

PNNL-31960 Rev 0 DVZ-RPT-066 Rev 0

# Evaluation of Data Catalog Software for Hanford Site Environmental Datasets

September 2021

Kenneth D Ham Dustin L Crockett



Prepared for the U.S. Department of Energy under Contract DE-AC05-76RL01830

#### DISCLAIMER

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor Battelle Memorial Institute, nor any of their employees, makes **any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights**. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof, or Battelle Memorial Institute. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

#### PACIFIC NORTHWEST NATIONAL LABORATORY operated by BATTELLE for the UNITED STATES DEPARTMENT OF ENERGY under Contract DE-AC05-76RL01830

#### Printed in the United States of America

Available to DOE and DOE contractors from the Office of Scientific and Technical Information, P.O. Box 62, Oak Ridge, TN 37831-0062; ph: (865) 576-8401 fax: (865) 576-5728 email: <u>reports@adonis.osti.gov</u>

Available to the public from the National Technical Information Service 5301 Shawnee Rd., Alexandria, VA 22312 ph: (800) 553-NTIS (6847) email: orders@ntis.gov <<u>https://www.ntis.gov/about</u>> Online ordering: <u>http://www.ntis.gov</u>

## **Evaluation of Data Catalog Software for Hanford Site Environmental Datasets**

September 2021

Kenneth D Ham Dustin L Crockett

Prepared for the U.S. Department of Energy under Contract DE-AC05-76RL01830

Pacific Northwest National Laboratory Richland, Washington 99354

## Summary

Environmental information and data underpin achievement of the U.S. Department of Energy (DOE) Office of Environmental Management (EM) mission at the Hanford Site. The Hanford Environmental Data Management (HEDM) Program is the DOE Richland Operations Office (RL) approach to develop and implement a formal program for managing environmental data and the associated records, materials, and systems at the Hanford Site. The current project, contract, organization, and contractor-specific efforts at managing environmental data sets are insufficient to provide orderly, long-term, site-wide access. A vital element to be created within the HEDM program plan is a catalog of data sources, called the Hanford Environmental Information and Data Index (HEIDI), that will enable long-term access and retrievability for the multiple independent sources of data that might otherwise be difficult to discover.

This report compares leading open source and commercial data catalog platforms using criteria to assess the functionality needed to develop the HEIDI catalog of Hanford data sources that connects and exchanges data with established Hanford Local Area Network (HLAN) enterprise information technology systems. Proprietary platforms evaluated included ArcGIS Enterprise Sites, Junar, OpenDataSoft, and Socrata, and non-proprietary platforms included Energy Data eXchange (EDX), Comprehensive Knowledge Archive Network (CKAN), and DKAN (a Drupal-based open data portal based on CKAN). Capabilities supporting data discoverability, retrieval, and archival, as well as metadata standard requirements and integration into the HLAN were rated as either failing to meet requirements (F), meeting requirements (M), or exceeding requirements by delivering additional desired features (E). The lowest rating for any capability area was assigned as the overall rating for the platform. These findings enable DOE-RL and the contractors implementing the HEDM plan to focus on candidate tools likely to meet the requirements for implementing HEIDI.

All of the platforms receiving an overall rating of 'F' were unable to be deployed on Hanford infrastructure or within dedicated cloud resources. A propriety software-as-a-service (SaaS) model of delivering a data catalog (e.g., found in software such as Junar and OpenDataSoft) favors consistency across customers at the expense of customization and configurable roles that are needed for Hanford work. Hosting data on a shared commercial platform places limits on dataset size (maximum of 240 Mb for OpenDataSoft), a significant limitation for HEIDI implementation. EDX, a government data catalog based on CKAN, received the 'F' rating due to an inability to incorporate authentication from HLAN into the system.

Among platforms rated 'M' or 'E', only the Socrata platform had a SaaS delivery model. In contrast to other SaaS platforms, Socrata provided custom roles and gateways that allow local datasets to be incorporated into an online catalog. Socrata also complies with the Federal Risk and Authorization Management Program, a significant benefit for cloud-based management of Hanford data. The other platforms rated 'M' or 'E', ArcGIS Enterprise Sites, CKAN, and DKAN, provide fully self-hosted options, allowing for greater control and flexibility with the HEIDI catalog. These widely used tools have supportive communities of practice, extensive customization options, and demonstrated deployments that provide evidence that they can meet requirements, often deliver additional desired features, and work well with federal government systems. Completely customized alternatives built on a collection of applications were not evaluated because achieving similar performance to CKAN or DKAN requires substantial resources, especially in the absence of the active communities that have grown to support these tools.

ArcGIS Enterprise Sites, Socrata, CKAN, and DKAN were evaluated as strong candidates for successful implementation with HEIDI. Further evaluation is needed to assess licensing terms, costs of acquisition, maintenance requirements, and sustainability through long-term stewardship at Hanford.

## Acknowledgments

We acknowledge the insightful inputs on Hanford Site environmental data management from Bill Webber, Joanne Rieger, and Len Habel of the Central Plateau Cleanup Company and Ken Kapsi of the DOE Richland Operations Office. We thank Jason Altman of Hanford Mission Integration Solutions for educating us on geographic information management at the Hanford Site and the software and hardware infrastructure underlying those capabilities.

Funding for this work was provided by the U.S. Department of Energy Richland Operations Office under the Deep Vadose Zone – Applied Field Research Initiative. The Pacific Northwest National Laboratory is operated by Battelle Memorial Institute for the Department of Energy under Contract DE-AC05-76RL01830.

## Acronyms and Abbreviations

AD	Active Directory
API	Application Programming Interface
CKAN	Comprehensive Knowledge Archive Network
CSA	Cloud Security Alliance
CSDGM	Content Standard for Digital Geospatial Metadata
DCAT	Data Catalog Vocabulary
DKAN	a Drupal-based open data portal based on CKAN
DOE	U.S. Department of Energy
EDX	Energy Data eXchange
EM	Office of Environmental Management
FedRAMP	Federal Risk and Authorization Management Program
FEMA	Federal Emergency Management Agency
FGDC	Federal Geographic Data Committee
HEDM	Hanford Environmental Data Management
HEIDI	Hanford Environmental Information and Data Index
HHS	U.S. Department of Health and Human Services
HLAN	Hanford Local Area Network
HMIS	Hanford Mission Integration Solutions
INSPIRE	A metadata format required by the European Union
ISO	International Organization for Standardization
NQAP	Nuclear Quality Assurance Program
REST	REpresentational State Transfer
RL	Richland Operations Office
SaaS	software-as-a-service
USDA	U. S. Department of Agriculture

## Contents

Summ	ary			ii
Ackno	wledgm	nents	i	ii
Acrony	yms and	l Abbrevi	ationsi	iv
1.0	Introdu	uction		.1
2.0	Backgr	round		.2
3.0	Data C	atalog Re	equirements and Desired Features	.3
	3.1	Data Cat	talog Terminology	.3
	3.2	Catalog	Functions	.3
		3.2.1	Catalog a Dataset	.3
		3.2.2	Find a Dataset	.5
		3.2.3	Retrieve Data Set	.6
		3.2.4	Large Dataset Storage	.7
	3.3	Impleme	entation on HLAN	.7
		3.3.1	Site Software Approval	.7
		3.3.2	Single Sign-On	.7
		3.3.3	Role-Based Access Control	.8
		3.3.4	Linking to Actively Maintained Hanford Databases	.8
		3.3.5	Deployment	.8
4.0	Candic	late Data	Catalog Software Products	.9
	4.1	ArcGIS	Enterprise Sites	.9
		4.1.1	User Interface: ArcGIS Enterprise Sites1	0
		4.1.2	Ratings: ArcGIS Enterprise Sites1	4
	4.2	CKAN (	Comprehensive Knowledge Archive Network)1	4
		4.2.1	User Interface: CKAN1	5
		4.2.2	Ratings: CKAN1	9
	4.3	DKAN	1	9
		4.3.1	User Interface: DKAN	0
		4.3.2	Ratings: DKAN2	4
	4.4	EDX (Er	nergy Data eXchange)2	4
		4.4.1	User Interface: EDX	5
		4.4.2	Ratings: EDX2	9
	4.5	Junar		9
		4.5.1	User Interface: Junar	0
		4.5.2	Ratings: Junar	3

	4.6	OpenDa	taSoft	34
		4.6.1	User Interface: OpenDataSoft	34
		4.6.2	Ratings: OpenDataSoft	38
	4.7	Socrata		38
		4.7.1	User Interface: Socrata	39
		4.7.2	Ratings: Socrata	43
	4.8	Do-It-Ye	ourself (Custom Data Catalog)	43
5.0	Conclu	isions		44
6.0	Quality	y Assuran	ıce	46
7.0	Refere	nces		47

## Figures

Figure 4.1.	Home Page of the FEMA Geospatial Resource Center Web Page (https://gis-fema.hub.arcgis.com/) Implemented Using ArcGIS Hub	11
Figure 4.2.	Dataset Query Page of the FEMA Geospatial Resource Center (https://fema.maps.arcgis.com/apps/FilterGallery/index.html?appid=4da9f 50af45e47f281a1a0e4bdcc11af) Implemented Using ArcGIS Hub	12
Figure 4.3.	Dataset Detail Page of the FEMA Geospatial Resource Center (https://fema.maps.arcgis.com/home/item.html?id=d9b466d6a9e647ce8d1 dd5fe12eb434b) Implemented Using ArcGIS Hub	13
Figure 4.4.	Home Page of DATA.GOV (https://www.DATA.GOV/), a Data Catalog Implemented Using CKAN	16
Figure 4.5.	Dataset Query Page of DATA.GOV (https://catalog.DATA.GOV/dataset) Implemented Using CKAN	17
Figure 4.6.	Dataset Detail Page of DATA.GOV (https://catalog.DATA.GOV/dataset/department-for-the-aging-dfta- geriatric-mental-health-contracted-providers) Implemented Using CKAN	18
Figure 4.7.	Home Page of the USDA Ag Data Commons (https://data.nal.usda.gov/) Implemented Using DKAN	21
Figure 4.8.	Dataset Query Page of the USDA Ag Data Commons (https://data.nal.usda.gov/search/type/dataset) Implemented Using DKAN	22
Figure 4.9.	Dataset Detail Page of the USDA Ag Data Commons (https://data.nal.usda.gov/dataset/bar-bio-analytic-resource-plant-biology) Implemented Using DKAN	23
Figure 4.10.	Home Page of the Energy Data eXchange (https://edx.netl.doe.gov/)	26
Figure 4.11.	Dataset Query Page of the Energy Data eXchange (https://edx.netl.doe.gov/)	27
Figure 4.12.	Dataset Detail Page of the Energy Data eXchange (https://edx.netl.doe.gov/dataset/illinois-state-geological-survey-isgs- illinois-basin-decatur-project-ibdp-co2-injection-monitoring)	28
Figure 4.13.	Home Page of the City of Palo Alto Open Data Portal (https://data.cityofpaloalto.org/home) Implemented Using Junar	31
Figure 4.14.	Dataset Query Page of the City of Palo Alto Open Data Portal (https://data.cityofpaloalto.org/dashboards/8863/parks-open-space/) Implemented Using Junar	32
Figure 4.15.	Dataset Detail Page of the City of Palo Alto Open Data Portal (https://data.cityofpaloalto.org/visualizations/8227/trees-location-in-palo- alto/) Implemented Using Junar	33
Figure 4.16.	Home Page of the City of Vancouver Open Data Portal (https://opendata.vancouver.ca/pages/home/) Implemented Using OpenDataSoft	35

Figure 4.17.	Dataset Query Page of the City of Vancouver Open Data Portal (https://opendata.vancouver.ca/explore/?disjunctive.features&disjunctive.t heme&disjunctive.keyword&disjunctive.data-owner&disjunctive.data- team&sort=modified) Implemented Using OpenDataSoft
Figure 4.18.	Dataset Detail Page of the City of Vancouver Open Data Portal (https://opendata.vancouver.ca/explore/dataset/traffic- signals/information/) Implemented Using OpenDataSoft
Figure 4.19.	Home Page of the City of Austin, Texas, Open Data Portal (https://data.austintexas.gov/) Implemented Using Socrata40
Figure 4.20.	Dataset Query Page of the City of Austin, Texas, Open Data Portal (https://data.austintexas.gov/browse) Implemented Using Socrata41
Figure 4.21.	Dataset Detail Page of the City of Austin, Texas, Open Data Portal (https://data.austintexas.gov/City-Infrastructure/Proposed-Corridor- Construction-Program/psd6-eiah) Implemented Using Socrata

## Tables

Table 3.1.	Data Catalog Terms Defined	3
Table 4.1.	ArcGIS Enterprise Sites Specifications	
Table 4.2.	Ratings for ArcGIS Enterprise Sites	14
Table 4.3.	CKAN Specifications	15
Table 4.4.	Ratings for CKAN	19
Table 4.5.	DKAN Specifications	
Table 4.6.	Ratings for DKAN	
Table 4.7.	EDX Specifications	
Table 4.8.	Ratings for EDX	
Table 4.9.	Junar Specifications	
Table 4.10.	Ratings for Junar	
Table 4.11.	OpenDataSoft Specifications	
Table 4.12.	Ratings for OpenDataSoft	
Table 4.13.	Socrata Specifications	
Table 4.14.	Ratings for Socrata	
Table 5.1.	Ratings for Data Catalog Software Candidates	44

## 1.0 Introduction

Environmental information and data underpin the U.S. Department of Energy (DOE) Office of Environmental Management (EM) mission at the Hanford Site. The Hanford Environmental Data Management (HEDM) Program is the DOE Richland Operations Office (RL) approach to develop and implement a formal program for managing environmental data and the associated records, materials, and systems at the Hanford Site. A vital element of the HEDM program plan is a catalog of data sources, called the Hanford Environmental Information and Data Index (HEIDI), that will enable long-term access and retrievability for the multiple independent sources of data that might otherwise be difficult to discover.

