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Frequency Response Analysis Tool

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December 2014



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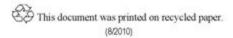
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Frequency Response Analysis Tool

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Abstract

Frequency response has received a lot of attention in recent years at the national level, which culminated in the development and approval of North American Electricity Reliability Corporation (NERC) BAL-003-1 Frequency Response and Frequency Bias Setting Reliability Standard.

This report is prepared to describe the details of the work conducted by Pacific Northwest National Laboratory (PNNL) in collaboration with the Bonneville Power Administration and Western Electricity Coordinating Council (WECC) Joint Synchronized Information Subcommittee (JSIS) to develop a frequency response analysis tool (FRAT). The document provides the details on the methodology and main features of the FRAT. The tool manages the database of under-frequency events and calculates the frequency response baseline. Frequency response calculations are consistent with frequency response measure (FRM) in NERC BAL-003-1 for an interconnection and balancing authority. The FRAT can use both phasor measurement unit (PMU) data, where available, and supervisory control and data acquisition (SCADA) data. The tool is also capable of automatically generating NERC Frequency Response Survey (FRS) forms required by BAL-003-1 Standard.

The tool has been released under an open source license. The primary users of the FRAT are balancing authorities and reliability coordinators. PNNL is continuously working on the FRAT development and improvement. Based on the industry feedback, new functions can be added.

Summary

Electrical interconnection must be operated within a secure frequency range to maintain a continuous balance between the system demand, interchange, and generation. Interconnection frequency deviation could cause significant negative impacts on system reliability and control performance.

Federal Energy Regulatory Commission (FERC) states in [1]: "Frequency response (FR) is a measure of an Interconnection's ability to stabilize frequency immediately following the sudden loss of generation or load, and is a critical component of the reliable operation of the power system, particularly during disturbances and recoveries." Frequency response has received much attention in recent years at the national level, culminating in the development and approval of the North American Electricity Reliability Corporation (NERC) BAL-003-1 Frequency Response and Frequency Bias Setting Reliability Standard [2]. The Standard determines the amount of frequency response required in each interconnection and the allocation of frequency response obligation among balancing authorities.

This report is prepared to describe the details of the work conducted by Pacific Northwest National Laboratory (PNNL) in collaboration with Bonneville Power Administration and Western Electricity Coordinating Council (WECC) Joint Synchronized Information Subcommittee (JSIS) to develop a frequency response analysis tool (FRAT). The initial project was funded by the Office of Electricity Delivery and Energy Reliability (OE) at the U.S. Department of Energy (DOE) under the American Recovery and Reinvestment Act (ARRA) of 2009 and by the Bonneville Power Administration. The ongoing project is funded by the DOE OE through the Consortium for Electric Reliability Technology Solutions (CERTS) program.

The main goal of the tool is to automate the power system frequency response analysis process. The FRAT performs the estimation of the frequency response characteristics based on the phasor measurement unit (PMU) and supervisory control and data acquisition (SCADA) measurements, manages the database of under-frequency events and calculates the frequency response baseline. Frequency response calculations are consistent with frequency response measure (FRM) in NERC BAL-003-1 for an interconnection and balancing authority. The FRAT can use both PMU data, where available, and SCADA data. The tool is also capable to automatically generate NERC Frequency Response Survey FRS forms required by BAL-003-1 Standard. The primary users of the FRAT are balancing authorities and reliability coordinators.

The first version of the FRAT (version 1.0) was released under an open source license in 2013. PNNL is continuously working on the FRAT development and improvement. Based on the feedback received from the industry partners, the new fully redesigned version of the application (FRAT 2.0) has been developed and released under an open source license in August 2014.

The FRAT is a standalone Windows application with a user friendly graphical user interface (GUI) and advanced visualization (Figure 1). The FRAT has been developed using Microsoft Visual Studio 2012 and it is based on Windows Presentation Foundation (WPF) technology. The FRAT main features include:

1) Calculating system mean frequency based on user specified PMU measurements.

- 2) Performing initial estimation of the system FR parameters (initial frequency, minimum frequency, settling point, etc.).
- 3) Visually inspect and adjust initial estimation of FR parameters.
- 4) Calculating FR performance characteristics according to NERC BAL-003-01 Standard (MW/0.1 Hz at point B), as well as additional performance metrics: FRM at minimum frequency (point C), 30 sec, 60 sec, 90 sec, etc.).
- 5) Archiving the historic events into an internal database and baselining the system performance.
- 6) Performing statistical analysis (linear regression, basic descriptive statistics)
- 7) Visualizing FR performance using different plots (depending on date, time, day of the week, wind/solar generation penetration).
- 8) All graphs produced by the tool can be copied into clipboard or into file (.jpg or .emf formats).
- 9) Automatically generating reports (including FRS 1 form) in Word format.

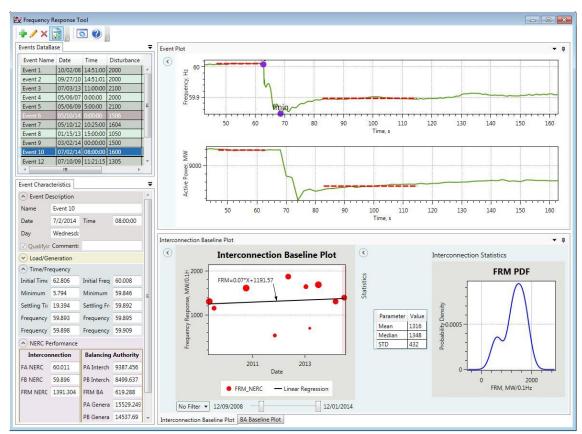


Figure 1. FRAT (version 2.0) GUI.

The developed PNNL FRAT application has been used by interconnections and balancing authorities (BAs) for a FR performance analysis and baselining. The FRAT application has been presented at industrial events including:

- NERC Frequency Working Group
- NERC Resources Subcommittee
- Electricity Reliability Council of Texas (ERCOT) phasor measurement task force
- WECC JSIS
- WECC Modeling and Validation Work Group (MVWG)
- Webinars for Independent System Operator (ISO) New England and ERCOT.

The FRAT received very positive feedback from the users. Multiple entities expressed their interest to start testing and using the tool. The industrial users also provided valuable feedback for the continuing research. Based on the industry feedback, the major improvement of the tool should be extending the tool functionality to perform FR analysis for the individual generation units.

The FRAT future work will also involve the industry outreach including:

- Working closely with NERC (primarily with NERC Resources Subcommittee and Frequency Working Group) to promote nationwide dissemination of the tool among BAs and other electrical utilities.
- Working closely with NERC and the Consortium for Electric Reliability Technology Solutions (CERTS) to establish continuing support and maintenance of the FRAT.
- Working with BPA, ISO New England, ERCOT, WECC, and other BAs and utilities to provide them FR tool support and also to receive their feedback.
- Making presentations at North American Synchrophasor Initiative (NASPI), WECC JSIS, WECC MVWG, Institute of Electrical and Electronics Engineers (IEEE) and other industrial meetings and workshops.

The FRAT repository and online documentation can be found at: https://svn.pnl.gov/FRTool

Acknowledgments

The initial project was funded by the Office of Electricity Delivery and Energy Reliability (OE),U.S. Department of Energy (DOE) under the American Recovery and Reinvestment Act (ARRA) of 2009 and by the Bonneville Power Administration. The ongoing project is funded by the DOE OE through the Consortium for Electric Reliability Technology Solutions (CERTS) program.

