	-\$4,385	-\$4,385	-\$4,385
15	\$27,827	\$32,158	\$7,872	\$29,964	\$15,401	\$2,291	\$2,291	\$2,291	\$2,291	\$2,291
16	\$0	\$0	\$0	\$0	\$0	-\$1,007	-\$1,007	-\$1,007	-\$1,007	-\$1,007
17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
18	-\$1,182	\$271	\$478	\$551	\$515	\$2,593	\$2,593	\$2,593	\$2,593	\$2,593
19	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
20	\$1,406	\$1,406	\$829	\$1,406	\$1,208	-\$2,778	-\$2,778	-\$2,778	-\$2,778	-\$2,778
21	\$0	\$0	\$0	\$0	\$0	\$226	\$226	\$226	\$226	\$226
22	\$0	\$0	\$0	\$0	\$0	-\$3,868	-\$3,868	-\$3,868	-\$3,868	-\$3,868
23	\$0	\$0	\$0	\$0	\$0	\$364	\$364	\$364	\$364	\$364
24	\$0	\$0	\$0	\$0	\$0	-\$1,366	-\$1,366	-\$1,366	-\$1,366	-\$1,366
25	\$0	\$0	\$0	\$0	\$0	-\$941	-\$941	-\$941	-\$941	-\$941
26	\$0 \$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
27	\$0	\$0	\$0	\$0	\$0	-\$408	-\$408	-\$408	-\$408	-\$408
28	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	-\$5,393	-\$5,393	-\$5,393	-\$5,393	-\$5,393
29	\$0	\$0	\$0	\$0	\$0 \$0	\$0	\$0	\$0	\$0	\$0
30	-\$309	-\$793	-\$574	-\$886	-\$776	\$4,187	\$4,187	\$4,187	\$4,187	\$4,187

Standalone Retail		Envelo	pe, Power and	Other			Total			
	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago
New Construction	\$26,019	\$26,019	\$26,019	\$26,019	\$26,019	\$52,140	\$62,041	\$38,255	\$69,601	\$49,333
Maintenance	\$0	\$0	\$0	\$0	\$0	\$742	\$767	\$154	\$758	\$465
Replacement (Year)						\$0	\$0	\$0	\$0	\$0
1	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
3	\$0	\$0	\$0	\$0	\$0	-\$325	-\$325	-\$325	-\$325	-\$325
4	\$0	\$0	\$0	\$0	\$0	-\$1,007	-\$1,007	-\$1,007	-\$1,007	-\$1,007
5	\$0	\$0	\$0	\$0	\$0	-\$941	-\$941	-\$941	-\$941	-\$941
6	\$0	\$0	\$0	\$0	\$0	-\$358	-\$358	-\$358	-\$358	-\$358
7	\$0	\$0	\$0	\$0	\$0	\$551	\$551	\$551	\$551	\$551
8	\$0	\$0	\$0	\$0	\$0	-\$1,007	-\$1,007	-\$1,007	-\$1,007	-\$1,007
9	\$0	\$0	\$0	\$0	\$0	-\$408	-\$408	-\$408	-\$408	-\$408
10	\$0	\$0	\$0	\$0	\$0	-\$1,770	-\$1,770	-\$1,770	-\$1,770	-\$1,770
11	\$0	\$0	\$0	\$0	\$0	-\$3,868	-\$3,868	-\$3,868	-\$3,868	-\$3,868
12	\$0	\$0	\$0	\$0	\$0	-\$1,366	-\$1,366	-\$1,366	-\$1,366	-\$1,366
13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
14	\$0	\$0	\$0	\$0	\$0	-\$4,385	-\$4,385	-\$4,385	-\$4,385	-\$4,385
15	\$0	\$0	\$0	\$0	\$0	\$30,118	\$34,449	\$10,163	\$32,255	\$17,691
16	\$0	\$0	\$0	\$0	\$0	-\$1,007	-\$1,007	-\$1,007	-\$1,007	-\$1,007
17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
18	\$0	\$0	\$0	\$0	\$0	\$1,410	\$2,863	\$3,070	\$3,143	\$3,108
19	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
20	-\$34	-\$34	-\$34	-\$34	-\$34	-\$1,406	-\$1,406	-\$1,982	-\$1,406	-\$1,603
21	\$0	\$0	\$0	\$0	\$0	\$226	\$226	\$226	\$226	\$226
22	\$0	\$0	\$0	\$0	\$0	-\$3,868	-\$3,868	-\$3,868	-\$3,868	-\$3,868
23	\$0	\$0	\$0	\$0	\$0	\$364	\$364	\$364	\$364	\$364
24	\$0	\$0	\$0	\$0	\$0	-\$1,366	-\$1,366	-\$1,366	-\$1,366	-\$1,366
25	\$25,891	\$25,891	\$25,891	\$25,891	\$25,891	\$24,950	\$24,950	\$24,950	\$24,950	\$24,950
26	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
27	\$0	\$0	\$0	\$0	\$0	-\$408	-\$408	-\$408	-\$408	-\$408
28	\$0	\$0	\$0	\$0	\$0	-\$5,393	-\$5,393	-\$5,393	-\$5,393	-\$5,393
29	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
30	-\$22,221	-\$22,221	-\$22,221	-\$22,221	-\$22,221	-\$18,343	-\$18,827	-\$18,608	-\$18,920	-\$18,810