The mission of the Hanford Site involves near-term environmental restoration and long-term stewardship, so long-term maintenance of environmental data is a priority (Atz et al. 2020). Software becomes obsolete over time, so it is important to select a data management alternative that is likely to be updated and maintained for the foreseeable future. Support of shared standards is also desirable to facilitate a transition of the catalog to another tool if the need arises. Licensing terms and costs can also influence whether it is feasible to implement a software solution for an extended number of years, as will the costs of maintaining the software. While it is not known what the future will bring, the software candidates for this evaluation were selected because they are currently in broad use and are well supported commercially or through the open-source community.

This report focuses on the suitability of available software tools to provide the critical functions of cataloging, storing, retrieving, and capturing metadata for data sources, and managing data access. Because data transparency is of keen interest in many countries, particularly for government data, a software industry has developed to provide data catalog platforms to the open data portal market (Schrack 2021; Grzenda and Legierski 2021). These data catalog software platforms provide many of the functions needed to implement HEIDI, even though it is not envisioned as an open data portal. The ancillary functions of the platform become important in determining whether that software can handle the types of data found at the Hanford Site and whether interoperability with other systems in the Hanford Local Area Network (HLAN) environment is feasible.

This report evaluated leading open source and commercial data catalog platforms to assess whether they possess the functionality needed to catalog Hanford data sources and connect and exchange data with established HLAN enterprise systems. This initial evaluation allows DOE-RL and the contractors implementing the HEDM plan to weigh the costs and benefits of these candidate tools for HEIDI implementation.

## 2.0 Background

Managing environmental data and information to support the DOE Office of Environmental Management (EM) mission at large and complex sites such as Hanford is challenging, not only because of the variety of data and information collected over decades by different contractors and stakeholders, but also because it must be managed to be readily accessible and retrievable over the long term. Hanford Site contractors currently use multiple, independent approaches to manage the ongoing acquisition of environmental data while accommodating decades of project, organization, and contractor-generated environmental data. Users and stakeholders have difficulty finding and accessing the data they need because there is no sitewide catalog of data sources. Without such a tool, it is challenging to identify the dataset integrity in terms of completeness and accuracy

The 2017 HEDM assessment report (CHPRC-03503 2017) states that the current environmental data systems will become increasingly incapable of providing the environmental information needed to support key remedial decisions. Continued reliance on the existing interrelated environmental data systems and records will dramatically increase the costs for DOE and reduce the effectiveness of this approach. DOE has established the HEDM Program to address the management of environmental data at Hanford in a more integrated and systematic manner. The HEIDI catalog of all Hanford Site environmental data sources is a key component of the program plan.

HEIDI is envisioned as a tool that makes Hanford Site environmental data findable, accessible, interoperable, and reusable (FAIR) by implementing the principles of Wilkinson et al. (2016). Achieving these principles is expected to reduce the costs of finding and using data and to increase the ability of existing environmental data to support key remedial decisions. The value of an effective data catalog extends beyond characterization and remediation phases to support long-term stewardship of the site.

## 3.0 Data Catalog Requirements and Desired Features

The basic functions of a data catalog are cataloging, finding, and retrieving datasets for examination and use. Within those functions, there are numerous capabilities that can improve the user's ability to interact with the datasets and allow data catalog software to integrate into existing workflows more easily. The implementation of the data catalog can be simplified by a software platform that is easy to use, integrates well with existing systems, and gives administrators the ability to secure datasets from unauthorized access or modification. Some of those capabilities are required, while others are desired features. This section first describes relevant terminology, then identifies requirements and desired features related to catalog functions and implementation in the HLAN environment.

## 3.1 Data Catalog Terminology

The concept of a data catalog is deceptively simple, but the details can be critically important to the usefulness and functionality of such a system. To help keep those details in clear focus, terms are defined in the table below to aid in a discussion of functional requirements.

Term	Definition		
Catalog	A collection of catalog records		
Catalog record	Metadata for a dataset. The catalog record contains a link to the dataset.		
Controlled vocabulary	The list of acceptable values for a metadata element		
Dataset	A collection of information in a machine-readable format		
Hosting	A data catalog must be hosted to become available on a network. Hosting can be commercial, self -hosted, or free.		
Machine-readable	A format that can be processed by a machine, without human intervention		
Metadata	Information to describe multiple aspects of a dataset		
Metadata schema	A structured set of data elements that describe a dataset		
Naming authority	A source of acceptable names for a data element		
Open data	Data that can be freely used, reused, and shared		

Table 3.1.	Data Catal	log Terms	Defined
------------	------------	-----------	---------

### 3.2 Catalog Functions

To provide access to datasets, data catalog software must manage a set of records to enable users to find datasets of interest and to provide a way to retrieve the dataset for a selected catalog record. How those functions are implemented is important for providing value to users.

#### 3.2.1 Catalog a Dataset

A catalog record is created by compiling the metadata for a dataset, including a link to the dataset. The catalog record is the basis for all other functions. When metadata entries include rich, consistent descriptions of datasets and their contents, users can apply search criteria to find the appropriate data for their intended use. Standards for the metadata are important to maintain consistent structure and values across records, even when data comes from different organizations.

#### 3.2.1.1 Metadata Standards

Several metadata standards have found favor for various fields of study or in various regions of the world. In the U.S., Executive Order No. 12906 requires all federal agencies and organizations receiving federal funds for the creation of geospatial data to document that data using a metadata standard endorsed by the Federal Geographic Data Committee (FGDC). FGDC-endorsed metadata standards include the FGDC-developed Content Standard for Digital Geospatial Metadata (CSDGM), Version 2 (FGDC-STD-001-1998), as well as the ISO 19XXX series of standards. Any data catalog software used for Hanford environmental data should be capable of importing and exporting metadata in a format endorsed by the FGDC. The HEIDI catalog itself may operate on a superset of this format, incorporating additional fields where needed to support full functionality for the Hanford Site mission.

**Requirement:** Support FGDC-endorsed metadata standard(s).

#### 3.2.1.2 Metadata Creation Wizard

Ideally, the catalog software will provide a human-friendly interface to enable users to create a machinereadable catalog entry that is complete and consistent. Consistency with metadata standards is a start, but it will be necessary to establish and enforce additional conventions, such as controlled vocabularies and name authorities. The consistency that results from these efforts will make searching the catalog for datasets more effective. In the case of Hanford Site environmental data, for example, it will be important to follow naming conventions for spatial objects such as operating units, wells, buildings, and so on that have been established by the Geospatial Information Technology Services group, which is part of Hanford Mission Integration Solutions (HMIS), the current holder of the Hanford Mission Essential Services Contract at the Hanford Site. The benefits of such efforts will be evident when the data catalog is integrated into other data systems on the HLAN. It may be necessary to develop a customized metadata creation wizard to enable additional metadata elements and to enforce acceptable entries consistent with Hanford Site naming conventions. The option to validate metadata to a standard or even to custom entry lists would be useful for maintaining consistency within the catalog and for connections to other data systems.

Requirement: Metadata validation tool for standard schemas.

Desired Feature: Metadata validation tool customizable for Hanford-specific schemas.

Desired Feature: Metadata creation wizard with customizable elements and name authorities.

#### 3.2.1.3 Standards-based Metadata Import and Export

To minimize manual data entry and transformation, the data catalog software should make it easy to import and export metadata in standard schemas. A schema defines the data elements and how they are used to describe a resource that is the subject of the metadata.

#### Importing and Exporting Metadata

Some datasets will have a standards-based metadata entry available to load or access via the Application Programming Interface (API). The data catalog software should allow population of the catalog record through such importable information to the extent possible, with missing fields made evident for manual entry.

It may be useful to import or export metadata to standard schemas, by converting between native formats and standard schemas.

Desired Feature: Import and export metadata in popular standard schemas.

#### Metadata Transfer via API

An API is a structured way to transfer information between the data catalog and other software. Among many possible functions, data catalog software often includes APIs that provide documented ways to share datasets, including sharing metadata. Given the number of datasets and variety of sources found at Hanford, this functionality is needed to enable datasets to be integrated from a variety of organizations and software platforms. For transfer of metadata or data from the catalog, REpresentational State Transfer (REST) APIs are preferred to enable these links to occur over HTTP protocols and standardize the methods used to make the requests.

Requirement: API connectivity for input and output of datasets, including metadata.

**Desired Feature:** REST APIs.

#### 3.2.1.4 Archive Dataset Snapshot

A special function for the Hanford data catalog is to archive a snapshot of a dataset to preserve the data queried at a specific time in support of analysis and reporting that leads to a deliverable. While data catalogs are typically focused on providing the most current version of a dataset, Hanford Site deliverables need to be associated with the version of the database used to support the deliverable. The ability to archive the snapshot of a dataset is needed for quality requirements associated with reporting.

**Requirement**: Software must support the archiving of multiple dataset snapshots to support reporting of quality requirements.

#### 3.2.2 Find a Dataset

Another elementary function of a catalog is the ability to find a dataset. Finding a dataset relies on imposing selection criteria on the metadata fields of catalog records. As mentioned earlier, consistency of metadata structure and content is key to effective searching.

#### 3.2.2.1 Filter Criteria

A list of datasets can be narrowed by applying criteria to values contained in metadata fields. For example, a user may request a list of datasets for a date range of interest, and a particular contaminant measured in groundwater. Careful selection of criteria can deliver a focused list of datasets.

Requirement: Search options that provide result lists built from filtering metadata field entries.

#### 3.2.2.2 Interactive Map Selection of Datasets

Another way to limit a selection of datasets is to interact with a map of points, lines, or polygons. Those spatial objects, in the case of the Hanford Site, might indicate items such as wells, tanks, buildings, waste sites, or operable units. By selecting those objects, or an area containing objects, it should be possible to obtain a list of datasets that contain relevant data.

Requirement: Search for dataset by selecting spatial objects or geographically defined areas.

#### 3.2.2.3 Index on Metadata Values

Data catalog performance depends in large part on the speed of metadata queries. Query speed depends on indexing of metadata fields to enable the catalog to be quickly partitioned to select a relevant list of datasets. Searching based on dataset content (versus the metadata) is not expected to be practical.

Desired Feature: Indexed, rapid searching through catalog entries by metadata terms.

#### 3.2.3 Retrieve Data Set

Another elemental function of a data catalog is to retrieve datasets that may fit the intended use. When a search returns a list of one or more datasets based on metadata fields, the user will often be interested in exploring whether the data is suitable for the intended use and then downloading the content of the dataset. Data can be stored locally to the catalog or federated from other systems through API links. This distinction should be of little importance to the end user.