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- Sue Arey, Project Specialist

Acronyms and Abbreviations

BA Balancing authority

BPA Bonneville Power Administration

CERTS Consortium for Electric Reliability Technology Solutions

DOE Department of Energy

ERO Electric Reliability Organization

FERC Federal Energy Regulatory Commission

FR Frequency response

FRAT Frequency response analysis tool
FRM Frequency response measure
FRO Frequency response obligation
FRS Frequency Response Survey

FRSG Frequency Response Sharing Groups

IFRO Interconnection frequency response obligation
JSIS Joint Synchronized Information Subcommittee

JOU Jointly owned unit

MW Megawatt

NASPI North American Synchrophasor Initiative

NERC North American Electric Reliability Corporation

OE DOE Office of Electricity Delivery and Energy Reliability

PDF Probability density function

PNNL Pacific Northwest National Laboratory

PMU Phasor measurement unit

SCADA Supervisory control and data acquisition

UFLS Under-frequency load shedding

WECC Western Electricity Coordinating Council

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1.0 Introduction

Electrical interconnection must be operated within a secure frequency range to maintain a continuous balance between the system demand, interchange, and generation. Interconnection frequency deviation could cause significant negative impacts on system reliability and control performance.

Federal Energy Regulatory Commission (FERC) states in [1]: "Frequency response is a measure of an Interconnection's ability to stabilize frequency immediately following the sudden loss of generation or load, and is a critical component of the reliable operation of the power system, particularly during disturbances and recoveries." Frequency response has received much attention in recent years at the national level, culminating in the development and approval of the North American Electric Reliability Corporation (NERC) BAL-003-1 Frequency Response and Frequency Bias Setting Reliability Standard [2]. The Standard determines the amount of frequency response required in each interconnection and the allocation of frequency response obligation among balancing authorities. The Standard is largely based on technical work done in the NERC Frequency Response Initiative [3] and Lawrence Berkeley National Laboratory (LBNL)-led work on frequency response metrics [4].

The Western Electricity Coordinating Council (WECC) has a long history of frequency response monitoring. WECC made several attempts to develop its own regional frequency responsive reserve (FRR) Standard or criteria [5], well before the NERC Standard. WECC's objectives were to: (a) ensure that an adequate amount and quality of frequency responsive reserves are carried in the interconnection, and (b) achieve even distribution of frequency response across the interconnection to mitigate the frequency response impacts on transmission paths. WECC has used synchrophasor data to monitor frequency response in the Western Interconnection.

Bonneville Power Administration (BPA) implemented the practice of using synchrophasor data for governor response validation [6]. These efforts greatly improved the accuracy of frequency response representation in power system studies, as evident from a number of system-wide validation studies.

PNNL in collaboration with BPA and Western Electricity Coordinating Council (WECC) Joint Synchronized Information Subcommittee (JSIS) developed a tool to automate the analysis of interconnections frequency response [7]. The tool can help to perform the estimation of the frequency response characteristics based on the phasor measurement unit (PMU) and supervisory control and data acquisition (SCADA) measurements, and collect and process statistical information on the frequency response characteristics. The tool can also represent the information using advanced visualization techniques.

The first version of the FRAT (Version 1.0) was released under open source license in 2013. A screenshot of the FRAT 1.0 GUI is shown in Figure 1-1.

The FRAT 1.0 has the following main features:

- Automation of frequency response analysis process;
- Calculation of the interconnection frequency response measure (FRM) using PMU and SCADA data;

- Initial estimation of the FR parameters (initial frequency, minimum frequency, settling point, etc.)
- Visual inspection and manual adjustment of system parameters
- Archiving the historic events and baselines the interconnection performance;
- Automatically generating reports in MS Word format;
- User friendly graphical user interface (GUI)

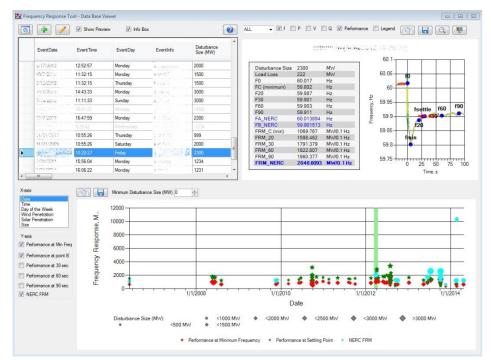


Figure 1-1. FRAT (version 1.0) GUI.

Based on the feedback received from the industry partners, the new version of the tool (FRAT 2.0) has been developed and released under an open source license in August 2014. The tool GUI is shown in Figure 1-2. The new version of the tool includes all features from the previous version as well as new functionality requested by the users and improved GUI.

The new FRAT features include:

- New analytical functions including:
 - calculation of the interconnection and balancing authority frequency response measure (FRM) according to the NERC BAL-003-01 Standard;
 - statistical analysis;
- New completely redesigned user interface;
- New advanced visualization;
- New reporting capabilities;
- Support of different data sources.

The report presents details on the frequency response analysis, brief description of the NERC BAL-003-01 Standard and description of the FRAT tool.

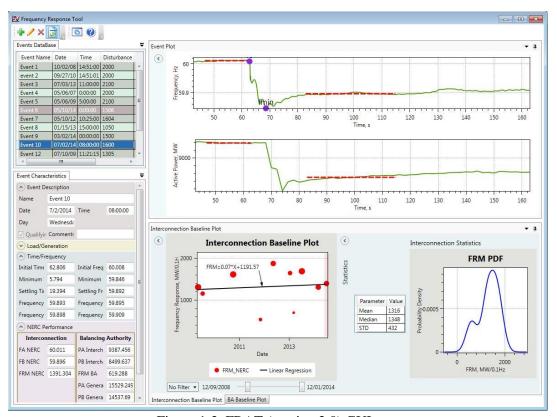


Figure 1-2. FRAT (version 2.0) GUI.

2.0 FR Methodology Background

Frequency control comes from three major components. Control actions provided by these components have different response time and time windows [2].

Primary frequency control (or frequency response) is an action provided by interconnections to restore the system frequency in response to frequency deviation. Frequency response is provided by the synchronous generators equipped with the speed governor and also by some loads (typically motors).

Secondary frequency control is an action provided by a BA to supervise the primary controls to manage the allocation of load among the power plants. Secondary frequency control actions come from an automated dispatch system (automatic generation control (AGC)) or from manual operator dispatch commands.

Tertiary frequency control action is provided by a balancing authority (BA), and it involves centrally coordinated actions to dispatch (move) generation to a new operating point to maintain balance between generation and load. Tertiary frequency control is the slowest action, and it is also known as an "economic dispatch" or "load following".

2.1 Frequency Response

The NERC defines frequency response as [2]:

- "(Equipment) The immediate and automatic reaction or response of power from a system or power from elements of the system to a change in locally sensed system frequency.
- (System) The sum of the change in demand, and the change in generation, divided by the change in frequency, expressed in megawatts per 0.1 Hertz (MW/0.1Hz)".

As the definition stated, frequency response reacts to the change in frequency in the system. It is the first line of defense against involuntary power interruptions, which can occur within a few seconds of system disturbance. Different resources, loads and systems can automatically provide frequency response with different response time based on the current system conditions.

Figure 2-1 shows a typical frequency recording following a resource loss in the interconnection. Point A is frequency prior to a resource loss, calculated as an average frequency over 16 seconds prior to an event. Point B is the settling frequency, calculated as an average frequency from 20 to 52 seconds following a resource loss. Point C is the minimum (nadir) frequency. NERC frequency response measure (FRM) is calculated at point B. Eto et al. [4] considered nadir-based frequency response measurement at point C.

Nadir-based frequency response is a better measure of the primary frequency response. WECC uses PMU measurements to calculate both the nadir and Point B frequency responses. However, the nadir response measurement at BA level requires synchronized high resolution PMU measurements of BA frequency and interchange power flows. Most BAs in the NERC footprint use 4-second non-synchronized

data, making the nadir frequency response measurement unfeasible. Therefore, frequency response at Point B is used as a practical alternative in NERC BAL-003-1 reliability Standard.