#### B.4 Primary School Cost Summary

Primary School			HVAC					Lighting		
	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago
New Construction	\$116,255	\$131,491	-\$2,294	\$131,863	\$88,208	-\$19,759	-\$19,759	-\$19,759	-\$19,759	-\$19,759
Maintenance	\$2,325	\$2,326	\$1,322	\$2,325	\$975	\$0	\$0	\$0	\$0	\$0
Replacement (Year)										
1	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2	\$0	\$0	\$0	\$0	\$0	\$566	\$566	\$566	\$566	\$566
3	\$0	\$0	\$0	\$0	\$0	-\$6,620	-\$6,620	-\$6,620	-\$6,620	-\$6,620
4	\$0	\$0	\$0	\$0	\$0	\$3,408	\$3,408	\$3,408	\$3,408	\$3,408
5	\$0	\$0	\$0	\$0	\$0	-\$1,396	-\$1,396	-\$1,396	-\$1,396	-\$1,396
6	\$0	\$0	\$0	\$0	\$0	-\$6,054	-\$6,054	-\$6,054	-\$6,054	-\$6,054
7	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
8	\$0	\$0	\$0	\$0	\$0	\$3,408	\$3,408	\$3,408	\$3,408	\$3,408
9	\$0	\$0	\$0	\$0	\$0	-\$9,074	-\$9,074	-\$9,074	-\$9,074	-\$9,074
10	\$0	\$0	\$0	\$0	\$0	-\$8,027	-\$8,027	-\$8,027	-\$8,027	-\$8,027
11	\$0	\$0	\$0	\$0	\$0	-\$2,914	-\$2,914	-\$2,914	-\$2,914	-\$2,914
12	\$0	\$0	\$0	\$0	\$0	-\$3,212	-\$3,212	-\$3,212	-\$3,212	-\$3,212
13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
14	\$0	\$0	\$0	\$0	\$0	-\$25,340	-\$25,340	-\$25,340	-\$25,340	-\$25,340
15	\$152,380	\$159,356	\$14,872	\$164,786	\$118,108	\$62,133	\$62,133	\$62,133	\$62,133	\$62,133
16	\$0	\$0	\$0	\$0	\$0	\$3,408	\$3,408	\$3,408	\$3,408	\$3,408
17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
18	-\$1,369	-\$178	-\$824	\$16	\$330	-\$6,322	-\$6,322	-\$6,322	-\$6,322	-\$6,322
19	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
20	\$2,982	\$3,199	\$2,926	\$3,071	-\$98	-\$5,186	-\$5,186	-\$5,186	-\$5,186	-\$5,186
21	\$0	\$0	\$0	\$0	\$0	-\$6,620	-\$6,620	-\$6,620	-\$6,620	-\$6,620
22	\$0	\$0	\$0	\$0	\$0	-\$2,348	-\$2,348	-\$2,348	-\$2,348	-\$2,348
23	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
24	\$0	\$0	\$0	\$0	\$0	-\$3,212	-\$3,212	-\$3,212	-\$3,212	-\$3,212
25	-\$539	-\$539	-\$539	-\$539	-\$539	-\$1,396	-\$1,396	-\$1,396	-\$1,396	-\$1,396
26	\$0	\$0	\$0	\$0	\$0	\$566	\$566	\$566	\$566	\$566
27	\$0	\$0	\$0	\$0	\$0	-\$9,074	-\$9.074	-\$9,074	-\$9,074	-\$9,074
28	\$0 \$0	\$0 \$0	\$0	\$0 \$0	\$0	-\$22,499	-\$22,499	-\$22,499	-\$22,499	-\$22,499
29	\$0	\$0	\$0	\$0 \$0	\$0 \$0	\$0	\$0	\$0	\$0	\$22,199
30	\$127	-\$1,248	\$218	-\$552	\$760	\$22,486	\$22,486	\$22,486	\$22,486	\$22,486