Some of the possible ways of interacting with the dataset are described below, with R and D used as shorthand to indicate a Requirement or a Desired feature, respectively, if the dataset supports such an interaction.

- View the dataset on a map (**R**)
  - Interact with the data on the map, selecting areas or sites, making values visible upon mouseover, etc. (R)
  - Export the map and displayed data to an image (**D**)
- View the dataset as a table (**R**)
- Export the dataset to a file, either in its native format or a common file type such as CSV or Excel (**R**)
- Identify the API link to the dataset metadata for use in other software (**R**)
- View metadata (**R**)
  - Examine appropriateness for the intended use
    - Quality (**R**)
    - Timeframe (**R**)
    - Spatial extent (R)
- Identify related datasets (**D**)
- Graph the data (**D**)
- Perform simple data analyses, providing basic statistics such as means and ranges (D)
- Data extraction and filtering (**D**)
  - Limiting columns (**D**)
  - Filtering columns or rows on values (**D**)

#### 3.2.4 Large Dataset Storage

Environmental datasets can grow to large file sizes. Incorporating the content of those files into an active database is impractical, so the catalog should provide links to large datasets, with the understanding that such data may only provide limited opportunities for mapping, plotting, or filtering within the user interface of the data catalog.

Requirement: For large datasets, catalog entries will link to file locations.

### 3.3 Implementation on HLAN

HEIDI is planned to be a catalog of all environmental datasets available for the Hanford Site. As such, HEIDI will be implemented on the HLAN to allow seamless data transfer interactions with other applications managing Hanford environmental data through APIs. Hanford data is collected by multiple contractors (who may change over time), so interoperability will be an essential part of any successful data catalog. For manageability, the data catalog should be implemented as a dedicated website (i.e., not as part of an existing website), available for linking from other Hanford sites. This frees the catalog from restrictions and logistical challenges that may arise from linkages with elements that follow a different development cycle. Requirements and features related to using a data catalog in the HLAN environment are described in the sections below.

#### 3.3.1 Site Software Approval

Any software implemented on HLAN must complete a software approval process that involves grading the software to determine the required level of risk management review and precautions needed in case of a failure. Ideally, potential impediments to approval should be identified in the initial evaluation process.

**Requirement**: Catalog software must run on a Hanford-approved operating system (Linux, macOS, Unix, or Windows) and have no characteristics that would disqualify it for use on the HLAN.

#### 3.3.1.1 Self-Hosted

Catalog solutions implemented as software-as-a-service (SaaS) typically host the catalog and datasets on commercial cloud servers. While there are advantages to such an approach, the proprietary approach common to all customers accessing the commercial cloud presents additional challenges for the customization, interoperability, and security that are necessary for integration into the HLAN. Solutions that can be implemented in dedicated HLAN cloud infrastructure are not necessarily subject to the same challenges. This evaluation assumes that solutions that cannot be hosted within the HLAN infrastructure, whether that be on hardware or dedicated cloud, are not viable at this time.

Requirement: Catalog software must be able to be hosted on HLAN hardware or HLAN dedicated cloud.

#### 3.3.2 Single Sign-On

Incorporating the catalog software into HLAN workflows will be much more straightforward if it supports authentication using credentials already created for users. This capability also facilitates assigning user roles for multiple data systems.

**Requirement:** Support for HLAN single sign-on.

#### 3.3.3 Role-Based Access Control

Roles enable catalog administrators to grant permissions to users according to how they will be interacting with the catalog. Although the names and responsibilities vary among software solutions, typical roles include:

- Catalog administrator
- Dataset publisher
- Dataset editor
- Dataset viewer
- Dataset API consumer

Role-based access control organizes the many users expected to access the data catalog into a manageable number of groups (roles) that share the same permissions.

Requirement: Support for role-based access control.

Desired Feature: Customizable roles.

#### 3.3.4 Linking to Actively Maintained Hanford Databases

Hanford environmental datasets are actively maintained and updated, which creates a need to keep the catalog up to date. Two options exist for incorporating an actively managed dataset into a data catalog:

- Mirror the dataset: Create a copy and set a schedule for updating the copy (Requirement).
- Federation: Link to the data through APIs so that the metadata and data are always up to date (**Desired Feature**).

The efficacy of each approach will vary by the size and frequency of updates for a dataset, but the ability to support federation can ease the burden of keeping the linked data fresh.

#### 3.3.5 Deployment

For ease of deployment on the HLAN, the data catalog software must fit easily into existing HLAN workflows and procedures. Flexibility of configuration is one way to minimize custom coding while achieving the desired integration and interoperability. Where customizations are required to deliver catalog functions or facilitate interoperability, it should be possible to complete them with familiar programming languages.

Requirement: Customizations using programming languages commonly used on other Hanford software.

Desired Feature: Flexible, straightforward configuration, minimizing requirements for custom coding.

## 4.0 Candidate Data Catalog Software Products

A select group of data catalog software products likely to provide the capabilities needed for cataloging Hanford Site environmental data and information were evaluated. The following sections provide some details on each solution, show examples of the user interface of a data catalog implemented using the solution, and rate the solution relative to its ability to deliver the requirements and desired features described in Sections 3.2 and 3.3. Each platform was rated for its ability to deliver the requirements and desired features and desired features within each major topic areas identified by the subsections in Sections 3.2 and 3.3. The possible ratings were: failing to meet requirements (F), meeting requirements (M), or exceeding requirements by meeting requirements and delivering additional desired features (E).

The lowest rating for any major topic area was assigned as the overall rating for the platform. This overall rating identifies which candidate software platforms can meet the requirements for HEIDI implementation on HLAN. After screening out candidates that fail to deliver the required capabilities, the remaining candidates can be evaluated for other considerations that may drive a final selection.

## 4.1 ArcGIS Enterprise Sites

ArcGIS Enterprise Sites is the enterprise version of ArcGIS Hub, for which publicly available examples are numerous. Esri introduces their ArcGIS Enterprise Sites product by stating: "ArcGIS Enterprise Sites allows you to create a tailored web page experience for your users to help you share your portal's authoritative GIS data to other departments more easily, even if they are not used to working in your GIS. Instead of learning to navigate the portal and access groups, members can go directly to the custom web page you create to navigate the content relevant to them."<sup>1</sup>

While self-hosted implementations of ArcGIS Enterprise Sites are designed to deliver information within the enterprise, its capabilities largely mirror those of the SaaS offering called ArcGIS Hub (<u>https://www.esri.com/en-us/arcgis/products/arcgis-hub/overview</u>), which focuses on open data. Enterprise Sites is included in the licensing of ArcGIS Enterprise, with premium features (social media interaction) available at additional cost. Selected specifications are provided in Table 4.1.

<sup>&</sup>lt;sup>1</sup> About ArcGIS Enterprise Sites, https://enterprise.arcgis.com/en/sites/latest/get-started/about-this-application.htm. Accessed 9/2/2021.

Specification	Result
Software	ArcGIS Enterprise Sites
Website	https://enterprise.arcgis.com/en/sites/
Hosting models	Self/on-premises or third party (SaaS available using ArcGIS Hub)
Vendor organization	Esri
Latest version	6 May 2021: ArcGIS Enterprise 10.9 17 July 2018: Initial availability in 10.6.1.
Browser support	Chrome; Firefox; Safari; iOS Safari; Chrome for Android
Operating system	Linux or Windows
Customization programming languages	Python through the ArcGIS REST API for configuring the catalog. HTML and CSS for web page customization.
License	Included in ArcGIS Enterprise, premium functions at additional cost
Metadata standards support	<ul> <li>FGDC CSDGM metadata</li> <li>INSPIRE, based on a directive of the European Union</li> <li>ISO 19139 Metadata Implementation Specification</li> <li>ISO 19139 Metadata Implementation Specification GML3.2</li> <li>North American Profile of ISO 19115 2003</li> </ul>
Recent open-source activity	NA

#### Table 4.1. ArcGIS Enterprise Sites Specifications

#### 4.1.1 User Interface: ArcGIS Enterprise Sites

The site used to evaluate ArcGIS Enterprise Sites is the Federal Emergency Management Agency (FEMA) Geospatial Resource Center (<u>https://gis-fema.hub.arcgis.com/</u>), a website hosting spatial data related to emergency management. The associated home page includes logos, visuals, and entry point menus for data, dashboards, applications, and other resources (Figure 4.1). To address the varied nature of disasters, the home page includes entry points that lead to dashboards and curated topic areas, and featured applications that would help the user zero in on their area of interest. Not included in the screenshot are additional home page options to access data by category or region.

The FEMA Geospatial Resource Center query page for wildfire data, Figure 4.2, includes a preview image, a description, a revision date, and source information. Icons provide similar information on source and access, with additional indications of how the dataset can be viewed. The column on the left provides ways to filter the category and types of datasets that are visible.

The dataset detail page of the FEMA Geospatial Resource Center website (Figure 4.3) provides information on dates of creation and update history, plus extensive descriptive information about the contents of the dataset in a column on the left. A column on the right provides a variety of ways to view and interact with the data and provides supplemental information on the dataset. There is no listing of related datasets, but the listing of tags provided in the right-hand column can serve a similar purpose. At the bottom of the right-hand column is a URL link to the dataset. That link takes the user to a landing page that provides links to versions [JavaScript Object Notation (JSON), Simple Object Access Protocol (SOAP), and extensible markup language (XML)] of the data for download, an option to export the image in one of several formats, and several other tools for image analysis, query, or re-projection.



Figure 4.1. Home Page of the FEMA Geospatial Resource Center Web Page (<u>https://gis-fema.hub.arcgis.com/</u>) Implemented Using ArcGIS Hub

#### PNNL-31960 Rev 0 DVZ-RPT-066 Rev 0



Figure 4.2. Dataset Query Page of the FEMA Geospatial Resource Center (<u>https://fema.maps.arcgis.com/apps/FilterGallery/index.html?appid=4da9f50af45e47f281a1a0</u> <u>e4bdcc11af</u>) Implemented Using ArcGIS Hub