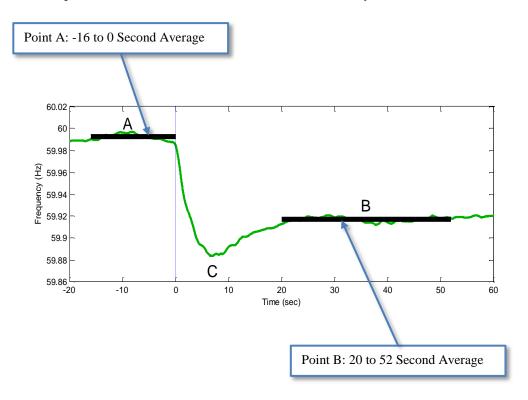


Figure 2-1. System frequency following resource loss.

2.2 Frequency Response Measure (FRM)

The FRM can be computed from the single event frequency response data (SEFRD), defined as "the data from an individual event from a BA that is used to calculate its FR, expressed in MW/0.1Hz" [2].

The BA FRM for a single event can be calculated using the following formula:

$$FRM_{BA} = ((P_{INT B} - Adj_B) - (P_{INT A} - Adj_A)) / 10(f_B - f_A),$$
 (2.1)

where $P_{INT\ B}$ is a value of interchange power flow at point B;

 P_{INT_A} is a value of interchange power flow at point A;

 Adj_B is a point B value adjustment;

 Adj_A is a point A value adjustment;

 f_A is a value of interconnection frequency at point A;

 f_B is a value of interconnection frequency at point B;

As seen from Equation (2.1), BA FRM calculation requires interchange measurements. Unfortunately very few interchange points have PMUs, so the BA frequency response calculations use SCADA data. SCADA measurements are not time-synchronized and the result of such skew is seen in Figure 2-2. SCADA data also lags PMU measurements by several seconds. Thus, at present, those BAs that are using SCADA data for frequency response monitoring are likely to be reporting response values

that are not precisely synchronized with interconnection-wide frequency response values measured using PMUs. It will be highly desirable to have PMUs or relay-enabled PMUs at all tie-lines for measurement synchronization, to obtain better quality frequency measurements.

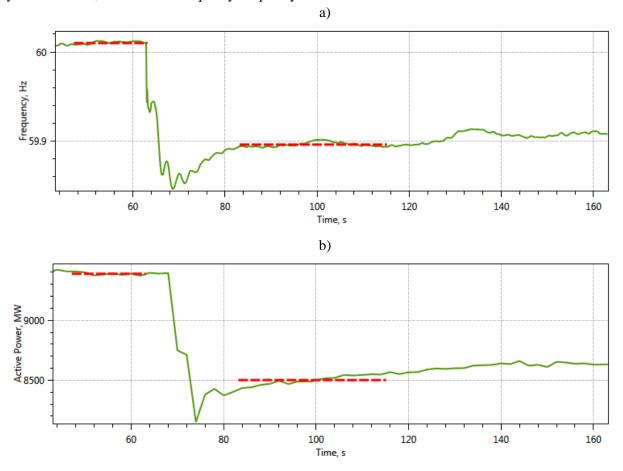


Figure 2-2. An example of the frequency event: a) interconnection frequency based on PMU measurements; b) actual net interchange based on SCADA measurements.

Adjustment of points A and B values according to BAL-003-01 Standard could include the following components [2]:

- Jointly owned units (JOU) dynamic schedule adjustment
- Non-conforming load adjustment (load outside of normal load profile)
- Pumped-hydro generation adjustment
- Transferred frequency response
- Contingent BA adjustment.

The interconnection FRM for a single event is calculated as following:

$$FRM_{Interconnection} = (P_{GenLoss} - P_{LoadLoss}) / 10(f_B - f_A),$$
 (2.2)

where $P_{GenLoss}$ is an interconnection generation loss; $P_{LoadLoss}$ is an interconnection load loss.

2.3 Interconnection Frequency Response Obligation (IFRO)

The Electric Reliability Organization (ERO) has established a targeted contingency protection criterion for each interconnection called interconnection frequency response obligation (IFRO). An IFRO is calculated as the amount of power lost in the contingency event divided by the maximum frequency change in the event (MW/0.1Hz). For example, for the WECC system the design N-2 event is Palo Verde outage, and it should not result in under-frequency load shedding (UFLS) which is starting at 59.5 Hz in the Western Interconnection. The calculated values of IFRO for all three major interconnections in the U.S. are given in Table 2-1 [2].

Table 2-1. Interconnection Frequency Response Obligations

Interconnection	IFRO, MW/0.1Hz
Western (WECC)	-840
Eastern	-1002
ERCOT (Texas)	-286

2.4 Balancing Authority Frequency Response Obligation (FRO)

Each BA within an interconnection has the obligation to provide its share of the total IFRO. The equation to calculate FRO for each BA is as follows:

$$FRO_{BA} = IFRO * \frac{Annual Gen_{BA} + Annual Load_{BA}}{Annual Gen_{Int} + Annual Load_{Int}}$$
(2.3)

where *Annual Gen_{BA}* is the annual BA generation;

Annual Load $_{BA}$ is the annual BA load;

Annual Gen_{Int} is the annual interconnection generation;

Annual Load_{Int} is the annual interconnection load.

2.5 Frequency Response Reporting

According to BAL-003-01 Standard, the median of all the FRM observations must be reported annually by BA or Frequency Response Sharing Groups (FRSG) to the ERO. In statistical theory, the median is the numerical value separating the higher half of a data sample from the lower half. The reason why the median value is used is that the median value gives a better representation of central tendency than average value. The average can be significantly influenced by the few values, making it not very representative of the majority of the values in the data set.

Each BA or FRSG should achieve an annual FRM equal to or less than its FRO to ensure that sufficient frequency response is provided to maintain interconnection frequency response that should equal to or less than the IFRO $[2]^1$.

Each BA or FRSG should submit the FRS forms 1 and 2 to the ERO. FRS form 1 contains information on all frequency events. There is only one exception when an event can be excluded from the annual FRS form 1 submission -- if the interchange or frequency data is corrupt or energy management system (EMS) was unavailable. The FRS 1 main form structure is given in Table 2-3 and a summary table is given in Table 2-3.

FRS form 2 is created for each frequency event separately. Information from the FRS 2 forms is used as an input to create the FRS form 1. The FRS form 2 structure is given in Table 2-4.

Table 2-2. NERC FRS Form 1 (main table)

#	Column	Description
1	Event number	
2	UTC date/time	
3	Prevailing data/time	Time used by interconnection
4	Interconnection time	
	zone	
5	BA date/time	
6	BA time zone	
7	Delta frequency	F_{B} - F_{A}
8	BA time	Point A time
9	BA bias delta	F_{B} - F_{A}
	frequency	
10	Relay limit delta	Delta frequency in case of relay limit R1 violation
	frequency	
11	Value A NAI	Net interchange value at point $A = P_{INT_A}$
12	Value A adjustment	Net interchange point A adjustment = Adj_A
13	Value B NAI	Net interchange value point $B = P_{INT_B}$
14	Value B adjustment	Net interchange point B adjustment = Adj_B
15	FRM for bias	FRM calculated according to (2.1)
16	FRM for R1	FRM in case of relay limit R1 violation
17	Exclude for data error	yes/no

_

¹ FRO and IFRO values are negative. Therefor FRM should be less or equal than FRO.