Primary School		Envelo	pe, Power and (	Other				Total		
	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago
New Construction	\$37,664	\$37,664	\$37,664	\$37,664	\$37,664	\$134,160	\$149,396	\$15,611	\$149,768	\$106,113
Maintenance	\$0	\$0	\$0	\$0	\$0	\$2,325	\$2,326	\$1,322	\$2,325	\$975
Replacement (Year)						\$0	\$0	\$0	\$0	\$0
1	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2	\$0	\$0	\$0	\$0	\$0	\$566	\$566	\$566	\$566	\$566
3	\$0	\$0	\$0	\$0	\$0	-\$6,620	-\$6,620	-\$6,620	-\$6,620	-\$6,620
4	\$0	\$0	\$0	\$0	\$0	\$3,408	\$3,408	\$3,408	\$3,408	\$3,408
5	\$0	\$0	\$0	\$0	\$0	-\$1,396	-\$1,396	-\$1,396	-\$1,396	-\$1,396
6	\$0	\$0	\$0	\$0	\$0	-\$6,054	-\$6,054	-\$6,054	-\$6,054	-\$6,054
7	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
8	\$0	\$0	\$0	\$0	\$0	\$3,408	\$3,408	\$3,408	\$3,408	\$3,408
9	\$0	\$0	\$0	\$0	\$0	-\$9,074	-\$9,074	-\$9,074	-\$9,074	-\$9,074
10	\$0	\$0	\$0	\$0	\$0	-\$8,027	-\$8,027	-\$8,027	-\$8,027	-\$8,027
11	\$0	\$0	\$0	\$0	\$0	-\$2,914	-\$2,914	-\$2,914	-\$2,914	-\$2,914
12	\$0	\$0	\$0	\$0	\$0	-\$3,212	-\$3,212	-\$3,212	-\$3,212	-\$3,212
13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
14	\$0	\$0	\$0	\$0	\$0	-\$25,340	-\$25,340	-\$25,340	-\$25,340	-\$25,340
15	\$0	\$0	\$0	\$0	\$0	\$214,513	\$221,489	\$77,005	\$226,919	\$180,241
16	\$0	\$0	\$0	\$0	\$0	\$3,408	\$3,408	\$3,408	\$3,408	\$3,408
17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
18	\$0	\$0	\$0	\$0	\$0	-\$7,691	-\$6,499	-\$7,146	-\$6,305	-\$5,992
19	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
20	-\$1,509	-\$1,509	-\$1,509	-\$1,509	-\$1,509	-\$3,713	-\$3,496	-\$3,768	-\$3,624	-\$6,793
21	\$0	\$0	\$0	\$0	\$0	-\$6,620	-\$6,620	-\$6,620	-\$6,620	-\$6,620
22	\$0	\$0	\$0	\$0	\$0	-\$2,348	-\$2,348	-\$2,348	-\$2,348	-\$2,348
23	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
24	\$0	\$0	\$0	\$0	\$0	-\$3,212	-\$3,212	-\$3,212	-\$3,212	-\$3,212
25	\$0	\$0	\$0	\$0	\$0	-\$1,935	-\$1,935	-\$1,935	-\$1,935	-\$1,935
26	\$0	\$0	\$0	\$0	\$0	\$566	\$566	\$566	\$566	\$566
27	\$0	\$0	\$0	\$0	\$0	-\$9,074	-\$9,074	-\$9,074	-\$9,074	-\$9,074
28	\$0	\$0	\$0	\$0	\$0	-\$22,499	-\$22,499	-\$22,499	-\$22,499	-\$22,499
29	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
30	-\$3,955	-\$3,955	-\$3,955	-\$3,955	-\$3,955	\$18,658	\$17,283	\$18,749	\$17,979	\$19,292

# B.5 Small Hotel Cost Summary

Small Hotel		HVAC					Total					
	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago		
New Construction	\$925	-\$9,110	-\$4,678	\$2,574	-\$12,763	-\$11,597	-\$11,597	-\$11,597	-\$11,597	-\$11,597		
Maintenance	\$607	-\$10	-\$8	\$604	-\$16	\$0	\$0	\$0	\$0	\$0		
Replacement (Year)												
1	\$0	\$0	\$0	\$0	\$0	-\$693	-\$693	-\$693	-\$693	-\$693		
2	\$0	\$0	\$0	\$0	\$0	-\$696	-\$696	-\$696	-\$696	-\$696		
3	\$0	\$0	\$0	\$0	\$0	-\$30,759	-\$30,759	-\$30,759	-\$30,759	-\$30,759		
4	\$0	\$0	\$0	\$0	\$0	-\$4,647	-\$4,647	-\$4,647	-\$4,647	-\$4,647		
5	\$0	\$0	\$0	\$0	\$0	-\$1,734	-\$1,734	-\$1,734	-\$1,734	-\$1,734		
6	\$0	\$0	\$0	\$0	\$0	-\$32,264	-\$32,264	-\$32,264	-\$32,264	-\$32,264		
7	\$0	\$0	\$0	\$0	\$0	-\$693	-\$693	-\$693	-\$693	-\$693		
8	\$0	\$0	\$0	\$0	\$0	-\$5,465	-\$5,465	-\$5,465	-\$5,465	-\$5,465		
9	\$0	\$0	\$0	\$0	\$0	-\$30,879	-\$30,879	-\$30,879	-\$30,879	-\$30,879		
10	\$0	\$0	\$0	\$0	\$0	\$7,986	\$7,986	\$7,986	\$7,986	\$7,986		
11	\$0	\$0	\$0	\$0	\$0	-\$7,790	-\$7,790	-\$7,790	-\$7,790	-\$7,790		
12	\$0	\$0	\$0	\$0	\$0	-\$36,215	-\$36,215	-\$36,215	-\$36,215	-\$36,215		
13	\$0	\$0	\$0	\$0	\$0	-\$693	-\$693	-\$693	-\$693	-\$693		
14	\$0	\$0	\$0	\$0	\$0	\$142	\$142	\$142	\$142	\$142		
15	\$6,439	-\$4,147	-\$45	\$8,330	-\$7,317	-\$10,884	-\$10,884	-\$10,884	-\$10,884	-\$10,884		
16	\$0	\$0	\$0	\$0	\$0	-\$5,465	-\$5,465	-\$5,465	-\$5,465	-\$5,465		
17	\$0	\$0	\$0	\$0	\$0	-\$2,632	-\$2,632	-\$2,632	-\$2,632	-\$2,632		
18	-\$9	-\$9	-\$9	-\$9	-\$9	-\$27,637	-\$27,637	-\$27,637	-\$27,637	-\$27,637		
19	\$0	\$0	\$0	\$0	\$0	-\$693	-\$693	-\$693	-\$693	-\$693		
20	\$1,895	\$0	\$0	\$1,895	\$0	\$4,036	\$4,036	\$4,036	\$4,036	\$4,036		
21	\$0	\$0	\$0	\$0	\$0	-\$30,759	-\$30,759	-\$30,759	-\$30,759	-\$30,759		
22	\$0	\$0	\$0	\$0	\$0	-\$7,793	-\$7,793	-\$7,793	-\$7,793	-\$7,793		
23	\$0	\$0	\$0	\$0	\$0	\$477	\$477	\$477	\$477	\$477		
24	\$0	\$0	\$0	\$0	\$0	-\$37,033	-\$37,033	-\$37,033	-\$37,033	-\$37,033		
25	\$0	\$0	\$0	\$0	\$0	-\$1,734	-\$1,734	-\$1,734	-\$1,734	-\$1,734		
26	\$0	\$0	\$0	\$0	\$0	-\$696	-\$696	-\$696	-\$696	-\$696		
27	\$0	\$0	\$0	\$0	\$0	-\$30,879	-\$30,879	-\$30,879	-\$30,879	-\$30,879		
28	\$0	\$0	\$0	\$0	\$0	-\$3,809	-\$3,809	-\$3,809	-\$3,809	-\$3,809		
29	\$0	\$0	\$0	\$0	\$0	-\$693	-\$693	-\$693	-\$693	-\$693		
30	-\$944	\$3	\$3	-\$944	\$3	\$1,538	\$1,538	\$1,538	\$1,538	\$1,538		