Home	Gallery	Map Scen	e Groups			Q. Sign In
Multispec	tral Landsat					Overview
		Landsat m	ultispectral and multite	mporal imagery with on-the-fly rende	rings and indices for visualization	Open in Map Viewer 🗸 🗸
	17	and analys	ils. The Landsat 8 image election on AWS.	ary in this layer is updated daily and is	directly sourced from the USGS	Open in Scene Viewer
	1:04	😹 Imagery	r Layer from Esri od by esri			Open in ArcGIS Desktop
15				n 28, 2021 View Count: 466, 207		Оренни жисаз бежкор у
		@Authorit	Cative Q Living Atles			
Descrip	otion					
This layer i	includes Landsat	GLS and Lands	at 8 imagery for use in 1	visualization and analysis. This layer is	time enabled and includes a	Details
Operation	al Land Imager (	OLI) and two bar	nds from the Thermal I	e Landsat 8 imagery includes eight m nfrared Sensor (TIRS). It is updated da	ultispectral bands from the ily with new imagery directly	Source: Image Service (Image Collection) Size: 1 K8
	om the USGS La	ndsat collection	on AWS.			****
	ic Coverage					<b>11 Y</b> 3
	lobal Land Surfac plar regions are ar		projected Imagery Layers	Landsat Arctic Views and Landsat Anta	rctic Views.	Image Properties
Temporal	Coverage					Source type: Generic Pixel type: Short
	his layer is update					Number of bands: 11 Cell size (X/Y): 29.99999618963752 / 30 Meter
• M	lost images collec	ted from January	h's land surface every 16 2015 to present are incl	uded.		Share
			th/row from 2013 and 20 n the Global Land Survey	014 are also included. # (circa 2010, 2005, 2000, 1990, 1975).		Share ©
Product Le	evel					
• 17	he Landsat 8 imag	ery in this layer is	a comprised of Collection	n 2 Level-1 data.		Owner
• TC	OA is applied usin	ig the radiometric	(TOA) correction applie c rescaling coefficients p	rovided the USGS.		Earl
	he TOA reflectanc	e values (ranging	0 - 1 by default) are sca	led using a range of 0 - 10,000.		Managad by:
		ara available ér - é	Ritering including Actual	sition Date, Estimated Cloud Cover, and	Product ID	-
• To	isolate and work	with specific ima		ation Date, Estimated Cloud Cover, and se Filter' to create custom layers or add a		Tags Landsat on AWS, Landsat, Multispectral
Ia Visual Ren		source image of	Brook of mielies.			Landsat on AVVS, Landsat, Multispectral, Multitemporal, imagery, landsat 8, temporal, MS
• T)	he default renderi	ng in this layer is	Agriculture (bands 6.5.2	) with Dynamic Range Adjustment (DRA	. Brighter green indicates more	Credits (Attribution)
	gorous vegetation he DRA version of		les visualization of the ful	I dynamic range of the images.		Earl, USGS, AWS, NASA
				indices is done on-the-fly from the sourc om functions can be created.	e images via Raster Functions.	URL 🖄 Vew
<ul> <li>Pr</li> </ul>	e-defined functio	ns: Natural Color	r with DRA, Agriculture w	ith DRA, Geology with DRA, Color Infra foisture Index Colorized, NDVI Raw, ND	red with DRA, Bathymetric with VI Colorized NBR Baw	https://landsat2.arcgis.com/arcgis/rest/se
				matic and Pansharpened.		
Multispect	ral Bands					
Band 1	Description Coastel serosol		Wavelength (µm) 0.43 - 0.45	Spatial Resolution (m) 30		
3	Blue Green Red		0.45 - 0.51 0.53 - 0.59 0.64 - 0.67	30 30		
5	Near Infrared (NI SWIR 1	R)	0.85 - 0.88	30 30 30		
7	SWIR 2 Cirrus (in OLI this	la bend 9)	2.11 - 2.29 1.36 - 1.38	30 30		
0	QA Bend (eveileb 1)*	le with Collection	NA	30		
"More abo	out the Quality A	ssessment Band				
TIRS Band:	2					
Band 10	Description TIPS1		Wevelength (µm) 10.60 - 11.19	Spetial Resolution (m) 100 * (30)		
11	TIR52		11.50 - 12.51	100 * (30)		
*TIRS band	ds are acquired a	it 100 meter reso	olution, but are resamp	led to 30 meter in delivered data prov	duct.	
	Usage Notes					
			olumns x 4.000 rows per used in Web Maps and Ar	request. rcGIS Pro as well as web and mobile app	lications using the ArcGIS REST APIs.	
• W	/CS and WMS cor	npatibility means		e consumed as WCS or WMS services.		
Data Sourc			, and dipi			
Landsat im	nagery is sourced			S) and the National Aeronautics and S	pace Administration (NASA). Data	
is hosted in	n Amazon Web S	Services as part o	of their Public Data Sets			
	ation on Landsat			Landsat 7. Band numbers and band (	ombinations differ from these of	
Landsat 8,	but have been n			Landsat 7. Band numbers and band ( as in the above table. For more inform		
Survey, visi	π αιδ.					
Layers						
MS						
Terms c	of Use					
(a) es	sri <sub>This most i</sub>	a licensed under	r the Esri Master Licens	e Ágreement		
View Sum	mary   View Tern	ns of Use	- Local and Codella	<u> </u>		
Comm	ents (1) 🔊				Gang Jaco .	
					Sort by New ¢	
Sign in t	o add a comme	nt.				

Figure 4.3. Dataset Detail Page of the FEMA Geospatial Resource Center (<u>https://fema.maps.arcgis.com/home/item.html?id=d9b466d6a9e647ce8d1dd5fe12eb434b</u>) Implemented Using ArcGIS Hub

#### 4.1.2 Ratings: ArcGIS Enterprise Sites

ArcGIS Enterprise Sites was rated according to its ability to fulfill the requirements and deliver the desired features described in Sections 3.2 and 3.3. Table 4.2 lists the ratings and justification for each category of requirements/desired features.

Section	Description	Rating	Justification
3.2.1	Catalog	Е	Strong metadata standards support and flexibility
3.2.2	Find	Е	Themes, stories, keywords, related
3.2.3	Retrieve	Е	Table, map, plot, download, API, filter
3.2.4	Large dataset storage	Е	Offline, but available by map layer or area
3.3.1	Hanford Site software approval	Е	In current use on HLAN
3.3.2	Single sign-on	Е	Windows Active Directory (AD) adaptor built in
3.3.3	Role-based access	Е	User types, custom roles, and privileges
3.3.4	Linking/Federation	Е	Linking and federation among ArcGIS servers
3.3.5	Deployment	Е	On premises, with existing infrastructure
	Overall rating	Е	

Table 4.2. Ratings for ArcGIS Enterprise Sites

### 4.2 CKAN (Comprehensive Knowledge Archive Network)

Datopian and Link Digital, the co-stewards of the CKAN project, describe the software as follows: "CKAN is an open-source DMS (data management system) for powering data hubs and data portals. CKAN makes it easy to publish, share, and use data. It powers hundreds of data portals worldwide."<sup>1</sup>

CKAN identifies governments and enterprise as important areas of adoption. As a popular open-source offering, CKAN benefits from an active user community. Though there is no subscription fee for the basic software, the CKAN market is large enough that paid consultants are available to implement, for example, on-premise installations for organizations that prefer to outsource. A broad array of extensions has been developed to bolster the functionality of CKAN. Selected specifications are provided in Table 4.3.

<sup>&</sup>lt;sup>1</sup> CKAN - The open source data management system, <u>https://ckan.org/</u>. Accessed 9/2/2021

Specification	Result
Software	CKAN
Website	https://ckan.org/
Hosting models	Self or SaaS
Vendor organization	Datopian and Link Digital are co-stewards of the CKAN project
Latest version	18 May 2021: CKAN 2.9.3 19 October 2012: Initial availability as CKAN 1.7.2
Browser support	Chrome, Firefox, Safari, Edge
Operating system	Linux
Customization programming languages	Python
License	Affero General Public License
Metadata standards support	CKAN, a form of the Data Catalog Vocabulary (DCAT), other standards through extensions
Recent open-source activity Contributors (1 month) Commits (1 month) Open tickets (1 month)	16 authors 127 commits 39 active issues

Table 4.3. CKAN Specifications

#### 4.2.1 User Interface: CKAN

The flagship site for CKAN is DATA.GOV, which catalogs the U.S. government's open data. Though other CKAN sites may include more striking visual imagery, the DATA.GOV interface is uncluttered and straightforward (Figure 4.4). This approach provides a direct path to the abundant datasets cataloged there.

The dataset query page for DATA.GOV (Figure 4.5) includes a simple search bar but allows searching within results or filtering of the results by location, category, type, or tag. In addition to the title and description, the list of datasets includes visual cues to help users find datasets of the desired format and source.

The DATA.GOV dataset detail page (Figure 4.6) focuses on downloading or otherwise obtaining the dataset. The metadata is easily viewable or downloadable and the keywords from the metadata are presented as clickable links to search for other datasets having that keyword. Access and use terms are prominently displayed.



Figure 4.4. Home Page of DATA.GOV (<u>https://www.DATA.GOV/</u>), a Data Catalog Implemented Using CKAN



Figure 4.5. Dataset Query Page of DATA.GOV (<u>https://catalog.DATA.GOV/dataset</u>) Implemented Using CKAN



Figure 4.6. Dataset Detail Page of DATA.GOV (<u>https://catalog.DATA.GOV/dataset/department-for-the-aging-dfta-geriatric-mental-health-contracted-providers</u>) Implemented Using CKAN

#### 4.2.2 Ratings: CKAN

Table 4.4 lists the CKAN ratings and justification information for each category of requirements/desired features.

Section		Rating	Justification
3.2.1	Catalog	Е	Native tools limited, but customization and extensions fill gaps
3.2.2	Find	Е	Themes, keywords, related
3.2.3	Retrieve	Е	Table, map, plot, download, API
3.2.4	Large dataset storage	Е	Multiple options through extensions
3.3.1	Hanford Site software approval	Е	In use by U.S. federal government (General Services Administration)
3.3.2	Single sign-on	Е	Through extension
3.3.3	Role-based access	Е	User types, custom roles, and privileges
3.3.4	Linking/Federation	Е	Data harvesting and federation
3.3.5	Deployment	М	On-premises with new infrastructure
	Overall rating	М	

Table 4.4. Ratings for CKAN

### 4.3 DKAN

CivicActions, the stewards of the open data project DKAN, describe it as follows: "DKAN is a community-driven, free and open-source open data platform that gives organizations and individuals ultimate freedom to publish and consume structured information."<sup>1</sup>

DKAN is based on CKAN, but it incorporates it into the Drupal content management system to simplify the creation of pages, blogs, and other content. As was the case for CKAN, there are paid consultants available to help with implementation, if needed. Selected specifications are provided in Table 4.5.

<sup>&</sup>lt;sup>1</sup> DKAN Open Data Platform, https://getdkan.org/. Accessed 9/2/2021.

Specification	Result			
Software	DKAN			
Website	https://getdkan.org/			
Hosting models	Self or SaaS			
Vendor organization	CivicActions			
Latest version	6 May 2021: DKAN 2.10.3 4 April 2014: Initial availability as DKAN 1.0			
Browser support	Google Chrome; Firefox; Safari; Microsoft Edge; Opera			
Operating system	Linux			
Customization programming languages	PHP: Hypertext Preprocessor			
License	GNU Public License v2			
Metadata standards support	DKAN provides a "data.json" index to satisfy the U.S. federal government's Project Open Data requirements. More information about the "slash data" or "data.json" requirements can be found in Project Open Data's Open Data Catalog Requirements and Common Core Metadata Schema pages. The exact mapping of data (specifically, Drupal data tokens) from your DKAN site to the data.json index can be customized using the Open Data Schema Mapper.			
Recent Open-Source Activity Contributors (1 month) Commits (1 month) Open Tickets (1 month)	8 authors 70 commits 32 active issues			

Table 4.5. DKAN Specifications

#### 4.3.1 User Interface: DKAN

The U.S. Department of Agriculture's (USDA's) Ag Data Commons provides a good example of a site built using DKAN. The homepage (Figure 4.7) presents data themes and programs, along with some featured datasets and a news feed.

The USDA Ag Data Commons dataset query page provides numerous options for searching, and these options can be chosen in sequence to drill down quickly to a list of datasets (Figure 4.8). Visual cues are included in dataset listings to indicate what formats are available.

The USDA Ag Data Commons dataset detail page (Figure 4.9) example presents a description and a table of metadata. Keywords are presented in three groups, ISO Topic(s), National Agricultural Library Thesaurus Term, and Ag Data Commons Keywords. Clicking on a keyword for either of the first two groups initiates a "drill up" search for all datasets associated with that keyword.