Table 2-3. NERC FRS form 1 (Summary table)

#		Description (Summary table)
1	Column	Description Exact data
	Date	Event date
3	A value time	Point A time
	F point A, Hz	Frequency at point A time
4	A value, Hz	Point A frequency (average frequency at interval $T_{0-16 \text{ sec}} \dots T_{0 \text{ sec}}$)
5	t0 time	Initial point time
6	C value, Hz	Point C frequency (minimum frequency value at interval T ₀ T _{0+18 sec})
7	Frequency point A	Point A frequency (average frequency at interval $T_{0-16 \text{ sec}} \dots T_{0 \text{ sec}}$)
8	Net interchange, point A	Point A interchange (average interchange at interval $T_{0-16 \text{ sec}} \dots T_{0 \text{ sec}}$)
9	JOU dynamic schedule,	JOU adjustment at point A, Import(-)/Export(+)
1.0	Point A	
10	Non-confirming load,	Non-confirming load adjustment at point A, Load(-)
1.1	point A	
11	Pump hydro, point A	Pump-hydro adjustment at point A, Load(-)/Gen(+)
12	Not used	Future use
13	Transferred FR, point A	Transferred FR adjustment at point A, Rec(-)/Del(+)
14	Generation loss, point A	Contingent BA adjustment at point A, load loss(-)/generation loss(+)
15	BA frequency bias, point	BA frequency bias at point A
	A	
16	BA load, point A	BA load at point A
17	BA EPFR bias settings,	Expected primary frequency response at point A
	point A	
18	Frequency point B	Point B frequency (average frequency at interval $T_{t0+20 \text{ sec}}T_{t0+52 \text{ sec}}$)
19	Net interchange, point B	Point B interchange (average interchange at interval $T_{t0+20 \text{ sec}}T_{t0+20 \text{ sec}}$)
20	JOU dynamic schedule,	JOU adjustment at point B, import(-)/export(+)
	point B	
21	Non-confirming load,	Non-confirming load adjustment at point B, load(-)
	point B	
22	Pump hydro, point B	Pump-hydro adjustment at point B, load(-)/gen(+)
23	Not used	Future use
24	Transferred FR, point B	Transferred FR adjustment at point B, rec(-)/del(+)
25	Generation loss, point B	Contingent BA adjustment at point B, load loss(-)/generation loss(+)
26	Initial performance,	In per unit (p.u.)
	adjusted	
27	Initial performance,	In p.u.
	unadjusted	
28	Sustained performance	In p.u.
29	BA frequency bias, point	BA frequency Bias at point B
	В	
30	BA load, point B	BA load at point B
31	BA EPFR bias settings,	Expected primary frequency response at point B
	Point B	

Table 2-4. NERC FRS Form 2 structure

#	Column	Description
1	Time	Timestamp, in case of SCADA data usage, the 2 seconds resolution is
		normally used.
2	Frequency	Hz
3	Net actual	MW
	interchange	
4	JOU dynamic	Import(-)/export(+)
	schedule	
5	Non-conforming load	Load(-)
6	Pumped hydro	Load(-)/Gen(+)
7	Not used	
8	Transferred FR	Rec(-)/Del(+)
9	Contingent BA	Load Loss(-)/Generation Loss(+)
10	BA bias	MW/0.1Hz
11	BA load	MW

3.0 Frequency Response Analysis Tool Description

PNNL worked with BPA and WECC JSIS to develop a frequency response analysis tool (FRAT) to manage the database of under-frequency events and calculate the frequency response baseline. The application can use both PMU data, where available, and SCADA data. Frequency response calculations are consistent with frequency response measure (FRM) in NERC BAL-003-1 for an interconnection and balancing authority. In addition to NERC FRM, the application calculates the nadir frequency response. The frequency response metrics are saved in an extensive database. The primary users of the FRAT are balancing authorities and reliability coordinators.

3.1 Main Features of the FRAT

The purpose of the developed software tool is to automate the BA and interconnection frequency response analysis process. The tool performs the estimation of the frequency response characteristics according to the NERC BAL-003-01 Standard using SCADA and PMU measurements. The FRAT also can visualize FR information using different types of plots and perform statistical analysis. Reports (FRS forms) can be also automatically generated.

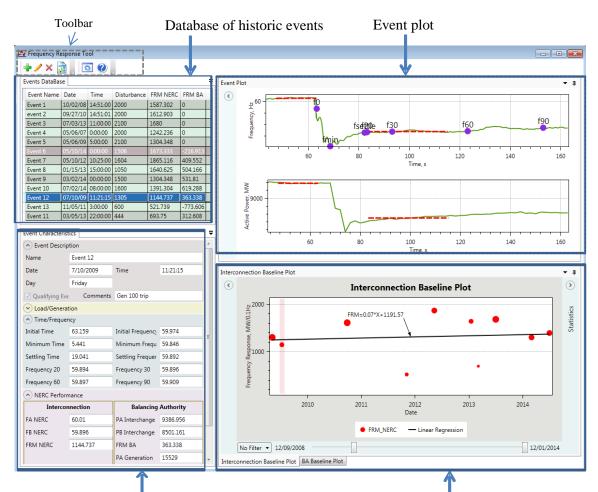
The FRAT is a standalone Windows application. It has a user friendly interface. The FRAT has been developed using Microsoft Visual Studio 2012. The FRAT features include:

- Calculating system mean frequency based on user-specified PMU measurements.
- Performing initial estimation of the system FR parameters (initial frequency, minimum frequency, settling point, etc.).
- Allow visual inspection and adjustment of initial estimation of FR parameters.
- Calculation of FR performance characteristics according to NERC BAL-003-01 Standard (MW/0.1 Hz at point B), as well as additional performance metrics: FRM at minimum frequency (point C), 30 sec, 60 sec, 90 sec, etc.).
- Archiving the historic events into internal database and baselining the system performance.
- Performing statistical analysis (linear regression, basic descriptive statistics)
- Visualizing FR performance using different plots (depending on date, time, day of the week, wind/solar generation penetration).
- Allow all graphs produced by the tool to be copied into clipboard or into file (.jpg or .emf formats).
- Automatically generating reports (including FRS 1 form) in Word format.

3.2 FRAT Graphical User Interface (GUI)

Figure 3-1 shows an interactive display of the frequency response analysis tool (Version 2.0). The GUI consists from the toolbar and four major panels (screens):

- 1) Event database screen to show the list of frequency events and basic information about these events.
- 2) Event details and system performance screen to show the event details (initial frequency, settling frequency, minimum frequency, etc.), interconnection/BA information (generation, load, generation by type of units, etc.), FR characteristics (BA FRM, interconnection FRM, etc.)
- 3) Event plot to graphically display the event. This screen consists from three subplots for frequency, active power and voltage.
- 4) FRM baseline and analysis screen to show the FR performance baseline and statistical analysis of the events.



Event details and system performance

Frequency Response Measure Baseline

Figure 3-1. FRAT main GUI

All of these screens can float and be rearranged by the user. Also these screens can be hidden if not needed. Figure 3-2 shows how to close or hide the panel.

			Float the screen			Hide the screen
Events DataBase						
Event Name	Date	Time	Disturbance	FRM NERC	FRM BA	
Event 1	10/02/08	14:51:00	2000	1515.152	0	
Event 2	09/27/10	14:51:01	2000	1612.903	0	
Event 3	07/03/13	11:00:00	2100	1680	0	
Event 4	05/06/07	0:00:00	2000	1242.236	0	
Event 5	05/06/09	5:00:00	2100	1304.348	0	

Figure 3-2. Float and hide function of the tool

The FRAT toolbar consists from several buttons to add/edit/delete events, to specify the program settings, and get the program help (Figure 3-3).

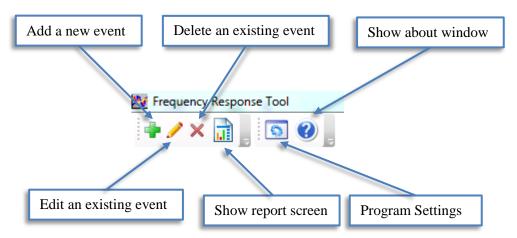


Figure 3-3. FRAT toolbar panel.

3.3 FRAT settings

The settings button is used to open the settings window (Figure 3-4). In the settings window, the BA information (BA name, BA time zone and interconnection prevailing time zone) and input signals name (columns header) for net interchange, total generation, wind generation, hydro generation and thermal generation can be specified.