Small Hotel H	Envelope, Power	and Other					Total			
	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago
New Construction	\$15,594	\$15,594	\$15,594	\$15,594	\$15,594	\$4,922	-\$5,113	-\$681	\$6,571	-\$8,766
Maintenance	\$0	\$0	\$0	\$0	\$0	\$607	-\$10	-\$8	\$604	-\$16
Replacement (Year)						\$0	\$0	\$0	\$0	\$0
1	-\$657	-\$657	-\$657	-\$657	-\$657	-\$1,350	-\$1,350	-\$1,350	-\$1,350	-\$1,350
2	-\$657	-\$657	-\$657	-\$657	-\$657	-\$1,353	-\$1,353	-\$1,353	-\$1,353	-\$1,353
3	-\$657	-\$657	-\$657	-\$657	-\$657	-\$31,416	-\$31,416	-\$31,416	-\$31,416	-\$31,416
4	-\$657	-\$657	-\$657	-\$657	-\$657	-\$5,304	-\$5,304	-\$5,304	-\$5,304	-\$5,304
5	-\$880	-\$880	-\$880	-\$880	-\$880	-\$2,614	-\$2,614	-\$2,614	-\$2,614	-\$2,614
6	-\$657	-\$657	-\$657	-\$657	-\$657	-\$32,921	-\$32,921	-\$32,921	-\$32,921	-\$32,921
7	-\$657	-\$657	-\$657	-\$657	-\$657	-\$1,350	-\$1,350	-\$1,350	-\$1,350	-\$1,350
8	-\$657	-\$657	-\$657	-\$657	-\$657	-\$6,122	-\$6,122	-\$6,122	-\$6,122	-\$6,122
9	-\$657	-\$657	-\$657	-\$657	-\$657	-\$31,536	-\$31,536	-\$31,536	-\$31,536	-\$31,536
10	-\$880	-\$880	-\$880	-\$880	-\$880	\$7,107	\$7,107	\$7,107	\$7,107	\$7,107
11	-\$657	-\$657	-\$657	-\$657	-\$657	-\$8,447	-\$8,447	-\$8,447	-\$8,447	-\$8,447
12	-\$657	-\$657	-\$657	-\$657	-\$657	-\$36,872	-\$36,872	-\$36,872	-\$36,872	-\$36,872
13	-\$657	-\$657	-\$657	-\$657	-\$657	-\$1,350	-\$1,350	-\$1,350	-\$1,350	-\$1,350
14	-\$657	-\$657	-\$657	-\$657	-\$657	-\$515	-\$515	-\$515	-\$515	-\$515
15	-\$880	-\$880	-\$880	-\$880	-\$880	-\$5,325	-\$15,912	-\$11,809	-\$3,434	-\$19,081
16	-\$657	-\$657	-\$657	-\$657	-\$657	-\$6,122	-\$6,122	-\$6,122	-\$6,122	-\$6,122
17	-\$657	-\$657	-\$657	-\$657	-\$657	-\$3,289	-\$3,289	-\$3,289	-\$3,289	-\$3,289
18	-\$657	-\$657	-\$657	-\$657	-\$657	-\$28,304	-\$28,304	-\$28,304	-\$28,304	-\$28,304
19	-\$657	-\$657	-\$657	-\$657	-\$657	-\$1,350	-\$1,350	-\$1,350	-\$1,350	-\$1,350
20	-\$1,014	-\$1,014	-\$1,014	-\$1,014	-\$1,014	\$4,917	\$3,022	\$3,022	\$4,917	\$3,022
20	\$85	\$85	\$85	\$85	\$85	-\$30,674	-\$30,674	-\$30,674	-\$30,674	-\$30,674
22	-\$657	-\$657	-\$657	-\$657	-\$657	-\$8,450	-\$8,450	-\$8,450	-\$8,450	-\$8,450
23	-\$657	-\$657	-\$657	-\$657	-\$657	-\$180	-\$180	-\$180	-\$180	-\$180
23	-\$657	-\$657	-\$657	-\$657	-\$657	-\$37,690	-\$37,690	-\$37,690	-\$37,690	-\$37,690
25	-\$880	-\$880	-\$880	-\$880	-\$880	-\$2,614	-\$2,614	-\$2,614	-\$2,614	-\$2,614
25	-\$657	-\$657	-\$657	-\$657	-\$657	-\$1,353	-\$1,353	-\$1,353	-\$1,353	-\$1,353
20	-\$657	-\$657	-\$657	-\$657	-\$657	-\$31,536	-\$31,536	-\$31,535	-\$31,535	-\$31,536
28	-\$657	-\$657	-\$657	-\$657	-\$657	-\$31,330 -\$4,466	-\$31,550	-\$31,550	-\$31,550	-\$31,330 -\$4,466
28	-\$657	-\$657	-\$657	-\$657	-\$657	-\$4,400	-\$4,400	-\$4,400	-\$4,400	-\$4,400
30	-\$037 -\$3,091	-\$057 -\$3,091	-\$037 -\$3,091	-\$037 -\$3,091	-\$037 -\$3,091	-\$1,530 -\$2,498	-\$1,550	-\$1,550	-\$1,550	-\$1,550