Figure 4.7. Home Page of the USDA Ag Data Commons (<u>https://data.nal.usda.gov/</u>) Implemented Using DKAN





Datasets Software & Tools  / Home / BAR- The Bio-Analytic  Filter By: License U.S. Public Domain  Cother Access  The information on this page (the dataset metadata) is also available in these formats:  JSON < RDF via the DKAN API	Resource for Plant Biolog BAR- The BAR is a collection o Supported are expre and protein 3-D struc The BAR is funded in Foundation for Innov	Bio-Analy If web-based, user-1 ssion data, Next-Ge ctures.	friendly tools f		م egy			
Filter By: License U.S. Public Domain Precedent Other Access The information on this page (the dataset metadata) is also available in these formats: O JSON C RDF	BAR- The BAR is a collection of Supported are expre and protein 3-D struc The BAR is funded in Foundation for Innov Department of Cell a	Bio-Analy If web-based, user-1 ssion data, Next-Ge ctures.	friendly tools f	or exploring, visualizing, and analyzing lar	рду			
License U.S. Public Domain Conter Access Other Access The information on this page (the dataset metadata) is also available in these formats: O JSON C RDF	BAR is a collection of Supported are expre and protein 3-D struc The BAR is funded in Foundation for Innov Department of Cell a	f web-based, user-f ssion data, Next-Ge ctures.	friendly tools f	or exploring, visualizing, and analyzing lar	gy			
Other Access       The information on this page (the dataset metadata) is also available in these formats:       O JSON < RDF	Supported are expre and protein 3-D struc The BAR is funded in Foundation for Innov Department of Cell a	ssion data, Next-Ge ctures.						
The information on this page (the dataset metadata) is also available in these formats:	The BAR is funded in Foundation for Innov Department of Cell a			BAR is a collection of web-based, user-friendly tools for exploring, visualizing, and analyzing large datasets from pla Supported are expression data, Next-Gen sequence data, protein-protein interactions, polymorphisms / conservatio				
(the dataset metadata) is also available in these formats:	Foundation for Innov Department of Cell a	n part by Centre for	and protein 3-D structures.					
available in these formats:	Department of Cell a	The BAR is funded in part by Centre for the Analysis of Genome Evolution and Function, grants from the Canada						
JSON K RDF				nome Canada to the Arabidopsis Researc				
				f Toronto. The BAR may be used to explor is generation.	e large-scale data sets			
via the DKAN API								
	BAR - The Bio	o-Analytic Resour	ce for Plant E	Biology	Explore Data			
Social	Field		Value					
Twitter	Modified		2019-08-0	2019-08-05				
in LinkedIn	Release Date		2018-01-23					
e Reddit	Identifier		f6aa9999-516c-44d8-97f3-60b52bbe2629					
G Google+	Publisher		University of Toronto					
Facebook	License		U.S. Public Domain					
	Contact Name		Provart, N	Provart, Nicholas				
	Contact Email	Contact Email		nicholas.provart@utoronto.ca				
	Public Access Lev	Public Access Level		Public				
	Extended Metadata	:						
	Field	Field Valu		Value				
	Authors	Authors		University of Toronto				
	Peer Reviewed	Peer Reviewed		No				
	Product Type			Software tool				
	Field	Field Value						
	ISO Topic(s)	biota						
	National Agricultural Library         evolution, grants, Canada, Arabidopsis, genes, data collection, protein-protein interactions, membrane proteins, genomics, gene express genetic markers, transcription (genetics), transcription factors, binding sti DNA, nucleotide sequences, gene expression regulation, bioinformatics, Magnoliopsida, Medicago, soybeans, potatoes, Arachis, grapes, Liliop triticale, Brachypodium, Physcomitrella, mice, capsid, computer software				sites, databases, s, seed coat, psida, corn, barley,			
	Ag Data Commons Keywords	Genomics & Genetics  Genomics & Genetics  Genomics  Geno			Franscriptome			
Suggested Citation dataset) University of Toronto. (2018 nalytic-resource-plant-biology. Acce	3). BAR- The Bio-Analytic	Resource for Plant	Biology. Univ	ersity of Toronto. https://data.nal.usda.gov	/dataset/bar-bio-			

Figure 4.9. Dataset Detail Page of the USDA Ag Data Commons (<u>https://data.nal.usda.gov/dataset/bar-bio-analytic-resource-plant-biology</u>) Implemented Using DKAN

#### 4.3.2 Ratings: DKAN

Table 4.6 lists the DKAN ratings and justification information for each category of requirements/desired features.

Section	Description	Rating	Justification
3.2.1	Catalog	Е	Custom metadata options
3.2.2	Find	Е	Themes, stories, keywords
3.2.3	Retrieve	Е	Table, map, plot, download, API
3.2.4	Large dataset storage	Е	DataStore was refactored in 2019 to handle large files
3.3.1	Hanford Site software approval	Е	In use by U.S. federal government (Health and Human Services, USDA)
3.3.2	Single sign-on	Е	Drupal supports AD login
3.3.3	Role-based access	Е	Account types, roles, groups, and privileges
3.3.4	Linking/Federation	Е	Data harvesting and federation
3.3.5	Deployment	М	On-premises with new infrastructure
	Overall rating	М	

Table 4.6. Ratings for DKAN

### 4.4 EDX (Energy Data eXchange)

The Energy Data eXchange (EDX), developed and maintained by the National Energy Technology Laboratory, is "the Department of Energy (DOE)/Fossil Energy's (FE) virtual library and data laboratory built to find, connect, curate, use and re-use data to advance fossil energy and environmental R&D. EDX supports the entire life cycle of data by offering secure, private collaborative workspaces for ongoing research projects until they mature and become catalogued, curated, and published. EDX adheres to DOE Cyber policies as well as domestic and international standards for data curation and citation."<sup>1</sup>

EDX is an existing open data portal custom built using the CKAN software. Certain challenges arise when using a catalog run by others for purposes other than your own. In the case of EDX, for example, the metadata requirements are straightforward and simple, which is appropriate to a focus on sharing and reuse of data sets. Incorporating datasets into HLAN workflows, in contrast, would require a more structured approach to metadata and greater control on the contents of metadata fields to avoid ambiguity when combining data from multiple HLAN systems. It is not reasonable to expect a public resource such as EDX to alter its configuration to meet HLAN objectives, but it is worth considering whether the benefits of using an existing catalog outweigh those challenges. Selected specifications are provided in Table 4.7.

<sup>&</sup>lt;sup>1</sup> About - EDX - Energy Data eXchange, <u>https://edx.netl.doe.gov/about</u>. Accessed 9/2/2021.

Specification	Result		
Software	EDX		
Website	https://edx.netl.doe.gov/		
Hosting models	SaaS		
Vendor organization	National Energy Technology Laboratory		
Latest version	NA		
Browser support	Internet Explorer; Firefox; Chrome		
Operating system	Customized website based on CKAN		
Customization programming languages	NA		
License	NA		
Metadata standards support	Unknown		
Recent open-source activity	NA		

Table 4.7. EDX Specifications

#### 4.4.1 User Interface: EDX

Unlike websites used to illustrate how the other tools might implement a data catalog user interface, the EDX website is a data catalog operated for other purposes that is being considered as a candidate for delivering the HEIDI functions. Rather than evaluating whether a tool can be used to implement a data catalog that meets the requirements, we are evaluating whether incorporating HEIDI data into the existing EDX catalog can meet requirements. The homepage presents information about EDX, some login information, and a menu for accessing the various function areas of the site (Figure 4.10).

The EDX dataset query page provides the list of all dataset with options for filtering by source, type, group, keyword, format, or location. (Figure 4.11). Visual cues are included in dataset listings to indicate what formats are available, the source, the size, and how many files are included.

The EDX dataset detail page (Figure 4.12) presents the resources available for a dataset, with an option to preview or go to that resource. The citation for the dataset is made available to copy. Keywords from the metadata are presented as links to search for other datasets having that keyword. The spatial extent of the data, when available, is mapped. The ability to follow a dataset, nominate a dataset to a group, join a related group, and see activity are ways for users to maintain a connection with a topic area. A link to the metadata opens the file in its native format, which is not necessarily human-readable.


Figure 4.10. Home Page of the Energy Data eXchange (https://edx.netl.doe.gov/)

EXX. NI	ETL's Ener	rgy Data eXchange	Search	Q
<b>Q</b> Search	🕈 Contribute	e 💩 Groups 🔳 Portfo	olios 🏜 Workspaces	🗲 Tools 📃 Users
☆ / Submission	5			
Lectronal and the contract of		NOAA Down	Data.gov 306,702	E Other
Search datasets				Q. Last Modified
16,688 submi	ssions fo	Filter DOI Products	Filter NETL Produ	ts: Toggle Filter
Map data © OpenStreetMap of Tiles by MapBox	Gen ontributors	Numerical Simulations of Geole NRAP TRS	ogic Storage Reservoir Man	agement to Support Ri NET
▼ Туре	-	Full-Loop Simulation of the Cor	mbustion of Biomass in a Cir	culating Fluidized
	16112	ARS TRS		
🏶 Dataset	10112	TTT IVIL		
<ul><li>Dataset</li><li>Publication</li></ul>	485		Show Resources ~	
		Dataset Size: 0 bytes 1 Resource		
Publication	485		ture Integrity	NET
<ul><li>Publication</li><li>Tool</li></ul>	485	Dataset Size: 0 bytes I Resource Evaluating Offshore Infrastruct Offshore Unconventional Resources POF	<b>ture Integrity</b> 5 TRS	NET
<ul><li>Publication</li><li>Tool</li><li>Presentation</li></ul>	485	Dataset Size: 0 bytes     1 Resource  Evaluating Offshore Infrastruct Offshore Unconventional Resources	<b>ture Integrity</b> 5 TRS	NET
<ul> <li>Publication</li> <li>Tool</li> <li>Presentation</li> </ul>	485 56 35 - 14489	Dataset Size: 0 bytes 1 Resource Evaluating Offshore Infrastruct Offshore Unconventional Resources PDF Dataset Size: 3.009 MB 1 Resource A Review of International Field	ture Integrity s TRS Show Resources Y	
<ul> <li>Publication</li> <li>Tool</li> <li>Presentation</li> <li>Toroups</li> <li>Archived KMD</li> <li>Appalachian Basin</li> </ul>	485 56 35 - 14489	Dataset Size: 0 bytes 1 Resource Evaluating Offshore Infrastruct Offshore Unconventional Resources PDF Dataset Size: 3009 MB 1 Resource A Review of International Field Carbon Storage TRS HTML	ture Integrity 5 TRS Show Resources ~ Experience with Well Integrity	
<ul> <li>Publication</li> <li>Tool</li> <li>Presentation</li> <li>Toroups</li> <li>Archived KMD</li> <li>Appalachian Basin D</li> <li>Carbon Storage</li> </ul>	<pre>485 56 35 - 14489 494</pre>	Dataset Size: 0 bytes 1 Resource Evaluating Offshore Infrastruct Offshore Unconventional Resources PDF Dataset Size: 3009 MB 1 Resource A Review of International Field Carbon Storage TRS	ture Integrity 5 TRS Show Resources ~ Experience with Well Integrity	
<ul> <li>Publication</li> <li>Tool</li> <li>Presentation</li> <li>T Groups</li> <li>Archived KMD</li> <li>Appalachian Basin D</li> <li>Carbon Storage Open</li> </ul>	<ul> <li>485</li> <li>56</li> <li>35</li> <li>-</li> <li>14489</li> <li>494</li> <li>291</li> </ul>	Dataset Size: 0 bytes 1 Resource Evaluating Offshore Infrastruct Offshore Unconventional Resources PDF Dataset Size: 3009 MB 1 Resource A Review of International Field Carbon Storage TRS HTML	ture Integrity STRS Show Resources ~ I Experience with Well Integrity Show Resources ~	rity at Carbon Util NET

Figure 4.11. Dataset Query Page of the Energy Data eXchange (https://edx.netl.doe.gov/)