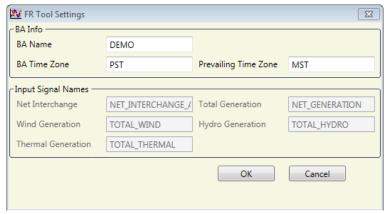


Figure 3-4. FRAT settings window.

FRAT stores this information in the program configuration file (see Figure 3-5) named "presets.xml" and can be found in "\DataBase" folder. The user can manually modify the configuration xml file to change the main program setting as well as to define transmission interfaces and default measurements that should be initially selected (displayed) for a new event.

```
<?xml version="1.0" encoding="UTF-8"?>
<Presets>
            cl-- BA nam
      <BA_Name>DEMO</BA_Name>
       <BA_Time_Zone>PST</BA_Time_Zone>
      Prevailing_Time_Zone>MST</Prevailing_Time_Zone>
<!-- ****** Input Signals Definition ****** -->
<!-- actual net interchange ID for a specific BA -->
      <ActualInterchange BA="DEMO">
           <ID>NET_INTERCHANGE_Actual</ID>
    <|D>NET_INIERCHIANG_|
</ActualInterchange>
<|-- Total actual Generation ID for a specific BA -->
- <TotalGeneration BA="DEMO">
<ID>NET_GENERATION </ID>
       </TotalGeneration>
<!-- Total Actual Wind Generation ID for a specific BA -->
      < WindGeneration BA="DFMO">
            <ID>TOTAL_WIND</ID>
       </WindGeneration>
    <!-- Total Actual Hydro Generation ID for a specific BA -->
- <HydroGeneration BA="DEMO">
            <ID>TOTAL_HYDRO</ID>
       </pre
      <ThermalGeneration BA="DEMO">
<ID>TOTAL_THERMAL</ID>
      </ThermalGeneration>
<!-- ***** Transm
                                  nission Interfaces Definition ***** -->
     <!rr transmission interf
</pre>
<Interface name="Interface_1">
<Line>Line1
<Line>
<Line>Line2

            <Line>Line3</Line>
      <!ne>Line3
<!nterface name="Inerface_2">
<Line>Line8</Line>
<Line>Line15

    </Interface>
        <!-- This is default preset. It is used when the program cannot find a preset for a specific event name and for new events -->

Preset name="default">
            <Line>Bus1</Line>
<Line>Bus2</Line>
            <Line>NET_INTERCHANGE_Actual</Line>
      <!-- These are presets for a specific event -->
      Preset name="Event 2"
           <Line>Bus1</Line>
<Line>Bus2</Line>
       <Line>NET_INTERCHANGE_Actual</Line>

      <Preset name="Event 4">
           <Line>Bus1</Line>
<Line>Bus2</Line>
            <Line>NET_INTERCHANGE_Actual</Line>
      <Pre><Preset name="Event 3">
           <Line>Bus1</Line>
<Line>Bus2</Line>
            <Line>Bus3</Line
            <Line>NET_INTERCHANGE_Actual</Line>
       </Preset>
  </Presets>
```

Figure 3-5. FRAT configuration file

For example, to define a transmission interface called "XYZ", which consists from three transmission lines called "Line1", "Line2", "Line3", the following xml element should be added to the configuration file:

3.4 Input File Requirements

The tool uses PMU and SCADA measurements as an input. The input file must be a commaseparated values (csv) format file. The FRAT can automatically recognize the type of measurement, to recognize the type of measurement, the input file format should follow WECC Guideline for Data Format Used in Engineering Analysis Applications [9]. The one row and four rows header .csv files are supported by the FRAT. The first column of the .csv file should be the time stamp. The one row header requirements are given in Table 3-1. One row header includes signal name and signal type separated by the comma. An example of one row input file is shown in Figure 3-6.

Table 3-1. One row header requirements

#	Signal Type	Signal name
1	Frequency	***.F
2	Voltage	***.V or ***.VPM
3	Active power	***.P
4	Reactive Power	***.Q
5	Angle	***.A or ***.VPA

^{*} where "***" should be replaced with a signal name

a)

```
Time, Bus1.V, Bus1.A, Bus1.F, Line1.P, Line1.Q, Line2.P, Line2.Q, Bus2.V, Bus2.A, Bus2.F 0,543.42, -106.87,60,729.27,43.113,745.73,29.837,548.35,-160.66,59.998 0.033333,543.37,-106.88,60,729.48,43.298,746.16,30.161,548.34,-160.69,59.997 0.066667,543.33,-106.89,59.998,729.94,43.566,746.17,30.152,548.36,-160.71,59.998 0.1,543.29,-106.91,59.998,730.32,43.673,746.12,29.9,548.35,-160.75,59.997 b)
```

1	Α	В	С	D	Е	F	G	Н	1	J	K
1	Time	Bus1.V	Bus1.A	Bus1.F	Line1.P	Line1.Q	Line2.P	Line2.Q	Bus2.V	Bus2.A	Bus2.F
2	0	543.42	-106.87	60	729.27	43.113	745.73	29.837	548.35	-160.66	59.998
3	0.033333	543.37	-106.88	60	729.48	43.298	746.16	30.161	548.34	-160.69	59.997
4	0.066667	543.33	-106.89	59.998	729.94	43.566	746.17	30.152	548.36	-160.71	59.998
5	0.1	543.29	-106.91	59.998	730.32	43.673	746.12	29.9	548.35	-160.75	59.997

Figure 3-6. One row .csv file example: a) opened in text editor; b) opened in Excel.

The four rows header file according to the Western Electric Coordinating Council [9] should have the following structure:

Line 1 - signal names

Line 2 – signal type

Line 3 – units

Line 4 – description.

An example of a four rows input file is shown in Figure 3-7.

a) Time, Bus1. F, Bus1. VPM, Bus1. VPA, Bus2. F, Bus2. VPM, Bus2. VPA, Bus3. F, Bus3. VPM, Bus3. VPA, Frequency_Actual, Frequency_Shedule, NET_INTERCHANGE_ACTUal, NET_INTERCHANGE_Schedule, NET_GENERATION, TOTAL_WIND, TOTAL_HYDR sec.Hz.kv.DEG.Hz.kv.DEG.Hz.kv.DEG.Hz.Hz.Mw.Mw.Mw.Mw.Mw.Mw.Mw Set. nr., xv, ues, nr., xv, ue b) Bus1.VPM Bus1.VPA Bus2.F Bus2.VPM Bus2.VPA Bus3.F Bus3.VPM Bus3.VPA Frequency Frequency NET_INTELNET_INTELNET_GENETOTAL_WITOTAL_HYTOTAL_THERMAL VPM VPA VPM kV DEG Hz kV DEG Hz k۷ DEG MW MM MW MW MW Hz 4 Time pmu pmu scada scada scada scada pmu pmu pmu pmu pmu scada 59.985 545.2433 -13.6226 59.98491 538.9865 -0.12544 59.98509 545.5008 -25.827 59,982 60 10195 10263 16187 4014 10545 1626 0.05 59.98505 545.2395 -13.8892 59.98505 539.0238 -0.3927 59.98494 545.5677 -26.1013 59.98204 60 10195 10263 16186.97 4014.025 10545 1626 0.1 59.98509 545.2033 -14.1561 59.98521 538.9646 -0.65758 59.98492 545.4973 -26.3722 59.98207 60 10263 16186.95 4014.05 10195 1626

Figure 3-7. Four rows .csv file example: a) opened in text editor; b) opened in Excel.

3.5 Event Database Panel

The events database screen is used to view the information about all frequency events available for analysis (Figure 3-8). Non-qualifying events are highlighted using gray color and they are not used for system baselining and statistical analysis. When a user selects any event from the list of event characteristics panel, the event plot panel are updated automatically to display detailed information about the selected event. The selected event is also highlighted at the baselining plot.