Mid-rise Apartment			HVAC				Lighting				
	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	
New Construction	\$0	\$0	\$0	\$0	\$0	\$9,430	\$9,430	\$9,430	\$9,430	\$9,430	
Maintenance	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Replacement (Year)											
1	\$0	\$0	\$0	\$0	\$0	-\$57	-\$57	-\$57	-\$57	-\$57	
2	\$0	\$0	\$0	\$0	\$0	-\$778	-\$778	-\$778	-\$778	-\$778	
3	\$0	\$0	\$0	\$0	\$0	-\$421	-\$421	-\$421	-\$421	-\$421	
4	\$0	\$0	\$0	\$0	\$0	-\$713	-\$713	-\$713	-\$713	-\$713	
5	\$0	\$0	\$0	\$0	\$0	\$1,461	\$1,461	\$1,461	\$1,461	\$1,461	
6	\$0	\$0	\$0	\$0	\$0	-\$1,142	-\$1,142	-\$1,142	-\$1,142	-\$1,142	
7	\$0	\$0	\$0	\$0	\$0	-\$57	-\$57	-\$57	-\$57	-\$57	
8	\$0	\$0	\$0	\$0	\$0	-\$713	-\$713	-\$713	-\$713	-\$713	
9	\$0	\$0	\$0	\$0	\$0	-\$421	-\$421	-\$421	-\$421	-\$421	
10	\$0	\$0	\$0	\$0	\$0	\$4,126	\$4,126	\$4,126	\$4,126	\$4,126	
11	\$0	\$0	\$0	\$0	\$0	-\$6,245	-\$6,245	-\$6,245	-\$6,245	-\$6,245	
12	\$0	\$0	\$0	\$0	\$0	-\$1,077	-\$1,077	-\$1,077	-\$1,077	-\$1,077	
13	\$0	\$0	\$0	\$0	\$0	-\$2,774	-\$2,774	-\$2,774	-\$2,774	-\$2,774	
14	\$0	\$0	\$0	\$0	\$0	\$1,607	\$1,607	\$1,607	\$1,607	\$1,607	
15	\$0	\$0	\$0	\$0	\$0	\$4,194	\$4,194	\$4,194	\$4,194	\$4,194	
16	\$0	\$0	\$0	\$0	\$0	-\$713	-\$713	-\$713	-\$713	-\$713	
17	\$0	\$0	\$0	\$0	\$0	-\$57	-\$57	-\$57	-\$57	-\$57	
18	\$0	\$0	\$0	\$0	\$0	\$5,091	\$5,091	\$5,091	\$5,091	\$5,091	
19	\$0	\$0	\$0	\$0	\$0	-\$57	-\$57	-\$57	-\$57	-\$57	
20	\$0	\$0	\$0	\$0	\$0	\$4,192	\$4,192	\$4,192	\$4,192	\$4,192	
21	\$0	\$0	\$0	\$0	\$0	-\$421	-\$421	-\$421	-\$421	-\$421	
22	\$0	\$0	\$0	\$0	\$0	-\$6,966	-\$6,966	-\$6,966	-\$6,966	-\$6,966	
23	\$0	\$0	\$0	\$0	\$0	-\$57	-\$57	-\$57	-\$57	-\$57	
24	\$0	\$0	\$0	\$0	\$0	-\$1,077	-\$1,077	-\$1,077	-\$1,077	-\$1,077	
25	\$0	\$0	\$0	\$0	\$0	\$1,461	\$1,461	\$1,461	\$1,461	\$1,461	
26	\$0	\$0	\$0	\$0	\$0	-\$3,496	-\$3,496	-\$3,496	-\$3,496	-\$3,496	
27	\$0	\$0	\$0	\$0	\$0	-\$421	-\$421	-\$421	-\$421	-\$421	
28	\$0	\$0	\$0	\$0	\$0	\$1,672	\$1,672	\$1,672	\$1,672	\$1,672	
29	\$0	\$0	\$0	\$0	\$0	-\$57	-\$57	-\$57	-\$57	-\$57	
30	\$0	\$0	\$0	\$0	\$0	-\$586	-\$586	-\$586	-\$586	-\$586	