Q, Search 🛛 🗗 Contribute 🚓 Gro	
	oups 🖬 Portfolios 🛥 Workspaces 🗲 Tools 👤 U
A / Submissions / Illinois State Geological	
Dataset Groups Activity	😁 View Me
Injection Monitoring Data, April	ISGS), Illinois Basin - Decatur Project (IBDP) CO2 30, 2021. Midwest Geological Sequestration Consor Cooperative Agreement No. DE-FC26-05NT42588.
License(s): Creative Commons Attribution Share-Alike	
<ul> <li>Data, analyses, and documentation from near-surface and subsurficient indext and subsurficient temperature loss and subsurficient temperature loss and subsurficence.</li> </ul>	ace monitoring at the IBDP site, including: downhole distributed temperature sensor monitoring from the C aports, samples and analyses from near surface and subsurface, and injection well data from the RTAC/Welh
monitoring system.	
Followers: 0 Authors	
Sallie Greenberg	
Citation (City to Cond	
Illinois State Geological Survey (ISGS), Illinois Basin - Decatur Pr	roject (IBDP) CO2 Injection Monitoring Data, April 30, 2021. Midwest Geological Sequestration Consortium DE-FC2A-05NT42588.
(MGSC) Phase III Data Sets. DOE Cooperative Agreement No. L	E-PC20-UNIN142388.
Data and Resources	
Filter resources by name	Q, Date: Newest → Oldest
LARGE DATA FILE PENDING UPLOAD	Prese di companya di sono manga del parte da cancella montanza per la 127 de sun del comba de la 100 del la companya del companya del parte da cancella montanza pet del 127 de sun del comba de la 100 del terre montanza pet del companya del parte da la comba del companya del la 127 de sun del comba del del comba del companya del la 100 del sun del 100 del sun del companya del companya del del comba del companya del la companya del companya del companya del companya del del comba del companya del companya del companya del companya del companya del del comba del companya del companya del companya del companya del companya del del companya del companya del companya del companya del companya del companya del del companya del companya del companya del companya del companya del del companya del companya del companya del companya del companya del del companya del companya del companya del companya del del companya del companya del companya del companya del del companya del companya del companya del companya del companya del del companya del companya del companya del companya del companya del del companya del companya del companya del companya del companya del companya del del companya del companya de
See README_CO2_Injection_Monitoring txt: D temperature sensor (DTS) monitoring from the O	Jata, analyses, and documentation from near-surface and subsurface monitoring at the IBDP site, including downhole distribu- ICSS injection well, COC suburation monitoring, and temperature logs and reports—found in their respective subfiders. See
folder (RTAC, Wellwatcher for data from the RT CC51 Injection well; temperature and pressure r	AC/Welwatcher monitoring system, including, temperature, pressure, and now rate of COS from the compressor facility into data from the VWI monitoring well; and microselsmic statistics from the GM1 geophone well.
e tenste	
Ma das © Domônet Map contributors The by Masilian	
Tiesty Museu Additional Info	
Tieth/Maßex Additional Info Field	Value
Tiesty Museu Additional Info	Value           July 24, 2021, 214 PM (UTC/07:00)           Juny 25, 2022, 214 PM (UTC/07:00)
Titels Vapilies Additional Info Field Last Updated	July 26, 2021, 214 PM (UTC-07:00) June 25, 2021, 244 PM (UTC-07:00) Highest Montoning Data, April 30, 2021, Minare Geological Sequentition Copartium MOSC Park, April 30, 2021, Minare Geological Sequentition Copartium MOSC Park, April 30, 2021, Minare Geological Sequentition
Tran Volian Additional Info Field Last Updated Crasted	July 26, 2021, 2:14 PM (UTC-07:00) June 23, 2021, 2:42 PM (UTC-07:00)
Resh Neder Field Lest Updeted Created Citation	July 26, 2021, 214 PM (UTC-07:00) June 25, 2021, 244 PM (UTC-07:00) Hinos State Scale 24 PM (UTC-07:00
Hean Hodes Field Lest Updeted Created Citation Neti Product	July 26, 2021, 214 PM (UTC-07:00) June 25, 2021, 244 PM (UTC-07:00) Illipacia forma (Sacarda PM (UTC-07:00) Illipacia forma (Sacarda PM (UTC-07:00) Illipacia forma (Sacarda PM (UTC-07:00) Constrainton (MOC) (These III Data Sets: DOE Cooperative Agreement No. DE-P ORNT4288. Vel
Additional Info Additional Info Field Created	July 24, 3021, 214 PM (UTC07:00) June 25, 3021, 244 PM (UTC07:00) Hillens Bank Stenglight Envery (HSS) Hillinki Bath- Dackery Project (IBDP) CC Englisher Micholing Par Aven 30, 2020; Michael Bath, Collegicari Aspestration Construitment (MOSC) Phase III Data Sett, DOE Cooperative Agreement No. DE P OVH-12388. Yest University of Hillina's Hillina's State Geological Survey.
Next Next	July 24, 2021, 214 PM (UTC07:00)           June 25, 2021, 244 PM (UTC07:00)           Illeine Base Stengel PM (UTC07:00)           Illeine Base Stengel PM (UTC07:00)           Vergesson Montoning PM (And 100 PM (And
Next Node: Additional Info Field Lest Updated Crasted Crasted Crasted Next Product: Organization Poc Email Polin Of Consect	July 24, 2021, 214 PM (UTC07:00)           June 25, 2021, 244 PM (UTC07:00)           Hinds Base Geological Juney (UGC07:00)           Hinds Base Geological Juney (UGC07:00)           Comparison Market Comparison Program (UGC07:00)           System MCGC Phase III Data Sets DOE Cooperative Agreement No. DEP ON Hinds 2000.           YME           University of Hinds - Hinds State Geological Survey           William algoelitesticke gay           William Algoe
Next Node: Additional Info Field Lest Updated Crassed Citation Netl Product Organization Poc Email Point Of Contact Program Or Project.	July 26, 2021, 214 PM (UTC:07:00)           June 25, 2022, 244 PM (UTC:07:00)           Illinois Stata Geological Survey (ISGS), Illinois Balen-Deckner Project (IBDP) CC Ingection Moltoning Data, And 30, 2021, Milwest Geological Sequentration Constraints (MCC) Phase III Data Sets DDE Cooperative Agreement No. DE P Orthra2080.           V4         Velocity of Illinois - Illinois Stata Geological Survey           William algoetterid des gov         Velocity of Illinois - Illinois Stata Geological Survey           William algoetterid des gov         Velocity of Illinois - Illinois Stata Geological Survey           Villiam Algoet         Regional Carbon Secuestration Partnershigi (RCSP) Program           2021-04-30         2021-07-31
Next Node: Additional Info Field Lest Updated Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created Created	July 24, 2021, 214 PM (UTCO7:00)           June 25, 2021, 244 PM (UTCO7:00)           Illinois Stata Geological Survey (ISGS), Illinois Bain - Decrue Project (IBDP) CC Insection Monteming Data, April 30, 2021, Microse Basine - Decrue Project (IBDP) CC Insection MORC/Phase II Data Basine DOE Cooperative Agreement No. DE P Geological Data (Section 2014)           Yei         University of Illinois - Illinois Stata Geological Survey           William al (section 5 Stata Geological Survey)         William Algoe           Regional Carbon Septentation Partnerships (IRCSP) Program         2021-04-30

Figure 4.12. Dataset Detail Page of the Energy Data eXchange (https://edx.netl.doe.gov/dataset/illinoisstate-geological-survey-isgs-illinois-basin-decatur-project-ibdp-co2-injection-monitoring)

## 4.4.2 Ratings: EDX

Table 4.8 lists the EDX ratings and justification information for each category of requirements/desired features.

Section	Description	Rating	Justification
3.2.1	Catalog	М	Limited customization, unclear how metadata standards and vocabularies could be enforced
3.2.2	Find	М	Difficult to search on Hanford Site location names
3.2.3	Retrieve	Е	Flexible access to dataset resources
3.2.4	Large dataset storage	М	Supported, but some large datasets are pending upload
3.3.1	Hanford Site software approval	Е	Not needed
3.3.2	Single sign-on	F	New sign-on required
3.3.3	Role-based access	М	Workspaces allow for private manipulation of prepublication datasets, but intent is for them to go public
3.3.4	Linking/Federation	Е	Link is implemented to other major data repositories
3.3.5	Deployment	М	The data catalog is operational and maintained by others, but it would be difficult to incorporate HLAN requirements without disrupting current uses and users
	Overall rating	F	

#### 4.5 Junar

Junar, Inc., the makers of Junar, introduce their software with "Junar data platform lets you transform your hard-to-find and useless data assets into dynamic tables, visualizations, maps, dashboards and APIs – so citizens, developers, and companies can re-use them for their interests in a simple way."<sup>1</sup>

Junar is a commercial, subscription-based offering that hosts your data catalog on their cloud servers. Selected specifications are provided in Table 4.9.

<sup>&</sup>lt;sup>1</sup> Junar Data Platform, <u>https://www.junar.com/</u>. Accessed 9/2/2021.

Specification	Result
Software	Junar
Website	https://www.junar.com/
Hosting models	SaaS
Vendor organization	Junar, Inc.
Latest version	2021: Junar Version 2 2010: Company founded
Browser support	Unknown
Operating system	NA
Customization programming languages	NA
License	Commercial
Metadata	DCAT, INSPIRE
Recent open-source activity	NA

#### Table 4.9. Junar Specifications

#### 4.5.1 User Interface: Junar

The City of Palo Alto, California, employs Junar for their Open Data Portal. The landing page (Figure 4.13) displays a simple search bar, a prominent array of links to data themes, and a spotlight of a dataset on city businesses.

The City of Palo Alto Open Data Portal dataset query page (Figure 4.14) includes a search bar, a list of datasets, and the option to filter the list by category. Dataset entries include an icon that indicates the type of dataset, the title, and a brief description.

The City of Palo Alto Open Data Portal dataset detail page (Figure 4.15) includes a search bar; visualization of the data (when available); and a menu bar on the left with options to display information, refresh the view, sort, pivot, or filter rows, embed the visual, access the dataset through an API, or export/download the dataset. The information display includes basic metadata fields with clickable tags that link to lists of other datasets associated with the keyword.



Figure 4.13. Home Page of the City of Palo Alto Open Data Portal (<u>https://data.cityofpaloalto.org/home</u>) Implemented Using Junar

Data catalog		
Q. Search for open data	s	Search
\$10 resources	Filter by category	
	City Manager (32)	
FINANCE	Emergency Preparedness (32) Sustainability (30)	
2011 City of Palo Alto Employees Salaries	Utilities (30)	- 1
Calendar Year 2011 City of Palo Alto Employees Salaries Information sorted by total wages (see notes below)	311 (27) Community Services (26)	- 1
Tags: salary, employee benefits, city of palo alto	Government (22)	- 1
	Gis (20) Auditor (15)	
FINANCE	Demographics (14)	
2011 City of Palo Alto Employees Salaries	Planning (12) Public Works (12)	
Calendar Year 2011 City of Palo Alto Employees Salaries Information	City Infrastructure (11)	
FINANCE	General (11) City Services (10)	
	Other (7)	
2012 City of Palo Alto Employees Salaries Calendar Year 2012 City of Palo Alto Employees Salaries Information sorted by total wages	Business (6)	- 1
(see notes below)	Maps (5) Explore Palo Alto (3)	
fags: salary, employee benefits, city of palo alto	Parks & Open Space (1)	
FINANCE		
2012 City of Palo Alto Employees Salaries		
Calendar Year 2012 City of Palo Alto Employees Salaries Information		
COMMUNITY SERVICES		
2012 Fall Classes and Activities in Palo Alto List of available classes and activities by facility		
List of available classes and activities by facility		
COMMUNITY SERVICES		
$ \equiv$ 2012 Fall Classes and Activities in Palo Alto		
List of available classes and activities by facility		
COMMUNITY SERVICES		
2012 Fall Classes and Activities in Palo Alto - Unique Class Count by Location		
Total number of unique classes by location		
COMMUNITY SERVICES		
2012 Fall Enjoy Catalog		
Enjoy Catalog		
FINANCE		
2013 City of Palo Alto Employees Salaries		
Calendar Year 2013 City of Palo Alto Employees Salaries Information sorted by total wages (see notes)		
FINANCE		
2013 City of Palo Alto Employees Salaries		
City of Palo Alto Employee Salaries : 2013		

Figure 4.14. Dataset Query Page of the City of Palo Alto Open Data Portal (https://data.cityofpaloalto.org/dashboards/8863/parks-open-space/) Implemented Using Junar



Figure 4.15. Dataset Detail Page of the City of Palo Alto Open Data Portal (https://data.cityofpaloalto.org/visualizations/8227/trees-location-in-palo-alto/) Implemented Using Junar

#### 4.5.2 Ratings: Junar

Table 4.10 lists the Junar ratings and justification information for each category of requirements/desired features.

Section		Rating	Justification
3.2.1	Catalog	F	Metadata standard support is unclear
3.2.2	Find	Е	Themes, stories, keywords
3.2.3	Retrieve	М	Table, download, API
3.2.4	Large dataset storage	F	Unknown
3.3.1	Hanford Site software approval	М	Cloud Security Alliance (CSA) self-assessment available
3.3.2	Single sign-on	F	Unknown
3.3.3	Role based access	F	Users and roles
3.3.4	Linking/Federation	Е	Add-on federation feature
3.3.5	Deployment	F	SaaS
	Overall rating	F	

Table 4.10. Ratings for Junar

## 4.6 OpenDataSoft

The Société OPENDATASOFT, operators of the OpenDataSoft platform, introduce the software with "Opendatasoft is the data sharing platform teams use to access, reuse, and share data that grows business."<sup>1</sup>

The OpenDataSoft premium paid subscription allows access to all services of the OpenDataSoft Platform. A "freemium" offering provides access with fewer benefits and no guarantees of performance.