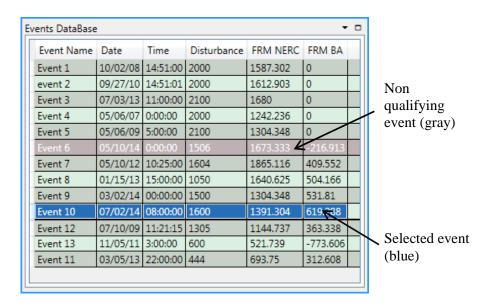


Figure 3-8. Events database screen.

The FRAT archives all information in the frequency events database. The .csv file is used to store frequency events information. The structure of the database is given in Table 3-2.

Table 3-2. Event characteristics columns definition

	Column Name	Definition
	Name	Event name
	Date	Event date
Event Description	Time	Event time
	Day	Day of week
	Qualifying event	Qualifying event (Yes or No)
	Generation loss	Generation loss
	Load loss time	Load loss time
	Load loss	Load loss
	Total generation	Total generation
	Thermal generation	Thermal generation
Load/	Hydro generation	Hydro generation
Generation	Wind generation	Wind generation
	BA generation loss	BA generation loss
	BA generation	BA generation
	BA thermal generation	BA thermal generation
	BA hydro generation	BA hydro generation
	BA wind generation	BA wind generation
	Initial time	Initial time
	Minimum time	Minimum time
	Settling time	Settling time
	Initial frequency	Initial frequency
Time/	Minimum frequency	Minimum frequency
Frequency	Settling frequency	Settling frequency
	Frequency 20	Frequency at 20 seconds
	Frequency 30	Frequency at 30 seconds
	Frequency 60	Frequency at 60 seconds
	Frequency 90	Frequency at 90 seconds
	FA NERC	Frequency at point A
	FB NERC	Frequency at point B
	FRM NERC	Interconnection frequency response measure (MW/0.1Hz)
NERC	PA Interchange	Interchange at point A
Performance	PB Interchange	Interchange at point B
1 criormanec	FRM BA	Frequency response measure of the balancing authority (MW/0.1Hz)
	PA Generation	Generation power at point A
	PB Generation	Generation power at point B
	FR Gen BA	FRM calculated using balancing authority generation
	FRM Min	Interconnection FRM at point C (minimum point)
Additional	FRM B	Interconnection FRM at point B
Performance	FRM 20	Frequency response measurement at 20 seconds
Indexes	FRM 30	Frequency response measurement at 30 seconds
muexes	FRM 60	Frequency response measurement at 60 seconds
	FRM 90	Frequency response measurement at 90 seconds

3.6 Event Characteristics Panel

Event characteristics panel displays information about a frequency event (Figure 3-9). This information is arranged in several groups. Each group can be collapsed or expanded to save the screen space using expand/collapse button.

3.6.1 Event Description Group

The event description group includes information on event name, event date, and time. Day of the week is identified automatically based on the date of event. The user also can add some comments to the event. The event description also provides information on the status of the event (qualifying/non-qualifying) using a check box.

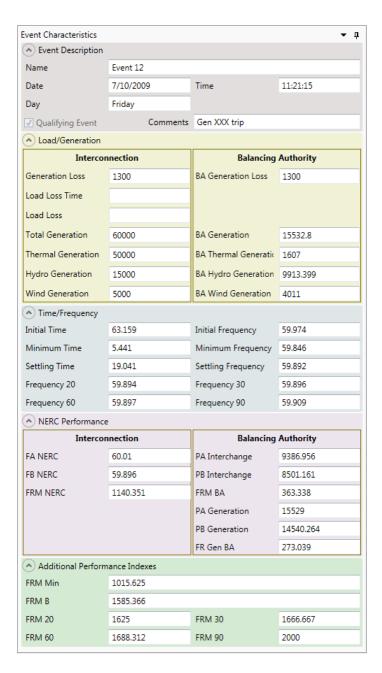


Figure 3-9. Event characteristics screen

3.6.2 Load/Generation Group

Load/generation group includes information on interconnection and BA generation/load including:

- Generation loss
- Load loss size and time
- Total generation
- Thermal generation
- Hydro generation
- Wind generation.

3.6.3 Time/Frequency Group

Time/frequency group includes information on the interconnection frequency including:

- Initial time and frequency
- Minimum time and frequency
- Settling time and frequency
- Frequency at time t_0+20 , t_0+30 , t_0+60 , and t_0+90 .

3.6.4 NERC Performance Group

The NERC performance group includes information on interconnection frequency values, BA interchange values, and interconnection/BA FRM indexes:

- Point A frequency
- Point B frequency
- Point A interchange value
- Point B interchange value
- Interconnection FRM
- BA FRM
- Point A BA generation
- Point B BA generation
- BA FRM based on generation.

These three parameters are not part of the NERC BAL-003-01 Standard

3.6.5 Additional Performance Indexes Group

Additional performance indexes group includes information on additional interconnection indexes calculated by FRAT including:

- FRM at minimum point
- FRM at settling point
- FRM at time t_0+20 , t_0+30 , t_0+60 , and t_0+90 .

3.7 Event Plot Panel

The event plot panel displays the event graph (Figure 3-10). It consists from several subplots to display frequency, active power and voltage graphs. Additional panels with graph settings can be

collapsed or expanded on the left side of the screen using expand/collapse button. Graph settings include a set of checkboxes to:

- Turn on and off frequency, active power and voltage subplots
- Turn on and off performance points
 - NERC points A and B (red horizontal dashed lines)
 - Initial point (F₀)
 - Minimum point (F_{min})
 - Settling point (F_{settling})
 - Frequency at time t_0+20 , t_0+30 , t_0+60 , and t_0+90
- Turn on and off the legend
- To select PMU and SCADA measurements to be displayed
- To turn on and off the mean frequency curve calculated based on the selected frequency signals.

The user can pan and zoom the plot as well as copy it to clipboard. The plot can be controlled using the following actions:

- Mouse wheel to zoom the plot
- Mouse wheel over an axis to zoom only selected axis
- Hold left mouse button and move the mouse to pan the plot
- Press "A" button to reset the plot to initial (auto) ranges
- Press Ctrl+C to copy the plot to clipboard
- Hold Ctrl + right mouse button to select an area to display

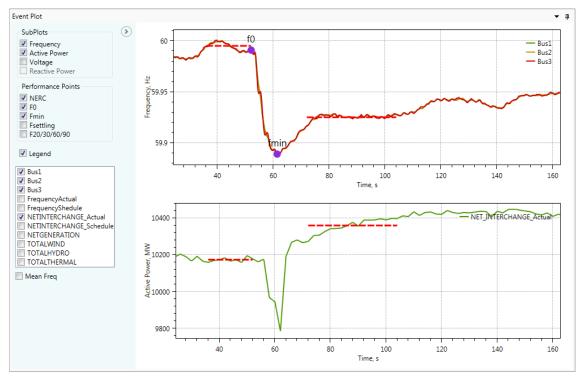


Figure 3-10. Event plot screen.

3.8 FRM Baseline and Statistical Analysis Screen

The baselining panel displays the FRM baseline plot for interconnection and BA (Figure 3-11). The screen consists from two tabs. The first tab is for interconnection analysis and the second tab is for BA analysis. On the right side of the screen, it also includes the statistical analysis panel that can be collapsed or expanded using expand/collapse button. The plot settings panel is located on the left side of the screen and it can be also expanded.