# B.6 Mid-rise Apartment Cost Summary

Mid-rise Apartment		Envelo	pe, Power and	Other		Total									
	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago	2A Houston	3A Memphis	3B El Paso	4A Baltimore	5A Chicago					
New Construction	\$11,428	\$11,428	\$11,428	\$11,428	\$11,428	\$20,858	\$20,858	\$20,858	\$20,858	\$20,858					
Maintenance	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0					
Replacement (Year)						\$0	\$0	\$0	\$0	\$0					
1	-\$328	-\$328	-\$328	-\$328	-\$328	-\$385	-\$385	-\$385	-\$385	-\$385					
2	-\$328	-\$328	-\$328	-\$328	-\$328	-\$1,107	-\$1,107	-\$1,107	-\$1,107	-\$1,107					
3	-\$328	-\$328	-\$328	-\$328	-\$328	-\$749	-\$749	-\$749	-\$749	-\$749					
4	-\$328	-\$328	-\$328	-\$328	-\$328	-\$1,041	-\$1,041	-\$1,041	-\$1,041	-\$1,041					
5	-\$440	-\$440	-\$440	-\$440	-\$440	\$1,022	\$1,022	\$1,022	\$1,022	\$1,022					
6	-\$328	-\$328	-\$328	-\$328	-\$328	-\$1,471	-\$1,471	-\$1,471	-\$1,471	-\$1,471					
7	-\$328	-\$328	-\$328	-\$328	-\$328	-\$385	-\$385	-\$385	-\$385	-\$385					
8	-\$328	-\$328	-\$328	-\$328	-\$328	-\$1,041	-\$1,041	-\$1,041	-\$1,041	-\$1,041					
9	-\$328	-\$328	-\$328	-\$328	-\$328	-\$749	-\$749	-\$749	-\$749	-\$749					
10	-\$440	-\$440	-\$440	-\$440	-\$440	\$3,686	\$3,686	\$3,686	\$3,686	\$3,686					
11	-\$328	-\$328	-\$328	-\$328	-\$328	-\$6,573	-\$6,573	-\$6,573	-\$6,573	-\$6,573					
12	-\$328	-\$328	-\$328	-\$328	-\$328	-\$1,405	-\$1,405	-\$1,405	-\$1,405	-\$1,405					
13	-\$328	-\$328	-\$328	-\$328	-\$328	-\$3,102	-\$3,102	-\$3,102	-\$3,102	-\$3,102					
14	-\$328	-\$328	-\$328	-\$328	-\$328	\$1,278	\$1,278	\$1,278	\$1,278	\$1,278					
15	-\$440	-\$440	-\$440	-\$440	-\$440	\$3,754	\$3,754	\$3,754	\$3,754	\$3,754					
16	-\$328	-\$328	-\$328	-\$328	-\$328	-\$1,041	-\$1,041	-\$1,041	-\$1,041	-\$1,041					
17	-\$328	-\$328	-\$328	-\$328	-\$328	-\$385	-\$385	-\$385	-\$385	-\$385					
18	-\$328	-\$328	-\$328	-\$328	-\$328	\$4,763	\$4,763	\$4,763	\$4,763	\$4,763					
19	-\$328	-\$328	-\$328	-\$328	-\$328	-\$385	-\$385	-\$385	-\$385	-\$385					
20	-\$507	-\$507	-\$507	-\$507	-\$507	\$3,685	\$3,685	\$3,685	\$3,685	\$3,685					
21	\$43	\$43	\$43	\$43	\$43	-\$378	-\$378	-\$378	-\$378	-\$378					
22	-\$328	-\$328	-\$328	-\$328	-\$328	-\$7,295	-\$7,295	-\$7,295	-\$7,295	-\$7,295					
23	-\$328	-\$328	-\$328	-\$328	-\$328	-\$385	-\$385	-\$385	-\$385	-\$385					
24	-\$328	-\$328	-\$328	-\$328	-\$328	-\$1,405	-\$1,405	-\$1,405	-\$1,405	-\$1,405					
25	-\$440	-\$440	-\$440	-\$440	-\$440	\$1,022	\$1,022	\$1,022	\$1,022	\$1,022					
26	-\$328	-\$328	-\$328	-\$328	-\$328	-\$3,824	-\$3,824	-\$3,824	-\$3,824	-\$3,824					
27	-\$328	-\$328	-\$328	-\$328	-\$328	-\$749	-\$749	-\$749	-\$749	-\$749					
28	-\$328	-\$328	-\$328	-\$328	-\$328	\$1,344	\$1,344	\$1,344	\$1,344	\$1,344					
29	-\$328	-\$328	-\$328	-\$328	-\$328	-\$385	-\$385	-\$385	-\$385	-\$385					
30	-\$2,273	-\$2,273	-\$2,273	-\$2,273	-\$2,273	-\$2,859	-\$2,859	-\$2,859	-\$2,859	-\$2,859					