Selected specifications are provided in Table 4.11.

Specification	Result		
Software	OpenDataSoft		
Website	https://www.opendatasoft.com/		
Hosting models	SaaS		
Vendor organization	Opendatasoft, Inc.		
Latest version	6 July 2021: Version 2021 week 27 2011: Company founded		
Browser support	Chrome; Safari; Edge; Firefox; Internet Explorer (not recommended)		
Operating system	NA		
Customization programming languages	NA		
License	Commercial		
Metadata	DCAT, INSPIRE		
Recent open-source activity	NA		

 Table 4.11. OpenDataSoft Specifications

## 4.6.1 User Interface: OpenDataSoft

The City of Vancouver, Canada, implements their open data portal on the OpenDataSoft platform, providing a good example of functionality. The Vancouver Open Data Portal home page (Figure 4.16) offers data by theme, by popularity, and by recent modification, along with some links to tools and tutorials.

The Vancouver Open Data Portal dataset query page (Figure 4.17) includes several options for sorting and filtering the list of datasets. Multiple filters can be selected to drill down to a manageable list of datasets. Dataset entries include icons to identify themes, data visualizations, and access options.

The Vancouver Open Data Portal dataset detail page (Figure 4.18) provides simple metadata fields and a schema for the dataset. A menu bar on the left enables the dataset to be filtered according to type or area. Tabs allow the user to switch among views, including information, a data table, map, plots, export, or API access.

<sup>&</sup>lt;sup>1</sup> Opendatasoft - Make Your Data Bright, <u>https://www.opendatasoft.com/</u>. Accessed 9/2/2021.

#### PNNL-31960 Rev 0 DVZ-RPT-066 Rev 0

Open Data Portal			🏕 Signup 🔎 Login
tables, maps, and charts. Export Access it via <u>API</u> .	City of Vancouver public data us it in many formats for more ana		
Search datasets		Q	
New users	Advanced users	Get updates	
Getting started with open data ☑ >	API console	> Email:	
Chart builder	Licence	Sub	scribe
Help using chart builder 🖾 💙		View privacy policy	
Help using map builder 2 >		Follow us	
Datasets by theme Euclidean and a second se	Demographics Safety	imagerx	All datasets
Popular datasets	New or	modified datasets	
Local area boundary	Road Ah Modified 10	ead upcoming projects	
Parks facilities	Road Ah	ead projects under constru	iction
Business licences	Modified 10		
Public streets	Road Ah Modified 10	ead current road closures <sup>10015 ago</sup>	
Parking meters	Rental st Modified 10	andards - current issues 19915 ago	
	Animal o	ontrol inventory - register	

Figure 4.16. Home Page of the City of Vancouver Open Data Portal (<u>https://opendata.vancouver.ca/pages/home/</u>) Implemented Using OpenDataSoft



Figure 4.17. Dataset Query Page of the City of Vancouver Open Data Portal (<u>https://opendata.vancouver.ca/explore/?disjunctive.features&disjunctive.theme&disjunctive</u> <u>.keyword&disjunctive.data-owner&disjunctive.data-team&sort=modified</u>) Implemented Using OpenDataSoft

#### PNNL-31960 Rev 0 DVZ-RPT-066 Rev 0

954 records No active filters	Traffic signal	S							
Filters	New records are curr your page regularly!	ently being	added to th	nis dataset,	this process n	ay take a while. Please note that visualizations might be incomplete in the meantime. Don't hesitate to refresh			
Search records Q	i Information	Map	<u>lılıl</u> Analyze	L Export	¢8 API				
TYPE Pedestrian Actuated Signal 397 Fixed Time 259 Semi Actuated 192 Filly Actuated 49 RRFB 34 Special Crosswalk 111 → More Ceo Local Area Downtown 164 Kitsilano 70 Fairview 65 Renfrew-Collingwood 65 Renfrew-Collingwood 64 Kensington-Cedar Cottage 60 Mount Pleasant 55 → More	This dataset contains Data currency This data is updated priorities and resource the database. The ex Data accuracy	the locat frequently tract on t is are in th Datasel Da Sea	y in the noi nine how fr his website he approxir t Identifier townloads ata Owner Data Team urch Terms	rmal cours ast a chan is update mate cent traffic 439 City of V Enginee light Streets traffic Qpen G	City's traffic signals. City's traffic signals. mal course of business, however st a change in reality is reflected in is updated weekly. nate centre of the intersection.  Traffic-signals 439 City of Vancouver Engineering Services light Strets and transportation				
	Dataset sche <u>Click to collapse</u> TYPE No description availa	Last p ma	Publisher Follow	Representation Following F	cribing to th	type			
	GEOM Spatial representati	on of feat	ure		Name (identifier) Type Sample	geom geo shape {"type":"Point","coordinates":[-123.06567372128897,49.227416156774284]}			
	GEO LOCAL AREA								
	The local area when derived from the fea address in the source local areas (also know	ature's coo e system.	ordinates o The City ha	r as 22	Name (identifier) Type	geo_local_area text			
	areas). For more details, se data set.	e the Loca	al area bour	ndary	Sample	Victoria-Fraserview			
	JSON Schema The following JSON of schema. <u>More about</u>			zed descri	ption of you	r dataset's			

Figure 4.18. Dataset Detail Page of the City of Vancouver Open Data Portal (<u>https://opendata.vancouver.ca/explore/dataset/traffic-signals/information/</u>) Implemented Using OpenDataSoft

#### 4.6.2 Ratings: OpenDataSoft

Table 4.12 lists the OpenDataSoft ratings and justification information for each category of requirements/desired features.

Section		Rating	Justification
3.2.1	Catalog	Е	Customizable application profiles implement standards
3.2.2	Find	М	Themes, keywords
3.2.3	Retrieve	Е	Table, map, plot, download, API, filter
3.2.4	Large dataset storage	F	License fee increases with data storage. File size limits apply to cloud storage.
3.3.1	Hanford Site software approval	М	CSA self-assessment is available
3.3.2	Single sign-on	Е	Through Security Assertion Markup Language (SAML)
3.3.3	Role-based access	М	Users, groups, and permissions
3.3.4	Linking/Federation	Е	Harvesting and federation
3.3.5	Deployment	F	SaaS
	Overall rating	F	

Table 4.12. Ratings for OpenDataSoft

### 4.7 Socrata

Tyler Technologies introduces its Socrata data platform as, "The Socrata data platform enables governments to use data as a strategic asset in the design, management, and delivery of programs. Data flows easily between staff and departments leading to more efficient programs and better decision making."<sup>1</sup>

The subscription-based Socrata data platform is delivered as SaaS, with an array of optional products providing various functions. Selected specifications are provided in Table 4.13. Socrata is authorized by the Federal Risk and Authorization Management Program (FedRAMP) for moderate impact level, which means that it can handle government data where the loss of confidentiality, integrity, and availability would result in serious adverse effects on operations, assets, or individuals, but where adverse effects do not include loss of life or physical harm.

<sup>&</sup>lt;sup>1</sup> Socrata - Data & Insights Solutions - Tyler Technologies, <u>https://www.tylertech.com/products/socrata</u>. Accessed 9/2/2021.

Specification	Result
Software	Socrata
Website	https://www.tylertech.com/products/socrata
Hosting models	SaaS
Vendor organization	Tyler Technologies or open-source option
Latest version	6 July 2021: Version 2021 week 27 2011: Company founded
Browser support	Chrome; Safari; Edge; Firefox; Internet Explorer
Operating system	NA
Customization programming languages	NA
License	Commercial or Apache Open Source
Metadata	Customizable schema
Recent open-source activity	
Contributors (1 month)	1 author
Commits (1 month)	0 commits
Open tickets (1 month)	0 active Issues

 Table 4.13.
 Socrata Specifications

#### 4.7.1 User Interface: Socrata

An example of the use of Socrata is the City of Austin, Texas, Open Data Portal. The home page (Figure 4.19) provides a search bar as well as an array of clickable links to data by theme.

The City of Austin, Texas, Open Data Portal dataset query page (Figure 4.20) includes a column of options to filter by category, type, department, keyword, or domain. The dataset entries include icons to indicate how the data will be presented and a list of clickable tags that provide a new list based on the tag.

The City of Austin, Texas, Open Data Portal dataset detail page (Figure 4.21) includes basic metadata fields, clickable tags, a text description of the data contents, a preview of the data, and menu options to visualize, export, or access the API.



Figure 4.19. Home Page of the City of Austin, Texas, Open Data Portal (<u>https://data.austintexas.gov/</u>) Implemented Using Socrata

ta.austin te	Xas*gov Q. Search	
a 🗸 Suggest a dataset 🛛 F	Public Information Request Terms of Use Help Forum	Sign In
Q Search		
Categories ~	4331 Results Sort by	Most Relevant 🗸 🗸 🗸
Budget and Finance	Pool Map Recreation and Culture	& Map
Building and Development	Listing of city pools and splash pads with schedule	Updated July 23, 2021
City Government	Tags pools schedule summer	Views 1,082,065
City Infrastructure	eCheckbook Commodities/Others payments	▼ Filtered View
Show All	Budget and Finance Flat file data set of the data found in the Austin Finance Online	Updated
View Types V	eCheckbook application. The data contained in this dataset is for More	August 9, 2021 Views 356,824
Charts	Tags checkbook, checks, echeckbook, expenditures, expenses, and 5 API Docs more	
Data Lens pages	Fiscal Year 2010 eCheckbook Payments Budget and Finance	▼ Filtered View
External Datasets	Flat file data set of the data found in the Austin Finance Online eCheckbook application. The data contained in this dataset is for More	Updated August 9, 2021 Views
Files and Documents Filtered Views	Tags checkbook, checks, echeckbook, expenditures, expenses, and 5 API Docs more	353,250
Forms	eCheckbook Capital Equipment payments	▼ Filtered View
Maps	Budget and Finance	
Measures	Flat file data set of the data found in the Austin Finance Online eCheckbook application. The data contained in this dataset is for More	Updated August 9, 2021 Views 352,741
Stories Departments V	Tags checkbook, checks, echeckbook, expenditures, expenses, and 5 API Docs more	
Animal Services	311 Data Council District Filtering	& Map
Austin Code Department Austin Convention Center	View created from 311 Unified Data. Additional filters may be added to filter requests by data or specific council district.	Updated August 10, 2021
Center Austin Energy	More Tags 311, 3-1-1, austin311, austin 311, councildistrict, and 6 more	Views 265,877
Austin Eire		
Show All	Real-Time Traffic Incident Reports	🏟 Dataset
Tags ~	This data set contains traffic incident information from the Austin- Travis County traffic reports RSS feed, available at	Updated August 10, 2021
mixed beverage	More Tags pedestrians, roads, safety, transportation API Docs	Views 258,832
receipts	API Docs	
atcems sd23	Graffiti Abatement 09.2014 Onward District 3	& Map
databook	Utilities and City Services	
Show All	Data collected from CSR production system. Data begins 01/03/2014 and is refreshed every half-hour. More	Updated August 10, 2021 Views
Federated Domains ~	Tags 311,3-1-1, austin311, austin 311, csr, and 3 more	253,123
This site only austin- energy.data.socrata.com	West Campus Issues Utilities and City Services	& Map
chergy.uata.socrata.com	Data collected from CSR production system.	Updated