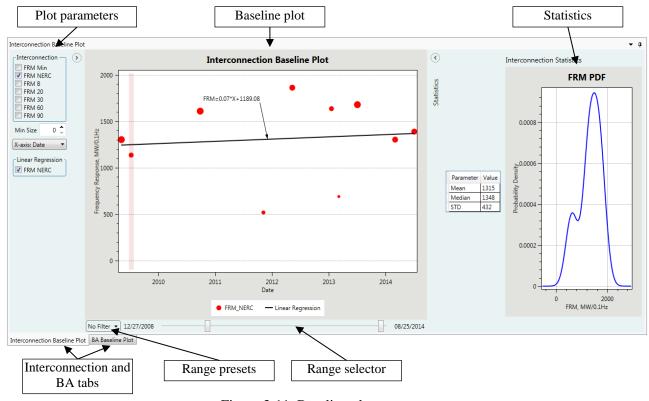


Figure 3-11. Baseline plot screen

3.8.1 Baseline Plot

Based on the statistical information collected in the database, the FRAT is capable of generating different type of plots illustrating system frequency response characteristics. The baselining plot shown in Figure 3-11 illustrates changing of interconnection FRM (MW/0.1 Hz) from the selected time range. The size of points in the baselining plot depends on the size of the disturbance. The different types of plot are also available. For example, the FRAT can correlate FRM metrics with the size of event or with generation mix (hydro, thermal, wind and solar generation).

The user can select any dots on the baseline plot to get information about this event. The information and graphs at the event characteristics and event plot panels are updated automatically when a user clicks on the event at the baseline plot.

At the bottom of the baseline plot there is a range selector to select the studied range (see Figure 3-12). There is also a drop-box list with range. For example, only the current year or 1 year window can be selected.



Figure 3-12. Baseline plot range selector

Baseline plot settings panel includes the following elements:

- Check boxes to select performance metrics to display (FRM at minimum point, FRM at point B, FRM at settling point, FRM at time t0+20, etc.)
- Plot X-axis type (date, time, disturbance size, generation mix, etc.)
- Turn on/off linear regression curve.

3.8.2 FRM Statistical Analysis

The statistical analysis screen includes FRM probability density function (PDF) plot and information of the FRM statistical parameters (mean value, median value and Standard deviation) for the selected range (Figure 3-13).

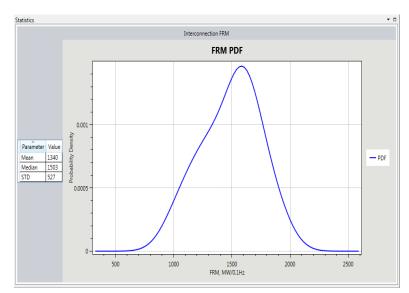


Figure 3-13. Statistical analysis of the interconnection FRM

The FRAT also performs linear regression analysis to estimate the FRM time trend. A linear regression equation is a statistical model to describe the relationship between time and FRM. The equation can be expressed as follows:

$$FRM = A * Time + B \tag{3.1}$$

where FRM is the frequency response measurement value (MW/0.1Hz).

Time variable represents a time that could be years, months, days, hours, minutes and seconds. A is the slope of the regression line and B is the intercept of the regression line.

To calculate parameter A and B, the error between the regression line and the real data should be minimized.

$$\min \sum_{i=1}^{N} (y_i - \tilde{y}_i) \tag{3.2}$$

where y_i is the i^{th} FRM data and $\tilde{y}_i = Ax_i + B$, x_i is the i^{th} time data and N is the total number of data.

The linear regression problem is solved using a least squares method. Details on this method can be found in NERC Frequency Response Initiative Report [3] and Weinstein[10].

3.9 Editing Event Database

To edit an event in the database, the user should select this event in the "events database" screen and then click the "edit" button . After the FRAT switched to the "edit" mode, the background of the frequency plot is changed to yellow color (Figure 3-14). In edit mode, the user can manually adjust the values of the initial, minimum, and other points. To manually adjust the location (value) of the point, select it using the mouse. Then, to move the selected point, press and hold the left mouse button. Drag the point to a new location. When the initial point is adjusted, the values of t0+20, t0+30, t0+60, and t0+90 points are updated automatically. The FRAT also recalculates FRM values every time the FR performance points are modified.

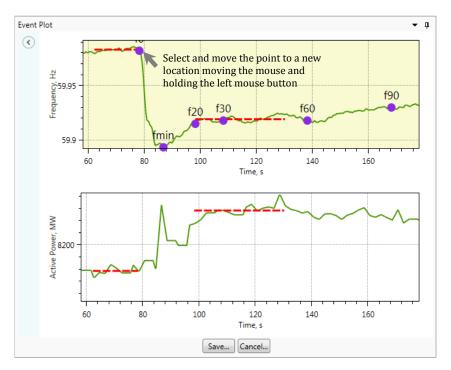


Figure 3-14. Event plot in "edit" mode.

In edit mode, the user also can change the values generation/load loss, generation production, and other parameters at the event characteristics screen. The name of event can be also changed. To save the modified information in the database, the "save" button should be pressed.

To delete an event, select this event and then click the "delete" button X.

3.10 Adding New Event

To add a new event, click the "add event" button. The user will be asked to select the input file with the SCADA and/or PMU information. Input file requirements are given in Section 3.4.

The FRAT provides the initial estimates of the following parameters:

- 1. Initial point: f_0 pre-fault frequency;
- 2. Point A frequency (average frequency at interval t_{0-16} to t_0)
- 3. Minimum point: f_{min} minimum frequency value (frequency nadir);
- 4. Settling point: f_{settle} settling frequency value;
- 5. Point B frequency (average frequency at interval t_{0+20} to t_{0+52})
- 6. Frequency at time t_0+20s : f_{20} ;
- 7. Frequency at time $t_0+30s:f_{30}$;
- 8. Frequency at time $t_0+60s:f_{60}$;
- 9. Frequency at time $t_0+90s:f_{60}$;

At the next step, the user can visually inspect the results of estimation and adjust estimated points if it is necessary. The points' adjustment procedure is similar to the editing process explained in the previous section (Section 3.9).

The user also should provide the size of event (generation loss), specify the date and time of event, and enter the name of event.

After the user confirms that parameters estimation is correct and enters the size of disturbance, the tool calculates frequency response performance characteristics:

- 1) FRM at point B according to the NERC BAL-003-01 Standard
- 2) FRM at minimum point, MW/0.1 Hz
- 3) FRM at settling point, MW/0.1 Hz
- 4) FRM at time 20 sec, MW/0.1 Hz
- 5) FRM at time 30 sec, MW/0.1 Hz
- 6) FRM at time 60 sec, MW/0.1 Hz
- 7) FRM at time 90 sec, MW/0.1 Hz.

The FRM metrics are calculated for the interconnection and for the BA. To save the new event in the database, the "save" button should be clicked. After the new event is entered to the database, the baseline plot is updated automatically.

3.11 FRAT Report Generation

To open the report window, the "show report" button should be clicked. The report screen is shown in Figure 3-15. To generate the report, the "FR report" button should be pressed. To include event plots in the report, the "add event plot" checkbox can be selected. Figure 3-15 shows an example of the report automatically generated be FRAT. The generated report can be copied to the clipboard or saved to the file in MS Word format by pressing the button "save" button.

To generate FRS 1 form, the "NERC FRS1" button can be pressed. An example of FRS 1 form report is given in Figure 3-16.

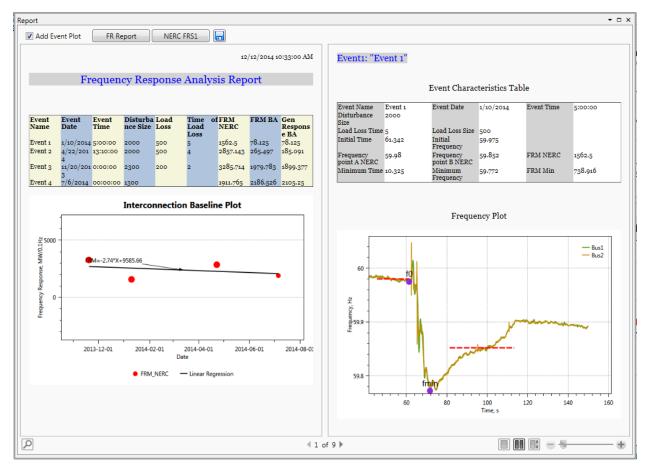


Figure 3-15. Frequency response analysis report screen

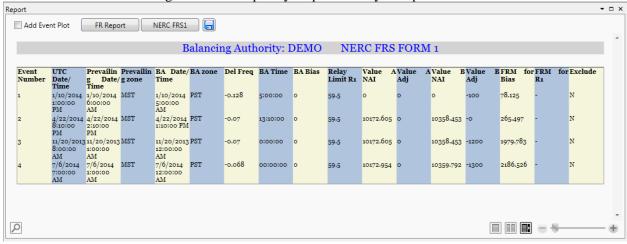


Figure 3-16. FRS 1 form.