# Appendix C

Energy Results 90.1-2007 and 90.1-2010

Prototype Name	% weight adjusted	90.1-2007 Site Energy (kBtu/ft <sup>2</sup> )	90.1-2010 Site Energy (kBtu/ ft <sup>2</sup> )		90.1-2010 Site Energy Cost (\$/ft <sup>2</sup> )	Site Energy Savings	Energy Cos Savings
Small Office	5.61%	39.3	32.8	\$1.12	\$0.93	16.4%	16.7%
Medium Office	6.05%	48.4	37.3	\$1.34	\$1.01	22.8%	25.0%
Large Office	3.33%	43.2	33.4	\$1.17	\$0.92	22.8%	21.8%
Standalone Retail	15.25%	65.1	49.5	\$1.69	\$1.32	23.9%	21.8%
Stripmall	5.67%	68.3	56.9	\$1.76	\$1.42	16.7%	19.2%
Primary School	4.99%	65.1	50.2	\$1.66	\$1.33	22.8%	20.1%
Secondary School	10.36%	56.1	41.2	\$1.47	\$1.13	26.6%	23.2%
Outpatient Healthcare	4.37%	153.4	123.6	\$3.92	\$3.15	19.4%	19.5%
Hospital	3.45%	159.8	118.4	\$3.58	\$2.81	25.9%	21.5%
Small Hotel	1.72%	70.8	66.6	\$1.61	\$1.47	5.9%	8.7%
Large Hotel	4.95%	154.4	125.9	\$2.85	\$2.42	18.4%	14.9%
Warehouse	16.72%	24.0	19.0	\$0.55	\$0.42	21.0%	24.2%
Quick Service Restaurant	0.59%	548.4	519.9	\$10.01	\$9.12	5.2%	8.9%
Full Service Restaurant	0.66%	383.1	330.9	\$7.61	\$6.12	13.6%	19.6%
Mid-rise Apartment	7.32%	44.3	41.2	\$1.17	\$1.11	7.1%	4.8%
High-rise Apartment	8.97%	47.7	44.0	\$1.32	\$1.25	7.8%	5.3%
Totals	100.0%						
National Weighted Average		67.8	55.0	\$1.64	\$1.35	18.9%	18.1%

#### C.1 Energy and Energy Cost Savings Summary With Plug and Process Loads, 90.1-2007 and 90.1-2010

\_\_\_\_

Prototype Name	% weight adjusted	90.1-2007 Site Energy (kBtu/ft <sup>2</sup> )	90.1-2010 Site Energy (kBtu/ft <sup>2</sup> )	90.1-2007 Site Energy Cost (\$/ft <sup>2</sup> )	90.1-2010 Site Energy Cost (\$/ft2)	Site Energy Savings	Energy Cos Savings
Small Office	5.61%	30.2	24.4	\$0.84	\$0.66	19.21%	22.18%
Medium Office	6.05%	33.3	23.9	\$0.89	\$0.56	28.44%	36.81%
Large Office	3.33%	27.6	19.2	\$0.81	\$0.56	30.15%	30.80%
Standalone Retail	15.25%	57.6	42.1	\$1.47	\$1.10	27.03%	25.20%
Stripmall	5.67%	62.9	51.5	\$1.59	\$1.26	18.18%	21.11%
Primary School	4.99%	43.8	29.3	\$1.16	\$0.83	33.08%	28.09%
Secondary School	10.36%	41.7	27.1	\$1.11	\$0.78	34.90%	30.15%
Outpatient Healthcare	4.37%	106.2	77.2	\$2.63	\$1.86	27.32%	29.15%
Hospital	3.45%	110.2	69.4	\$2.71	\$1.96	36.98%	27.85%
Small Hotel	1.72%	48.4	44.4	\$1.22	\$1.07	8.18%	11.54%
Large Hotel	4.95%	118.9	90.9	\$2.43	\$2.01	23.57%	17.22%
Warehouse	16.72%	21.5	16.5	\$0.47	\$0.34	23.01%	28.10%
Quick Service Restaurant	0.59%	279.0	250.6	\$7.34	\$6.46	10.16%	12.09%
Full Service Restaurant	0.66%	229.7	178.1	\$5.43	\$3.94	22.49%	27.50%
Mid-rise Apartment	7.32%	29.8	26.8	\$0.73	\$0.67	9.95%	7.72%
High-rise Apartment	8.97%	34.5	31.0	\$0.92	\$0.86	10.02%	6.99%
Totals	100.0%						
National Weighted Average		50.7	38.2	\$1.26	\$0.96	24.51%	23.43%