		ormation Reque	st Terms of Use	e Help For					Sign In	
Proposec City Infrastructure		<sup>-</sup> Constru	ction Prog	gram		View Data	Visualize $\vee$ Ex	port API …		
The following 34 "investment packages" were derived from recommendations in Corridor Updated Mebiling Disc for the pilos corridors eligible for 2016 Mebiling Road construction fundion in April 14, 2021										
Mobility Plans for the fille contacts engine for 2010 Mobility bond construction funding in						a Provided by				
	orth Lamar Boule	vard, Burnet Ro	ad, Airport Boulev	vard, East MLK	Jr.	Cit	y of Austin Corridor	Program Office		
More										
About this Da	ataset							Mute Dataset		
Updated	2024	С	ity of Austin							
April 14, 2			Department	C	apital Plan	ning Office				
February 23, 2018	d Metadata Last U April 14, 2021		Additional Information							
Date Created February 22, 2018			Frequency	A	s Needed					
Views Do	ownloads	D	ligital Object Ident	ifer (DOI)						
549 1,	,016		DOI Number	h	ttps://doi.o	org/10.26000/038.0	00002			
Data Provided by City of Austin Corr		taset T	opics							
Office	sar	adanae	Category	C	ity Infrastr	ucture				
Cont	act Dataset Owner		Tags				corridor constructio			
			Tags		how Mor		k projects, bicycle projects, traffic signals,			
								Charles Maria		
What's in this	5 Dataset?							Show More		
	lumns Each	n row is a <b>ch row re</b> p	presents an	investme	ent pa	ickage		SHOW MOLE		
Rows Co	lumns Each Each		presents an	investme	ent pa	ickage		Show More		
Rows Co <b>34 8</b>	lumns Each Each		presents an	investme	ent pa	ickage	Туре	SHOW MORE		
Rows Co 34 8 Columns in th	lumns Each <b>Ea</b> r his Dataset			investme	ent pa	ickage		T ~		
Rows Co 34 8 Columns in th Column Name	lumns Each <b>Ea</b> r his Dataset			investme	ent pa	ickage	Plain Text			
Rows Co 34 8 Columns in th Column Name Recommendatio	lumns Each <b>Ea</b> r his Dataset			investme	ent pa	ickage	Plain Text Plain Text	r ~		
Rows Co 34 8 Columns in th Column Name Recommendation Corridor	lumns Each <b>Ea</b> r his Dataset			investmo	ent pa	ickage	Plain Text Plain Text Plain Text	r ~ r ~		
Rows Co 34 8 Columns in th Column Name Recommendation Corridor Limits - From	lumns Each			investme	ent pa	ickage	Plain Text Plain Text Plain Text Plain Text	r ~ r ~ r ~		
Rows Co 34 8 Columns in th Column Name Recommendation Corridor Limits - From Limits - To	lumns Each Each nis Dataset			investmo	ent pa	ickage	Plain Text Plain Text Plain Text Plain Text Number	r ~ r ~ r ~ r ~		
Rows Co 34 8 Columns in th Column Name Column Name Corridor Limits - From Limits - To Estimated Cost -	lumns Each Fais Dataset			investme	ent pa	ickage	Plain Text Plain Text Plain Text Plain Text Number Number	r ~ r ~ r ~ r ~		
Rows Co 34 8 Columns in th Column Name Recommendation Corridor Limits - From Limits - To Estimated Cost -	lumns Each Fais Dataset			investme	ent pa	ickage	Plain Text Plain Text Plain Text Plain Text Number Number	r ~ r ~ r ~ r ~ # ~ # ~		
Rows Co 34 8 Columns in th Column Name Recommendation Corridor Limits - From Limits - To Estimated Cost -	lumns Eact fais Dataset on Low I high			investme	ent pa	nckage	Plain Text Plain Text Plain Text Plain Text Number Number	r ~ r ~ r ~ r ~ # ~ # ~		
Rows Co 34 8 Columns in th Column Name Recommendation Corridor Limits - From Limits - Tro Estimated Cost - Estimated Cost -	lumns Each his Dataset n n Low 4 Most Likely 4 High 4		Description	Estimated		Ickage	Plain Text Plain Text Plain Text Plain Text Number Number	T ~ T ~ T ~ T ~ # ~ # ~ \$how All(8)		
Rows Co 34 8 Columns in th Column Name Recommendation Corridor Limits - From Limits - Trom Estimated Cost - Estimated Cost - Estimated Cost -	lumns Each his Dataset n n Low 4 Most Likely 4 High 4	Limits - From	Description	: Estimated			Plain Text Plain Text Plain Text Plain Text Number Number Number	T ~ T ~ T ~ H ~ H ~ Show All (8)		
Rows Co 34 8 Columns in th Column Name Recommendatio Corridor Limits - From Limits - Trom Estimated Cost - Estimated Cost - Estimated Cost - Estimated Cost -	lums Each Ear nis Dataset nis	ch row rep	Description	<ul> <li>Estimate</li> <li>532</li> <li>57. \$13</li> </ul>	- d ( :	Estimated C į	Plain Text Plain Text Plain Text Plain Text Number Number Number Number	T ~ T T ~ T ~		

Figure 4.21. Dataset Detail Page of the City of Austin, Texas, Open Data Portal (<u>https://data.austintexas.gov/City-Infrastructure/Proposed-Corridor-Construction-Program/psd6-eiah</u>) Implemented Using Socrata

#### 4.7.2 Ratings: Socrata

Table 4.14 lists the Socrata ratings and justification information for each category of requirements/desired features.

Section		Rating	Justification
3.2.1	Catalog	Е	Native tools are limited, but customization and extensions fill gaps
3.2.2	Find	Е	Themes, keywords, related
3.2.3	Retrieve	Е	Table, map, plot, download, API
3.2.4	Large dataset storage	Е	Multiple options through extensions
3.3.1	Hanford Site software approval	Е	In use by U.S. federal government (General Services Administration)
3.3.2	Single sign-on	Е	Through extension
3.3.3	Role-based access	Е	User types, custom roles, and privileges
3.3.4	Linking/Federation	Е	Data harvesting and federation
3.3.5	Deployment	М	On-premises with new infrastructure
	Overall rating	М	

Table 4.14. Ratings for Socrata

## 4.8 Do-It-Yourself (Custom Data Catalog)

The growing availability of open-source data portal software creates the possibility of combining modules and modifying functions to create a data repository to fit any need. CKAN and DKAN (detailed above) are examples of open-source data portal software solutions with extensive support and user communities. Other open-source solutions provide a variety of capabilities to fit, or be modified to fit, most use cases. The inherent customizability of open-source solutions means almost any need for Hanford Site environmental data could be addressed by adding or building in the needed modules. For promising tools that have yet to find mainstream deployment, the cost of implementing functions beyond those typically included would need Hanford resource support. This contrasts with the open-source tools CKAN and DKAN, which allow the same customization options but benefit from numerous developers sharing solutions with a broader user community.

A do-it-yourself solution was not included in the ratings. It would likely rate poorly as a collection of uncoordinated modules, but would rate much higher with customizations that would meet requirements and desired features. One element not considered in this evaluation is cost of implementation. If extensive customizations are needed, however, CKAN and DKAN not only offer many available extensions and have large support communities, but are provided without cost to the user.

# 5.0 Conclusions

Data catalog software tools are widely available and have found application in many fields. The variety of capabilities available across that range of tools makes them candidates for implementing HEIDI. This evaluation found that some software alternatives are better suited to meeting the needs of Hanford environmental data users or provide options that facilitate implementation on the HLAN.

This evaluation did not consider the costs of implementation. Promising alternatives need to be examined further with respect to the sustainability of maintaining the data catalog into the long-term stewardship period. That examination must consider whether costs and fees are in balance with ease of use and maintenance.

The propriety SaaS model of delivering a data catalog (e.g., found in software such as Junar and OpenDataSoft) favors consistency across customers at the expense of customization and configurable roles. These are important requirements for HLAN workflows. These proprietary systems may limit the size of datasets loaded to their cloud service. That limitation may be partially overcome by the ability to federate datasets hosted elsewhere, but that may limit control over metadata and custom configuration of the data vocabularies for interoperability. This was the primary driver behind the overall rating of 'F' for Junar and OpenDataSoft (Table 5.1).

Section	Description	ArcGIS	CKAN	DKAN	EDX	Junar	OpenDataSoft	Socrata
3.2.1	Catalog	Е	Е	Е	М	F	Е	Е
3.2.2	Find	Е	Е	Е	М	Е	М	Е
3.2.3	Retrieve	Е	Е	Е	Е	М	Е	Е
3.2.4	Large dataset storage	Е	Е	Е	М	F	F	Е
3.3.1	Hanford Site software approval	Е	Е	Е	Е	М	М	Е
3.3.2	Single sign-on	Е	Е	Е	F	F	Е	Е
3.3.3	Role-based access	Е	Е	Е	М	F	М	Е
3.3.4	Linking/Federation	Е	Е	Е	Е	Е	Е	Е
3.3.5	Deployment	Е	М	М	М	F	F	Μ
	Overall rating	Е	М	М	F	F	F	М

Table 5.1. Ratings for Data Catalog Software Candidates

EDX is an existing U.S. government website developed by the National Energy Technology Laboratory using CKAN. As a cloud data catalog, it provides many of the same benefits as Junar or OpenDataSoft but is designed to find, connect, curate, use, and re-use data to advance fossil energy and environmental research and development. That purpose results in cataloging functions similar to those envisioned for HEIDI, but EDX users would not benefit from customizations to create Hanford-specific capabilities. The overall rating of 'F' for EDX, was primarily due to an inability to incorporate authentication from HLAN into the system.

The Socrata platform, with a similar SaaS delivery model as Junar and OpenDataSoft, provides custom options and gateways that would allow for requirements to be met and desired features to be delivered. FedRAMP approval also helped the deployment rating. These capabilities allowed Socrata to achieve an overall rating of 'M' along with other mature, yet flexible alternatives that provided self-hosted options, including CKAN, and DKAN. A do-it-yourself approach of combining software modules was not rated because the resources required would be impractical, given existing (open source) software capabilities (e.g., CKAN or DKAN).

ArcGIS Enterprise Sites achieved an overall rating of 'E', in part because of the existing implementation of ArcGIS Enterprise on the HLAN. Although this doesn't impact the resulting end-user experience, the deployment rating benefited from fewer uncertainties about approvals, implementation, infrastructure, and integration of the data catalog into the HLAN.

The software candidates with overall ratings of 'M' or 'E' (ArcGIS, CKAN, DKAN, and Socrata) are likely to deliver the requirements and many desired features for the implementation of HEIDI. Further evaluation is needed to assess licensing terms, costs of acquisition, maintenance requirements, and sustainability through long-term stewardship at Hanford.

# 6.0 Quality Assurance

This work was performed in accordance with the Pacific Northwest National Laboratory Nuclear Quality Assurance Program (NQAP). The NQAP complies with DOE Order 414.1D, *Quality Assurance*. The NQAP uses NQA-1-2012, *Quality Assurance Requirements for Nuclear Facility Application*, as its consensus standard and NQA-1-2012, Subpart 4.2.1, as the basis for its graded approach to quality.

# 7.0 References

Atz U, E Broad, J Tennison, T Sasse, A Smith, and P Wells. 2020. *Recommendations for Open Data Portals: from setup to sustainability*. European Data Portal.

CHPRC-03503. 2017. *Hanford Environmental Data Management (HEDM) Assessment Report*. CH2M Hill Plateau Remediation Company, Richland, Washington.

DOE Order 414.1D. Quality Assurance. U.S. Department of Energy, Washington, D.C.

Executive Order 12906. "Coordinating Geographic Data Acquisition and Access: The National Spatial Data Infrastructure." *Federal Register* 59(71), Wednesday, April 13, 1994.

FGDC-STD-001-1998. 1998. *Content Standard for Digital Geospatial Metadata*. Metadata Ad Hoc Working Group, Federal Geographic Data Committee, Washington, D.C.

Grzenda M and J Legierski. 2021. "Towards Increased Understanding of Open Data Use for Software Development." *Information Systems Frontiers* 23(2):495-513. https://doi.org/10.1007/s10796-019-09954-6. <Go to ISI>://WOS:000498013100001

NQA-1-2012. *Quality Assurance Requirements for Nuclear Facility Applications*. The American Society of Mechanical Engineers, New York, New York.

Schrack A. 2021. *Guide to Creating, Using, and Maintaining Open Data Portals*. Safe Software, Inc. Last Modified 4/20/2021. Accessed 7/29/2021. https://www.safe.com/blog/2021/04/guide-creating-using-maintaining-open-data-portals/.

Wilkinson MD, M Dumontier, IJ Aalbersberg, G Appleton, M Axton, A Baak, N Blomberg, J-W Boiten, LB da Silva Santos, et al. 2016. "The FAIR Guiding Principles for scientific data management and stewardship." *Scientific Data* 3(1):160018. https://doi.org/10.1038/sdata.2016.18.

# Pacific Northwest National Laboratory

902 Battelle Boulevard P.O. Box 999 Richland, WA 99354 1-888-375-PNNL (7665)

www.pnnl.gov