3.12 FRAT Requirement

Operating system: Windows XP or higher.

Prerequisites: Microsoft .Net 4.0 Framework

Hardware requirements: should meet Microsoft .NET 4.0 system requirements

3.13 Program Repository

The FRAT repository is: https://svn.pnl.gov/FRTool

The repository is based on Trac technology. Trac is a web-based software project management and bug/issue tracking system. It also provides an integrated Wiki, an interface to version control systems (Figure 3-17).

Registered users can get access to the latest version of the tool, contribute to the program source code, manuals (using integrated Wiki) as well as create new tickets (in case of bugs/issues detected). Program documentation is also available (Figure 3-18); it is automatically generated using a Doxygen document generator¹.

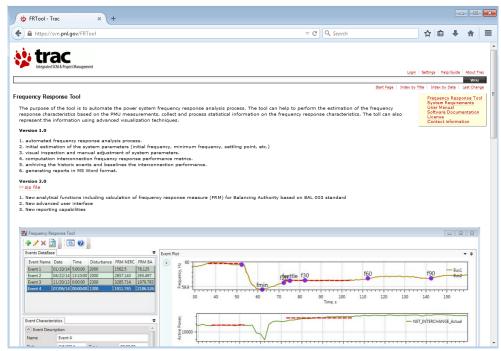


Figure 3-17. FRAT repository (main Wiki page)

-

¹ http://www.stack.nl/~dimitri/doxygen/index.html

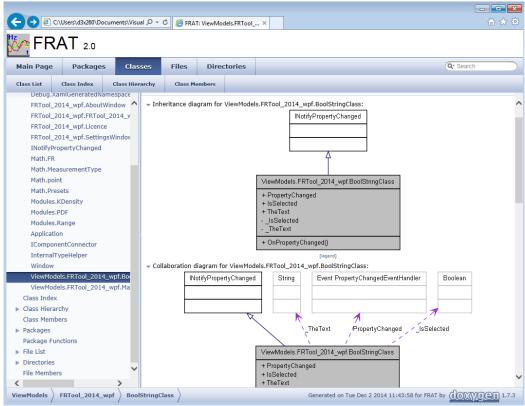


Figure 3-18. FRAT documentation

4.0 FR of the Western Interconnection

With the database of frequency events developed, a variety of plots can be made for frequency response analysis [8]. Figure 4-1 shows a baseline of frequency response in the Western Interconnection. Red dots represent frequency response measure calculated using the NERC FRM methodology. Blue diamonds represent frequency response measure at nadir frequency. The size of the dots is proportional to amount of generation lost during an event. A few observations on the WECC frequency response baseline can be made:

- The interconnection response is very consistent over the years, particularly for large events,
- Western Interconnection performance averages about 1,400 to 1,600 MW per 0.1 Hz, which
 is well above NERC Western interconnection frequency response obligation of about 950
 MW per 0.1 Hz
- Nadir response, as discussed earlier, is a more consistent measure of the frequency response

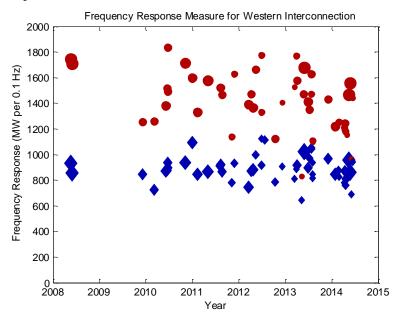


Figure 4-1. Frequency response baseline for Western Interconnection.

Figure 4-2 shows a relationship between the frequency response metrics and size of the disturbance event. Red dots represent NERC FRM calculations at the settling frequency, and blue diamonds represent the response at the frequency nadir. The size of a dot is proportional to date of event, so large dots are more recent events. NERC FRM is consistent for larger events, while there is wide variability in measured frequency response for smaller events. Frequency response at the nadir appears to be more consistent over a wide range of events.

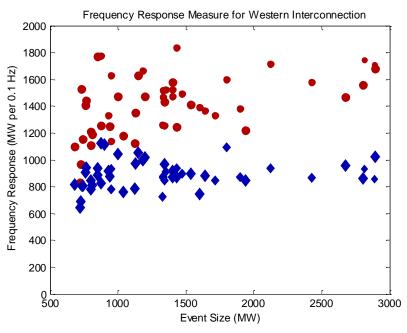


Figure 4-2. Relationship between event size and historic frequency response measure

Figure 4-3 shows a relationship between frequency deviations at nadir (C) and settling (B) points. The ratio appears to increase with larger events, as expected from the system physics.

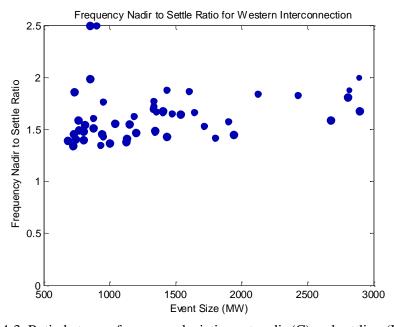


Figure 4-3. Ratio between frequency deviations at nadir (C) and settling (B) points

5.0 Conclusions

- Frequency response is a measure of an interconnection's ability to stabilize frequency immediately following the sudden loss of generation or load. Recently, NERC developed the Frequency Response BAL-003-1 Standard. The Standard was approved by FERC with an effective date April 1, 2015.
- PNNL, in cooperation with BPA and WECC JSIS, developed a tool to automate the analysis of
 interconnection/balancing authority frequency response. The PNNL FRAT 2.0 application was
 released under an open source license in August 2014. The FRAT is a standalone Windows
 application developed in VB.NET using Microsoft Visual Studio 2012. The tool is based on the
 WPF technology and has advanced visualization capabilities and a user friendly GUI.
- The FR analysis tool calculates NERC frequency response measure (FRM) using PMU and/or SCADA measurements according to BAL-003-01 Standard. The tool also archives the historic events, baselines the system performance and automatically generates reports.
- The developed PNNL FRAT application has been used by interconnections and balancing authorities for a FR performance analysis and baselining.
- The FRAT application was presented at industrial events including:
 - NERC Frequency Working Group
 - NERC Resources Subcommittee
 - ERCOT Phasor Measurement Task Force
 - WECC JSIS
 - WECC Modeling and Validation Work Group (MVWG)
 - Webinars for ISO New England and ERCOT.
- The tool received very positive feedback from the industry. Multiple entities expressed their interest to start testing and using the tool (including ISO New England, ERCOT, and NERC Frequency Working Group). The industrial users also provided valuable feedback for the continuing research. Based on the industry feedback, the major improvement of the tool should be extending the tool functionality to perform FR analysis of the individual generation units.
- The FRAT industry outreach will include:
 - Working closely with NERC (primarily with NERC Resources Subcommittee and Frequency Working Group) to promote nationwide dissemination of the tool among BAs and other electrical utilities.
 - Working closely with NERC and CERTS to establish continuing support and maintenance of the FRAT.
 - Working with BPA, ISO New England, ERCOT, WECC, and other BAs and utilities to provide them FR tool support and also to receive their feedback.
 - Making presentations at NASPI, WECC JSIS, WECC MVWG, IEEE and other industrial meetings and workshops.
- The FRAT repository and online documentation can be found at: https://svn.pnl.gov/FRTool

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