# C.2 Energy and Energy Cost Savings Summary Without Plug and Process Loads, 90.1-2007 and 90.1-2010

			Site Energy Use Index kBtu/ft <sup>2</sup>																						
	%weight adjusted	Interio	r Lights	Exterio	Exterior Lights		Loads	Fa	ans	Pu	mps	Heat R	Recovery	Co	oling	Hea	ating	S	WH	Humic	lifcation	Refrig	geration	T	otal
		2007	2010	2007	2010	2007	2010	2007	2010	2007	2010	2007	2010	2007	2010	2007	2010	2007	2010	2007	2010	2007	2010	Base- Site Energy	Target Site Energy
Small Office	5.61%	12.2	10.2	4.4	1.6	9.1	8.4	4.2	3.9	0.0	0.0	0.0	0.0	3.9	3.7	3.5	3.2	1.8	1.8	0.0	0.0	0.0	0.0	39.3	32.8
Medium Office	6.05%	9.8	6.8	4.0	1.4	15.0	13.5	1.8	1.5	0.0	0.0	0.0	0.0	8.0	5.9	6.3	4.7	3.5	3.5	0.0	0.0	0.0	0.0	48.4	37.3
Large Office	3.33%	9.8	7.2	1.9	1.0	15.6	14.1	1.9	1.6	1.7	1.1	0.0	0.0	5.9	3.9	5.8	3.8	0.6	0.6	0.0	0.0	0.0	0.0	43.2	33.4
Standalone Retail	15.25%	18.8	17.0	4.4	1.8	7.5	7.5	12.0	8.2	0.0	0.0	0.0	0.6	9.1	6.1	12.1	7.1	1.2	1.2	0.0	0.0	0.0	0.0	65.1	49.5
Stripmall	5.67%	22.8	18.8	6.1	2.3	5.4	5.4	9.4	8.2	0.0	0.0	0.0	0.0	9.7	7.6	13.1	12.7	1.8	1.9	0.0	0.0	0.0	0.0	68.3	56.9
Primary School	4.99%	15.5	10.4	1.1	0.5	21.3	20.9	5.4	4.6	0.0	0.0	0.0	0.7	10.4	7.5	9.4	3.7	1.0	1.0	0.0	0.0	1.0	1.0	65.1	50.2
Secondary School	10.36%	14.8	9.7	1.0	0.4	14.4	14.0	5.6	4.5	0.5	0.2	0.0	0.8	10.8	8.0	7.8	2.4	0.5	0.5	0.0	0.0	0.7	0.7	56.1	41.2
Outpatient Healthcare	4.37%	14.2	12.3	5.3	3.0	47.3	46.5	12.3	9.4	0.4	0.4	0.0	0.0	25.2	18.6	43.6	29.4	1.1	1.1	4.1	2.8	0.0	0.0	153.4	123.6
Hospital	3.45%	16.6	14.2	1.0	0.8	49.6	49.0	16.7	11.4	5.6	3.4	0.0	0.5	19.0	11.7	49.4	25.6	1.1	1.1	0.0	0.0	0.8	0.8	159.8	118.4
Small Hotel	1.72%	10.9	9.0	2.1	1.4	22.5	22.2	8.4	7.9	0.0	0.0	0.0	0.0	8.5	7.3	7.3	7.5	11.2	11.2	0.0	0.0	0.0	0.0	70.8	66.6
Large Hotel	4.95%	11.3	10.6	2.4	1.8	35.4	35.0	5.9	5.1	2.0	0.7	0.0	1.3	21.0	16.4	27.6	6.1	48.2	48.2	0.0	0.0	0.6	0.6	154.4	125.9
Warehouse	16.72%	8.8	6.1	2.2	1.2	2.5	2.4	1.0	0.8	0.0	0.0	0.0	0.0	0.6	0.5	8.8	7.8	0.1	0.1	0.0	0.0	0.0	0.0	24.0	19.0
Quick Service Restaurant	0.59%	28.5	13.5	10.4	4.4	274.6	274.5	35.6	32.9	0.0	0.0	0.0	0.0	31.5	25.3	119.0	120.6	24.2	24.2	0.0	0.0	24.6	24.6	548.4	519.9
Full Service				10.0																					
Restaurant Mid-rise	0.66%	32.0	13.5		4.3	157.6	157.1	30.3	16.0	0.0	0.0	0.0	0.0	27.7	18.4	81.3	77.5	33.3	33.3	0.0	0.0	10.9	10.9	383.1	330.9
Apartment	7.32%	2.8	2.9	2.0	1.1	14.5	14.4	5.2	5.0	0.0	0.0	0.0	0.0	4.0	4.0	8.3	6.4	7.4	7.4	0.0	0.0	0.0	0.0	44.3	41.2
High-rise Apartment	8.97%	2.6	2.7	2.2	1.7	13.2	13.0	5.2	4.9	0.7	0.5	0.0	0.0	9.0	9.0	7.3	4.8	7.4	7.4	0.0	0.0	0.0	0.0	47.7	44.0
Totals	100.0%	2.0	2.1	2.2	1./	13.2	15.0	5.2	4.7	0.7	0.5	0.0	0.0	9.0	9.0	1.3	4.0	/.4	/.4	0.0	0.0	0.0	0.0	47.7	44.0
National	100.070	12.3	9.8	3.0	1.4	17.2	16.8	6.6	5.2	0.5	0.3	0.0	0.3	9.0	6.8	13.7	8.8	5.0	5.0	0.2	0.1	0.4	0.4	67.8	55.0
Weighted			9.0 61%		.72%		10.8		.79%		74%		NA		.88%		40%		01%		.84%		71%		.88%

# C.3 Energy By Usage Category, 90.1-2007 and 90.1-2010



Proudly Operated by Battelle Since 1965

902 Battelle Boulevard P.O. Box 999 Richland, WA 99352 1-888-375-PNNL (7665) www.pnl.gov

